

1 **Rule 4-202.02. Records Classification.**

2 **Intent:**

3 To classify court records as public or non-public.

4 **Applicability:**

5 This rule applies to the judicial branch.

6 **Statement of the Rule:**

7 (1) **Presumption of Public Court Records.** Court records are public unless otherwise
8 classified by this rule.

9 (2) **Public Court Records.** Public court records include but are not limited to:

10 (2)(A) abstract of a citation that redacts all non-public information;

11 (2)(B) aggregate records without non-public information and without personal identifying
12 information;

13 (2)(C) appellate filings, including briefs;

14 (2)(D) arrest warrants, but a court may restrict access before service;

15 (2)(E) audit reports;

16 (2)(F) case files;

17 (2)(G) committee reports after release by the Judicial Council or the court that
18 requested the study;

19 (2)(H) contracts entered into by the judicial branch and records of compliance with the
20 terms of a contract;

21 (2)(I) drafts that were never finalized but were relied upon in carrying out an action or
22 policy;

23 (2)(J) exhibits, but the judge may regulate or deny access to ensure the integrity of the
24 exhibit, a fair trial or interests favoring closure;

25 (2)(K) financial records;

26 (2)(L) indexes approved by the Management Committee of the Judicial Council,
27 including the following, in courts other than the juvenile court; an index may
28 contain any other index information:

29 (2)(L)(i) amount in controversy;

30 (2)(L)(ii) attorney name;

31 (2)(L)(ii)(2)(L)(iii) licensed paralegal practitioner name;

- 32 ~~(2)(L)(iii)~~(2)(L)(iv) _____ case number;
- 33 ~~(2)(L)(iv)~~(2)(L)(v) _____ case status;
- 34 ~~(2)(L)(v)~~(2)(L)(vi) _____ civil case type or criminal violation;
- 35 ~~(2)(L)(vi)~~(2)(L)(vii) _____ civil judgment or criminal disposition;
- 36 ~~(2)(L)(vii)~~(2)(L)(viii) _____ daily calendar;
- 37 ~~(2)(L)(viii)~~(2)(L)(ix) _____ file date;
- 38 ~~(2)(L)(ix)~~(2)(L)(x) _____ party name;
- 39 (2)(M) name, business address, business telephone number, and business email
40 address of an adult person or business entity other than a party or a victim or
41 witness of a crime;
- 42 (2)(N) name, address, telephone number, email address, date of birth, and last four
43 digits of the following: driver's license number; social security number; or account
44 number of a party;
- 45 (2)(O) name, business address, business telephone number, and business email
46 address of a lawyer or licensed paralegal practitioner appearing in a case;
- 47 (2)(P) name, business address, business telephone number, and business email
48 address of court personnel other than judges;
- 49 (2)(Q) name, business address, and business telephone number of judges;
- 50 (2)(R) name, gender, gross salary and benefits, job title and description, number of
51 hours worked per pay period, dates of employment, and relevant qualifications of
52 a current or former court personnel;
- 53 (2)(S) unless classified by the judge as private or safeguarded to protect the personal
54 safety of the juror or the juror's family, the name of a juror empaneled to try a
55 case, but only 10 days after the jury is discharged;
- 56 (2)(T) opinions, including concurring and dissenting opinions, and orders entered in
57 open hearings;
- 58 (2)(U) order or decision classifying a record as not public;
- 59 (2)(V) private record if the subject of the record has given written permission to make
60 the record public;
- 61 (2)(W) probation progress/violation reports;
- 62 (2)(X) publications of the administrative office of the courts;
- 63 (2)(Y) record in which the judicial branch determines or states an opinion on the rights
64 of the state, a political subdivision, the public, or a person;
- 65 (2)(Z) record of the receipt or expenditure of public funds;

