

# **Utah Supreme Court Rules of Evidence Committee**

## **Meeting Agenda**

Nicole Salazar-Hall, Chair

Location: WebEx Meeting

Date: June 10, 2025

Time: 5:15 p.m. - 7:15 p.m. MST

Action: Welcome and approve April 8, 2025 Minutes	Tab 1	Nicole Salazar-Hall
AI Poll Update: results from Boards of Appellate, District, and Juvenile Court Judges	Tab 2	Nicole Salazar-Hall
<b>Update:</b> URE 404, 408, 510, 613, and 702		Nicole Salazar-Hall
<b>Discussion:</b> URE 702 redline (blind experts)	Tab 3	Nicole Salazar-Hall

## Committee Web Page

### **Meeting Schedule:**

January 14, 2025 February 11, 2025 April 8, 2025 June 10, 2025 October 14, 2025 November 11, 2025

### **Rule Status:**

URE 107 - Draft approved by Committee; awaiting 1006 completion

URE 404 - Out for comment

URE 408 - Out for comment

URE 613 - Given final approval

URE 702 – In draft; back from Supreme Court with feedback

URE 707 - In draft (on hold pending FRE AI-amendments)

URE 801 - Awaiting further federal caselaw

URE 804 - In draft with subcommittee

URE 901 - In draft (on hold pending FRE AI-amendments)

URE 1006 - In draft with subcommittee

URE Committee Notes Review - In draft with subcommittee

# TAB 1

# ON THE RULES OF EVIDENCE

#### **MEETING MINUTES**

April 8th, 2025 5:15 p.m.-6:45 p.m. Via Webex

MEMBERS PRESENT	MEMBERS EXCUSED	GUESTS	STAFF
Nicole Salazar-Hall	Hon. Linda Jones	Jacqueline Carlton	Jace Willard
Sarah Carlquist	Hon. Richard McKelvie		
David Billings			
Teneille Brown			
Tony Graf			
Clint Heiner			
Scott Lythgoe			
Ryan McBride			
Adam Merrill			
Benjamin Miller			
Andres Morelli			
Hon. Coral Sanchez			
Rachel Sykes			
Hon. Rick			
Westmoreland			
Dallas Young			

#### 1. Welcome and Approval of Minutes

Ms. Salazar-Hall welcomed everyone to the meeting. Ms. Sykes moved to approve the February meeting minutes. Mr. Lythgoe seconded. The motion carried.

#### 2. Welcome and Introductions for New Member

Ms. Salazar-Hall welcomed new member, Third District Judge Coral Sanchez, to the Committee and invited her and all Committee members present to introduce themselves.

#### 3. Update: Informal Poll of Judges re Need for AI-Related Rules or Amendments

Ms. Salazar-Hall noted that the informal poll regarding the need for AI-related rules or amendments has been approved and sent out to some of the various boards of judges. It is anticipated that the results will be back for the Committee's review in the next month or two.

#### 4. Update: Historical Rules Feature Request

Mr. Willard reported that the IT Department is reviewing the Committee's request to update the courts website to add a feature making it easier to find historical versions of the rules, similar to the Legislature's website for historical versions of different Code sections.

#### 5. Discussion: Public Comments re URE 613

Ms. Salazar-Hall noted that no public comments were received in response to the recently published proposed amendments to URE 613. Ms. Carlquist moved that the proposed amendments be sent back to the Supreme Court with a request that they be made final. Mr. Lythgoe seconded. The motion carried.

#### 6. Discussion: SJR4 Amendment of URCP 26 and URE 510

Ms. Salazar-Hall summarized the Legislature's recent passage of SJR4, which amended URCP 26 and URE 510, adding provisions regarding the work-product doctrine and waiver of the attorney-client privilege in the context of a legislative audit. Mr. Graf moved that a comment be added to URE 510 identifying the legislation behind the amendment, similar to what has been done with other rules. Mr. Young seconded. The motion carried.

### 7. Discussion: URE 408 Subcommittee Report

Ms. Carlquist summarized the Rule 408 Subcommittee's review of caselaw regarding differences between FRE 408 and the Utah Rule 408. The Subcommittee proposes to add a committee note pointing out the difference between the two rules regarding impeachment by a prior inconsistent statement. Judge Westmoreland moved to adopt the note. Professor Brown seconded. The motion carried.

