

# JUDICIAL COUNCIL MEETING

## AGENDA

**April 24, 2023**

**Meeting held through Webex  
and in person**

**Matheson Courthouse  
Council Room  
450 S. State St.  
Salt Lake City, Utah 84111**

***Chief Justice Matthew B. Durrant, Presiding***

1. 9:00 a.m. Welcome & Approval of Minutes..... Chief Justice Matthew B. Durrant  
(Tab 1 - Action)
2. 9:05 a.m. Chair's Report ..... Chief Justice Matthew B. Durrant  
(Information)
3. 9:10 a.m. State Court Administrator's Report.....Ron Gordon  
(Information)
4. 9:15 a.m. Reports: Management Committee ..... Chief Justice Matthew B. Durrant  
Budget and Fiscal Management Committee ..... Judge Kara Pettit  
Liaison Committee..... Justice Paige Petersen  
Policy, Planning, and Technology Committee ..... Judge Samuel Chiara  
Bar Commission.....Margaret Plane, esq.  
(Tab 2 - Information)
5. 9:25 a.m. Problem-Solving Court Form and Recertifications .....Judge Dennis Fuchs  
(Tab 3 - Action)
6. 9:30 a.m. Problem-Solving Court Certification..... Katy Burke  
(Tab 4 - Action) Judge Dennis Fuchs
7. 9:35 a.m. Language Access Committee Report..... Cade Stubbs  
(Information) Jessica Leavitt
8. 9:40 a.m. Uniform Fine Committee Report and Uniform Fine Schedule.....  
(Tab 5 - Action) Judge Jennifer Valencia  
Meredith Mannebach

- |     |            |   |   |
|-----|------------|---|---|
| 9.  | 9:55 a.m.  | Veteran's Justice Commission .....                      | Meredith Mannebach<br>(Information)   |
| 10. | 10:15 a.m. | Rules for Final Action.....                             | Keisa Williams<br>(Tab 6 - Action)  |
| 11. | 10:20 a.m. | Budget and Grants.....                                  | Karl Sweeney<br>Alisha Johnson<br>Melissa Taitano<br>Chris Talbot<br>Nick Stiles<br>Jordan Murray<br>(Tab 7 - Action) |
|     | 10:35 a.m. | Break   |   |
| 12. | 10:45 a.m. | Board of Justice Court Judges Report.....               | Judge Rick Romney<br>Jim Peters<br>(Information)  |
| 13. | 10:55 a.m. | Expansion of Holladay Justice Court.....                | Jim Peters<br>(Tab 8 - Action)  |
| 14. | 11:05 a.m. | New Justice Court Judge Certification .....             | Jim Peters<br>(Action)  |
| 15. | 11:10 a.m. | Judicial Performance Evaluation Commission Report ..... | Dr. Jennifer Yim<br>Commissioner Gil A. Miller<br>(Tab 9 - Information)   |
| 16. | 11:40 a.m. | Old Business/New Business .....                         | All<br>(Discussion)   |
| 17. | 11:50 a.m. | Executive Session - there will be an executive session  |   |
| 18. | 12:05 p.m. | Adjourn   |   |

### Consent Calendar

The consent calendar items in this section are approved without discussion if no objection has been raised with the Administrative Office of the Courts or with a Judicial Council member by the scheduled Judicial Council meeting or with the Chair of the Judicial Council during the scheduled Judicial Council meeting.

1) Committee Appointments  
(Tab 10)

Forms Committee – Nathanael Player  
MUJI Civil Committee – Jace Willard



Judicial Outreach Committee – Valeria Jimenez  
WINGS Committee – Keri Sargent  
MUJI Criminal Committee – Bryson King

- 2) Probation Policies  
(Tab 11) Blake Murdoch
- 3) UCJA Rules 4-202.03, 4-202.05, 4-404, and 6-501 for Public Comment  
(Tab 12) Keisa Williams
- 4) Forms Committee Forms  
(Tab 13) Kaden Taylor

# Tab 1

## Agenda

**JUDICIAL COUNCIL MEETING  
Minutes**

**March 16, 2023**

**Meeting held through Webex  
and in person  
Hyatt Place  
1819 S. 120 E.  
St. George, Utah 84790**

**1:00 p.m. – 4:05 p.m.**

***Chief Justice Matthew B. Durrant, Presiding***

**Members:**

Chief Justice Matthew B. Durrant, Chair  
Hon. David Mortensen, Vice Chair  
Hon. Keith Barnes  
Hon. Suchada Bazzelle  
Hon. Brian Brower  
Hon. Samuel Chiara  
Hon. Augustus Chin  
Hon. Michael DiReda  
Hon. Ryan Evershed  
Hon. Paul Farr  
Hon. James Gardner  
Hon. Elizabeth Lindsley  
Hon. Thomas Low  
Justice Paige Petersen  
Hon. Kara Pettit  
Margaret Plane, esq.

**Excused:****Guests:**

Jonathan Adams, ORLGC  
Travis Erickson, TCE Seventh District Court  
Martha Knudson, Utah State Bar  
Russ Pearson, TCE Eighth District Court

**AOC Staff:**

Ron Gordon  
Neira Siaperas  
Michael Drechsel  
Lauren Andersen  
Brody Arishita  
Shane Bahr  
Todd Eaton  
Alisha Johnson  
Jordan Murray  
Jim Peters  
Nathanael Player  
Nick Stiles  
Karl Sweeney  
Sonia Sweeney  
Melissa Taitano  
Keisa Williams  
Jeni Wood

**Guests Cont.:**

Cade Stubbs, TCE Fifth District Court  
Nancy Sylvester, Utah State Bar  
Gary Syphus, Legislative Fiscal Analyst  
Mark Urry, TCE Fourth District Court  
Hon. Michael Westfall, Fifth District Court  
Katie Woods, Utah State Bar  
Elizabeth Wright, Utah State Bar

- 1. WELCOME AND APPROVAL OF MINUTES: (Chief Justice Matthew B. Durrant)**

Chief Justice Matthew B. Durrant welcomed everyone to the meeting.

**Motion:** Judge Brian Brower moved to approve the February 27, 2023 Judicial Council meeting minutes, as amended to add an apostrophe on the motion on section 5 and to change item 7 to “The justice court changes bill will narrow justice court judges’ pay range to fall more in line with district court judges; recognize that justice courts are part of the Judiciary; will require a law degree under most circumstances; and create a legislative taskforce. A substitute bill is expected to better identify the members of the legislative taskforce that will consider justice court reform.” Margaret Plane requested adding to her Bar Commission report “recognizing that costs have been increasing and attendance has been decreasing.” Judge Samuel Chiara seconded the motion, and it passed unanimously.

**2. OATH OF OFFICE - JUDGE MICHAEL DIREDA: (Chief Justice Matthew B. Durrant)**

Chief Justice Durrant administered the Oath of Office to Judge Michael DiReda.

**3. JUDICIAL COUNCIL EXECUTIVE COMMITTEE ASSIGNMENT: (Ron Gordon)**

Ron Gordon recommended new Council member Judge DiReda replace Judge David Connors on the Policy, Planning, and Technology Committee.

**Motion:** Judge Paul Farr moved to approve having Judge DiReda serve on the Policy, Planning, and Technology Committee, as presented. Judge Elizabeth Lindsley seconded the motion, and it passed unanimously.

**4. CHAIR’S REPORT: (Chief Justice Matthew B. Durrant)**

Chief Justice Durrant credited much of the successful legislative session to Mr. Gordon, Neira Siaperas, and Michael Drechsel. Chief Justice Durrant thought the Women Lawyers of Utah group event at the University of Utah Law School was inspirational. He appreciated the Supreme Court justices’ participation in the event.

**5. STATE COURT ADMINISTRATOR’S REPORT: (Ron Gordon)**

Mr. Gordon was pleasantly surprised that all 10 Judicial Council budget priorities were funded this session. Judicial salaries will increase by 10% (5% increase plus 5% COLA). The Courts received a substantial appropriation for attorney law clerks and for non-judicial court employees in positions requiring a JD degree. State court employees, other than judges, will receive a 5% COLA. The Courts will receive additional funds for discretionary salary increases for employees other than judges and commissioners. Commissioners will receive a salary increase in addition to the COLA so that they will continue to be funded at 90% of a trial court judge's salary. The legislature also fully funded the judiciary's budget requests on an ongoing basis: Fourth District Juvenile Court Judge; Self-Help Center Forms Attorney; Wasatch County Courtroom Addition; Domestic Violence Program Manager; District Court Law Clerks; Tribal Outreach Program Coordinator; Third District Jury Selection Assistants; Online Dispute Resolution Administrator; and the Essential Court Operations Software was funded with one-time funding.

## 6. COMMITTEE REPORTS:

### Management Committee Report:

The work of this committee is reflected in the minutes.

### Budget & Fiscal Management Committee Report:

The work of the committee will be discussed later in the meeting.

### Liaison Committee Report:

Justice Paige Petersen said it was a pleasure to be among such a great team of committee members, Mr. Gordon, Ms. Siaperas, and Mr. Drechsel. She thought they were constructive and built good relationships with the Legislature.

### Policy, Planning, and Technology Committee Report:

The work of the committee will be addressed later in the meeting.

### Bar Commission Report:

The report from the Bar Commission will be provided later in the meeting.

## 7. LEGISLATIVE UPDATE: (Michael Drechsel)

Chief Justice Durrant welcomed Michael Drechsel. There were 929 bills introduced in this session. Of those, 575 passed. About 300 of the bills that passed were court-related or of interest to the Courts. The review process and the Courts' feedback entailed over 950 fiscal impacts to the Legislature. Mr. Drechsel will summarize 95 of the bills during his upcoming Legislative Updates.

### 2023 Legislative Session Fiscal Note Appropriations

| BILL NO. | TITLE  | FY2024 ONGOING IMPACTS |                      |   | FY2024 ONE-TIME IMPACTS |                      |            | FY2023 ONE-TIME IMPACTS |                      |                          |
|----------|--|------------------------|----------------------|---|-------------------------|----------------------|------------|-------------------------|----------------------|--------------------------|
|          |  | COURT FISCAL RESPONSE  | ACTUAL APPROPRIATION | PURPOSE                                   | COURT FISCAL RESPONSE   | ACTUAL APPROPRIATION | PURPOSE    | COURT FISCAL RESPONSE   | ACTUAL APPROPRIATION | PURPOSE                  |
| HB0216   | BUSINESS AND CHANCERY COURT AMENDMENTS                             | \$ 671,900             | \$ 671,900           | IT for FY2024 only — then SCC judge staff | \$ 1,658,000            | \$ 1,655,800         | Facilities |                         |                      |                          |
|          |  | \$ 62,400              | \$ 62,400            | DATA                                      |                         |                      |            |                         |                      |                          |
| SB0220   | JUVENILE COURT JUDGE AMENDMENTS                                    | \$ 475,000             | \$ 475,000           | Work JVC                                  |                         |                      |            |                         |                      |                          |
| SB0163   | CHILD WELFARE MODIFICATIONS  | \$ 121,000             | \$ 121,000           | Work JVC                                  |                         |                      |            |                         |                      |                          |
| HB0060   | JUVENILE JUSTICE MODIFICATIONS                                     | \$ 25,000              | \$ 25,000            | IT  | \$ 473,000              | \$ 473,000           | IT         |                         |                      |                          |
|          |  | \$ 73,900              | \$ 73,900            | Work JVC                                  |                         |                      |            |                         |                      |                          |
| HB0304   | JUVENILE JUSTICE REVISIONS   | \$ 33,300              | \$ 33,300            | Work JVC                                  |                         |                      |            | \$ 37,200               | \$ 37,200            | IT \$12,400 DATA \$4,800 |
| HB0385   | MENTALLY ILL OFFENDERS AMENDMENTS                                  | \$ 32,400              | \$ 9,700             | Work DC                                   |                         |                      |            | \$ 9,600                | \$ 9,600             | DATA                     |
| SB0290   | JUVENILE COURT MODIFICATIONS                                       | \$ 14,900              | \$ 14,900            | Work JVC                                  | \$ 14,800               | \$ 14,800            | Work JVC   |                         |                      |                          |
| SB0169   | ENTICEMENT OF A MINOR AMENDMENTS                                   | \$ 5,500               | \$ 5,500             | Work DC                                   |                         |                      |            |                         |                      |                          |
| SB0087   | CRIMINAL PROSECUTION MODIFICATIONS                                 | \$ 3,300               | \$ 3,300             | Work DC                                   | \$ 18,300               | \$ 18,300            | DATA       |                         |                      |                          |
| HB0330   | CIVIL COMMITMENT AMENDMENTS  | \$ 11,200              | \$ 1,200             | Work DC                                   |                         |                      |            |                         |                      |                          |
| HB0099   | SEX OFFENDER RESTRICTIONS AMENDMENTS                               |                        | \$ 300               | Work DC                                   |                         |                      |            |                         |                      |                          |
| HB0225   | FIREARM POSSESSION AMENDMENTS                                      |                        |                      |   | \$ 88,400               | \$ 88,400            | IT / DATA  |                         |                      |                          |
| HB0192   | TRAFFIC VIOLATION AMENDMENTS                                       |                        |                      |   | \$ 70,500               | \$ -                 | IT         |                         |                      |                          |
| HB0156   | SEX / KIDNAP / CHILD ABUSE OFFENDER REGISTRY ADMINISTRATION AMEND. |                        |                      |   | \$ 10,700               | \$ 10,700            | IT / DATA  |                         |                      |                          |
| HJR002   | JOINT RESOLUTION AMENDING RULES OF CIVIL PROCEDURE ON INJUNCTIONS  |                        |                      |   |                         | \$ 6,500             | Work DC    |                         |                      |                          |
| HB0046   | CRIMINAL CODE RECODIFICATION AND CROSS REFERENCES                  |                        |                      |   |                         |                      |            | \$ 43,000               | \$ 43,000            | IT                       |

Mr. Drechsel explained that the chancery court will not begin until the fall of 2024. The bill sponsor understood that refinements will need to be made before the implementation of the chancery court. Mr. Drechsel didn't anticipate the chancery court needing to have a presiding judge because it will consist of only one judge, nor did he think the new court would need a seat on the Council. With only one judge, there also will not be a need for a Board respective to the court, however, the court will need a mechanism to funnel any concerns to the Council. They are working out the details on law clerks because the new court judge will need to draft and publish all of their orders and rulings, which can take considerable amount of time.

The IT and Data and Research Departments will have large impacts of modifying systems with the new bills. Mr. Drechsel appreciated their work.

The Courts were appropriated funds for SB0105 Traffic Enforcement Amendments and SB0178 Sexual Crime Modifications. However, those bills did not pass. The Legislature is expected to reverse those appropriations.

SB0129 Judiciary Amendments passed with new procedures for judicial nominating commissions beginning July 1, 2023. The bill allows for the nominating commissions to consult with the Judicial Council. Mr. Drechsel thought the Courts could offer assistance to the nominating commissions, such as, explaining to them what the expectations and duties are for judges. Judge Lindsley suggested notifying the presiding and associate presiding judges of the support needed for the new nominating commissions.

Last year legislation passed putting a moratorium in place to eliminate the filing fees for expungements. The bills that were proposed to extend the moratorium did not pass, therefore, as of July 1, 2023, the statutory filing fees for expungements will resume.

SB0238 Court Fee Amendments did not pass. This bill would allow the Courts to charge electronic payment fees for court litigants who use credit card. This bill may be pursued in the next session.

HB0531 Court Fee Modifications requires an annual report from the AOC that identifies all court fees, their purpose, the reason for the fees, and the collection of the fees.

SB154 Adoption Amendments requires the Courts to create a very specific form for judges to complete regarding the costs of adoptions. The form must be sent to the Office of Licensing. The Office will aggregate data, prepare a report showing average costs, and send it to the Judicial Council. The Council must disseminate the report to judges who make those decisions.

Seven new bills passed proposing new private causes of action as an enforcement mechanism for statutory compliance. These will be difficult to track because many may be filed as miscellaneous case types. To the extent that these are policy decisions, the Courts would defer to the Legislature. But should this route become the sort of mechanism that creates uncertain impacts for judicial administration, Mr. Drechsel recommended communicating with the

Legislature through the Office of General Counsel that the Courts have concerns about the enforcement mechanism to buffer policy bills.

HB0385 Mentally Ill Offenders Amendments relates to a guilty plea with a mental condition. CORIS will be adjusted to comply with this bill. The Legislature funded this with the assumption that only 20 individuals will request that this plea be entered. Mr. Drechsel cautioned the Council of the anticipated financial impact if the cases far exceed the expected 20 cases per year. The biggest bulk of Legislative funding was for treatment services. Judge Thomas Low asked if judges were required to accept stipulations of counsel as to someone's mental condition. Mr. Drechsel said judges can accept the stipulation of the parties if it is supported by sufficient evidence.

Chief Justice Durrant thanked Mr. Drechsel.

**8. FIFTH DISTRICT COURT REPORT: (Judge Michael Westfall and Cade Stubbs)**

Chief Justice Durrant welcomed Judge Michael Westfall and Cade Stubbs. Judge Westfall introduced Cade Stubbs as the new TCE for the Fifth District Court. Judge Westfall announced his retirement for late August. In 2022, the Fifth District Court had a total of 14,990 case filings; 11,364 in Washington County, 3,090 in Iron County, and 536 in Beaver County. In 2021, the court had 15,402 total case filings and in 2020 they had 14,890.

In late 2022, the Fifth District Court was allotted a third Attorney Law Clerk position and that position was filled in February, 2023. They now have three Attorney Law Clerk to assist their seven District Court Judges. The Southwest Utah branch of the federal court has been housed in the Fifth District's St. George Courthouse since 2009. The federal court is looking for a new home as the district continues to grow. After 10 years on the bench, Judge Jeffrey Wilcox retired in February, 2023. Judge Jay Winward was sworn in on February 21, 2023 and assumed his role on the bench in Washington County.

Washington County recently broke ground on a new Receiving Center. Located in Hurricane, this center will be a short-term crisis-care facility for individuals dealing with mental health or substance abuse crises. The Center is expected to open in late 2023. The local area continues to be fast-growing. Nearly 7,000 people have moved into Washington and Iron Counties between July, 2021 – July, 2022.

Judge Westfall noted that he served on the Council more than a decade ago. He felt this was a rewarding experience. Chief Justice Durrant thanked Judge Westfall and Mr. Stubbs.

**9. TCE REPORT: (Russ Pearson and Travis Erickson)**

Chief Justice Durrant welcomed Russ Pearson and Travis Erickson. Mr. Erickson stated that there are 11 TCEs throughout the state, 3 of which have turned over this year. The TCEs were excited to learn that the new TCEs have extensive experience with assisting judges in the clerical department. As to juvenile courts, when Ms. Siaperas was the Juvenile Court Administrator, they began reviewing juvenile probation work. Sonia Sweeney has taken the lead in ensuring quality assurance of probation and ensuring assessments and records are reflective of the youth and the Courts. Chief probation officers, supervisors, and training coordinators have

been deeply involved with case processing improvements. This project is ongoing; Mr. Erickson said they continue to identify new programs.

The TCEs appreciated the work of the IT Department for remote and hybrid hearings and meetings. ARPA funds have contributed significantly to remote and hybrid meetings. The IT Department hired staff throughout the state. This has proven to be an effective process in quickly getting systems back on line and allowing judges and staff to continue their valuable work.

Mr. Pearson said the clerical weighted caseload committee is working to better identify staff workloads for remote hearings and remote jury selections. The Third District Court's no-show rate has declined dramatically since they transitioned to remote jury selection. Mr. Gordon said the Courts are tasked with providing an efficient system. Virtual jury selections are incredibly efficient for jurors but they require more work for court staff.

Chief Justice Durrant thanked Mr. Pearson and Mr. Erickson.

#### **10. UTAH STATE BAR REPORT: (Katie Woods and Elizabeth Wright)**

Chief Justice Durrant welcomed Katie Woods and Elizabeth Wright. Chief Justice Durrant appreciated working closely with Ms. Woods, Bar President. Chief Justice Durrant thought the Bar was fortunate to have Ms. Wright and Nancy Sylvester. Ms. Woods said the Bar supported the judicial salary increase recognizing the heavy workload of judges. The Bar is working on improving communication with Legislators to better provide input on proposed bills. The February Bar exam was held for 83 people during a record-breaking snowstorm.

Ms. Woods was proud of the attorney wellness programs being offered. She noted that Utah is gaining a positive reputation of addressing the mental health of their attorneys.

The November Fall Forum will be held in Salt Lake City. There are more than 300 attendees at the Spring Convention being held in St. George. Ms. Woods welcomed the Council members to the Bar Convention beginning this evening. Ms. Woods said the Bar actively reaches out to judges but also welcomes judges' ideas for their conventions.

Ms. Wright appreciated meeting the Council in person. Their access to justice office has worked hard to help pro bono attorneys. They are in the process of collecting data on how the Bar is helping unrepresented litigants.

Chief Justice Durrant thanked Ms. Woods and Ms. Wright.

#### **11. UTAH STATE BAR WELLBEING SERVICES: (Martha Knudson)**

Chief Justice Durrant welcomed Martha Knudson. Justice Petersen was thankful that the Bar hired Ms. Knudson as the Director of The Utah State Bar's Well-Being Committee for the Legal Profession. Ms. Knudson appreciated the drive from the Supreme Court to initiate this mental health effort, which is being recognized nationally.