- 66 (2)(AA) record or minutes of an open meeting or hearing and the transcript of them;
- 67 (2)(BB) record of formal discipline of current or former court personnel or of a person
- 68 regulated by the judicial branch if the disciplinary action has been completed, and
- 69 all time periods for administrative appeal have expired, and the disciplinary action
- 70 was sustained;
- 71 (2)(CC) record of a request for a record;
- 72 (2)(DD) reports used by the judiciary if all of the data in the report is public or the Judicial
- 73 Council designates the report as a public record;
- 74 (2)(EE) rules of the Supreme Court and Judicial Council;
- 75 (2)(FF) search warrants, the application and all affidavits or other recorded testimony on
- 76 which a warrant is based are public after they are unsealed under Utah Rule of
- 77 Criminal Procedure 40;
- 78 (2)(GG) statistical data derived from public and non-public records but that disclose only
- 79 public data; and
- 80 (2)(HH) notwithstanding subsections (6) and (7), if a petition, indictment, or information is
- 81 filed charging a person 14 years of age or older with a felony or an offense that
- 82 would be a felony if committed by an adult, the petition, indictment or information,
- 83 the adjudication order, the disposition order, and the delinquency history
- 84 summary of the person are public records. The delinquency history summary
- 85 shall contain the name of the person, a listing of the offenses for which the
- 86 person was adjudged to be within the jurisdiction of the juvenile court, and the
- 87 disposition of the court in each of those offenses.
- 88 (3) **Sealed Court Records.** The following court records are sealed:
- 89 (3)(A) records in the following actions:
- 90 (3)(A)(i) Title 78B, Chapter 6, Part 1 – Utah Adoption Act six months after the
- 91 conclusion of proceedings, which are private until sealed;
- 92 (3)(A)(ii) Title 78B, Chapter 15, Part 8 – Gestational Agreement, six months
- 93 after the conclusion of proceedings, which are private until sealed;
- 94 (3)(A)(iii) Section 76-7-304.5 – Consent required for abortions performed on
- 95 minors; and
- 96 (3)(A)(iv) Section 78B-8-402 – Actions for disease testing;
- 97 (3)(B) expunged records;
- 98 (3)(C) orders authorizing installation of pen register or trap and trace device under Utah
- 99 Code Section 77-23a-15;

- 100 (3)(D) records showing the identity of a confidential informant;
- 101 (3)(E) records relating to the possession of a financial institution by the commissioner of
- 102 financial institutions under Utah Code Section 7-2-6;
- 103 (3)(F) wills deposited for safe keeping under Utah Code Section 75-2-901;
- 104 (3)(G) records designated as sealed by rule of the Supreme Court;
- 105 (3)(H) record of a Children's Justice Center investigative interview after the conclusion
- 106 of any legal proceedings; and
- 107 (3)(I) other records as ordered by the court under Rule 4-202.04.
- 108 (4) **Private Court Records.** The following court records are private:
- 109 (4)(A) records in the following actions:
- 110 (4)(A)(i) Section 62A-15-631, Involuntary commitment under court order;
- 111 (4)(A)(ii) Section 76-10-532, Removal from the National Instant Check System
- 112 database;
- 113 (4)(A)(iii) Title 78B, Chapter 6, Part 1, Utah Adoption Act, until the records are
- 114 sealed;
- 115 (4)(A)(iv) Title 78B, Chapter 15, Part 8, Gestational Agreement, until the
- 116 records are sealed; and
- 117 (4)(A)(v) cases initiated in the district court by filing an abstract of a juvenile
- 118 court restitution judgment.
- 119 (4)(B) records in the following actions, except that the case history, judgments, orders,
- 120 decrees, letters of appointment, and the record of public hearings are public
- 121 records:
- 122 (4)(B)(i) Title 30, Husband and Wife, including qualified domestic relations
- 123 orders, except that an action for consortium due to personal injury
- 124 under Section 30-2-11 is public;
- 125 (4)(B)(ii) Title 77, Chapter 3a, Stalking Injunctions;
- 126 (4)(B)(iii) Title 75, Chapter 5, Protection of Persons Under Disability and their
- 127 Property;
- 128 (4)(B)(iv) Title 78B, Chapter 7, Protective Orders;
- 129 (4)(B)(v) Title 78B, Chapter 12, Utah Child Support Act;
- 130 (4)(B)(vi) Title 78B, Chapter 13, Utah Uniform Child Custody Jurisdiction and
- 131 Enforcement Act;
- 132 (4)(B)(vii) Title 78B, Chapter 14, Uniform Interstate Family Support Act;
- 133 (4)(B)(viii) Title 78B, Chapter 15, Utah Uniform Parentage Act; and