#### 8. Discussion: Draft Letter re URE 702

The Committee reviewed a draft letter seeking the Supreme Court's direction regarding a proposal to amend Rule 702 to address so-called "blind experts." Mr. Young, Professor Brown, and Ms. Sykes each suggested different possible edits to the letter and the language of the proposed Rule 702 amendment discussed therein. Following discussion, due to the lack of consensus, Ms. Salazar-Hall suggested that the language regarding the proposed amendment be removed from the letter to simply state the issue in general terms. Mr. Billings moved to approve the letter with that proposed revision. Ms. Carlquist seconded. The motion carried.

#### 9. Discussion: URE 804 Subcommittee Report

Professor Merrill summarized the Subcommittee's review of the recent amendments to FRE 804. At this point the Subcommittee believes that the recent FRE 804 amendments should be

adopted and made part of URE 804. He noted, however, that amendments previously made to FRE 807 have not been made as to URE 807, and that adopting the FRE 804 amendments into URE 804 without also changing URE 807 could create an inconsistency in the law. Thus, the Rule 804 Subcommittee will also look into potentially amending Rule 807 and will return with a recommendation as to both of these rules. Ms. Carlquist noted the existence of relevant Utah caselaw as to URE 807 and will send it to Mr. Willard to be forwarded to the Subcommittee.

#### 10. Discussion: URE 107 and 1006 Subcommittee Report

Professor Brown summarized the circumstances leading to the recent addition of FRE 107 and 1006. The Subcommittee proposes that FRE 107 generally be adopted as a new URE 107, with the exception of subparagraph (c). FRE 107(c) provides that "[w]hen practicable, an illustrative aid used at trial must be entered into the record." The Subcommittee believes more guidance is desirable on this point and has revised subparagraph (c) to provide: "If requested, the court shall permit a party to describe the illustrative aid to be included in the trial record, and if practicable and upon request, the illustrative aid itself must be entered into the record." Ms. Carlquist and Ms. Salazar-Hall expressed agreement, saying the revised language strikes the right balance. Mr. Young moved that URE 107 as proposed by the Subcommittee be approved. Mr. Billings seconded. The motion carried. The Subcommittee will next consider FRE 1006 and whether it should be adopted as a new URE 1006.

#### 11. Discussion: URE 404 Subcommittee Report

Ms. Carlquist reminded the Committee of recent caselaw (*State v. Estes*, 2025 UT App 10, ¶ 20 n.3) inviting the Committee to revise the committee note to Rule 404 as to the *Shickles* factors. The Subcommittee presented two possible revision options: Option A would add an explanatory note regarding caselaw affecting consideration of the *Shickles* factors. Option B would simply strike the sentence regarding the *Shickles* factors from the "Original Advisory Committee Note." Judge Sanchez favored Option A as more helpful. No one disagreed. Mr. Billings moved to approve Option A to go to the Supreme Court with a recommendation that it be approved for publication. Mr. Miller seconded. The motion carried.

#### **ADJOURN:**

With no further items to discuss, Ms. Salazar-Hall adjourned the meeting. Depending on the number of substantive items on the agenda, the next meeting will be either May 13 or June 10, 2025, at 5:15 pm, via Webex Webinar video conferencing.

# TAB 2

	Appellate Judge AI Poll Results			
	Have judges in your district encountered efforts to admit deepfakes into evidence, or allegations of deepfakery from the opponent of any given evidence, that could not easily be addressed by the existing rules of evidence as to authentication?	Do you think the rise of deepfakes warrants new treatment in the rules?	Utah's evidence rules are modeled after the federal rules. Are you comfortable if, prior to pursuing AI-related amendments to Utah's rules, the Committee waits to see if and how the federal rules of evidence are amended to address this challenge?	
4		Yes	No	
5	N/A	Yes	I am comfortable with that.	
6		I am not sure.	Yes.	
7	Not that I have seen	Yes	Yes.	
8	N/A	No, the traditional rules requiring parties to establish foundation should be sufficient.	Yes.	
9	N/A	I'm not sure yet. It's possible that rules regarding authentication might be sufficient to address it. The question for me would be if there is some reason why those rules wouldn't be enough.	Yes.	
10	N/A	Maybe, but not right now.	I think that waiting for the federal rules to address the issue makes a lot of sense, unless in the interim there is a significant number of issues that arise in Utah.	
11	N/A	Yes	Yes	
	No	I don't know enough to have an opinion on this question.	I am comfortable with this approach for the time being.	