Tava is a free, confidential mental health benefit available to all Bar members, employees of the Utah State Bar, and their spouses and dependents (age 13-25). This benefit provides up to



6 free sessions annually with licensed clinicians through Tava’s secure, web-based technology platform. There are 800 therapists available with Tava.

The Bar has also partnered with Unwind, an app that provides confidential access to tools, training and exercises to support mental wellbeing. Utah is the first Bar to offer the app to all of their members. Bar members can also provide access to the app to a friend. Ms. Knudson volunteered to provide additional trainings on Tava and the Unwind app.

The Bar’s “Utah helping lawyers” program provides help for lawyers in recovery, transitioning their practice, dealing with opposing counsel, and a variety of other services. There is also a national peer-to-peer support group available for attorneys.

Chief Justice Durrant thanked Ms. Knudson.

## 12. RULES FOR FINAL APPROVAL AND HR POLICIES: (Keisa Williams)

Chief Justice Durrant welcomed Keisa Williams. The Policy, Planning, and Technology Committee recommended that the following rule be approved with a May 1, 2023 effective date. This rule has gone through a 45-day public comment period.

**UCJA Rule 3-403. Judicial education** Proposed amendments require judicial officers and court employees to complete annual training on harassment and abusive conduct, ethics, inclusion, and elimination of bias.

**Motion:** Judge Farr moved to approve UCJA Rule 3-403 for final action with an effective date of May 1, 2023, as presented. Judge Augustus Chin seconded the motion, and it passed unanimously.

Chief Justice Durrant thanked Ms. Williams.

## 13. BUDGET AND GRANTS: (Karl Sweeney, Alisha Johnson, and Jordan Murray)

Chief Justice Durrant welcomed Karl Sweeney, Alisha Johnson, and Jordan Murray.

### FY 2023 Ongoing Turnover Savings

| #  |  | Funding Type     | Actual     | Forecasted  |
|--|--|------------------|------------|-------------|
|  |  |                  | Amount YTD | Amount @ YE |
| 1  | Carried over Ongoing Savings (from FY 2022, includes unallocated ongoing appropriation)  | Internal Savings | 250,392    | 250,392     |
| 2  | Ongoing Turnover Savings FY 2023   | Internal Savings | 545,568    | 745,568     |
| 3  | TOTAL SAVINGS  |                  | 795,960    | 995,960     |
|  | 2023 Hot Spot Raises   |                  | (163,003)  | (200,000)   |
|  | 2023 Authorized Ongoing for Performance Based Raises (will be used at the end of the FY) |                  | -          | (450,000)   |
| 4  | TOTAL USES before YE Requests  |                  | (163,003)  | (650,000)   |
| Actual Turnover Savings for FY 2023 as of 03/01/2023 |  |                  | \$ 632,958 | \$ 345,960  |

### FY 2023 One-Time Turnover Savings

| #                                |   | Funding Type            | Actual Amount |
|----------------------------------|---|-------------------------|---------------|
| 1                                | One Time Turnover Savings (from actual payroll data versus budget as of PPE 02/03/2023) | Internal Savings        | 2,518,624.64  |
| 2                                | YTD Amount Anticipated to be Reimbursed through ARPA Funding (as of PPE 02/03/2023)     | Reimbursements          | 578,536.35    |
| 3                                | Est. One Time Savings for 840 remaining pay hours (\$2,000 / pay hour)                  | Internal Savings (Est.) | 1,680,000.00  |
| Total Potential One Time Savings |   |                         | 4,777,160.99  |

There is \$7,614,581 in remaining ARPA funds. Finance anticipated that ARPA funds will run out in October 2024.

### FY 2023 Year End Forecasted Available One-time Funds

| Forecasted Available One-time Funds   |                               |                    |
|---|-------------------------------|--------------------|
| Description   | Funding Type                  | Amount             |
| <b>Sources of YE 2023 Funds</b>   |                               |                    |
| * Turnover Savings as of PPE 2/03/2023 (including anticipated ARPA reimbursement) | Turnover Savings              | 3,097,161          |
| ** Turnover savings Estimate for the rest of the year (\$2,000 x 840 pay hours)   | Turnover Savings              | 1,680,000          |
| (a) Total Potential One Time Turnover Savings                                     |                               | 4,777,161          |
| <b>Uses of YE 2023 Funds</b>  |                               |                    |
| (b) Operational Savings From TCE / AOC Budgets                                    | Internal Operating Savings    | 455,170            |
| (c) Reserve Balance (balance from FY 2022 Carryforward)                           | Judicial Council Reserve      | 500,076            |
| (d) Anticipated Reserve Uses - including previously approved and pending requests | Judicial Council Reserve Uses | (152,000)          |
| Carryforward into FY 2024 (Request has been made to Legislature for \$3,200,000)  | Historical Carryforward       | (3,200,000)        |
| <b>Total Potential One Time Savings = (a) + (b) + (c) less Carryforward</b>       |                               | <b>2,380,407</b>   |
| <b>Less: Judicial Council Requests Previously Approved</b>                        |                               | <b>(1,836,722)</b> |
| <b>Less: Judicial Council Current Month Spending Requests</b>                     |                               | <b>(554,855)</b>   |
| <b>Remaining Forecasted Funds Available for FY 2023 YE Spending Requests</b>      |                               | <b>(11,170)</b>    |

### American Fork Courthouse Rent Increase \$172,905 one-time turnover savings

The lease with American Fork was revised since the Council last approved this request.

**Motion:** Judge Mortensen moved to approve the American Fork Courthouse Rent Increase for \$172,905, as presented. Justice Petersen seconded the motion, and it passed unanimously.

### Windows 10 Enterprise Upgrades and Software Assurance \$135,000 one-time turnover savings

In 2020 using one-time funds, the Courts purchased 1,400 licenses for Windows 10 Enterprise including 3 years of Software Assurance for \$401,674 (\$133,891 per year). Software Assurance ensures the courts can continue to upgrade to the latest and most secure version.

**Motion:** Judge Chiara moved to approve the Windows 10 Enterprise Upgrades and Software Assurance for \$135,000, as presented. Judge Barnes seconded the motion, and it passed unanimously.

### Google Licensing for Enterprise Plus \$148,000 one-time turnover savings

This request will cover increased cost of Google renewal for this year.

**Motion:** Judge Brower moved to approve the Google Licensing for Enterprise Plus for \$148,000, as presented. Justice Petersen seconded the motion, and it passed unanimously.

**Adobe Pro Licenses for all Staff  
\$120,000 one-time turnover savings**

To cover the first year cost to migrate court staff from perpetual licenses for Adobe Acrobat Pro into the Adobe Pro Cloud version.

**Motion:** Judge Mortensen moved to approve the Adobe Pro Licenses for all Staff for \$120,000, as presented. Judge Michael DiReda seconded the motion, and it passed unanimously.

**Microsoft M365 – 630 Additional Licenses for Court Employees  
\$90,000 one-time turnover savings**

To cover the cost of an additional 630 licenses of Microsoft M365 for the remaining court staff still using the perpetual Microsoft Office licenses.

**Motion:** Judge Lindsley moved to approve the Microsoft M365 – 630 Additional Licenses for Court Employees for \$90,000, as presented. Judge Bazzelle seconded the motion, and it passed unanimously.

**SurveyMonkey Subscription  
\$45,000 one-time turnover savings**

To cover the cost of the SurveyMonkey tool that is used statewide for jury selection questionnaires. Mr. Eaton said this request was to move this from the IT Department's budget to the general court funds.

**Motion:** Judge Barnes moved to approve the SurveyMonkey Subscription for \$45,000, as presented. Judge Brower seconded the motion, and it passed unanimously.

Chief Justice Durrant and Mr. Sweeney thanked Gary Syphus for his assistance with the legislative session.

Mr. Murray sought the Council's approval for a non-federal grant application from the National Center for State Courts Eviction Diversion Initiative for \$157,000. This grant falls within Tier 2 impact. Tier 2 is described as greater than \$50,000 but less than \$1 million per year; or adds more than 0 but less than 11 permanent full or part time employees; or requires the state to expend up to \$1 million per year in new state monies as match. Funding for this project would help support the creation of a new program promoting the provision of education and brief advice for tenants further upstream in the process.

**Project goals**

- Educating parties on the basics of landlord-tenant/evictions and/or debt collection law;
- Earlier intervention and direct access for financial assistance and community services;

- Conducting triage and establishing realistic expectations;
- Increasing time and ability to file disclosures;
- Improving the quality of exhibits and witness testimony/affidavits;
- Provide better mentorship and improved quality of services for volunteers; and
- Expanding the reach of ATJ services to include statewide assistance.

Mr. Player felt the grant would be worthwhile because 94% of all defendants in eviction cases represent themselves. Judge Pettit expressed her skepticism that legal assistance didn't seem to put people being evicted in a better position. Mr. Player explained that the grant would be used for assistance, such as the coordination of providing social services. Mr. Player said if this was successful in the Third District Court then it could be expanded.

**Motion:** Judge Lindsley moved to approve the NCSC Grant, as presented. Judge DiReda seconded the motion, and it passed unanimously.

**14. OLD BUSINESS/NEW BUSINESS: (All)**

No additional business was discussed.

**15. EXECUTIVE SESSION**

An executive session was not held.

**16. CONSENT CALENDAR ITEMS**

a) Rules for Public Comment. UCJA Rule 1-205 Standing and Ad Hoc Committee; Rule 3-117 Committee on Court Forms; and Rule 3-406 Budget and Fiscal Management. Approved without comment.

**17. ADJOURN**

The meeting adjourned.

# Tab 2

**JUDICIAL COUNCIL'S  
MANAGEMENT COMMITTEE**

**Minutes**

**April 11, 2023**

**12:00 p.m. – 12:31 p.m.**

**Meeting held through Webex  
and in person  
Matheson Courthouse  
Council room  
450 S. State St.  
Salt Lake City, Utah 84111**

***Judge David Mortensen, Presiding***

**Committee Members:**

Hon. David Mortensen, Vice Chair  
Hon. Paul Farr  
Hon. Elizabeth Lindsley  
Hon. Kara Pettit

**Excused:**

Chief Justice Matthew B. Durrant, Chair

**Guests:**

Kay Allen, Probation Officer, Fourth District Juvenile Court  
Jason Johnson, Probation Supervisor, Fourth District Juvenile Court  
Hon. F. Richards, Smith, Fourth District Juvenile Court  
Hon. Jennifer Valencia, Second District Court

**AOC Staff:**

Ron Gordon  
Neira Siaperas  
Michael Drechsel  
Brody Arishita  
Shane Bahr  
Katy Burke  
Wayne Kidd  
Meredith Mannebach  
Blake Murdoch  
Jim Peters  
Keri Sargent  
Nick Stiles  
Sonia Sweeney  
Keisa Williams  
Jeni Wood

- 1. WELCOME AND APPROVAL OF MINUTES: (Judge David Mortensen)**  
Judge David Mortensen welcomed everyone to the meeting. Chief Justice Matthew B. Durrant was unable to attend the meeting.

**Motion:** Judge Elizabeth Lindsley moved to approve the March 14, 2023 Management Committee minutes, as presented. Judge Paul Farr seconded the motion, and it passed unanimously.

**2. STATE COURT ADMINISTRATOR'S REPORT: (Ron Gordon)**

Ron Gordon appreciated the work of the Education Department for creating an excellent Juvenile Court Judge Conference. Mr. Gordon and Neira Siaperas are addressing compensation throughout the state with the discretionary funds the Courts received from the Legislature.

**3. PROBATION POLICIES: (Blake Murdoch)**

The Board of Juvenile Court Judges proposed revisions to the Intake and Formal Probation Policy, which was last updated on October 26, 2020. The purpose of this policy is to provide direction for the supervision of minors placed on intake or formal probation. Blake Murdoch sought approval from the Management Committee to approve this revision and to place this item on the Judicial Council's consent calendar.

**Motion:** Judge Lindsley moved to approve revisions to the Intake and Formal Probation Policy, as presented, and to place this item on the Judicial Council consent calendar. Judge Kara Pettit seconded the motion, and it passed unanimously.

**4. AUDIT REQUEST OF JUSTICE COURT REMITTANCES: (Wayne Kidd)**

Wayne Kidd requested approval to audit justice courts that had a material variance for the revenue remitted to the Utah Office of the State Treasurer for 2022 (Office). The Office provided the Courts with a list of justice courts remittances for 2022, which was compared to the AOC's data. Due to the variances between the two reports, the Audit Department believed a review of the CORIS Justice Court Monthly Reports, the Deposit Report Forms (C-500) submitted to the Office, and other relevant data was necessary. The Department will provide a report to the Management Committee of their findings and recommendations to correct the variances. They will also provide a summary to the Office.

Judge Farr noted the amount was significant and wondered if this was usual. Mr. Kidd informed the Committee that this is common, although the amount is much higher this year. The higher amount was due to courts not sending in their remittances and errors on the monthly reports.

**Motion:** Judge Farr moved to approve the Audit Department's request to conduct a review of the 2022 variances, as presented. Judge Lindsley seconded the motion, and it passed unanimously.

**5. COMMITTEE APPOINTMENTS: (Jeni Wood)**

The WINGS Committee recommended the appointment of Judge Keith Kelly as committee Chair and Judge Brian Cannell to fill Judge David Connors position.

The Model Utah Criminal Jury Instructions Committee recommended the appointment of Judge Matthew Bates to fill the district court judge position.

**Motion:** Judge Farr moved to approve the appointment of Judge Keith Kelly as committee Chair and the appointment of Judge Brian Cannell to the WINGS Committee and the appointment of Judge Matthew Bates to the MUJI Criminal Committee, as presented and to add this to the Judicial Council's consent calendar. Judge Lindsley seconded the motion, and it passed unanimously.

**6. UNIFORM FINE SCHEDULE: (Judge Jennifer Valencia, Michael Drechsel, and Meredith Mannebach)**

Judge Jennifer Valencia stated that the Uniform Fine Committee (UFC) reviewed and incorporated the proposed changes into the Fine Schedule. The 2023 legislative session resulted in passage of 3 large statutory recodification projects: HB0046 Criminal Code Recodification (579 changes); SB0038 – SB0041 Health and Human Services Code Recodification (70 changes); and HB0030: Wildlife Resources Code Recodification (96 changes). In addition to these recodification projects, another 28 bills (82 changes) also modified entries on the Schedule. Over the last month, AOC staff carefully reviewed each of the relevant bills and generated a detailed list of 412 proposed fine schedule changes.

One proposed substantive change will need further legislative attention before the Schedule can be finalized. During the 2023 session, HB0046 and HB0208 each inadvertently made completely unrelated changes to the same newly-created Utah Code § 76-6-206.5. The Office of Legislative Research and General Counsel (OLRGC) will clarify this May 3, 2023. The UFC will seek authority from the Council to make last minute changes to the Schedule to reflect OLRGC's May 3, 2023 decision.

In addition to the substantive changes to offense entries, the UFC also made some minor substantive revisions to the introduction section of the Schedule, including simplifying language where possible.

Finally, HB0030 does not go into effect until July 1, 2023. Those changes are not included in the materials presented today. The UFC will work with the Division of Wildlife Resources prior to finalizing proposed changes based on that recodification. The UFC will bring those proposed changes to the Judicial Council for approval prior to HB0030's July 1, 2023 effective date.

The Utah Substance Use Advisory Council (USAAV) has traditionally maintained the DUI sentencing matrix schedule. Judges face difficulty in maintaining the most updated information because the USAAV schedule is not available until the fall. Judge Valencia was hopeful a DUI bench card will be available with the most up-to-date information.

Judge Valencia thanked Michael Drechsel for his work, including increasing the flow of communication between various entities. Mr. Drechsel was inspired by the work of the UFC judges. Judge Mortensen appreciated the work of the UFC and the memorandum that was prepared explaining the changes.

**7. EXPANSION OF THE HOLLADAY JUSTICE COURT: (Jim Peters)**

Jim Peters stated that the Holladay Justice Court was requesting an expansion to a Level I justice court due to the adopted resolution that would include Millcreek. Holladay currently has 450 case filings per month and anticipates an additional 250 with the added territory of Millcreek. The population with the expanded area consists of 128,393 residents. The court has four fulltime clerks and does not expect to need additional staff. Millcreek cases are currently being handled through the Salt Lake County Justice Court. Judge Farr thought this seemed to be a fairly large expansion.



**Motion:** Judge Farr moved to approve adding the Expansion of the Holladay Justice Court to the Judicial Council agenda. Judge Lindsley seconded the motion, and it passed unanimously.

**8. FOURTH DISTRICT JUVENILE MENTAL HEALTH COURT: (Katy Burke)**

The Fourth District Juvenile Court located in Provo, submitted an application to reorganize the juvenile drug court into two separate courts, one serving juveniles with a primary substance use disorder diagnosis and the second court serving juveniles with a primary mental health diagnosis. Judge F. Richards Smith presides over the juvenile drug court and separated the docket based on the clinical needs of the juveniles. The juvenile mental health court serves youth who have high criminogenic risk and high mental health needs.

The behavioral health court formed during the pandemic has been operational since June 2021, serving 20 youth: 8 of which were successful completions, 3 of which were unsuccessful completions and currently has 9 participants. Judge Lindsley reviewed this plan with Judge Elizabeth Knight, who indicated that she collaborated with Judge F. Richards Smith on this court.

**Motion:** Judge Lindsley moved to approve adding the Fourth District Juvenile Mental Health Court to the Judicial Council agenda. Judge Farr seconded the motion, and it passed unanimously.

**9. APPROVAL OF THE JUDICIAL COUNCIL AGENDA: (Judge David Mortensen)**

Judge David Mortensen addressed the Judicial Council agenda. Items that were addressed at the March Management Committee meeting were placed on the Council's April consent calendar.

**Motion:** Judge Farr moved to approve the Judicial Council agenda, as amended to add Forms Committee Forms to the consent calendar. Judge Lindsley seconded the motion, and it passed unanimously.

**10. OLD BUSINESS/NEW BUSINESS**

No additional business was discussed.

**11. EXECUTIVE SESSION**

An executive session was not held.

**12. ADJOURN**

The meeting adjourned.

**JUDICIAL COUNCIL'S  
BUDGET & FISCAL MANAGEMENT COMMITTEE ("BFMC")**

**Minutes  
March 3, 2023  
Meeting held virtually through WebEx  
3:00 p.m. – 3:50 p.m.**

**Members Present:**

Hon. Kara Pettit, (Chair)  
Hon. Keith Barnes  
Hon. Elizabeth Lindsley  
Justice Paige Petersen

**Excused:**

Margaret Plane, Esq.

**Guests:**

Mark Urry, TCE, Fourth District Court  
Brett Folkman, TCE, First District Courts

**AOC Staff Present:**

Ron Gordon  
Shane Bahr  
Neira Siaperas  
Chris Talbot  
Chris Palmer  
James Peters  
Lauren Andersen  
Brody Arishita  
Todd Eaton  
Nathanael Player  
Jordan Murray  
Karl Sweeney  
Alisha Johnson  
Melissa Taitano  
Suzette Deans, Recording Secretary

**1. WELCOME AND APPROVAL OF MINUTES (Judge Kara Pettit – “Presenter”)**

Judge Kara Pettit welcomed everyone to the meeting and called for a motion to approve the minutes from the last meeting.

**Motion:** Judge Keith Barnes Judge moved to approve the February 13, 2023 minutes, as presented. Judge Elizabeth Lindsley seconded the motion, and it passed unanimously.

**2. FY 2023 Financials / Turnover Savings / ARPA Update (Alisha Johnson – “Presenter”)**

**Ongoing Turnover Savings (“OTS”)** – Alisha Johnson reviewed the period 7 financials and gave an update on OTS. At the end of FY22 we ended with \$250,392 of OTS that has been carried forward into FY23. These ongoing turnover savings carried forward into FY23 were to hedge against risks of lower turnover in FY23. So far in FY23 we have earned \$545,568 of ongoing

turnover savings. Forecasted FY end OTS is \$745,568 and when combined with the \$250,392 carried over from FY22 the forecasted YE 2023 OTS is conservatively estimated to be \$995,960.

As of 03/01/2023, the OTS schedule shows \$200,000 of hot spot raises as uses that have been pre-authorized by delegated authority from the Judicial Council to the State Court Administrator and Deputy. The \$450,000 in 2023 performance-based raises were authorized by the Judicial Council and will be used by the end of FY23. AOC Finance is forecasting that we will end FY23 with \$345,960 in OTS available for discretionary use.