- 134 (4)(B)(ix) an action to modify or enforce a judgment in any of the actions in this
135 subparagraph (B);
- 136 (4)(C) affidavit of indigency;
- 137 (4)(D) an affidavit supporting a motion to waive fees;
- 138 (4)(E) aggregate records other than public aggregate records under subsection (2);
- 139 (4)(F) alternative dispute resolution records;
- 140 (4)(G) applications for accommodation under the Americans with Disabilities Act;
- 141 (4)(H) jail booking sheets;
- 142 (4)(I) citation, but an abstract of a citation that redacts all non-public information is
143 public;
- 144 (4)(J) judgment information statement;
- 145 (4)(K) judicial review of final agency action under Utah Code Section 62A-4a-1009;
- 146 (4)(L) the following personal identifying information about a party: driver's license
147 number, social security number, account description and number, password,
148 identification number, maiden name and mother's maiden name, and similar
149 personal identifying information;
- 150 (4)(M) the following personal identifying information about a person other than a party or
151 a victim or witness of a crime: residential address, personal email address,
152 personal telephone number; date of birth, driver's license number, social security
153 number, account description and number, password, identification number,
154 maiden name, mother's maiden name, and similar personal identifying
155 information;
- 156 (4)(N) medical, psychiatric, or psychological records;
- 157 (4)(O) name of a minor, except that the name of a minor party is public in the following
158 district and justice court proceedings:
- 159 (4)(O)(i) name change of a minor;
- 160 (4)(O)(ii) guardianship or conservatorship for a minor;
- 161 (4)(O)(iii) felony, misdemeanor, or infraction;
- 162 (4)(O)(iv) protective orders; and
- 163 (4)(O)(v) custody orders and decrees;
- 164 (4)(P) nonresident violator notice of noncompliance;
- 165 (4)(Q) personnel file of a current or former court personnel or applicant for employment;
- 166 (4)(R) photograph, film, or video of a crime victim;

- 167 (4)(S) record of a court hearing closed to the public or of a child's testimony taken
168 under URCrP 15.5:
- 169 (4)(S)(i) permanently if the hearing is not traditionally open to the public and
170 public access does not play a significant positive role in the process;
171 or
- 172 (4)(S)(ii) if the hearing is traditionally open to the public, until the judge
173 determines it is possible to release the record without prejudice to the
174 interests that justified the closure;
- 175 (4)(T) record submitted by a senior judge or court commissioner regarding performance
176 evaluation and certification;
- 177 (4)(U) record submitted for in camera review until its public availability is determined;
- 178 (4)(V) reports of investigations by Child Protective Services;
- 179 (4)(W) victim impact statements;
- 180 (4)(X) name of a prospective juror summoned to attend court, unless classified by the
181 judge as safeguarded to protect the personal safety of the prospective juror or
182 the prospective juror's family;
- 183 (4)(Y) records filed pursuant to Rules 52 - 59 of the Utah Rules of Appellate Procedure,
184 except briefs filed pursuant to court order;
- 185 (4)(Z) records in a proceeding under Rule 60 of the Utah Rules of Appellate Procedure;
186 and
- 187 (4)(AA) other records as ordered by the court under Rule 4-202.04.
- 188 (5) **Protected Court Records.** The following court records are protected:
- 189 (5)(A) attorney's work product, including the mental impressions or legal theories of an
190 attorney or other representative of the courts concerning litigation, privileged
191 communication between the courts and an attorney representing, retained, or
192 employed by the courts, and records prepared solely in anticipation of litigation or
193 a judicial, quasi-judicial, or administrative proceeding;
- 194 (5)(B) records that are subject to the attorney client privilege;
- 195 (5)(C) bids or proposals until the deadline for submitting them has closed;
- 196 (5)(D) budget analyses, revenue estimates, and fiscal notes of proposed legislation
197 before issuance of the final recommendations in these areas;
- 198 (5)(E) budget recommendations, legislative proposals, and policy statements, that if
199 disclosed would reveal the court's contemplated policies or contemplated
200 courses of action;