	District Judge AI Poll Results			
	Have judges in your district encountered efforts to admit deepfakes into evidence, or allegations of deepfakery from the opponent of any given evidence, that could not easily be addressed by the existing rules of evidence as to authentication?	Do you think the rise of deepfakes warrants new treatment in the rules?	Utah's evidence rules are modeled after the federal rules. Are you comfortable if, prior to pursuing AI-related amendments to Utah's rules, the Committee waits to see if and how the federal rules of evidence are amended to address this challenge?	
4	No.	Yes	Yes.	
5	I haven't yet.  I am not aware of any allegations of deep fakes so there has been no need to apply the existing rules of evidence. I anticipate there will be a need.	Yes  More probably the rules of ethics. I would have to see what proposals are being proffered regarding changes in the rules of evidence.	IDK. I am okay with moving forward without reference to the federal rules, but I would like to see what the proposed amendments look like and an explanation of how the amendments address the problem.	
7	Not to my knowledge	I think it deserves evaluation to see if necessary. It seems existing rules are sufficient but it does deserve study to confirm this.	I am fine waiting for the Federal Rules group to act.	
8	I have not heard of any such issues in my district.	No. These would be false statements / evidence to a tribunal that could be addressed by current rules. Theoretically, if there was something to change, it might be worth a statutory change to make such deepfakery felony conduct rather	Fine with me.	
		than address it in rules.		
9	No we have not encountered any deepfakes or allegations of deepfakery.	No. The rules adequately cover the issue.	Yes, we are comfortable waiting for a federal rule.	

	Juvenile Judge AI Poll Results			
	Have judges in your	Do you think the rise of	Utah's evidence rules are modeled	
	district encountered	deepfakes warrants new	after the federal rules. Are you	
	efforts to admit	treatment in the rules?	comfortable if, prior to pursuing	
	deepfakes into evidence,		AI-related amendments to Utah's	
	or allegations of		rules, the Committee waits to see if	
	deepfakery from the		and how the federal rules of	
	opponent of any given		evidence are amended to address	
	evidence, that could not		this challenge?	
	easily be addressed by			
	the existing rules of			
	evidence as to			
4	authentication?		X/	
4	I am not aware of any.	Danailala.	Yes.	
5		Possibly.	V	
3			Yes.	
	Mat to my lenaryladas	I I a same		
6	Not to my knowledge.	Unsure.	Have indeed in your district	
0			Have judges in your district encountered efforts to admit	
			deepfakes into evidence, or	
			allegations of deepfakery from the	
			opponent of any given evidence,	
		I think it's probably better	that could not easily be addressed	
		to get ahead of the issue	by the existing rules of evidence as	
	Not that I am aware of.	than wait.	to authentication?	
7		I think it certainly should	That seems like a good idea.	
		be addressed, at least as it		
		relates to laying		
	No	foundation.		

# TAB 3

### 1 Rule 702. Testimony by Experts.

- 2 (a) Subject to the limitations in paragraph (b), a witness who is qualified as an expert by
- 3 knowledge, skill, experience, training, or education may testify in the form of an opinion
- 4 or otherwise if the expert's scientific, technical, or other specialized knowledge will help
- 5 the trier of fact to understand the evidence or to determine a fact in issue.
- 6 **(b)** Scientific, technical, or other specialized knowledge may serve as the basis for expert
- 7 testimony only if there is a threshold showing that the principles or methods that are
- 8 underlying in the testimony
- 9 **(b)(1)** are reliable,
- 10 **(b)(2)** are based upon sufficient facts or data, and
- 11 **(b)(3)** have been reliably applied to the facts, or if not applied to the specific facts
- of the case, are intended to educate the factfinder about general principles relevant
- to the case.
- 14 (c) The threshold showing required by paragraph (b) is satisfied if the underlying
- principles or methods, including the sufficiency of facts or data and the manner of their
- 16 application to the facts of the case, are generally accepted by the relevant expert
- 17 community.

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- 19 **2025** Advisory Committee Note. The language of subparagraph (b)(3) has been amended
- 20 to make express allowance for the possibility of so-called "blind experts," who are
- 21 <u>already permitted under existing Utah practice and caselaw. See, e.g., State v. Clopten,</u>
- 22 2009 UT 84, ¶ 36, 223 P.3d 1103. These "blind experts" are subject to the same threshold
- showing outlined in parts (b), (b)(1), and (b)(2).
- 24 **2011 Advisory Committee Note.** The language of this rule has been amended as part of
- 25 the restyling of the Evidence Rules to make them more easily understood and to make
- 26 class and terminology consistent throughout the rules. These changes are intended to be

27 stylistic only. There is no intent to change any result in any ruling on evidence

admissibility.