#### FY 2023 Ongoing Turnover Savings as of 03/01/2023

| #  |  | Funding Type     | Actual     | Forecasted  |
|--|--|------------------|------------|-------------|
|  |  |                  | Amount YTD | Amount @ YE |
| 1  | Carried over Ongoing Savings (from FY 2022, includes unallocated ongoing appropriation)  | Internal Savings | 250,392    | 250,392     |
| 2  | Ongoing Turnover Savings FY 2023   | Internal Savings | 545,568    | 745,568     |
| 3  | TOTAL SAVINGS  |                  | 795,960    | 995,960     |
|  | 2023 Hot Spot Raises   |                  | (163,003)  | (200,000)   |
|  | 2023 Authorized Ongoing for Performance Based Raises (will be used at the end of the FY) |                  | -          | (450,000)   |
| 4  | TOTAL USES before YE Requests  |                  | (163,003)  | (650,000)   |
| Actual Turnover Savings for FY 2023 as of 03/01/2023 |  |                  | \$ 632,958 | \$ 345,960  |

Prior Report Totals (dated 2/13/23) \$ 591,944 \$ 346,527

One-Time Turnover Savings - One-time TOS are generated from position vacancies and reimbursements of payroll expenditures with ARPA funds. Our forecast of one-time TOS before any uses are deducted is estimated to be \$4.78M.



#### FY 2023 One Time Turnover Savings

Updated as of Pay Period Ending 02/03/2023 (1,248 out of 2,088 hours)

| #                                |   | Funding Type            | Actual Amount |
|----------------------------------|---|-------------------------|---------------|
| 1                                | One Time Turnover Savings (from actual payroll data versus budget as of PPE 02/03/2023) | Internal Savings        | 2,518,624.64  |
| 2                                | YTD Amount Anticipated to be Reimbursed through ARPA Funding (as of PPE 02/03/2023)     | Reimbursements          | 578,536.35    |
| 3                                | Est. One Time Savings for 840 remaining pay hours (\$2,000 / pay hour)                  | Internal Savings (Est.) | 1,680,000.00  |
| Total Potential One Time Savings |   |                         | 4,777,160.99  |

Prior Report Totals \$ 4,749,314.92

Alisha introduced the committee to a new Ongoing Turnover Savings Analysis Worksheet. This sheet is a snapshot in time showing the breakdown of where our OTS is coming from and also serves as a proof point that our calculations are correct. New hires have 90 days to select benefits. That means the salary component of OTS can be included in our OTS calculation several weeks/months before the benefits component of OTS is known. Thus the numbers shown below are a “point in time” calculation. Our forecast is \$50,000 per period of ongoing savings. This number comes from an estimate of 25 positions being filled and each of those positions saving about \$2000. The committee liked the new sheet and would like it each period.

| <b>Ongoing Turnover Savings Analysis by Fiscal Period</b> |          |           |           |           |           |           |           |           |           |
|---|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
|   | July     | August    | September | October   | November  | December  | January   | February  |           |
|   | Period 1 | Period 2  | Period 3  | Period 4  | Period 5  | Period 6  | Period 7  | Period 8  | TOTAL     |
| Total TOS Amount  | \$94,157 | \$117,331 | \$103,169 | \$102,318 | \$6,386   | \$11,530  | \$49,302  | \$61,376  | \$545,568 |
| Cumulative TOS  | 94,157   | 211,487   | 314,657   | 416,975   | 423,360   | 434,890   | 484,192   | 545,568   |           |
| Headcount Turned Over                                     | 32       | 37        | 21        | 23        | 14        | 25        | 38        | 19        | 209       |
| TOS Amount/Headcount                                      | \$2,942  | \$3,171   | \$4,913   | \$4,449   | \$456     | \$461     | \$1,297   | \$3,230   | \$2,610   |
| Total for Period (check)                                  | \$94,157 | \$117,331 | \$103,169 | \$102,318 | \$6,386   | \$11,530  | \$49,302  | \$61,376  | \$545,568 |
| Above / (Below) Amount                                    | \$44,157 | \$67,331  | \$53,169  | \$52,318  | -\$43,614 | -\$38,470 | -\$698    | \$11,376  | \$145,568 |
| Above / (Below) Count                                     | 7        | 12        | -4        | -2        | -11       | 0         | 13        | -6        | 9         |
| Above / (Below) Amount / Count                            | \$942    | \$1,171   | \$2,913   | \$2,449   | -\$1,544  | -\$1,539  | -\$703    | \$1,230   |           |
| Target \$ TOS Amount/Mo.                                  | \$50,000 | \$50,000  | \$50,000  | \$50,000  | \$50,000  | \$50,000  | \$50,000  | \$50,000  |           |
| Target Headcount Filled                                   | 25       | 25        | 25        | 25        | 25        | 25        | 25        | 25        |           |
| Target TOS Amount / Headcount                             | \$2,000  | \$2,000   | \$2,000   | \$2,000   | \$2,000   | \$2,000   | \$2,000   | \$2,000   |           |
| Volume Variance (Headcount)                               |          |           |           |           |           |           |           |           |           |
| Target  | 25       | 25        | 25        | 25        | 25        | 25        | 25        | 25        |           |
| Actual  | 32       | 37        | 21        | 23        | 14        | 25        | 38        | 19        |           |
| Variance  | 7        | 12        | -4        | -2        | -11       | 0         | 13        | -6        |           |
| x Target TOS Amount                                       | \$2,000  | \$2,000   | \$2,000   | \$2,000   | \$2,000   | \$2,000   | \$2,000   | \$2,000   |           |
| = Volume Variance   | \$14,000 | \$24,000  | -\$8,000  | -\$4,000  | -\$22,000 | \$0       | \$26,000  | -\$12,000 |           |
| Rate Variance (\$ TOS/Headcount)                          |          |           |           |           |           |           |           |           |           |
| Target Savings/Headcount                                  | \$2,000  | \$2,000   | \$2,000   | \$2,000   | \$2,000   | \$2,000   | \$2,000   | \$2,000   |           |
| Actual TOS/Headcount                                      | \$2,942  | \$3,171   | \$4,913   | \$4,449   | \$456     | \$461     | \$1,297   | \$3,230   |           |
| Variance  | \$942    | \$1,171   | \$2,913   | \$2,449   | -\$1,544  | -\$1,539  | -\$703    | \$1,230   |           |
| x Actual Headcount that Turned Over                       | 32       | 37        | 21        | 23        | 14        | 25        | 38        | 19        |           |
| = Rate Variance   | \$30,157 | \$43,331  | \$61,169  | \$56,318  | -\$21,614 | -\$38,470 | -\$26,698 | \$23,376  |           |
| Total TOS Variance to Target (Rate + Volume)              | \$44,157 | \$67,331  | \$53,169  | \$52,318  | -\$43,614 | -\$38,470 | -\$698    | \$11,376  |           |
| Check to Total TOS  |          |           |           |           |           |           |           |           |           |
| Target  | \$50,000 | \$50,000  | \$50,000  | \$50,000  | \$50,000  | \$50,000  | \$50,000  | \$50,000  |           |
| Actual for the Period                                     | \$94,157 | \$117,331 | \$103,169 | \$102,318 | \$6,386   | \$11,530  | \$49,302  | \$61,376  |           |
| Check (should be 0)                                       | \$0      | \$0       | \$0       | \$0       | \$0       | \$0       | \$0       | \$0       |           |

**ARPA Expenses as of 3/1/2023 (prior to the close of period 8)**

Prior Report Totals- Dated 1/31/2023

### BKLG FY 2023 Details

|                             |    |            |
|-----------------------------|----|------------|
| Personnel Expenses:         | \$ | 578,536.35 |
| Mileage Expenses:           | \$ | 1,492.44   |
| Sr. Judge Travel Expenses:  | \$ | 495.62     |
|                             | \$ | 580,524.41 |
| COVID Testing Kit purchase: | \$ | 22,297.40  |
|                             | \$ | 602,821.81 |

## Usage for Last 3 Pay Periods

|                                |    |            |
|--------------------------------|----|------------|
| Average last 3 Pay Periods:    | \$ | 38,513.80  |
| Available (from table above):  | \$ | 689,215.08 |
| Pay Periods at Last 3 Average: |    | 18         |
| ed Last Pay Period End Date:   |    | 10/13/2023 |

Prior report anticipated last pay period: 10/13/2023

## IT Access to Justice Use - Last 3 Periods

### BKLG - Last 3 Periods

### Legal Sandbox - Last 3 Periods

### 3. Ongoing, Reserve and Year End Spending Requests (Karl Sweeney – “Presenter”)

### FY 2023 Year End Requests and Forecasted Available One-time Funds

Updated 2/21/2023

## **Year End Spend Requests Presented for Approval to Forward to Judicial Council**

### **12. FY 2023 YE Spending Request – American Fork Courthouse Rent Increase (Chris Talbot – “Presenter”)**

Chris Talbot is requesting \$172,905 for American Fork courthouse rent increase. This request was updated from what was presented last month because the square footage was increased by the city of American Fork. Last month’s request did not include 1250 square feet of shell space for a courtroom that had been built but not incorporated into the old lease. Last month’s requested amount of \$156,050 did not include this additional 1250 square feet. The requested amount increased by an additional \$16,885 for FY 2023.

This late change increased the annual rent by approximately \$34,400 in year 1 (1,250 incremental sf x (\$24 base + \$3.5 O&M) = \$34,400). Note: the impact for FY 2023 is 50% of that amount since it covers Jan – June 2023. The revised rental amounts show a total year 1 increase of \$172,905 with an annualized first year impact of \$346,000. We will need a carryforward request for FY 2024 of \$389,000 and will seek a \$446,500 1x request from the Legislature for FY 2025. Starting in FY 2026 we will seek ongoing legislative funding of \$602,300 for the remaining 7 years of the lease.

**Motion:** Judge Elizabeth Lindsley made a motion to approve, Justice Paige Petersen seconded the motion, and it passed unanimously. Will be forwarded on to the Judicial Council with a favorable recommendation to approve.

### **16. FY 2023 YE Spending Request – Windows 10 Enterprise Upgrades & Software Assurance (Todd Eaton– “Presenter”)**

Todd Eaton is requesting \$135,000 in one-time turnover savings to continue payment for Windows 10 Enterprise licenses for court computers.

In 2020 using one-time funds, the courts purchased 1,400 licenses for Windows 10 Enterprise including 3 years of Software Assurance for \$401,674 (\$133,891 per year). Software Assurance ensures we can continue to upgrade to the latest and most secure version. Our original 3-year agreement ends in May of 2023 and we have the option to renew our agreement for 3 years at \$403,648 (\$134,549 paid annually).

As this is a subscription service, should we not renew, we would have to purchase 1,400 licenses on a different agreement. Keeping our desktop operating system up to date is a key piece of ensuring the security of our computing environment. We have requested this amount as one part of the 6 items in our IT Legislative request (ranked #6 out of 10). If the legislature chooses to fund this either with onetime funds or ongoing funds as part of the 2023 legislative session, we would not need to use the Court’s funds to pay for the payment due May 2024 for FY 2025.

**Motion:** Judge Keith Barnes made a motion to approve, Justice Paige Petersen seconded the motion, and it passed unanimously. Will be forwarded on to the Judicial Council with a favorable recommendation to approve.

**17. FY 2023 YE Spending Request – Google Licensing for Enterprise Plus – 2<sup>nd</sup> Year (Todd Eaton – “Presenter”)**

Todd Eaton is requesting \$148,000 in one-time turnover savings for Google licensing for Enterprise Plus.

The courts migrated to Google in 2012. For the next 10 years we paid the same amount annually (\$110,000) for the use of Google licenses (the original system was G Suite Basic and we were grandfathered on pricing). In FY 2022 Google notified us that our licensing for G Suite Basic was no longer available and would be shut down in July 2022 if we did not upgrade to G Suite Enterprise Plus. The courts utilized one-time YE 2022 funding of \$148,000 (+\$110,000 of ongoing funds to total \$258,000 per year) to cover a one-year extension of the G Suite contract for the last 2 months of FY2022 and the first 10 months of FY 2023. Due to the extended number of years our costs were flat, we anticipated costs for our Google platform to increase by up to 400% over the cost of our current payment. After aggressive negotiations in FY 2023, IT was able to avoid any increase and extend the term of the Google contract by 4 years by adding the Courts to the State Contract.

**Motion:** Judge Elizabeth Lindsley made a motion to approve, Judge Keith Barnes seconded the motion, and it passed unanimously. Will be forwarded on to the Judicial Council with a favorable recommendation to approve.

**18. FY 2023 YE Spending Request – Adobe Pro Licenses for All Staff (Todd Eaton – “Presenter”)**

Todd Eaton is requesting \$120,000 in one-time turnover savings for Adobe Pro licenses for all staff.

The courts currently have 526 employee licenses for Adobe Pro 2017. That version of the software is now at the end of life. The current cost for single Adobe Pro licenses is \$430 per device for a total cost of \$226,180 to upgrade all 430 licenses to the current Adobe Pro Cloud version. These licenses are a per device cost and are only paid once, but are no longer valid when the software support from Adobe ends, which is the case we are in at the moment.

IT has aggressively negotiated with Adobe to move from perpetual licenses to annual cloud licenses. Adobe has agreed to the price which will allow us to license ALL 1210 court employees at a cost of \$120,000 per year. This is a first-year savings of \$106,180. We are requesting \$120,000 to cover the first year of licensing and will include this request in the IT ask from the legislature in the 2024 session for ongoing funding. The Acrobat Professional software has become a part of the court process for combining files for Appeals, modifying .pdf files for purchasing and other integral court programs. Purchasing licenses for all employees will allow the flexibility of now allowing multiple people to perform these functions rather than limiting it to less than half of court staff. The cloud version will also ensure that everyone remains on the

most current and secure version of this software. Going forward, this also eliminates the process of removing old software and installing new software every 4 years, saving a huge amount of time for both IT and local court staff.

**Motion:** Judge Keith Barnes made a motion to approve, Judge Elizabeth Lindsley seconded the motion, and it passed unanimously. Will be forwarded on to the Judicial Council with a favorable recommendation to approve.

**19. FY 2023 YE Spending Request – Microsoft M365 – 630 Additional Licenses for Court Employees (Todd Eaton– “Presenter”)**

Todd Eaton is requesting \$90,000 to cover the cost of an additional 630 Licenses of Microsoft M365 for remaining court staff.

In FY23 the courts received \$65,000 of the \$72,000 requested in ongoing funds from the legislature to move 580 users from the existing perpetual Microsoft Office licenses into the newer and more secure M365 cloud version. This new request is for the additional funding needed to move the remainder of our user base to this new platform as well. There are currently 630 court staff without licenses that would have access to this software.

**Motion:** Judge Elizabeth Lindsley made a motion to approve, Judge Keith Barnes seconded the motion, and it passed unanimously. Will be forwarded on to the Judicial Council with a favorable recommendation to approve.

**20. FY 2023 YE Spending Request – SurveyMonkey Subscription (Todd Eaton– “Presenter”)**

Todd Eaton is requesting \$45,000 to cover the cost of Survey Monkey tool which is used statewide for jury selection questionnaires.

SurveyMonkey is a cloud-based survey tool that helps users create, send and analyze professional online surveys. Users can email surveys to respondents and use responses as needed. Jury trials were put on hold due to the pandemic in March 2020. The backlog of cases was substantial and getting jury trials moving again was critical to the public service required of the courts. SurveyMonkey was purchased to use in sending out jury surveys. It has now been in place well over 2 years and has now become an integral part of our internal process for jury selection, being utilized on a daily basis throughout the state. Prospective jurors are asked to complete a Jury Questionnaire in advance of their scheduled jury duty.

For the last couple years, IT has been covering this cost, but due to other increased costs we are requesting this statewide program to be covered by one-time turnover savings for FY 2023. We will include this request in our legislative ask in the 2024 session for ongoing funding.



**Motion:** Judge Keith Barnes made a motion to approve, Justice Paige Petersen seconded the motion, and it passed unanimously. Will be forwarded on to the Judicial Council with a favorable recommendation to approve.

#### **4. NCSC Grant – Eviction Diversion Initiative GAP (Jordan Murray – “Presenter”)**

Jordan Murray and Nathanael Player prepared a grant application proposal. They are submitting this early so they don't lose out on the chance to apply for the grant due April 21<sup>st</sup>, 2023. The grant would develop an eviction diversion resource in the community partnering with nonprofits and leveraging relationships with the Utah Bar Foundation, “People’s Legal Aid” and the Access to Justice Office with the Utah State Bar. The National Center for State Courts has decided to fund the first year of this grant. Starting September 1, 2023, 100% of the cost of establishing this program with the nonprofit would be covered. Year two there is a 50% reduction in what would be covered. The expectation is that the nonprofit would secure that additional funding to make up that 50% reduction. There is a possibility that year three could receive funding but that is the sole discretion of the National Center for State Courts.

**Motion:** Justice Paige Petersen made a motion to approve. Judge Keith Barnes seconded the motion. Will be forwarded on to the Judicial Council.

#### **5. Old Business/New Business**

Ron gave an update on Legislative session. Ron stated that we are looking as though all of our requests will be funded. Judges will receive a 10% increase in salary (inclusive of a 5% COLA) and other court employees will receive a 5% COLA.

Meeting adjourned 3:50 p.m.

Next meeting via WebEx April 10, 2023.

# Tab 3

## Agenda

### JUDICIAL COUNCIL APRIL, 2023

Adopt revised Juvenile Mental health Court Certification Checklist

Adopted and approved by policy and planning committee March 2023

Courts presented for certification:

Third District, Salt Lake County, Salt Lake City, Judge Knight, Juvenile Mental Health

Court meets all Required and Presumed Best Practices contained in the modified checklist.

Second District, Weber County, Ogden, Judge Bean, Adult Drug

Court meets all Required and Presumed Best Practices.

Fifth District, Iron County, Cedar City, Judge Mciff-Allen, Adult Drug

Court meets all Required and Presumed Best Practices

Second District, Weber County, Ogden, Judge Valencia, Adult Drug

Court meets all Required and Presumed Best Practices.

Second District, Weber County, Ogden, Judge Neider, Adult DUI

Court meets all Required and Presumed Best Practices.

Fifth District, Washington County, St George, Judge Westfall, Adult Mental Health

Court meets all Required and Presumed Best Practices.

Second District, Davis County, Bountiful, Judge Williams, Adult Mental Health

Court meets all Required and Presumed Best Practices.

Courts that do not meet all Best Practices:

Fifth District, Washington County, St George, Judge Walton, Adult Drug

Court meets all Required Best Practices. Court meets all Presumed Best Practices except for the following:

#30 Clients are placed in the program within 50 days of arrest. MOST DO, HOWEVER A NUMBER OF PARTICIPANTS COME INTO THE PROGRAM FROM PROBATION.

#37 New arrests and convictions are monitored for a minimum of three years. THE PROGRAM TO TRACK THIS INFORMATION IS STILL BEING FORMULATED BY OUR IT DEPARTMENT.

Fifth District, Iron County, Cedar City, Judge Bell, Adult Mental Health

Court meets all Required Best Practices. Court meets all Presumed Best Practices except for the following:

#37 New arrests and convictions are monitored for a minimum of three years. IT IS STILL WORKING ON THE PROGRAM TO TRACK THIS INFORMATION.

Second District, Davis County, Farmington, Judge Edwards, Adult Drug

Court meets all Required Best Practices. Court meets all Presumed Best Practices except for the following:

#30 Clients placed in the program within 50 days of arrest. MOST ARE EXCEPT THOSE THAT TAKE LONGER FOR DISCOVERY AND THOSE THAT COME IN AS A CONDITION OF PROBATION.

#37 New arrests and convictions are monitored for a minimum of three years. IT WORKING ON PROGRAM TO TRACK.

Second District, Davis County, Farmington, Judge Edwards, Adult Drug

Court meets all Required Best Practices. Court meets all Presumed Best Practices except for the following:

#30 Clients are placed in the program within 50 days of arrest. MOST ARE HOWEVER A NUMBER OF PARTICIPANTS COME IN FROM PROBATION.

#37 New arrests and convictions are monitored for a minimum of three years. WAITING FOR COMPUTER PROGRAM.

Third District, Salt Lake County, Salt Lake City, Judge Shaughnessy, Adult Drug

Court meets all Required Best Practices when the documentation supplied by the court is considered.

Court meets all Presumed Best Practices when the documentation supplied by the court is considered.