- 201 (5)(F) court security plans;
- 202 (5)(G) investigation and analysis of loss covered by the risk management fund;
- 203 (5)(H) memorandum prepared by staff for a member of any body charged by law with
204 performing a judicial function and used in the decision-making process;
- 205 (5)(I) confidential business records under Utah Code Section 63G-2-309;
- 206 (5)(J) record created or maintained for civil, criminal, or administrative enforcement
207 purposes, audit or discipline purposes, or licensing, certification or registration
208 purposes, if the record reasonably could be expected to:
- 209 (5)(J)(i) interfere with an investigation;
- 210 (5)(J)(ii) interfere with a fair hearing or trial;
- 211 (5)(J)(iii) disclose the identity of a confidential source; or
- 212 (5)(J)(iv) concern the security of a court facility;
- 213 (5)(K) record identifying property under consideration for sale or acquisition by the court
214 or its appraised or estimated value unless the information has been disclosed to
215 someone not under a duty of confidentiality to the courts;
- 216 (5)(L) record that would reveal the contents of settlement negotiations other than the
217 final settlement agreement;
- 218 (5)(M) record the disclosure of which would impair governmental procurement or give
219 an unfair advantage to any person;
- 220 (5)(N) record the disclosure of which would interfere with supervision of an offender's
221 incarceration, probation, or parole;
- 222 (5)(O) record the disclosure of which would jeopardize life, safety, or property;
- 223 (5)(P) strategy about collective bargaining or pending litigation;
- 224 (5)(Q) test questions and answers;
- 225 (5)(R) trade secrets as defined in Utah Code Section 13-24-2;
- 226 (5)(S) record of a Children's Justice Center investigative interview before the conclusion
227 of any legal proceedings;
- 228 (5)(T) presentence investigation report;
- 229 (5)(U) except for those filed with the court, records maintained and prepared by juvenile
230 probation; and
- 231 (5)(V) other records as ordered by the court under Rule 4-202.04.
- 232 (6) **Juvenile Court Social Records.** The following are juvenile court social records:
- 233 (6)(A) correspondence relating to juvenile social records;

- 234 (6)(B) custody evaluations, parent-time evaluations, parental fitness evaluations,
235 substance abuse evaluations, domestic violence evaluations;
- 236 (6)(C) medical, psychological, psychiatric evaluations;
- 237 (6)(D) pre-disposition and social summary reports;
- 238 (6)(E) probation agency and institutional reports or evaluations;
- 239 (6)(F) referral reports;
- 240 (6)(G) report of preliminary inquiries; and
- 241 (6)(H) treatment or service plans.
- 242 (7) **Juvenile Court Legal Records.** The following are juvenile court legal records:
- 243 (7)(A) accounting records;
- 244 (7)(B) discovery filed with the court;
- 245 (7)(C) pleadings, summonses, subpoenas, motions, affidavits, calendars, minutes,
246 findings, orders, decrees;
- 247 (7)(D) name of a party or minor;
- 248 (7)(E) record of a court hearing;
- 249 (7)(F) referral and offense histories
- 250 (7)(G) and any other juvenile court record regarding a minor that is not designated as a
251 social record.
- 252 (8) **Safeguarded Court Records.** The following court records are safeguarded:
- 253 (8)(A) upon request, location information, contact information, and identity information
254 other than name of a petitioner and other persons to be protected in an action
255 filed under Title 77, Chapter 3a, Stalking Injunctions or Title 78B, Chapter 7,
256 Protective Orders;
- 257 (8)(B) upon request, location information, contact information and identity information
258 other than name of a party or the party's child after showing by affidavit that the
259 health, safety, or liberty of the party or child would be jeopardized by disclosure
260 in a proceeding under Title 78B, Chapter 13, Utah Uniform Child Custody
261 Jurisdiction and Enforcement Act or Title 78B, Chapter 14, Uniform Interstate
262 Family Support Act or Title 78B, Chapter 15, Utah Uniform Parentage Act;
- 263 (8)(C) location information, contact information, and identity information of prospective
264 jurors on the master jury list or the qualified jury list;
- 265 (8)(D) location information, contact information, and identity information other than
266 name of a prospective juror summoned to attend court;
- 267 (8)(E) the following information about a victim or witness of a crime:

- 268 (8)(E)(i) business and personal address, email address, telephone number,
269 and similar information from which the person can be located or
270 contacted;
- 271 (8)(E)(ii) date of birth, driver's license number, social security number, account
272 description and number, password, identification number, maiden
273 name, mother's maiden name, and similar personal identifying
274 information.

275 *Effective November 1, 2019*