29 **Original Advisory Committee Note.** Apart from its introductory clause, part (a) of the

30 amended Rule recites verbatim Federal Rule 702 as it appeared before it was amended in

31 2000 to respond to <u>Daubert v. Merrell Dow Pharmaceuticals, Inc.</u>, 509 U.S. 579 (1993). The

32 2007 amendment to the Rule added that introductory clause, along with parts (b) and (c).

33 Unlike its predecessor, the amended rule does not incorporate the text of the Federal Rule.

34 Although Utah law foreshadowed in many respects the developments in federal law that

35 commenced with Daubert, the 2007 amendment preserves and clarifies differences

36 between the Utah and federal approaches to expert testimony.

37 The amended rule embodies several general considerations. First, the rule is intended to 38 be applied to all expert testimony. In this respect, the rule follows federal law as announced in Kumho Tire Co. v. Carmichael, 526 U.S. 137 (1999). Next, like its federal 39 40 counterpart, Utah's rule assigns to trial judges a "gatekeeper" responsibility to screen out unreliable expert testimony. In performing their gatekeeper function, trial judges should 41 42 confront proposed expert testimony with rational skepticism. This degree of scrutiny is 43 not so rigorous as to be satisfied only by scientific or other specialized principles or 44 methods that are free of controversy or that meet any fixed set of criteria fashioned to test 45 reliability. The rational skeptic is receptive to any plausible evidence that may bear on 46 reliability. She is mindful that several principles, methods or techniques may be suitably 47 reliable to merit admission into evidence for consideration by the trier of fact. The fields 48 of knowledge which may be drawn upon are not limited merely to the "scientific" and 49 "technical", but extend to all "specialized" knowledge. Similarly, the expert is viewed, not in a narrow sense, but as a person qualified by "knowledge, skill, experience, training or 50 51 education". Finally, the gatekeeping trial judge must take care to direct her skepticism to the particular proposition that the expert testimony is offered to support. The Daubert 52 court characterized this task as focusing on the "work at hand". The practitioner should 53

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54 equally take care that the proffered expert testimony reliably addresses the "work at 55 hand", and that the foundation of reliability presented for it reflects that consideration. 56 Section (c) retains limited features of the traditional Frye test for expert testimony. 57 Generally accepted principles and methods may be admitted based on judicial notice. 58 The nature of the "work at hand" is especially important here. It might be important in 59 some cases for an expert to educate the factfinder about general principles, without 60 attempting to apply these principles to the specific facts of the case. The rule recognizes that an expert on the stand may give a dissertation or exposition of principles relevant to 61 the case, leaving the trier of fact to apply them to the facts. Proposed expert testimony 62 that seeks to set out relevant principles, methods or techniques without offering an 63 64 opinion about how they should be applied to a particular array of facts will be, in most instances, more eligible for admission under section (c) than case specific opinion 65 testimony. There are, however, scientific or specialized methods or techniques applied at 66 67 a level of considerable operational detail that have acquired sufficient general acceptance 68 to merit admission under section (c). 69 The concept of general acceptance as used in section (c) is intended to replace the novel 70 vs. non-novel dichotomy that has served as a central analytical tool in Utah's Rule 702 71 jurisprudence. The failure to show general acceptance meriting admission under section 72 (c) does not mean the evidence is inadmissible, only that the threshold showing for 73 reliability under section (b) must be shown by other means. 74 Section (b) adopts the three general categories of inquiry for expert testimony contained 75 in the federal rule. Unlike the federal rule, however, the Utah rule notes that the 76 proponent of the testimony is required to make only a "threshold" showing. That 77 "threshold" requires only a basic foundational showing of indicia of reliability for the 78 testimony to be admissible, not that the opinion is indisputably correct. When a trial 79 court, applying this amendment, rules that an expert's testimony is reliable, this does not 80 necessarily mean that contradictory expert testimony is unreliable. The amendment is

broad enough to permit testimony that is the product of competing principles or methods

in the same field of expertise. Contrary and inconsistent opinions may simultaneously meet the threshold; it is for the factfinder to reconcile - or choose between - the different opinions. As such, this amendment is not intended to provide an excuse for an automatic challenge to the testimony of every expert, and it is not contemplated that evidentiary hearings will be routinely required in order for the trial judge to fulfill his role as a rationally skeptical gatekeeper. In the typical case, admissibility under the rule may be determined based on affidavits, expert reports prepared pursuant to Utah R.Civ.P. 26, deposition testimony and memoranda of counsel.