**UTAH JUDICIAL COUNCIL**  
**JUVENILE MENTAL HEALTH COURT CERTIFICATION CHECKLIST**  
 REVISED AND ADOPTED 2023 ~~DECEMBER 7, 2020~~

**COURT LOCATION:** \_\_\_\_\_

**COURT NUMBER:** \_\_\_\_\_

**NAME:** \_\_\_\_\_

**REVIEW DATE:** \_\_\_\_\_

*Many of the criteria enumerated in this certification checklist are restatements of the Adult Drug Court Best Practice Standards, Volume I and Volume II, published by the National Association of Drug Court Professionals (NADCP). Those are indicated by a citation in the **BPS** column following the standard. An asterisk indicates a modification of the NADCP standard.*

| YES                      | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS    |
|--------------------------|--------------------------|----|---|--------|
| <input type="checkbox"/> | <input type="checkbox"/> | 1  | Eligibility and exclusion criteria are defined and applied objectively.   | I.A.   |
| <input type="checkbox"/> | <input type="checkbox"/> | 2  | Eligibility and exclusion criteria are specified in writing.  | I.A.   |
| <input type="checkbox"/> | <input type="checkbox"/> | 3  | The program admits only participants who are high-risk, high-need, however, if a program is unable to target high risk and high need offenders as measured by the RANT or some other approved and validated assessment tool, the program develops alternative tracks with services that are modified to meet risk and need levels of its participants.  | I.B.*  |
| <input type="checkbox"/> | <input type="checkbox"/> | 4  | Candidates for the Mental health Court are assessed for eligibility using validated risk-assessment tool that has been demonstrated empirically to predict criminal recidivism or failure on community supervision and is equivalently predictive for women and racial or ethnic minority groups that are represented in the local arrestee population. | I.C.   |
| <input type="checkbox"/> | <input type="checkbox"/> | 5  | Candidates for the Mental health Court are assessed for eligibility using validated clinical-assessment tool that evaluates the formal diagnostic symptoms. <del>of substance dependence or addiction.</del>  | I.C.   |
| <input type="checkbox"/> | <input type="checkbox"/> | 6  | Evaluators are trained and proficient in the administration of the assessment tools and interpretation of the results.  | I.C.   |
| <input type="checkbox"/> | <input type="checkbox"/> | 7  | Current or prior offenses may not disqualify candidates from participation in the Mental health Court unless empirical evidence demonstrates offenders with such records cannot be managed safely or effectively in a Mental health Court.  | I.D.   |
| <input type="checkbox"/> | <input type="checkbox"/> | 8  | Offenders charged with non-drug charges, drug dealing or those with violence histories are not excluded automatically from participation in the Mental health Court.  | I.D.   |
| <input type="checkbox"/> | <input type="checkbox"/> | 9  | If adequate treatment is available, candidates are not disqualified from participation in the Mental health Court because of co-occurring mental health or medical conditions or because they have been legally prescribed psychotropic or addiction medication.  | I.E.   |
| <input type="checkbox"/> | <input type="checkbox"/> | 10 | The program has a written policy addressing medically assisted treatment.   |        |
| <input type="checkbox"/> | <input type="checkbox"/> | 11 | Participants ordinarily appear before the same judge throughout their enrollment in the Mental health Court.  | III.C. |
| <input type="checkbox"/> | <input type="checkbox"/> | 12 | The judge regularly attends pre-court staff meetings during which each participant's progress is reviewed and potential consequences for performance are discussed by the Mental health Court team.   | III.D. |

| YES                      | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS               |
|--------------------------|--------------------------|----|---|-------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | 13 | Participants appear before the judge for status hearings no less frequently than every two weeks during the first phase of the program. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.            |
| <input type="checkbox"/> | <input type="checkbox"/> | 14 | Status hearings are scheduled no less frequently than every four weeks until participants graduate. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.*           |
| <input type="checkbox"/> | <input type="checkbox"/> | 15 | The judge allows participants a reasonable opportunity to explain their perspectives concerning factual controversies and the imposition of sanctions, incentives, and therapeutic adjustments.   | III.G.            |
| <input type="checkbox"/> | <input type="checkbox"/> | 16 | If a participant has difficulty expressing him or herself because of such factors as a language barrier, nervousness, or cognitive limitation, the judge permits the participant's attorney or legal representative to assist in providing such explanations.   | IV.B.             |
| <input type="checkbox"/> | <input type="checkbox"/> | 17 | The judge is the ultimate arbiter of factual controversies and makes the final decision concerning the imposition of incentives or sanctions that affect a participant's legal status or liberty.   | III.H.<br>VIII.D. |
| <input type="checkbox"/> | <input type="checkbox"/> | 18 | The judge makes these decisions after taking into consideration the input of other Mental health Court team members and discussing the matter in court with the participant or the participant's legal representative.  | III.H.<br>VIII.D. |
| <input type="checkbox"/> | <input type="checkbox"/> | 19 | The judge relies on the expert input of duly trained treatment professionals when imposing treatment-related conditions.  | III.H.            |
| <input type="checkbox"/> | <input type="checkbox"/> | 20 | Policies and procedures concerning the administration of incentives, sanctions, and therapeutic adjustments are specified in writing and communicated in advance to Mental health Court participants and team members.  | IV.A.             |
| <input type="checkbox"/> | <input type="checkbox"/> | 21 | The policies and procedures provide a clear indication of which behaviors may elicit an incentive, sanction, or therapeutic adjustment; the range of consequences that may be imposed for those behaviors; the criteria for phase advancement, graduation, and termination from the program; and the legal and collateral consequences that may ensue from graduation and termination.                              | IV.A.             |
| <input type="checkbox"/> | <input type="checkbox"/> | 22 | The JUVENILE MENTAL HEALTH COURT has a range of sanctions of varying magnitudes that may be administered in response to infractions in the program.   | IV.E.             |
| <input type="checkbox"/> | <input type="checkbox"/> | 23 | For goals that are difficult for participants to accomplish, such as abstaining from substance use or obtaining employment, the sanctions increase progressively in magnitude over successive infractions. For goals that are relatively easy for participants to accomplish, such as being truthful or attending counseling sessions, higher magnitude sanctions may be administered after only a few infractions. | IV.E.             |
| <input type="checkbox"/> | <input type="checkbox"/> | 24 | Consequences are imposed for the non-medically indicated use of intoxicating or addictive substances, including but not limited to alcohol, cannabis (marijuana) and prescription medications, regardless of the licit or illicit status of the substance.  | IV.F.             |
| <input type="checkbox"/> | <input type="checkbox"/> | 25 | Drug testing is performed at least twice per week WHEN PARTICIPANT HAS A HISTORY OF DRUG USE.   | VII.A.*           |
| <input type="checkbox"/> | <input type="checkbox"/> | 26 | WHEN NECESSARY, drug testing is random, and is available on weekends and holidays.  | VII.B.*           |
| <input type="checkbox"/> | <input type="checkbox"/> | 27 | Collection of test specimens is witnessed and specimens are examined routinely for evidence of dilution, tampering and adulteration.  | VII.E*<br>VII.F.* |
| <input type="checkbox"/> | <input type="checkbox"/> | 28 | Drug testing utilized by the Mental health Court uses scientifically valid and reliable testing procedures and establishes a chain of custody for each specimen.  | VII.G.            |

| YES                      | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS      |
|--------------------------|--------------------------|----|---|----------|
| <input type="checkbox"/> | <input type="checkbox"/> | 29 | Metabolite levels falling below industry- or manufacturer-recommended cutoff scores are not interpreted as evidence of new substance use or changes in substance use patterns, unless such conclusions are reached by an expert trained in toxicology, pharmacology or a related field. | VII.G.*  |
| <input type="checkbox"/> | <input type="checkbox"/> | 30 | WHEN APPROPRIATE, upon entering the Mental health Court, participants receive a clear and comprehensive explanation of their rights and responsibilities relating to drug and alcohol testing.  | VII.I.   |
| <input type="checkbox"/> | <input type="checkbox"/> | 31 | The program BY STATUTE HAS A PRESUMED LENGTH OF FORMAL PROBATION OF 4-6 MONTHS. <del>requires a period of STABILITY at least 90 consecutive days drug-free to graduate.</del>   |          |
| <input type="checkbox"/> | <input type="checkbox"/> | 32 | The minimum length of the program COMPLIES WITH JUVENILE STATUTES <del>is twelve months.</del>  |          |
| <input type="checkbox"/> | <input type="checkbox"/> | 33 | Unless a participant poses an immediate risk to public safety, <del>jail</del> DETENTION sanctions are administered after less severe consequences have been ineffective at deterring infractions.  | IV.J.    |
| <input type="checkbox"/> | <input type="checkbox"/> | 34 | <del>Jail sanctions are definite in duration and typically last no more than three to five days.</del>  | IV.J.    |
| <input type="checkbox"/> | <input type="checkbox"/> | 35 | <del>Participants are given access to counsel and a fair hearing if a jail sanction might be imposed.</del>   | IV.J.    |
| <input type="checkbox"/> | <input type="checkbox"/> | 36 | Participants are not terminated from the Mental health Court for continued substance use if they are otherwise compliant with their treatment and supervision conditions, unless they are non-amenable to the treatments that are reasonably available in their community.              | IV.K.    |
| <input type="checkbox"/> | <input type="checkbox"/> | 37 | If a participant is terminated from the Mental health Court because adequate treatment is not available, the participant does not receive an augmented sentence or disposition for failing to complete the program.   | IV.K.    |
| <input type="checkbox"/> | <input type="checkbox"/> | 38 | Participants are not incarcerated to achieve clinical or social service objectives such as obtaining access to detoxification services or sober living quarters.  | V.B.     |
| <input type="checkbox"/> | <input type="checkbox"/> | 39 | Treatment providers are licensed or certified to deliver MENTAL HEATH TREATMENT <del>substance abuse treatment</del> , as required by the Department of Human Services or another relevant licensure or certification entity.   | V.H.*    |
| <input type="checkbox"/> | <input type="checkbox"/> | 40 | <del>Participants regularly attend self-help or peer support groups in addition to professional counseling.</del>   | V.I.     |
| <input type="checkbox"/> | <input type="checkbox"/> | 41 | <del>The peer support groups follow a structured model or curriculum such as the 12-step or Smart Recovery models.</del>  | V.I.     |
| <input type="checkbox"/> | <input type="checkbox"/> | 42 | <del>There is a secular alternative to 12-step peer support groups.</del>   |          |
| <input type="checkbox"/> | <input type="checkbox"/> | 43 | Participants complete a final phase of the Mental health Court focusing on relapse prevention and continuing care.  | V.J.     |
| <input type="checkbox"/> | <input type="checkbox"/> | 44 | Participants are not excluded from participation in Mental health Court because they lack a stable place of residence.  | VI.D.    |
| <input type="checkbox"/> | <input type="checkbox"/> | 45 | Participants diagnosed with mental illness receive appropriate mental health services beginning in the first phase of Mental health Court and continuing as needed throughout their enrollment in the program.  | VI.E.*   |
| <input type="checkbox"/> | <input type="checkbox"/> | 46 | Participants are not required to participate in job seeking or vocational skills development in the early phases of mental health court.  | VI.I.*   |
| <input type="checkbox"/> | <input type="checkbox"/> | 47 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, JUVENILE PROBATION <del>law enforcement</del> , guardian ad litem (in dependency courts), and the judge attend each staffing meeting.   | VIII.B.* |



| YES                      | NO                       | #  | <b>REQUIRED CERTIFICATION CRITERIA</b><br><i>Adherence to these standards is required for certification.</i>   | BPS      |
|--------------------------|--------------------------|----|--|----------|
| <input type="checkbox"/> | <input type="checkbox"/> | 48 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, JUVENILE PROBATION law-enforcement, guardian ad litem (in dependency courts), and the judge attend each Mental health Court session.   | VIII.A.* |
| <input type="checkbox"/> | <input type="checkbox"/> | 49 | Pre-court staff meetings are presumptively closed to participants and the public unless the court has good reason for a participant to attend discussions related to that participant's case.  | VIII.B.  |
| <input type="checkbox"/> | <input type="checkbox"/> | 50 | Participants provide voluntary and informed consent permitting team members to share specified data elements relating to participants' progress in treatment and compliance with program requirements.   | VIII.C.  |
| <input type="checkbox"/> | <input type="checkbox"/> | 51 | Court fees are disclosed to each participant, are reasonable, and are based on each participant's ability to pay. Any fees assessed by the Mental health Court must be reasonably related to the costs of testing or other services.   |          |
| <input type="checkbox"/> | <input type="checkbox"/> | 52 | Treatment fees are based on a sliding fee schedule and are disclosed to each participant.  |          |
| <input type="checkbox"/> | <input type="checkbox"/> | 53 | The Mental health Court develops a remedial action plan and timetable to implement recommendations from the evaluator to improve the program's adherence to best practices.  | X.D.*    |
| <input type="checkbox"/> | <input type="checkbox"/> | 54 | The Mental health Court has written policies and procedures that ensure confidentiality and security of participant information, which conform to all applicable state and federal laws, including, but not limited to, Utah's Governmental Records Access and Management Act (GRAMA), the Health Insurance Portability and Accountability Act of 1996 (HIPAA), and 42 C.F.R. 2 (Confidentiality of Substance Abuse Disorder Patient Records). | VIII.C.* |

| YES                      | NO                       | # | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>   | BPS     |
|--------------------------|--------------------------|---|--|---------|
| <input type="checkbox"/> | <input type="checkbox"/> | 1 | Eligibility and exclusion criteria are communicated to potential referral sources.   | I.A.    |
| <input type="checkbox"/> | <input type="checkbox"/> | 2 | The Mental health Court regularly monitors the delivery of incentives and sanctions to ensure they are administered equivalently to all participants.  | II.D.   |
| <input type="checkbox"/> | <input type="checkbox"/> | 3 | Each member of the Mental health Court team attends up-to-date training events on recognizing implicit cultural biases and correcting disparate impacts for members of historically disadvantaged groups.  | II.F.   |
| <input type="checkbox"/> | <input type="checkbox"/> | 4 | The Mental health Court judge attends current training events on legal and constitutional issues in Mental health Courts, judicial ethics, evidence-based substance abuse and mental health treatment, behavior modification, and community supervision.                 | III.A.  |
| <input type="checkbox"/> | <input type="checkbox"/> | 5 | The judge presides over the Mental health Court for no less than two consecutive years.  | III.B.  |
| <input type="checkbox"/> | <input type="checkbox"/> | 6 | The Judge spends an average of at least three minutes with each participant.   | III.F.* |
| <input type="checkbox"/> | <input type="checkbox"/> | 7 | The Mental health Court team relies on expert medical input to determine whether a prescription for an addictive or intoxicating medication is medically indicated and whether non-addictive, non-intoxicating, and medically safe alternative treatments are available. | IV.F.   |
| <input type="checkbox"/> | <input type="checkbox"/> | 8 | Phase promotion is predicated on the achievement of realistic and defined behavioral objectives, such as completing a treatment regimen or remaining drug-abstinent for a specified period of time.  | IV.I.   |
| <input type="checkbox"/> | <input type="checkbox"/> | 9 | Treatment is reduced only if it is determined clinically that a reduction in treatment is unlikely to precipitate a relapse to substance use.  | IV.I.   |

| YES                      | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>  | <b>BPS</b>   |
|--------------------------|--------------------------|----|---|--------------|
| <input type="checkbox"/> | <input type="checkbox"/> | 10 | IF TESTING IS REQUIRED, testing regimens are not scheduled in seven-day or weekly blocks. The chances of being tested should be at least two in seven every day.  | VII.B.*      |
| <input type="checkbox"/> | <input type="checkbox"/> | 11 | Drug test results are available within 48 hours.  | VII.H.       |
| <input type="checkbox"/> | <input type="checkbox"/> | 12 | Participants are required to deliver a test specimen within 8 hours of being notified that a drug or alcohol test has been scheduled.   | VII.B.       |
| <input type="checkbox"/> | <input type="checkbox"/> | 13 | Randomly selected specimens are tested periodically for a broader range of substances to detect any new drugs of abuse that might be emerging in the Mental health Court population.  | VII.D.       |
| <input type="checkbox"/> | <input type="checkbox"/> | 14 | If a participant denies substance use in response to a positive screening test, a portion of the same specimen is subjected to confirmatory analysis using an instrumented test, such as gas chromatography/mass spectrometry (GC/MS).  | VII.G.       |
| <input type="checkbox"/> | <input type="checkbox"/> | 15 | Standardized patient placement criteria govern the level of care that is provided.  | V.A.         |
| <input type="checkbox"/> | <input type="checkbox"/> | 16 | Adjustments to the level of care are predicated on each participant's response to treatment and are not tied to the Mental health Court's programmatic phase structure.   | V.A.         |
| <input type="checkbox"/> | <input type="checkbox"/> | 17 | Participants WHO HAVE A HISTORY OF DRUG ABUSE receive a sufficient dosage and duration of substance abuse treatment to achieve long-term sobriety and recovery from addiction.  | V.D.         |
| <input type="checkbox"/> | <input type="checkbox"/> | 18 | Participants meet with a COURT MANAGER/JUVENILE PROBATION OFFICER UNTIL FORMALLY ENROLLED IN TREATMENT. <del>treatment provider or clinical case manager for at least one individual session per week during the first phase of the program.</del>  | V.E.         |
| <input type="checkbox"/> | <input type="checkbox"/> | 19 | Participants are screened for their suitability for group interventions, and group membership is guided by evidence-based selection criteria including participants' gender, trauma histories and co-occurring psychiatric symptoms.  | V.E.         |
| <input type="checkbox"/> | <input type="checkbox"/> | 20 | WHEN NECESSARY treatment providers administer behavioral or cognitive-behavioral treatments that are documented in manuals and have been demonstrated to improve outcomes for a JUVENILE WITH A MENTAL HEALTH DIAGNOSIS DIAGNOSED involved in the criminal justice system. <del>JUVENILEddicted persons</del> | V.F.<br>VI.G |
| <input type="checkbox"/> | <input type="checkbox"/> | 21 | Treatment providers are proficient at delivering the interventions and are supervised regularly to ensure continuous fidelity to the treatment models.  | V.F.         |
| <input type="checkbox"/> | <input type="checkbox"/> | 22 | Treatment providers are supervised regularly to ensure continuous fidelity to evidence-based practices.   | V.H.         |
| <input type="checkbox"/> | <input type="checkbox"/> | 23 | <del>Before participants enter the peer support groups, treatment providers use an evidence-based preparatory intervention, such as 12-step facilitation therapy.</del>   | V.I.         |
| <input type="checkbox"/> | <input type="checkbox"/> | 24 | Participants prepare a continuing-care plan together with their counselor to ensure they continue to engage in pro-social activities ATER DISCHARGE FROM MENTAL HEATH COURT. <del>and remain connected with a peer support group after their discharge from the Mental health Court.</del>                    | V.J.         |
| <input type="checkbox"/> | <input type="checkbox"/> | 25 | <del>Where indicated, participants receive assistance finding safe, stable, and drug-free housing beginning in the first phase of Mental health Court and continuing as necessary throughout their enrollment in the program.</del>   | VI.D.        |
| <input type="checkbox"/> | <input type="checkbox"/> | 26 | WHEN APPROPRIATE participants are assessed using a validated instrument for trauma history, trauma-related symptoms, and posttraumatic stress disorder (PTSD).  | VI.F.        |
| <input type="checkbox"/> | <input type="checkbox"/> | 27 | All Mental health Court team members, including court personnel and other criminal justice professionals, receive formal training on delivering trauma-informed services.   | VI.F.        |

| YES                      | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>  | BPS     |
|--------------------------|--------------------------|----|---|---------|
| <input type="checkbox"/> | <input type="checkbox"/> | 28 | <del>Participants with deficient employment or academic histories receive vocational or educational services beginning in a late phase of Mental health Court.</del>  | VI.I.   |
| <input type="checkbox"/> | <input type="checkbox"/> | 29 | <del>Participants complete a brief evidence-based educational curriculum describing concrete measures they can take to prevent or reverse drug overdose.</del>  | VI.L.   |
| <input type="checkbox"/> | <input type="checkbox"/> | 30 | Clients are placed in the program within 50 days of eligibility screening.  |         |
| <input type="checkbox"/> | <input type="checkbox"/> | 31 | Team members are assigned to Mental health Court for no less than two years.  |         |
| <input type="checkbox"/> | <input type="checkbox"/> | 32 | All team members use electronic communication to contemporaneously communicate about Mental health Court issues.  |         |
| <input type="checkbox"/> | <input type="checkbox"/> | 33 | Subsequently, team members attend continuing education workshops on at least an annual basis to gain up-to-date knowledge about best practices on topics including substance abuse and mental health treatment, complementary treatment and social services, behavior modification, community supervision, drug and alcohol testing, team decision making, and constitutional and legal issues in Mental health Courts. | VIII.F. |
| <input type="checkbox"/> | <input type="checkbox"/> | 34 | New staff hires receive a formal orientation training on the Mental health Court model and best practices in Mental health Courts as soon as practicable after assuming their position and attend annual continuing education workshops thereafter.   | VIII.F. |
| <input type="checkbox"/> | <input type="checkbox"/> | 35 | The Mental health Court has NO more than 15 <del>but less than 125</del> active participants.   | IX.A.*  |
| <input type="checkbox"/> | <input type="checkbox"/> | 36 | The Mental health Court monitors its adherence to best practice standards on at least an annual basis, develops a remedial action plan and timetable to rectify deficiencies, and examines the success of the remedial actions.   | X.A.    |
| <input type="checkbox"/> | <input type="checkbox"/> | 37 | <del>New arrests, new convictions, and new incarcerations are monitored for at least three years following each participant's entry into the Mental health Court.</del>   | X.C.    |
| <input type="checkbox"/> | <input type="checkbox"/> | 38 | A skilled and independent evaluator examines the Mental health Court's adherence to best practices and participant outcomes no less frequently than every five years.   | X.D.    |
| <input type="checkbox"/> | <input type="checkbox"/> | 39 | Staff members are required to record information concerning the provision of services and in-program outcomes within forty-eight hours of the respective events.  | X.G.    |
| <input type="checkbox"/> | <input type="checkbox"/> | 40 | The program conducts an exit interview for self- improvement.   |         |

| YES                      | NO                       | # | <b>NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS</b><br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i> | BPS  |
|--------------------------|--------------------------|---|--|------|
| <input type="checkbox"/> | <input type="checkbox"/> | 1 | <del>The Mental health Court offers a continuum of care for substance abuse treatment including detoxification, residential, sober living, day treatment, intensive outpatient and outpatient services.</del>                  | V.A. |
| <input type="checkbox"/> | <input type="checkbox"/> | 2 | Treatment groups ordinarily have no more than twelve participants and at least two leaders or facilitators.  | V.E. |
| <input type="checkbox"/> | <input type="checkbox"/> | 3 | Treatment providers have substantial experience working with criminal justice populations.   | V.H. |

| YES                      | NO                       | <b>NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS</b> |  | <b>BPS</b>    |
|--------------------------|--------------------------|--|--|---------------|
|                          |                          | #  | <i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i>   |               |
| <input type="checkbox"/> | <input type="checkbox"/> | 4  | For at least the first ninety days after discharge from the Mental health Court, treatment providers or clinical case managers attempt to contact previous participants periodically by telephone, mail, e-mail, or similar means to check on their progress, offer brief advice and encouragement, and provide referrals for additional treatment when indicated. | V.J.          |
| <input type="checkbox"/> | <input type="checkbox"/> | 5  | Participants are assessed using a validated instrument for major mental health disorders that co-occur frequently in Mental health Courts, including major depression, bipolar disorder (manic depression), posttraumatic stress disorder (PTSD), and other major anxiety disorders.   | VI.E.         |
| <input type="checkbox"/> | <input type="checkbox"/> | 6  | Participants with PTSD or severe trauma-related symptoms are evaluated for their suitability for group interventions and are treated on an individual basis or in small groups when necessary to manage panic, dissociation, or severe anxiety.  | VI.F.         |
| <input type="checkbox"/> | <input type="checkbox"/> | 7  | Female participants receive trauma-related services in gender-specific groups.   | VI.F.         |
| <input type="checkbox"/> | <input type="checkbox"/> | 8  | Participants are required to have a stable job, be enrolled in a vocational or educational program, or be engaged in comparable pro-social activity as a condition of graduating from Mental health Court.   | VI.I.         |
| <input type="checkbox"/> | <input type="checkbox"/> | 9  | Participants receive immediate medical or dental treatment for conditions that are life-threatening, cause serious pain or discomfort, or may lead to long-term disability or impairment.  | VI.J.         |
| <input type="checkbox"/> | <input type="checkbox"/> | 10   | Before starting a Mental health Court, team members attend a formal pre-implementation training to learn from expert faculty about best practices in Mental health Courts and develop fair and effective policies and procedures for the program.  | VIII.F.       |
| <input type="checkbox"/> | <input type="checkbox"/> | 11   | Supervision caseloads do not exceed fifty active participants per supervision officer.   | IX.B.         |
| <input type="checkbox"/> | <input type="checkbox"/> | 12   | Caseloads for clinicians must permit sufficient opportunities to assess participant needs and deliver adequate and effective dosages of substance abuse treatment and indicated complementary services.  | IX.C.         |
| <input type="checkbox"/> | <input type="checkbox"/> | 13   | The Mental health Court continually monitors participant outcomes during enrollment in the program, including attendance at scheduled appointments, drug and alcohol test results, graduation rates, lengths of stay, and in-program technical violations and new arrests or referrals.  | X.B.*         |
| <input type="checkbox"/> | <input type="checkbox"/> | 14   | Information relating to the services provided and participants' in-program performance is entered into an electronic database. Statistical summaries from the database provide staff with real-time information concerning the Mental health Court's adherence to best practices and in-program outcomes.  | X.F.          |
| <input type="checkbox"/> | <input type="checkbox"/> | 15   | Outcomes are examined for all eligible participants who entered the Mental health Court regardless of whether they graduated, withdrew, or were terminated from the program.   | X.H.          |
| <input type="checkbox"/> | <input type="checkbox"/> | 16   | The Mental health Court regularly monitors whether members of historically disadvantaged groups complete the program at equivalent rates to other participants.  | II.B.<br>X.E. |

**UTAH JUDICIAL COUNCIL**  
**JUVENILE MENTAL HEALTH COURT CERTIFICATION CHECKLIST**  
 REVISED AND ADOPTED 2023 ~~DECEMBER 7, 2020~~

**COURT LOCATION:** SALT LAKE COUNTY

**COURT NUMBER:** JMHC3SALT LAKE

**NAME:** Knight

**REVIEW DATE:** NOVEMBER, 2022

*Many of the criteria enumerated in this certification checklist are restatements of the Adult Drug Court Best Practice Standards, Volume I and Volume II, published by the National Association of Drug Court Professionals (NADCP). Those are indicated by a citation in the **BPS** column following the standard. An asterisk indicates a modification of the NADCP standard.*

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA SALT LAKE COUNTY<br><i>Adherence to these standards is required for certification.</i>  | BPS    |
|-----|--------------------------|----|---|--------|
| X   | <input type="checkbox"/> | 1  | Eligibility and exclusion criteria are defined and applied objectively.   | I.A.   |
| X   | <input type="checkbox"/> | 2  | Eligibility and exclusion criteria are specified in writing.  | I.A.   |
| X   | <input type="checkbox"/> | 3  | The program admits only participants who are high-risk, high-need, however, if a program is unable to target high risk and high need offenders as measured by the RANT or some other approved and validated assessment tool, the program develops alternative tracks with services that are modified to meet risk and need levels of its participants.  | I.B.*  |
| X   | <input type="checkbox"/> | 4  | Candidates for the Mental health Court are assessed for eligibility using validated risk-assessment tool that has been demonstrated empirically to predict criminal recidivism or failure on community supervision and is equivalently predictive for women and racial or ethnic minority groups that are represented in the local arrestee population. | I.C.   |
| X   | <input type="checkbox"/> | 5  | Candidates for the Mental health Court are assessed for eligibility using validated clinical-assessment tool that evaluates the formal diagnostic symptoms. <del>of substance dependence or addiction.</del>  | I.C.   |
| X   | <input type="checkbox"/> | 6  | Evaluators are trained and proficient in the administration of the assessment tools and interpretation of the results.  | I.C.   |
| X   | <input type="checkbox"/> | 7  | Current or prior offenses may not disqualify candidates from participation in the Mental health Court unless empirical evidence demonstrates offenders with such records cannot be managed safely or effectively in a Mental health Court.  | I.D.   |
| X   | <input type="checkbox"/> | 8  | Offenders charged with non-drug charges, drug dealing or those with violence histories are not excluded automatically from participation in the Mental health Court.  | I.D.   |
| X   | <input type="checkbox"/> | 9  | If adequate treatment is available, candidates are not disqualified from participation in the Mental health Court because of co-occurring mental health or medical conditions or because they have been legally prescribed psychotropic or addiction medication.  | I.E.   |
| X   | <input type="checkbox"/> | 10 | The program has a written policy addressing medically assisted treatment.   |        |
| X   | <input type="checkbox"/> | 11 | Participants ordinarily appear before the same judge throughout their enrollment in the Mental health Court.  | III.C. |
| X   | <input type="checkbox"/> | 12 | The judge regularly attends pre-court staff meetings during which each participant's progress is reviewed and potential consequences for performance are discussed by the Mental health Court team.   | III.D. |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIASALT LAKE COUNTY<br><i>Adherence to these standards is required for certification.</i>   | BPS               |
|-----|--------------------------|----|---|-------------------|
| X   | <input type="checkbox"/> | 13 | Participants appear before the judge for status hearings no less frequently than every two weeks during the first phase of the program. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.            |
| X   | <input type="checkbox"/> | 14 | Status hearings are scheduled no less frequently than every four weeks until participants graduate. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.*           |
| X   | <input type="checkbox"/> | 15 | The judge allows participants a reasonable opportunity to explain their perspectives concerning factual controversies and the imposition of sanctions, incentives, and therapeutic adjustments.   | III.G.            |
| X   | <input type="checkbox"/> | 16 | If a participant has difficulty expressing him or herself because of such factors as a language barrier, nervousness, or cognitive limitation, the judge permits the participant's attorney or legal representative to assist in providing such explanations.   | IV.B.             |
| X   | <input type="checkbox"/> | 17 | The judge is the ultimate arbiter of factual controversies and makes the final decision concerning the imposition of incentives or sanctions that affect a participant's legal status or liberty.   | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 18 | The judge makes these decisions after taking into consideration the input of other Mental health Court team members and discussing the matter in court with the participant or the participant's legal representative.  | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 19 | The judge relies on the expert input of duly trained treatment professionals when imposing treatment-related conditions.  | III.H.            |
| X   | <input type="checkbox"/> | 20 | Policies and procedures concerning the administration of incentives, sanctions, and therapeutic adjustments are specified in writing and communicated in advance to Mental health Court participants and team members.  | IV.A.             |
| X   | <input type="checkbox"/> | 21 | The policies and procedures provide a clear indication of which behaviors may elicit an incentive, sanction, or therapeutic adjustment; the range of consequences that may be imposed for those behaviors; the criteria for phase advancement, graduation, and termination from the program; and the legal and collateral consequences that may ensue from graduation and termination.                              | IV.A.             |
| X   | <input type="checkbox"/> | 22 | The JUVENILE MENTAL HEALTH COURT has a range of sanctions of varying magnitudes that may be administered in response to infractions in the program.   | IV.E.             |
| X   | <input type="checkbox"/> | 23 | For goals that are difficult for participants to accomplish, such as abstaining from substance use or obtaining employment, the sanctions increase progressively in magnitude over successive infractions. For goals that are relatively easy for participants to accomplish, such as being truthful or attending counseling sessions, higher magnitude sanctions may be administered after only a few infractions. | IV.E.             |
| X   | <input type="checkbox"/> | 24 | Consequences are imposed for the non-medically indicated use of intoxicating or addictive substances, including but not limited to alcohol, cannabis (marijuana) and prescription medications, regardless of the licit or illicit status of the substance.  | IV.F.             |
| X   | <input type="checkbox"/> | 25 | Drug testing is performed at least twice per week WHEN PARTICIPANT HAS A HISTORY OF DRUG USE.   | VII.A.*           |
| X   | <input type="checkbox"/> | 26 | WHEN NECESSARY, drug testing is random, and is available on weekends and holidays.  | VII.B.*           |
| X   | <input type="checkbox"/> | 27 | Collection of test specimens is witnessed and specimens are examined routinely for evidence of dilution, tampering and adulteration.  | VII.E*<br>VII.F.* |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIASALT LAKE COUNTY<br><i>Adherence to these standards is required for certification.</i>   | BPS     |
|-----|--------------------------|----|---|---------|
| X   | <input type="checkbox"/> | 28 | Drug testing utilized by the Mental health Court uses scientifically valid and reliable testing procedures and establishes a chain of custody for each specimen.  | VII.G.  |
| X   | <input type="checkbox"/> | 29 | Metabolite levels falling below industry- or manufacturer-recommended cutoff scores are not interpreted as evidence of new substance use or changes in substance use patterns, unless such conclusions are reached by an expert trained in toxicology, pharmacology or a related field. | VII.G.* |
| X   | <input type="checkbox"/> | 30 | WHEN APPROPRIATE, upon entering the Mental health Court, participants receive a clear and comprehensive explanation of their rights and responsibilities relating to drug and alcohol testing.  | VII.I.  |
| X   | <input type="checkbox"/> | 31 | The program BY STATUTE HAS A PRESUMED LENGTH OF FORMAL PROBATION OF 4-6 MONTHS. <del>requires a period of STABILITY.at least 90 consecutive days drug-free to graduate.</del>   |         |
| X   | <input type="checkbox"/> | 32 | The minimum length of the program COMPLIES WITH JUVENILE STATUTES <del>is twelve months.</del>  |         |
| X   | <input type="checkbox"/> | 33 | Unless a participant poses an immediate risk to public safety, <del>jail</del> DETENTION sanctions are administered after less severe consequences have been ineffective at deterring infractions.  | IV.J.   |
| X   | <input type="checkbox"/> | 34 | <del>Jail sanctions are definite in duration and typically last no more than three to five days.</del>  | IV.J.   |
| X   | <input type="checkbox"/> | 35 | <del>Participants are given access to counsel and a fair hearing if a jail sanction might be imposed.</del>   | IV.J.   |
| X   | <input type="checkbox"/> | 36 | Participants are not terminated from the Mental health Court for continued substance use if they are otherwise compliant with their treatment and supervision conditions, unless they are non-amenable to the treatments that are reasonably available in their community.              | IV.K.   |
| X   | <input type="checkbox"/> | 37 | If a participant is terminated from the Mental health Court because adequate treatment is not available, the participant does not receive an augmented sentence or disposition for failing to complete the program.   | IV.K.   |
| X   | <input type="checkbox"/> | 38 | Participants are not incarcerated to achieve clinical or social service objectives such as obtaining access to detoxification services or sober living quarters.  | V.B.    |
| X   | <input type="checkbox"/> | 39 | Treatment providers are licensed or certified to deliver MENTAL HEATH TREATMENT <del>substance abuse treatment</del> , as required by the Department of Human Services or another relevant licensure or certification entity.   | V.H.*   |
| N/A | <input type="checkbox"/> | 40 | <del>Participants regularly attend self help or peer support groups in addition to professional counseling.</del>   | V.I.    |
| N/A | <input type="checkbox"/> | 41 | <del>The peer support groups follow a structured model or curriculum such as the 12-step or Smart Recovery models.</del>  | V.I.    |
| N/A | <input type="checkbox"/> | 42 | <del>There is a secular alternative to 12-step peer support groups.</del>   |         |
| X   | <input type="checkbox"/> | 43 | Participants complete a final phase of the Mental health Court focusing on relapse prevention and continuing care.  | V.J.    |
| X   | <input type="checkbox"/> | 44 | Participants are not excluded from participation in Mental health Court because they lack a stable place of residence.  | VI.D.   |



| YES | NO                       | #  | <b>REQUIRED CERTIFICATION CRITERIA SALT LAKE COUNTY</b><br><i>Adherence to these standards is required for certification.</i>  | BPS      |
|-----|--------------------------|----|--|----------|
| X   | <input type="checkbox"/> | 45 | Participants diagnosed with mental illness receive appropriate mental health services beginning in the first phase of Mental health Court and continuing as needed throughout their enrollment in the program.   | VI.E.*   |
| X   | <input type="checkbox"/> | 46 | Participants are not required to participate in job seeking or vocational skills development in the early phases of mental health court.   | VI.I.*   |
| X   | <input type="checkbox"/> | 47 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, JUVENILE PROBATION law enforcement, guardian ad litem (in dependency courts), and the judge attend each staffing meeting.  | VIII.B.* |
| X   | <input type="checkbox"/> | 48 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, JUVENILE PROBATION law enforcement, guardian ad litem (in dependency courts), and the judge attend each Mental health Court session.   | VIII.A.* |
| X   | <input type="checkbox"/> | 49 | Pre-court staff meetings are presumptively closed to participants and the public unless the court has good reason for a participant to attend discussions related to that participant's case.  | VIII.B.  |
| X   | <input type="checkbox"/> | 50 | Participants provide voluntary and informed consent permitting team members to share specified data elements relating to participants' progress in treatment and compliance with program requirements.   | VIII.C.  |
| X   | <input type="checkbox"/> | 51 | Court fees are disclosed to each participant, are reasonable, and are based on each participant's ability to pay. Any fees assessed by the Mental health Court must be reasonably related to the costs of testing or other services.   |          |
| X   | <input type="checkbox"/> | 52 | Treatment fees are based on a sliding fee schedule and are disclosed to each participant.  |          |
| X   | <input type="checkbox"/> | 53 | The Mental health Court develops a remedial action plan and timetable to implement recommendations from the evaluator to improve the program's adherence to best practices.  | X.D.*    |
| X   | <input type="checkbox"/> | 54 | The Mental health Court has written policies and procedures that ensure confidentiality and security of participant information, which conform to all applicable state and federal laws, including, but not limited to, Utah's Governmental Records Access and Management Act (GRAMA), the Health Insurance Portability and Accountability Act of 1996 (HIPAA), and 42 C.F.R. 2 (Confidentiality of Substance Abuse Disorder Patient Records). | VIII.C.* |

| YES | NO                       | # | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>   | BPS    |
|-----|--------------------------|---|--|--------|
| X   | <input type="checkbox"/> | 1 | Eligibility and exclusion criteria are communicated to potential referral sources.   | I.A.   |
| X   | <input type="checkbox"/> | 2 | The Mental health Court regularly monitors the delivery of incentives and sanctions to ensure they are administered equivalently to all participants.  | II.D.  |
| X   | <input type="checkbox"/> | 3 | Each member of the Mental health Court team attends up-to-date training events on recognizing implicit cultural biases and correcting disparate impacts for members of historically disadvantaged groups.  | II.F.  |
| X   | <input type="checkbox"/> | 4 | The Mental health Court judge attends current training events on legal and constitutional issues in Mental health Courts, judicial ethics, evidence-based substance abuse and mental health treatment, behavior modification, and community supervision. | III.A. |
| X   | <input type="checkbox"/> | 5 | The judge presides over the Mental health Court for no less than two consecutive years.  | III.B. |



| YES | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>  | BPS          |
|-----|--------------------------|----|---|--------------|
| X   | <input type="checkbox"/> | 6  | The Judge spends an average of at least three minutes with each participant.  | III.F.*      |
| X   | <input type="checkbox"/> | 7  | The Mental health Court team relies on expert medical input to determine whether a prescription for an addictive or intoxicating medication is medically indicated and whether non-addictive, non-intoxicating, and medically safe alternative treatments are available.  | IV.F.        |
| X   | <input type="checkbox"/> | 8  | Phase promotion is predicated on the achievement of realistic and defined behavioral objectives, such as completing a treatment regimen or remaining drug-abstinent for a specified period of time.   | IV.I.        |
| X   | <input type="checkbox"/> | 9  | Treatment is reduced only if it is determined clinically that a reduction in treatment is unlikely to precipitate a relapse to substance use.   | IV.I.        |
| X   | <input type="checkbox"/> | 10 | IF TESTING IS REQUIRED, testing regimens are not scheduled in seven-day or weekly blocks. The chances of being tested should be at least two in seven every day.  | VII.B.*      |
| X   | <input type="checkbox"/> | 11 | Drug test results are available within 48 hours.  | VII.H.       |
| X   | <input type="checkbox"/> | 12 | Participants are required to deliver a test specimen within 8 hours of being notified that a drug or alcohol test has been scheduled.   | VII.B.       |
| X   | <input type="checkbox"/> | 13 | Randomly selected specimens are tested periodically for a broader range of substances to detect any new drugs of abuse that might be emerging in the Mental health Court population.  | VII.D.       |
| X   | <input type="checkbox"/> | 14 | If a participant denies substance use in response to a positive screening test, a portion of the same specimen is subjected to confirmatory analysis using an instrumented test, such as gas chromatography/mass spectrometry (GC/MS).  | VII.G.       |
| X   | <input type="checkbox"/> | 15 | Standardized patient placement criteria govern the level of care that is provided.  | V.A.         |
| X   | <input type="checkbox"/> | 16 | Adjustments to the level of care are predicated on each participant's response to treatment and are not tied to the Mental health Court's programmatic phase structure.   | V.A.         |
| X   | <input type="checkbox"/> | 17 | Participants WHO HAVE A HISTORY OF DRUG ABUSE receive a sufficient dosage and duration of substance abuse treatment to achieve long-term sobriety and recovery from addiction.  | V.D.         |
| X   | <input type="checkbox"/> | 18 | Participants meet with a COURT MANAGER/JUVENILE PROBATION OFFICER UNTIL FORMALLY ENROLLED IN TREATMENT. <del>treatment provider or clinical case manager for at least one individual session per week during the first phase of the program.</del>  | V.E.         |
| X   | <input type="checkbox"/> | 19 | Participants are screened for their suitability for group interventions, and group membership is guided by evidence-based selection criteria including participants' gender, trauma histories and co-occurring psychiatric symptoms.  | V.E.         |
| X   | <input type="checkbox"/> | 20 | WHEN NECESSARY treatment providers administer behavioral or cognitive-behavioral treatments that are documented in manuals and have been demonstrated to improve outcomes for a JUVENILE WITH A MENTAL HEALTH DIAGNOSIS DIAGNOSED involved in the criminal justice system. <del>JUVENILE addicted persons</del> | V.F.<br>VI.G |
| X   | <input type="checkbox"/> | 21 | Treatment providers are proficient at delivering the interventions and are supervised regularly to ensure continuous fidelity to the treatment models.  | V.F.         |
| X   | <input type="checkbox"/> | 22 | Treatment providers are supervised regularly to ensure continuous fidelity to evidence-based practices.   | V.H.         |

| YES | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>  | <b>BPS</b> |
|-----|--------------------------|----|---|------------|
| N/A | <input type="checkbox"/> | 23 | <del>Before participants enter the peer support groups, treatment providers use an evidence-based preparatory intervention, such as 12-step facilitation therapy.</del>   | V.I.       |
| X   | <input type="checkbox"/> | 24 | Participants prepare a continuing-care plan together with their counselor to ensure they continue to engage in pro-social activities ATER DISCHARGE FROM MENTAL HEATH COURT. and remain connected with a peer support group after their discharge from the Mental health Court.   | V.J.       |
| N/A | <input type="checkbox"/> | 25 | <del>Where indicated, participants receive assistance finding safe, stable, and drug-free housing beginning in the first phase of Mental health Court and continuing as necessary throughout their enrollment in the program.</del>   | VI.D.      |
| X   | <input type="checkbox"/> | 26 | WHEN APPROPRIATE participants are assessed using a validated instrument for trauma history, trauma-related symptoms, and posttraumatic stress disorder (PTSD).  | VI.F.      |
| X   | <input type="checkbox"/> | 27 | All Mental health Court team members, including court personnel and other criminal justice professionals, receive formal training on delivering trauma-informed services.   | VI.F.      |
| N/A | <input type="checkbox"/> | 28 | <del>Participants with deficient employment or academic histories receive vocational or educational services beginning in a late phase of Mental health Court.</del>  | VI.I.      |
| N/A | <input type="checkbox"/> | 29 | <del>Participants complete a brief evidence-based educational curriculum describing concrete measures they can take to prevent or reverse drug overdose.</del>  | VI.L.      |
| X   | <input type="checkbox"/> | 30 | Clients are placed in the program within 50 days of eligibility screening.  |            |
| X   | <input type="checkbox"/> | 31 | Team members are assigned to Mental health Court for no less than two years.  |            |
| X   | <input type="checkbox"/> | 32 | All team members use electronic communication to contemporaneously communicate about Mental health Court issues.  |            |
| X   | <input type="checkbox"/> | 33 | Subsequently, team members attend continuing education workshops on at least an annual basis to gain up-to-date knowledge about best practices on topics including substance abuse and mental health treatment, complementary treatment and social services, behavior modification, community supervision, drug and alcohol testing, team decision making, and constitutional and legal issues in Mental health Courts. | VIII.F.    |
| X   | <input type="checkbox"/> | 34 | New staff hires receive a formal orientation training on the Mental health Court model and best practices in Mental health Courts as soon as practicable after assuming their position and attend annual continuing education workshops thereafter.   | VIII.F.    |
| X   | <input type="checkbox"/> | 35 | The Mental health Court has NO more than 15 but less than 125 active participants.  | IX.A.*     |
| X   | <input type="checkbox"/> | 36 | The Mental health Court monitors its adherence to best practice standards on at least an annual basis, develops a remedial action plan and timetable to rectify deficiencies, and examines the success of the remedial actions.   | X.A.       |
| N/A | <input type="checkbox"/> | 37 | <del>New arrests, new convictions, and new incarcerations are monitored for at least three years following each participant's entry into the Mental health Court.</del>   | X.C.       |
| X   | <input type="checkbox"/> | 38 | A skilled and independent evaluator examines the Mental health Court's adherence to best practices and participant outcomes no less frequently than every five years.   | X.D.       |
| X   | <input type="checkbox"/> | 39 | Staff members are required to record information concerning the provision of services and in-program outcomes within forty-eight hours of the respective events.  | X.G.       |
| X   | <input type="checkbox"/> | 40 | The program conducts an exit interview for self-improvement.  |            |

| YES | NO                       | #  | NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS<br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i>  | BPS     |
|-----|--------------------------|----|--|---------|
| N/A | <input type="checkbox"/> | 1  | The Mental health Court offers a continuum of care for substance abuse treatment including detoxification, residential, sober living, day treatment, intensive outpatient and outpatient services.   | V.A.    |
| X   | <input type="checkbox"/> | 2  | Treatment groups ordinarily have no more than twelve participants and at least two leaders or facilitators.  | V.E.    |
| X   | <input type="checkbox"/> | 3  | Treatment providers have substantial experience working with criminal justice populations.   | V.H.    |
| X   | <input type="checkbox"/> | 4  | For at least the first ninety days after discharge from the Mental health Court, treatment providers or clinical case managers attempt to contact previous participants periodically by telephone, mail, e-mail, or similar means to check on their progress, offer brief advice and encouragement, and provide referrals for additional treatment when indicated. | V.J.    |
| X   | <input type="checkbox"/> | 5  | Participants are assessed using a validated instrument for major mental health disorders that co-occur frequently in Mental health Courts, including major depression, bipolar disorder (manic depression), posttraumatic stress disorder (PTSD), and other major anxiety disorders.   | VI.E.   |
| X   | <input type="checkbox"/> | 6  | Participants with PTSD or severe trauma-related symptoms are evaluated for their suitability for group interventions and are treated on an individual basis or in small groups when necessary to manage panic, dissociation, or severe anxiety.  | VI.F.   |
| X   | <input type="checkbox"/> | 7  | Female participants receive trauma-related services in gender-specific groups.   | VI.F.   |
| X   | <input type="checkbox"/> | 8  | Participants are required to have a stable job, be enrolled in a vocational or educational program, or be engaged in comparable pro-social activity as a condition of graduating from Mental health Court.   | VI.I.   |
| X   | <input type="checkbox"/> | 9  | Participants receive immediate medical or dental treatment for conditions that are life-threatening, cause serious pain or discomfort, or may lead to long-term disability or impairment.  | VI.J.   |
| X   | <input type="checkbox"/> | 10 | Before starting a Mental health Court, team members attend a formal pre-implementation training to learn from expert faculty about best practices in Mental health Courts and develop fair and effective policies and procedures for the program.  | VIII.F. |
| X   | <input type="checkbox"/> | 11 | Supervision caseloads do not exceed fifty active participants per supervision officer.   | IX.B.   |
| X   | <input type="checkbox"/> | 12 | Caseloads for clinicians must permit sufficient opportunities to assess participant needs and deliver adequate and effective dosages of substance abuse treatment and indicated complementary services.  | IX.C.   |
| X   | <input type="checkbox"/> | 13 | The Mental health Court continually monitors participant outcomes during enrollment in the program, including attendance at scheduled appointments, drug and alcohol test results, graduation rates, lengths of stay, and in-program technical violations and new arrests or referrals.  | X.B.*   |
| X   | <input type="checkbox"/> | 14 | Information relating to the services provided and participants' in-program performance is entered into an electronic database. Statistical summaries from the database provide staff with real-time information concerning the Mental health Court's adherence to best practices and in-program outcomes.  | X.F.    |
| X   | <input type="checkbox"/> | 15 | Outcomes are examined for all eligible participants who entered the Mental health Court regardless of whether they graduated, withdrew, or were terminated from the program.   | X.H.    |

|     |                          |  |               |
|-----|--------------------------|--|---------------|
| YES | NO                       | <b>#</b><br><b>NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS</b><br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i> | <b>BPS</b>    |
| X   | <input type="checkbox"/> | <b>16</b><br>The Mental health Court regularly monitors whether members of historically disadvantaged groups complete the program at equivalent rates to other participants.   | II.B.<br>X.E. |

**UTAH JUDICIAL COUNCIL**  
**ADULT DRUG COURT CERTIFICATION CHECKLIST**  
 REVISED AND ADOPTED DECEMBER 7, 2020

**COURT LOCATION:** WEBER COUNTY, OGDEN

**COURT NUMBER:** ADC27WEBER

**JUDGE NAME:** BEAN

**REVIEW DATE:** MARCH, 2023

*Many of the criteria enumerated in this certification checklist are restatements of the Adult Drug Court Best Practice Standards, Volume I and Volume II, published by the National Association of Drug Court Professionals (NADCP). Those are indicated by a citation in the **BPS** column following the standard. An asterisk indicates a modification of the NADCP standards.*

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>  | BPS    |
|-----|--------------------------|----|--|--------|
| X   | <input type="checkbox"/> | 1  | Eligibility and exclusion criteria are defined and applied objectively.  | I.A.   |
| X   | <input type="checkbox"/> | 2  | Eligibility and exclusion criteria are specified in writing.   | I.A.   |
| X   | <input type="checkbox"/> | 3  | The program admits only participants who are high-risk high-need, however if a program is unable to target high-risk and high need offenders as measured by RANT or some other approved and validated assessment tool, the program develops alternative tracks with services that are modified to meet risk and need levels of its participants. | I.B.*  |
| X   | <input type="checkbox"/> | 4  | Candidates for the Drug Court are assessed for eligibility using validated risk-assessment tool that has been demonstrated empirically to predict criminal recidivism or failure on community supervision and is equivalently predictive for women and racial or ethnic minority groups that are represented in the local arrestee population.   | I.C.   |
| X   | <input type="checkbox"/> | 5  | Candidates for the Drug Court are assessed for eligibility using validated clinical-assessment tool that evaluates the formal diagnostic symptoms of substance dependence or addiction.  | I.C.   |
| X   | <input type="checkbox"/> | 6  | Evaluators are trained and proficient in the administration of the assessment tools and interpretation of the results.   | I.C.   |
| X   | <input type="checkbox"/> | 7  | Current or prior offenses may not disqualify candidates from participation in the Drug Court unless empirical evidence demonstrates offenders with such records cannot be managed safely or effectively in a Drug Court.   | I.D.   |
| X   | <input type="checkbox"/> | 8  | Offenders charged with non-drug charges, drug dealing or those with violence histories are not excluded automatically from participation in the Drug Court.  | I.D.   |
| X   | <input type="checkbox"/> | 9  | If adequate treatment is available, candidates are not disqualified from participation in the Drug Court because of co-occurring mental health or medical conditions or because they have been legally prescribed psychotropic or addiction medication.  | I.E.   |
| X   | <input type="checkbox"/> | 10 | The program has a written policy addressing medically assisted treatment.  |        |
| X   | <input type="checkbox"/> | 11 | Participants ordinarily appear before the same judge throughout their enrollment in the Drug Court.  | III.C. |
| X   | <input type="checkbox"/> | 12 | The judge regularly attends pre-court staff meetings during which each participant's progress is reviewed and potential consequences for performance are discussed by the Drug Court team.   | III.D. |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS               |
|-----|--------------------------|----|---|-------------------|
| X   | <input type="checkbox"/> | 13 | Participants appear before the judge for status hearings no less frequently than every two weeks during the first phase of the program. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.            |
| X   | <input type="checkbox"/> | 14 | Status hearings are scheduled no less frequently than every four weeks until participants graduate. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.*           |
| X   | <input type="checkbox"/> | 15 | The judge allows participants a reasonable opportunity to explain their perspectives concerning factual controversies and the imposition of sanctions, incentives, and therapeutic adjustments.   | III.G.            |
| X   | <input type="checkbox"/> | 16 | If a participant has difficulty expressing him or herself because of such factors as a language barrier, nervousness, or cognitive limitation, the judge permits the participant's attorney or legal representative to assist in providing such explanations.   | IV.B.             |
| X   | <input type="checkbox"/> | 17 | The judge is the ultimate arbiter of factual controversies and makes the final decision concerning the imposition of incentives or sanctions that affect a participant's legal status or liberty.   | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 18 | The judge makes these decisions after taking into consideration the input of other Drug Court team members and discussing the matter in court with the participant or the participant's legal representative.   | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 19 | The judge relies on the expert input of duly trained treatment professionals when imposing treatment-related conditions.  | III.H.            |
| X   | <input type="checkbox"/> | 20 | Policies and procedures concerning the administration of incentives, sanctions, and therapeutic adjustments are specified in writing and communicated in advance to Drug Court participants and team members.   | IV.A.             |
| X   | <input type="checkbox"/> | 21 | The policies and procedures provide a clear indication of which behaviors may elicit an incentive, sanction, or therapeutic adjustment; the range of consequences that may be imposed for those behaviors; the criteria for phase advancement, graduation, and termination from the program; and the legal and collateral consequences that may ensue from graduation and termination.                              | IV.A.             |
| X   | <input type="checkbox"/> | 22 | The Drug Court has a range of sanctions of varying magnitudes that may be administered in response to infractions in the program.   | IV.E.             |
| X   | <input type="checkbox"/> | 23 | For goals that are difficult for participants to accomplish, such as abstaining from substance use or obtaining employment, the sanctions increase progressively in magnitude over successive infractions. For goals that are relatively easy for participants to accomplish, such as being truthful or attending counseling sessions, higher magnitude sanctions may be administered after only a few infractions. | IV.E.             |
| X   | <input type="checkbox"/> | 24 | Consequences are imposed for the non-medically indicated use of intoxicating or addictive substances, including but not limited to alcohol, cannabis (marijuana) and prescription medications, regardless of the licit or illicit status of the substance.  | IV.F.             |
| X   | <input type="checkbox"/> | 25 | Drug testing is performed at least twice per week.  | VII.A.*           |
| X   | <input type="checkbox"/> | 26 | Drug testing is random, and is available on weekends and holidays.  | VII.B.*           |
| X   | <input type="checkbox"/> | 27 | Collection of test specimens is witnessed and specimens are examined routinely for evidence of dilution, tampering and adulteration.  | VII.E*<br>VII.F.* |
| X   | <input type="checkbox"/> | 28 | Drug testing utilized by the Drug Court uses scientifically valid and reliable testing procedures and establishes a chain of custody for each specimen.   | VII.G.            |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS      |
|-----|--------------------------|----|---|----------|
| X   | <input type="checkbox"/> | 29 | Metabolite levels falling below industry- or manufacturer-recommended cutoff scores are not interpreted as evidence of new substance use or changes in substance use patterns, unless such conclusions are reached by an expert trained in toxicology, pharmacology or a related field. | VII.G.*  |
| X   | <input type="checkbox"/> | 30 | Upon entering the Drug Court, participants receive a clear and comprehensive explanation of their rights and responsibilities relating to drug and alcohol testing.   | VII.I.   |
| X   | <input type="checkbox"/> | 31 | The program requires a period of at least 90 consecutive days drug-free to graduate.  |          |
| X   | <input type="checkbox"/> | 32 | The minimum length of the program is twelve months.   |          |
| X   | <input type="checkbox"/> | 33 | Unless a participant poses an immediate risk to public safety, jail sanctions are administered after less severe consequences have been ineffective at deterring infractions.   | IV.J.    |
| X   | <input type="checkbox"/> | 34 | Jail sanctions are definite in duration and typically last no more than three to five days.   | IV.J.    |
| X   | <input type="checkbox"/> | 35 | Participants are given access to counsel and a fair hearing if a jail sanction might be imposed.  | IV.J.    |
| X   | <input type="checkbox"/> | 36 | Participants are not terminated from the Drug Court for continued substance use if they are otherwise compliant with their treatment and supervision conditions, unless they are non-amenable to the treatments that are reasonably available in their community.                       | IV.K.    |
| X   | <input type="checkbox"/> | 37 | If a participant is terminated from the Drug Court because adequate treatment is not available, the participant does not receive an augmented sentence or disposition for failing to complete the program.  | IV.K.    |
| X   | <input type="checkbox"/> | 38 | Participants are not incarcerated to achieve clinical or social service objectives such as obtaining access to detoxification services or sober living quarters.  | V.B.     |
| X   | <input type="checkbox"/> | 39 | Treatment providers are licensed or certified to deliver substance abuse treatment, as required by the Department of Human Services or other relevant licensure or certification entity.  | V.H.*    |
| X   | <input type="checkbox"/> | 40 | Participants regularly attend self-help or peer support groups in addition to professional counseling.  | V.I.     |
| X   | <input type="checkbox"/> | 41 | The peer support groups follow a structured model or curriculum such as the 12-step or Smart Recovery models.   | V.I.     |
| X   | <input type="checkbox"/> | 42 | There is a secular alternative to 12-step peer support groups.  |          |
| X   | <input type="checkbox"/> | 43 | Participants complete a final phase of the Drug Court focusing on relapse prevention and continuing care.   | V.J.     |
| X   | <input type="checkbox"/> | 44 | Participants are not excluded from participation in Drug Court because they lack a stable place of residence.   | VI.D.    |
| X   | <input type="checkbox"/> | 45 | Participants diagnosed with mental illness receive appropriate mental health services beginning in the first phase of Drug Court and continuing as needed throughout their enrollment in the program.   | VI.E.*   |
| X   | <input type="checkbox"/> | 46 | Participants are not required to participate in job seeking or vocational skills development in the early phases of drug court.   | VI.I.*   |
| X   | <input type="checkbox"/> | 47 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each staffing meeting.  | VIII.B.* |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS      |
|-----|--------------------------|----|---|----------|
| X   | <input type="checkbox"/> | 48 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each Drug Court session.  | VIII.A.* |
| X   | <input type="checkbox"/> | 49 | Pre-court staff meetings are presumptively closed to participants and the public unless the court has good reason for a participant to attend discussions related to that participant's case.   | VIII.B.  |
| X   | <input type="checkbox"/> | 50 | Participants provide voluntary and informed consent permitting team members to share specified data elements relating to participants' progress in treatment and compliance with program requirements.  | VIII.C.  |
| X   | <input type="checkbox"/> | 51 | Court fees are disclosed to each participant, are reasonable, and are based on each participant's ability to pay. Any fees assessed by the Drug Court must be reasonably related to the costs of testing or other services.   |          |
| X   | <input type="checkbox"/> | 52 | Treatment fees are based on a sliding fee schedule and are disclosed to each participant.   |          |
| X   | <input type="checkbox"/> | 53 | The Drug Court develops a remedial action plan and timetable to implement recommendations from the evaluator to improve the program's adherence to best practices.  | X.D.*    |
| X   | <input type="checkbox"/> | 54 | The Drug Court has written policies and procedures that ensure confidentiality and security of participant information, which conform to all applicable state and federal laws, including, but not limited to, Utah's Governmental Records Access and Management Act (GRAMA), the Health Insurance Portability and Accountability Act of 1996 (HIPAA), and 42 C.F.R. 2 (Confidentiality of Substance Abuse Disorder Patient Records). | VIII.C.* |

| YES | NO                       | # | PRESUMED CERTIFICATION CRITERIA<br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>   | BPS     |
|-----|--------------------------|---|---|---------|
| X   | <input type="checkbox"/> | 1 | Eligibility and exclusion criteria are communicated to potential referral sources.  | I.A.    |
| X   | <input type="checkbox"/> | 2 | The Drug Court regularly monitors the delivery of incentives and sanctions to ensure they are administered equivalently to all participants.  | II.D.   |
| X   | <input type="checkbox"/> | 3 | Each member of the Drug Court team attends up-to-date training events on recognizing implicit cultural biases and correcting disparate impacts for members of historically disadvantaged groups.  | II.F.   |
| X   | <input type="checkbox"/> | 4 | The Drug Court judge attends current training events on legal and constitutional issues in Drug Courts, judicial ethics, evidence-based substance abuse and mental health treatment, behavior modification, and community supervision.                          | III.A.  |
| X   | <input type="checkbox"/> | 5 | The judge presides over the Drug Court for no less than two consecutive years.  | III.B.  |
| X   | <input type="checkbox"/> | 6 | The Judge spends an average of at least three minutes with each participant.  | III.F.* |
| X   | <input type="checkbox"/> | 7 | The Drug Court team relies on expert medical input to determine whether a prescription for an addictive or intoxicating medication is medically indicated and whether non-addictive, non-intoxicating, and medically safe alternative treatments are available. | IV.F.   |
| X   | <input type="checkbox"/> | 8 | Phase promotion is predicated on the achievement of realistic and defined behavioral objectives, such as completing a treatment regimen or remaining drug-abstinent for a specified period of time.   | IV.I.   |



| YES | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>                       | <b>BPS</b>   |
|-----|--------------------------|----|--|--------------|
| X   | <input type="checkbox"/> | 9  | Treatment is reduced only if it is determined clinically that a reduction in treatment is unlikely to precipitate a relapse to substance use.  | IV.I.        |
| X   | <input type="checkbox"/> | 10 | Testing regimens are not scheduled in seven-day or weekly blocks. The chances of being tested should be at least two in seven every day.   | VII.B.*      |
| X   | <input type="checkbox"/> | 11 | Drug test results are available within 48 hours.   | VII.H.       |
| X   | <input type="checkbox"/> | 12 | Participants are required to deliver a test specimen within 8 hours of being notified that a drug or alcohol test has been scheduled.  | VII.B.       |
| X   | <input type="checkbox"/> | 13 | Randomly selected specimens are tested periodically for a broader range of substances to detect any new drugs of abuse that might be emerging in the Drug Court population.  | VII.D.       |
| X   | <input type="checkbox"/> | 14 | If a participant denies substance use in response to a positive screening test, a portion of the same specimen is subjected to confirmatory analysis using an instrumented test, such as gas chromatography/mass spectrometry (GC/MS). | VII.G.       |
| X   | <input type="checkbox"/> | 15 | Standardized patient placement criteria govern the level of care that is provided.   | V.A.         |
| X   | <input type="checkbox"/> | 16 | Adjustments to the level of care are predicated on each participant's response to treatment and are not tied to the Drug Court's programmatic phase structure.   | V.A.         |
| X   | <input type="checkbox"/> | 17 | Participants receive a sufficient dosage and duration of substance abuse treatment to achieve long-term sobriety and recovery from addiction.  | V.D.         |
| X   | <input type="checkbox"/> | 18 | Participants meet with a treatment provider or clinical case manager for at least one individual session per week during the first phase of the program.   | V.E.         |
| X   | <input type="checkbox"/> | 19 | Participants are screened for their suitability for group interventions, and group membership is guided by evidence-based selection criteria including participants' gender, trauma histories and co-occurring psychiatric symptoms.   | V.E.         |
| X   | <input type="checkbox"/> | 20 | Treatment providers administer behavioral or cognitive-behavioral treatments that are documented in manuals and have been demonstrated to improve outcomes for addicted persons involved in the criminal justice system.               | V.F.<br>VI.G |
| X   | <input type="checkbox"/> | 21 | Treatment providers are proficient at delivering the interventions and are supervised regularly to ensure continuous fidelity to the treatment models.   | V.F.         |
| X   | <input type="checkbox"/> | 22 | Treatment providers are supervised regularly to ensure continuous fidelity to evidence-based practices.  | V.H.         |
| X   | <input type="checkbox"/> | 23 | Before participants enter the peer support groups, treatment providers use an evidence-based preparatory intervention, such as 12-step facilitation therapy.   | V.I.         |
| X   | <input type="checkbox"/> | 24 | Participants prepare a continuing-care plan together with their counselor to ensure they continue to engage in pro-social activities and remain connected with a peer support group after their discharge from the Drug Court.         | V.J.         |
| X   | <input type="checkbox"/> | 25 | Where indicated, participants receive assistance finding safe, stable, and drug-free housing beginning in the first phase of Drug Court and continuing as necessary throughout their enrollment in the program.                        | VI.D.        |
| X   | <input type="checkbox"/> | 26 | Participants are assessed using a validated instrument for trauma history, trauma-related symptoms, and posttraumatic stress disorder (PTSD).  | VI.F.        |
| X   | <input type="checkbox"/> | 27 | All Drug Court team members, including court personnel and other criminal justice professionals, receive formal training on delivering trauma-informed services.   | VI.F.        |

| YES | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>   | BPS     |
|-----|--------------------------|----|--|---------|
| X   | <input type="checkbox"/> | 28 | Participants with deficient employment or academic histories receive vocational or educational services beginning in a late phase of Drug Court.   | VI.I.   |
| X   | <input type="checkbox"/> | 29 | Participants complete a brief evidence-based educational curriculum describing concrete measures they can take to prevent or reverse drug overdose.  | VI.L.   |
| X   | <input type="checkbox"/> | 30 | Clients are placed in the program within 50 days of arrest.  |         |
| X   | <input type="checkbox"/> | 31 | Team members are assigned to Drug Court for no less than two years.  |         |
| X   | <input type="checkbox"/> | 32 | All team members use electronic communication to contemporaneously communicate about Drug Court issues.  |         |
| X   | <input type="checkbox"/> | 33 | Subsequently, team members attend continuing education workshops on at least an annual basis to gain up-to-date knowledge about best practices on topics including substance abuse and mental health treatment, complementary treatment and social services, behavior modification, community supervision, drug and alcohol testing, team decision making, and constitutional and legal issues in Drug Courts. | VIII.F. |
| X   | <input type="checkbox"/> | 34 | New staff hires receive a formal orientation training on the Drug Court model and best practices in Drug Courts as soon as practicable after assuming their position and attend annual continuing education workshops thereafter.  | VIII.F. |
| X   | <input type="checkbox"/> | 35 | The Drug Court has more than 15 but less than 125 active participants.   | IX.A.*  |
| X   | <input type="checkbox"/> | 36 | The Drug Court monitors its adherence to best practice standards on at least an annual basis, develops a remedial action plan and timetable to rectify deficiencies, and examines the success of the remedial actions.   | X.A.    |
| X   | <input type="checkbox"/> | 37 | New arrests, new convictions, and new incarcerations are monitored for at least three years following each participant's entry into the Drug Court.  | X.C.    |
| X   | <input type="checkbox"/> | 38 | A skilled and independent evaluator examines the Drug Court's adherence to best practices and participant outcomes no less frequently than every five years.   | X.D.    |
| X   | <input type="checkbox"/> | 39 | Staff members are required to record information concerning the provision of services and in-program outcomes within forty-eight hours of the respective events.   | X.G.    |
| X   | <input type="checkbox"/> | 40 | The program conducts an exit interview for self-improvement.   |         |

| YES | NO                       | # | <b>NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS</b><br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i> | BPS  |
|-----|--------------------------|---|--|------|
| X   | <input type="checkbox"/> | 1 | The Drug Court offers a continuum of care for substance abuse treatment including detoxification, residential, sober living, day treatment, intensive outpatient and outpatient services.                                      | V.A. |
| X   | <input type="checkbox"/> | 2 | Treatment groups ordinarily have no more than twelve participants and at least two leaders or facilitators.  | V.E. |
| X   | <input type="checkbox"/> | 3 | Treatment providers have substantial experience working with criminal justice populations.   | V.H. |

| YES | NO                       | #  | NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS<br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i>   | BPS           |
|-----|--------------------------|----|---|---------------|
| X   | <input type="checkbox"/> | 4  | For at least the first ninety days after discharge from the Drug Court, treatment providers or clinical case managers attempt to contact previous participants periodically by telephone, mail, e-mail, or similar means to check on their progress, offer brief advice and encouragement, and provide referrals for additional treatment when indicated. | V.J.          |
| X   | <input type="checkbox"/> | 5  | Participants are assessed using a validated instrument for major mental health disorders that co-occur frequently in Drug Courts, including major depression, bipolar disorder (manic depression), posttraumatic stress disorder (PTSD), and other major anxiety disorders.   | VI.E.         |
| X   | <input type="checkbox"/> | 6  | Participants with PTSD or severe trauma-related symptoms are evaluated for their suitability for group interventions and are treated on an individual basis or in small groups when necessary to manage panic, dissociation, or severe anxiety.   | VI.F.         |
| X   | <input type="checkbox"/> | 7  | Female participants receive trauma-related services in gender-specific groups.  | VI.F.         |
| X   | <input type="checkbox"/> | 8  | Participants are required to have a stable job, be enrolled in a vocational or educational program, or be engaged in comparable pro-social activity as a condition of graduating from Drug Court.   | VI.I.         |
| X   | <input type="checkbox"/> | 9  | Participants receive immediate medical or dental treatment for conditions that are life-threatening, cause serious pain or discomfort, or may lead to long-term disability or impairment.   | VI.J.         |
| X   | <input type="checkbox"/> | 10 | Before starting a Drug Court, team members attend a formal pre-implementation training to learn from expert faculty about best practices in Drug Courts and develop fair and effective policies and procedures for the program.   | VIII.F.       |
| X   | <input type="checkbox"/> | 11 | Supervision caseloads do not exceed fifty active participants per supervision officer.  | IX.B.         |
| X   | <input type="checkbox"/> | 12 | Caseloads for clinicians must permit sufficient opportunities to assess participant needs and deliver adequate and effective dosages of substance abuse treatment and indicated complementary services.   | IX.C.         |
| X   | <input type="checkbox"/> | 13 | The Drug Court continually monitors participant outcomes during enrollment in the program, including attendance at scheduled appointments, drug and alcohol test results, graduation rates, lengths of stay, and in-program technical violations and new arrests or referrals.  | X.B.*         |
| X   | <input type="checkbox"/> | 14 | Information relating to the services provided and participants' in-program performance is entered into an electronic database. Statistical summaries from the database provide staff with real-time information concerning the Drug Court's adherence to best practices and in-program outcomes.  | X.F.          |
| X   | <input type="checkbox"/> | 15 | Outcomes are examined for all eligible participants who entered the Drug Court regardless of whether they graduated, withdrew, or were terminated from the program.   | X.H.          |
| X   | <input type="checkbox"/> | 16 | The Drug Court regularly monitors whether members of historically disadvantaged groups complete the program at equivalent rates to other participants.  | II.B.<br>X.E. |

**UTAH JUDICIAL COUNCIL**  
**ADULT DRUG COURT CERTIFICATION CHECKLIST**  
 REVISED AND ADOPTED DECEMBER 7, 2020

**COURT LOCATION:** IRON COUNTY, CEDAR CITY

**COURT NUMBER:** ADC8IRON

**JUDGE NAME:** MCIFF-ALLEN

**REVIEW DATE:** JANUARY, 2023

*Many of the criteria enumerated in this certification checklist are restatements of the Adult Drug Court Best Practice Standards, Volume I and Volume II, published by the National Association of Drug Court Professionals (NADCP). Those are indicated by a citation in the **BPS** column following the standard. An asterisk indicates a modification of the NADCP standards.*

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>  | BPS    |
|-----|--------------------------|----|--|--------|
| X   | <input type="checkbox"/> | 1  | Eligibility and exclusion criteria are defined and applied objectively.  | I.A.   |
| X   | <input type="checkbox"/> | 2  | Eligibility and exclusion criteria are specified in writing.   | I.A.   |
| X   | <input type="checkbox"/> | 3  | The program admits only participants who are high-risk high-need, however if a program is unable to target high-risk and high need offenders as measured by RANT or some other approved and validated assessment tool, the program develops alternative tracks with services that are modified to meet risk and need levels of its participants. | I.B.*  |
| X   | <input type="checkbox"/> | 4  | Candidates for the Drug Court are assessed for eligibility using validated risk-assessment tool that has been demonstrated empirically to predict criminal recidivism or failure on community supervision and is equivalently predictive for women and racial or ethnic minority groups that are represented in the local arrestee population.   | I.C.   |
| X   | <input type="checkbox"/> | 5  | Candidates for the Drug Court are assessed for eligibility using validated clinical-assessment tool that evaluates the formal diagnostic symptoms of substance dependence or addiction.  | I.C.   |
| X   | <input type="checkbox"/> | 6  | Evaluators are trained and proficient in the administration of the assessment tools and interpretation of the results.   | I.C.   |
| X   | <input type="checkbox"/> | 7  | Current or prior offenses may not disqualify candidates from participation in the Drug Court unless empirical evidence demonstrates offenders with such records cannot be managed safely or effectively in a Drug Court.   | I.D.   |
| X   | <input type="checkbox"/> | 8  | Offenders charged with non-drug charges, drug dealing or those with violence histories are not excluded automatically from participation in the Drug Court.  | I.D.   |
| X   | <input type="checkbox"/> | 9  | If adequate treatment is available, candidates are not disqualified from participation in the Drug Court because of co-occurring mental health or medical conditions or because they have been legally prescribed psychotropic or addiction medication.  | I.E.   |
| X   | <input type="checkbox"/> | 10 | The program has a written policy addressing medically assisted treatment.  |        |
| X   | <input type="checkbox"/> | 11 | Participants ordinarily appear before the same judge throughout their enrollment in the Drug Court.  | III.C. |
| X   | <input type="checkbox"/> | 12 | The judge regularly attends pre-court staff meetings during which each participant's progress is reviewed and potential consequences for performance are discussed by the Drug Court team.   | III.D. |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS               |
|-----|--------------------------|----|---|-------------------|
| X   | <input type="checkbox"/> | 13 | Participants appear before the judge for status hearings no less frequently than every two weeks during the first phase of the program. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.            |
| X   | <input type="checkbox"/> | 14 | Status hearings are scheduled no less frequently than every four weeks until participants graduate. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.*           |
| X   | <input type="checkbox"/> | 15 | The judge allows participants a reasonable opportunity to explain their perspectives concerning factual controversies and the imposition of sanctions, incentives, and therapeutic adjustments.   | III.G.            |
| X   | <input type="checkbox"/> | 16 | If a participant has difficulty expressing him or herself because of such factors as a language barrier, nervousness, or cognitive limitation, the judge permits the participant's attorney or legal representative to assist in providing such explanations.   | IV.B.             |
| X   | <input type="checkbox"/> | 17 | The judge is the ultimate arbiter of factual controversies and makes the final decision concerning the imposition of incentives or sanctions that affect a participant's legal status or liberty.   | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 18 | The judge makes these decisions after taking into consideration the input of other Drug Court team members and discussing the matter in court with the participant or the participant's legal representative.   | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 19 | The judge relies on the expert input of duly trained treatment professionals when imposing treatment-related conditions.  | III.H.            |
| X   | <input type="checkbox"/> | 20 | Policies and procedures concerning the administration of incentives, sanctions, and therapeutic adjustments are specified in writing and communicated in advance to Drug Court participants and team members.   | IV.A.             |
| X   | <input type="checkbox"/> | 21 | The policies and procedures provide a clear indication of which behaviors may elicit an incentive, sanction, or therapeutic adjustment; the range of consequences that may be imposed for those behaviors; the criteria for phase advancement, graduation, and termination from the program; and the legal and collateral consequences that may ensue from graduation and termination.                              | IV.A.             |
| X   | <input type="checkbox"/> | 22 | The Drug Court has a range of sanctions of varying magnitudes that may be administered in response to infractions in the program.   | IV.E.             |
| X   | <input type="checkbox"/> | 23 | For goals that are difficult for participants to accomplish, such as abstaining from substance use or obtaining employment, the sanctions increase progressively in magnitude over successive infractions. For goals that are relatively easy for participants to accomplish, such as being truthful or attending counseling sessions, higher magnitude sanctions may be administered after only a few infractions. | IV.E.             |
| X   | <input type="checkbox"/> | 24 | Consequences are imposed for the non-medically indicated use of intoxicating or addictive substances, including but not limited to alcohol, cannabis (marijuana) and prescription medications, regardless of the licit or illicit status of the substance.  | IV.F.             |
| X   | <input type="checkbox"/> | 25 | Drug testing is performed at least twice per week.  | VII.A.*           |
| X   | <input type="checkbox"/> | 26 | Drug testing is random, and is available on weekends and holidays.  | VII.B.*           |
| X   | <input type="checkbox"/> | 27 | Collection of test specimens is witnessed and specimens are examined routinely for evidence of dilution, tampering and adulteration.  | VII.E*<br>VII.F.* |
| X   | <input type="checkbox"/> | 28 | Drug testing utilized by the Drug Court uses scientifically valid and reliable testing procedures and establishes a chain of custody for each specimen.   | VII.G.            |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS      |
|-----|--------------------------|----|---|----------|
| X   | <input type="checkbox"/> | 29 | Metabolite levels falling below industry- or manufacturer-recommended cutoff scores are not interpreted as evidence of new substance use or changes in substance use patterns, unless such conclusions are reached by an expert trained in toxicology, pharmacology or a related field. | VII.G.*  |
| X   | <input type="checkbox"/> | 30 | Upon entering the Drug Court, participants receive a clear and comprehensive explanation of their rights and responsibilities relating to drug and alcohol testing.   | VII.I.   |
| X   | <input type="checkbox"/> | 31 | The program requires a period of at least 90 consecutive days drug-free to graduate.  |          |
| X   | <input type="checkbox"/> | 32 | The minimum length of the program is twelve months.   |          |
| X   | <input type="checkbox"/> | 33 | Unless a participant poses an immediate risk to public safety, jail sanctions are administered after less severe consequences have been ineffective at deterring infractions.   | IV.J.    |
| X   | <input type="checkbox"/> | 34 | Jail sanctions are definite in duration and typically last no more than three to five days.   | IV.J.    |
| X   | <input type="checkbox"/> | 35 | Participants are given access to counsel and a fair hearing if a jail sanction might be imposed.  | IV.J.    |
| X   | <input type="checkbox"/> | 36 | Participants are not terminated from the Drug Court for continued substance use if they are otherwise compliant with their treatment and supervision conditions, unless they are non-amenable to the treatments that are reasonably available in their community.                       | IV.K.    |
| X   | <input type="checkbox"/> | 37 | If a participant is terminated from the Drug Court because adequate treatment is not available, the participant does not receive an augmented sentence or disposition for failing to complete the program.  | IV.K.    |
| X   | <input type="checkbox"/> | 38 | Participants are not incarcerated to achieve clinical or social service objectives such as obtaining access to detoxification services or sober living quarters.  | V.B.     |
| X   | <input type="checkbox"/> | 39 | Treatment providers are licensed or certified to deliver substance abuse treatment, as required by the Department of Human Services or other relevant licensure or certification entity.  | V.H.*    |
| X   | <input type="checkbox"/> | 40 | Participants regularly attend self-help or peer support groups in addition to professional counseling.  | V.I.     |
| X   | <input type="checkbox"/> | 41 | The peer support groups follow a structured model or curriculum such as the 12-step or Smart Recovery models.   | V.I.     |
| X   | <input type="checkbox"/> | 42 | There is a secular alternative to 12-step peer support groups.  |          |
| X   | <input type="checkbox"/> | 43 | Participants complete a final phase of the Drug Court focusing on relapse prevention and continuing care.   | V.J.     |
| X   | <input type="checkbox"/> | 44 | Participants are not excluded from participation in Drug Court because they lack a stable place of residence.   | VI.D.    |
| X   | <input type="checkbox"/> | 45 | Participants diagnosed with mental illness receive appropriate mental health services beginning in the first phase of Drug Court and continuing as needed throughout their enrollment in the program.   | VI.E.*   |
| X   | <input type="checkbox"/> | 46 | Participants are not required to participate in job seeking or vocational skills development in the early phases of drug court.   | VI.I.*   |
| X   | <input type="checkbox"/> | 47 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each staffing meeting.  | VIII.B.* |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS      |
|-----|--------------------------|----|---|----------|
| X   | <input type="checkbox"/> | 48 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each Drug Court session.  | VIII.A.* |
| X   | <input type="checkbox"/> | 49 | Pre-court staff meetings are presumptively closed to participants and the public unless the court has good reason for a participant to attend discussions related to that participant's case.   | VIII.B.  |
| X   | <input type="checkbox"/> | 50 | Participants provide voluntary and informed consent permitting team members to share specified data elements relating to participants' progress in treatment and compliance with program requirements.  | VIII.C.  |
| X   | <input type="checkbox"/> | 51 | Court fees are disclosed to each participant, are reasonable, and are based on each participant's ability to pay. Any fees assessed by the Drug Court must be reasonably related to the costs of testing or other services.   |          |
| X   | <input type="checkbox"/> | 52 | Treatment fees are based on a sliding fee schedule and are disclosed to each participant.   |          |
| X   | <input type="checkbox"/> | 53 | The Drug Court develops a remedial action plan and timetable to implement recommendations from the evaluator to improve the program's adherence to best practices.  | X.D.*    |
| X   | <input type="checkbox"/> | 54 | The Drug Court has written policies and procedures that ensure confidentiality and security of participant information, which conform to all applicable state and federal laws, including, but not limited to, Utah's Governmental Records Access and Management Act (GRAMA), the Health Insurance Portability and Accountability Act of 1996 (HIPAA), and 42 C.F.R. 2 (Confidentiality of Substance Abuse Disorder Patient Records). | VIII.C.* |

| YES | NO                       | # | PRESUMED CERTIFICATION CRITERIA<br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>   | BPS     |
|-----|--------------------------|---|---|---------|
| X   | <input type="checkbox"/> | 1 | Eligibility and exclusion criteria are communicated to potential referral sources.  | I.A.    |
| X   | <input type="checkbox"/> | 2 | The Drug Court regularly monitors the delivery of incentives and sanctions to ensure they are administered equivalently to all participants.  | II.D.   |
| X   | <input type="checkbox"/> | 3 | Each member of the Drug Court team attends up-to-date training events on recognizing implicit cultural biases and correcting disparate impacts for members of historically disadvantaged groups.  | II.F.   |
| X   | <input type="checkbox"/> | 4 | The Drug Court judge attends current training events on legal and constitutional issues in Drug Courts, judicial ethics, evidence-based substance abuse and mental health treatment, behavior modification, and community supervision.                          | III.A.  |
| X   | <input type="checkbox"/> | 5 | The judge presides over the Drug Court for no less than two consecutive years.  | III.B.  |
| X   | <input type="checkbox"/> | 6 | The Judge spends an average of at least three minutes with each participant.  | III.F.* |
| X   | <input type="checkbox"/> | 7 | The Drug Court team relies on expert medical input to determine whether a prescription for an addictive or intoxicating medication is medically indicated and whether non-addictive, non-intoxicating, and medically safe alternative treatments are available. | IV.F.   |
| X   | <input type="checkbox"/> | 8 | Phase promotion is predicated on the achievement of realistic and defined behavioral objectives, such as completing a treatment regimen or remaining drug-abstinent for a specified period of time.   | IV.I.   |



| YES | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>                       | <b>BPS</b>   |
|-----|--------------------------|----|--|--------------|
| X   | <input type="checkbox"/> | 9  | Treatment is reduced only if it is determined clinically that a reduction in treatment is unlikely to precipitate a relapse to substance use.  | IV.I.        |
| X   | <input type="checkbox"/> | 10 | Testing regimens are not scheduled in seven-day or weekly blocks. The chances of being tested should be at least two in seven every day.   | VII.B.*      |
| X   | <input type="checkbox"/> | 11 | Drug test results are available within 48 hours.   | VII.H.       |
| X   | <input type="checkbox"/> | 12 | Participants are required to deliver a test specimen within 8 hours of being notified that a drug or alcohol test has been scheduled.  | VII.B.       |
| X   | <input type="checkbox"/> | 13 | Randomly selected specimens are tested periodically for a broader range of substances to detect any new drugs of abuse that might be emerging in the Drug Court population.  | VII.D.       |
| X   | <input type="checkbox"/> | 14 | If a participant denies substance use in response to a positive screening test, a portion of the same specimen is subjected to confirmatory analysis using an instrumented test, such as gas chromatography/mass spectrometry (GC/MS). | VII.G.       |
| X   | <input type="checkbox"/> | 15 | Standardized patient placement criteria govern the level of care that is provided.   | V.A.         |
| X   | <input type="checkbox"/> | 16 | Adjustments to the level of care are predicated on each participant's response to treatment and are not tied to the Drug Court's programmatic phase structure.   | V.A.         |
| X   | <input type="checkbox"/> | 17 | Participants receive a sufficient dosage and duration of substance abuse treatment to achieve long-term sobriety and recovery from addiction.  | V.D.         |
| X   | <input type="checkbox"/> | 18 | Participants meet with a treatment provider or clinical case manager for at least one individual session per week during the first phase of the program.   | V.E.         |
| X   | <input type="checkbox"/> | 19 | Participants are screened for their suitability for group interventions, and group membership is guided by evidence-based selection criteria including participants' gender, trauma histories and co-occurring psychiatric symptoms.   | V.E.         |
| X   | <input type="checkbox"/> | 20 | Treatment providers administer behavioral or cognitive-behavioral treatments that are documented in manuals and have been demonstrated to improve outcomes for addicted persons involved in the criminal justice system.               | V.F.<br>VI.G |
| X   | <input type="checkbox"/> | 21 | Treatment providers are proficient at delivering the interventions and are supervised regularly to ensure continuous fidelity to the treatment models.   | V.F.         |
| X   | <input type="checkbox"/> | 22 | Treatment providers are supervised regularly to ensure continuous fidelity to evidence-based practices.  | V.H.         |
| X   | <input type="checkbox"/> | 23 | Before participants enter the peer support groups, treatment providers use an evidence-based preparatory intervention, such as 12-step facilitation therapy.   | V.I.         |
| X   | <input type="checkbox"/> | 24 | Participants prepare a continuing-care plan together with their counselor to ensure they continue to engage in pro-social activities and remain connected with a peer support group after their discharge from the Drug Court.         | V.J.         |
| X   | <input type="checkbox"/> | 25 | Where indicated, participants receive assistance finding safe, stable, and drug-free housing beginning in the first phase of Drug Court and continuing as necessary throughout their enrollment in the program.                        | VI.D.        |
| X   | <input type="checkbox"/> | 26 | Participants are assessed using a validated instrument for trauma history, trauma-related symptoms, and posttraumatic stress disorder (PTSD).  | VI.F.        |
| X   | <input type="checkbox"/> | 27 | All Drug Court team members, including court personnel and other criminal justice professionals, receive formal training on delivering trauma-informed services.   | VI.F.        |



| YES | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>   | BPS     |
|-----|--------------------------|----|--|---------|
| X   | <input type="checkbox"/> | 28 | Participants with deficient employment or academic histories receive vocational or educational services beginning in a late phase of Drug Court.   | VI.I.   |
| X   | <input type="checkbox"/> | 29 | Participants complete a brief evidence-based educational curriculum describing concrete measures they can take to prevent or reverse drug overdose.  | VI.L.   |
| X   | <input type="checkbox"/> | 30 | Clients are placed in the program within 50 days of arrest.  |         |
| X   | <input type="checkbox"/> | 31 | Team members are assigned to Drug Court for no less than two years.  |         |
| X   | <input type="checkbox"/> | 32 | All team members use electronic communication to contemporaneously communicate about Drug Court issues.  |         |
| X   | <input type="checkbox"/> | 33 | Subsequently, team members attend continuing education workshops on at least an annual basis to gain up-to-date knowledge about best practices on topics including substance abuse and mental health treatment, complementary treatment and social services, behavior modification, community supervision, drug and alcohol testing, team decision making, and constitutional and legal issues in Drug Courts. | VIII.F. |
| X   | <input type="checkbox"/> | 34 | New staff hires receive a formal orientation training on the Drug Court model and best practices in Drug Courts as soon as practicable after assuming their position and attend annual continuing education workshops thereafter.  | VIII.F. |
| X   | <input type="checkbox"/> | 35 | The Drug Court has more than 15 but less than 125 active participants.   | IX.A.*  |
| X   | <input type="checkbox"/> | 36 | The Drug Court monitors its adherence to best practice standards on at least an annual basis, develops a remedial action plan and timetable to rectify deficiencies, and examines the success of the remedial actions.   | X.A.    |
| X   | <input type="checkbox"/> | 37 | New arrests, new convictions, and new incarcerations are monitored for at least three years following each participant's entry into the Drug Court.  | X.C.    |
| X   | <input type="checkbox"/> | 38 | A skilled and independent evaluator examines the Drug Court's adherence to best practices and participant outcomes no less frequently than every five years.   | X.D.    |
| X   | <input type="checkbox"/> | 39 | Staff members are required to record information concerning the provision of services and in-program outcomes within forty-eight hours of the respective events.   | X.G.    |
| X   | <input type="checkbox"/> | 40 | The program conducts an exit interview for self-improvement.   |         |

| YES | NO                       | # | <b>NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS</b><br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i> | BPS  |
|-----|--------------------------|---|--|------|
| X   | <input type="checkbox"/> | 1 | The Drug Court offers a continuum of care for substance abuse treatment including detoxification, residential, sober living, day treatment, intensive outpatient and outpatient services.                                      | V.A. |
| X   | <input type="checkbox"/> | 2 | Treatment groups ordinarily have no more than twelve participants and at least two leaders or facilitators.  | V.E. |
| X   | <input type="checkbox"/> | 3 | Treatment providers have substantial experience working with criminal justice populations.   | V.H. |

| YES | NO                       | #  | NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS<br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i>   | BPS           |
|-----|--------------------------|----|---|---------------|
| X   | <input type="checkbox"/> | 4  | For at least the first ninety days after discharge from the Drug Court, treatment providers or clinical case managers attempt to contact previous participants periodically by telephone, mail, e-mail, or similar means to check on their progress, offer brief advice and encouragement, and provide referrals for additional treatment when indicated. | V.J.          |
| X   | <input type="checkbox"/> | 5  | Participants are assessed using a validated instrument for major mental health disorders that co-occur frequently in Drug Courts, including major depression, bipolar disorder (manic depression), posttraumatic stress disorder (PTSD), and other major anxiety disorders.   | VI.E.         |
| X   | <input type="checkbox"/> | 6  | Participants with PTSD or severe trauma-related symptoms are evaluated for their suitability for group interventions and are treated on an individual basis or in small groups when necessary to manage panic, dissociation, or severe anxiety.   | VI.F.         |
| X   | <input type="checkbox"/> | 7  | Female participants receive trauma-related services in gender-specific groups.  | VI.F.         |
| X   | <input type="checkbox"/> | 8  | Participants are required to have a stable job, be enrolled in a vocational or educational program, or be engaged in comparable pro-social activity as a condition of graduating from Drug Court.   | VI.I.         |
| X   | <input type="checkbox"/> | 9  | Participants receive immediate medical or dental treatment for conditions that are life-threatening, cause serious pain or discomfort, or may lead to long-term disability or impairment.   | VI.J.         |
| X   | <input type="checkbox"/> | 10 | Before starting a Drug Court, team members attend a formal pre-implementation training to learn from expert faculty about best practices in Drug Courts and develop fair and effective policies and procedures for the program.   | VIII.F.       |
| X   | <input type="checkbox"/> | 11 | Supervision caseloads do not exceed fifty active participants per supervision officer.  | IX.B.         |
| X   | <input type="checkbox"/> | 12 | Caseloads for clinicians must permit sufficient opportunities to assess participant needs and deliver adequate and effective dosages of substance abuse treatment and indicated complementary services.   | IX.C.         |
| X   | <input type="checkbox"/> | 13 | The Drug Court continually monitors participant outcomes during enrollment in the program, including attendance at scheduled appointments, drug and alcohol test results, graduation rates, lengths of stay, and in-program technical violations and new arrests or referrals.  | X.B.*         |
| X   | <input type="checkbox"/> | 14 | Information relating to the services provided and participants' in-program performance is entered into an electronic database. Statistical summaries from the database provide staff with real-time information concerning the Drug Court's adherence to best practices and in-program outcomes.  | X.F.          |
| X   | <input type="checkbox"/> | 15 | Outcomes are examined for all eligible participants who entered the Drug Court regardless of whether they graduated, withdrew, or were terminated from the program.   | X.H.          |
| X   | <input type="checkbox"/> | 16 | The Drug Court regularly monitors whether members of historically disadvantaged groups complete the program at equivalent rates to other participants.  | II.B.<br>X.E. |

**UTAH JUDICIAL COUNCIL**  
**ADULT DRUG COURT CERTIFICATION CHECKLIST**  
 REVISED AND ADOPTED DECEMBER 7, 2020

**COURT LOCATION:** WEBER COUNTY, OGDEN

**COURT NUMBER:** ADC28WEBER

**JUDGE NAME:** VALENCIA

**REVIEW DATE:** MARCH, 2023

*Many of the criteria enumerated in this certification checklist are restatements of the Adult Drug Court Best Practice Standards, Volume I and Volume II, published by the National Association of Drug Court Professionals (NADCP). Those are indicated by a citation in the **BPS** column following the standard. An asterisk indicates a modification of the NADCP standards.*

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>  | BPS    |
|-----|--------------------------|----|--|--------|
| X   | <input type="checkbox"/> | 1  | Eligibility and exclusion criteria are defined and applied objectively.  | I.A.   |
| X   | <input type="checkbox"/> | 2  | Eligibility and exclusion criteria are specified in writing.   | I.A.   |
| X   | <input type="checkbox"/> | 3  | The program admits only participants who are high-risk high-need, however if a program is unable to target high-risk and high need offenders as measured by RANT or some other approved and validated assessment tool, the program develops alternative tracks with services that are modified to meet risk and need levels of its participants. | I.B.*  |
| X   | <input type="checkbox"/> | 4  | Candidates for the Drug Court are assessed for eligibility using validated risk-assessment tool that has been demonstrated empirically to predict criminal recidivism or failure on community supervision and is equivalently predictive for women and racial or ethnic minority groups that are represented in the local arrestee population.   | I.C.   |
| X   | <input type="checkbox"/> | 5  | Candidates for the Drug Court are assessed for eligibility using validated clinical-assessment tool that evaluates the formal diagnostic symptoms of substance dependence or addiction.  | I.C.   |
| X   | <input type="checkbox"/> | 6  | Evaluators are trained and proficient in the administration of the assessment tools and interpretation of the results.   | I.C.   |
| X   | <input type="checkbox"/> | 7  | Current or prior offenses may not disqualify candidates from participation in the Drug Court unless empirical evidence demonstrates offenders with such records cannot be managed safely or effectively in a Drug Court.   | I.D.   |
| X   | <input type="checkbox"/> | 8  | Offenders charged with non-drug charges, drug dealing or those with violence histories are not excluded automatically from participation in the Drug Court.  | I.D.   |
| X   | <input type="checkbox"/> | 9  | If adequate treatment is available, candidates are not disqualified from participation in the Drug Court because of co-occurring mental health or medical conditions or because they have been legally prescribed psychotropic or addiction medication.  | I.E.   |
| X   | <input type="checkbox"/> | 10 | The program has a written policy addressing medically assisted treatment.  |        |
| X   | <input type="checkbox"/> | 11 | Participants ordinarily appear before the same judge throughout their enrollment in the Drug Court.  | III.C. |
| X   | <input type="checkbox"/> | 12 | The judge regularly attends pre-court staff meetings during which each participant's progress is reviewed and potential consequences for performance are discussed by the Drug Court team.   | III.D. |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS               |
|-----|--------------------------|----|---|-------------------|
| X   | <input type="checkbox"/> | 13 | Participants appear before the judge for status hearings no less frequently than every two weeks during the first phase of the program. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.            |
| X   | <input type="checkbox"/> | 14 | Status hearings are scheduled no less frequently than every four weeks until participants graduate. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.*           |
| X   | <input type="checkbox"/> | 15 | The judge allows participants a reasonable opportunity to explain their perspectives concerning factual controversies and the imposition of sanctions, incentives, and therapeutic adjustments.   | III.G.            |
| X   | <input type="checkbox"/> | 16 | If a participant has difficulty expressing him or herself because of such factors as a language barrier, nervousness, or cognitive limitation, the judge permits the participant's attorney or legal representative to assist in providing such explanations.   | IV.B.             |
| X   | <input type="checkbox"/> | 17 | The judge is the ultimate arbiter of factual controversies and makes the final decision concerning the imposition of incentives or sanctions that affect a participant's legal status or liberty.   | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 18 | The judge makes these decisions after taking into consideration the input of other Drug Court team members and discussing the matter in court with the participant or the participant's legal representative.   | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 19 | The judge relies on the expert input of duly trained treatment professionals when imposing treatment-related conditions.  | III.H.            |
| X   | <input type="checkbox"/> | 20 | Policies and procedures concerning the administration of incentives, sanctions, and therapeutic adjustments are specified in writing and communicated in advance to Drug Court participants and team members.   | IV.A.             |
| X   | <input type="checkbox"/> | 21 | The policies and procedures provide a clear indication of which behaviors may elicit an incentive, sanction, or therapeutic adjustment; the range of consequences that may be imposed for those behaviors; the criteria for phase advancement, graduation, and termination from the program; and the legal and collateral consequences that may ensue from graduation and termination.                              | IV.A.             |
| X   | <input type="checkbox"/> | 22 | The Drug Court has a range of sanctions of varying magnitudes that may be administered in response to infractions in the program.   | IV.E.             |
| X   | <input type="checkbox"/> | 23 | For goals that are difficult for participants to accomplish, such as abstaining from substance use or obtaining employment, the sanctions increase progressively in magnitude over successive infractions. For goals that are relatively easy for participants to accomplish, such as being truthful or attending counseling sessions, higher magnitude sanctions may be administered after only a few infractions. | IV.E.             |
| X   | <input type="checkbox"/> | 24 | Consequences are imposed for the non-medically indicated use of intoxicating or addictive substances, including but not limited to alcohol, cannabis (marijuana) and prescription medications, regardless of the licit or illicit status of the substance.  | IV.F.             |
| X   | <input type="checkbox"/> | 25 | Drug testing is performed at least twice per week.  | VII.A.*           |
| X   | <input type="checkbox"/> | 26 | Drug testing is random, and is available on weekends and holidays.  | VII.B.*           |
| X   | <input type="checkbox"/> | 27 | Collection of test specimens is witnessed and specimens are examined routinely for evidence of dilution, tampering and adulteration.  | VII.E*<br>VII.F.* |
| X   | <input type="checkbox"/> | 28 | Drug testing utilized by the Drug Court uses scientifically valid and reliable testing procedures and establishes a chain of custody for each specimen.   | VII.G.            |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS      |
|-----|--------------------------|----|---|----------|
| X   | <input type="checkbox"/> | 29 | Metabolite levels falling below industry- or manufacturer-recommended cutoff scores are not interpreted as evidence of new substance use or changes in substance use patterns, unless such conclusions are reached by an expert trained in toxicology, pharmacology or a related field. | VII.G.*  |
| X   | <input type="checkbox"/> | 30 | Upon entering the Drug Court, participants receive a clear and comprehensive explanation of their rights and responsibilities relating to drug and alcohol testing.   | VII.I.   |
| X   | <input type="checkbox"/> | 31 | The program requires a period of at least 90 consecutive days drug-free to graduate.  |          |
| X   | <input type="checkbox"/> | 32 | The minimum length of the program is twelve months.   |          |
| X   | <input type="checkbox"/> | 33 | Unless a participant poses an immediate risk to public safety, jail sanctions are administered after less severe consequences have been ineffective at deterring infractions.   | IV.J.    |
| X   | <input type="checkbox"/> | 34 | Jail sanctions are definite in duration and typically last no more than three to five days.   | IV.J.    |
| X   | <input type="checkbox"/> | 35 | Participants are given access to counsel and a fair hearing if a jail sanction might be imposed.  | IV.J.    |
| X   | <input type="checkbox"/> | 36 | Participants are not terminated from the Drug Court for continued substance use if they are otherwise compliant with their treatment and supervision conditions, unless they are non-amenable to the treatments that are reasonably available in their community.                       | IV.K.    |
| X   | <input type="checkbox"/> | 37 | If a participant is terminated from the Drug Court because adequate treatment is not available, the participant does not receive an augmented sentence or disposition for failing to complete the program.  | IV.K.    |
| X   | <input type="checkbox"/> | 38 | Participants are not incarcerated to achieve clinical or social service objectives such as obtaining access to detoxification services or sober living quarters.  | V.B.     |
| X   | <input type="checkbox"/> | 39 | Treatment providers are licensed or certified to deliver substance abuse treatment, as required by the Department of Human Services or other relevant licensure or certification entity.  | V.H.*    |
| X   | <input type="checkbox"/> | 40 | Participants regularly attend self-help or peer support groups in addition to professional counseling.  | V.I.     |
| X   | <input type="checkbox"/> | 41 | The peer support groups follow a structured model or curriculum such as the 12-step or Smart Recovery models.   | V.I.     |
| X   | <input type="checkbox"/> | 42 | There is a secular alternative to 12-step peer support groups.  |          |
| X   | <input type="checkbox"/> | 43 | Participants complete a final phase of the Drug Court focusing on relapse prevention and continuing care.   | V.J.     |
| X   | <input type="checkbox"/> | 44 | Participants are not excluded from participation in Drug Court because they lack a stable place of residence.   | VI.D.    |
| X   | <input type="checkbox"/> | 45 | Participants diagnosed with mental illness receive appropriate mental health services beginning in the first phase of Drug Court and continuing as needed throughout their enrollment in the program.   | VI.E.*   |
| X   | <input type="checkbox"/> | 46 | Participants are not required to participate in job seeking or vocational skills development in the early phases of drug court.   | VI.I.*   |
| X   | <input type="checkbox"/> | 47 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each staffing meeting.  | VIII.B.* |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS      |
|-----|--------------------------|----|---|----------|
| X   | <input type="checkbox"/> | 48 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each Drug Court session.  | VIII.A.* |
| X   | <input type="checkbox"/> | 49 | Pre-court staff meetings are presumptively closed to participants and the public unless the court has good reason for a participant to attend discussions related to that participant's case.   | VIII.B.  |
| X   | <input type="checkbox"/> | 50 | Participants provide voluntary and informed consent permitting team members to share specified data elements relating to participants' progress in treatment and compliance with program requirements.  | VIII.C.  |
| X   | <input type="checkbox"/> | 51 | Court fees are disclosed to each participant, are reasonable, and are based on each participant's ability to pay. Any fees assessed by the Drug Court must be reasonably related to the costs of testing or other services.   |          |
| X   | <input type="checkbox"/> | 52 | Treatment fees are based on a sliding fee schedule and are disclosed to each participant.   |          |
| X   | <input type="checkbox"/> | 53 | The Drug Court develops a remedial action plan and timetable to implement recommendations from the evaluator to improve the program's adherence to best practices.  | X.D.*    |
| X   | <input type="checkbox"/> | 54 | The Drug Court has written policies and procedures that ensure confidentiality and security of participant information, which conform to all applicable state and federal laws, including, but not limited to, Utah's Governmental Records Access and Management Act (GRAMA), the Health Insurance Portability and Accountability Act of 1996 (HIPAA), and 42 C.F.R. 2 (Confidentiality of Substance Abuse Disorder Patient Records). | VIII.C.* |

| YES | NO                       | # | PRESUMED CERTIFICATION CRITERIA<br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>   | BPS     |
|-----|--------------------------|---|---|---------|
| X   | <input type="checkbox"/> | 1 | Eligibility and exclusion criteria are communicated to potential referral sources.  | I.A.    |
| X   | <input type="checkbox"/> | 2 | The Drug Court regularly monitors the delivery of incentives and sanctions to ensure they are administered equivalently to all participants.  | II.D.   |
| X   | <input type="checkbox"/> | 3 | Each member of the Drug Court team attends up-to-date training events on recognizing implicit cultural biases and correcting disparate impacts for members of historically disadvantaged groups.  | II.F.   |
| X   | <input type="checkbox"/> | 4 | The Drug Court judge attends current training events on legal and constitutional issues in Drug Courts, judicial ethics, evidence-based substance abuse and mental health treatment, behavior modification, and community supervision.                          | III.A.  |
| X   | <input type="checkbox"/> | 5 | The judge presides over the Drug Court for no less than two consecutive years.  | III.B.  |
| X   | <input type="checkbox"/> | 6 | The Judge spends an average of at least three minutes with each participant.  | III.F.* |
| X   | <input type="checkbox"/> | 7 | The Drug Court team relies on expert medical input to determine whether a prescription for an addictive or intoxicating medication is medically indicated and whether non-addictive, non-intoxicating, and medically safe alternative treatments are available. | IV.F.   |
| X   | <input type="checkbox"/> | 8 | Phase promotion is predicated on the achievement of realistic and defined behavioral objectives, such as completing a treatment regimen or remaining drug-abstinent for a specified period of time.   | IV.I.   |

| YES NO                     | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>                       | <b>BPS</b>   |
|----------------------------|----|--|--------------|
| X <input type="checkbox"/> | 9  | Treatment is reduced only if it is determined clinically that a reduction in treatment is unlikely to precipitate a relapse to substance use.  | IV.I.        |
| X <input type="checkbox"/> | 10 | Testing regimens are not scheduled in seven-day or weekly blocks. The chances of being tested should be at least two in seven every day.   | VII.B.*      |
| X <input type="checkbox"/> | 11 | Drug test results are available within 48 hours.   | VII.H.       |
| X <input type="checkbox"/> | 12 | Participants are required to deliver a test specimen within 8 hours of being notified that a drug or alcohol test has been scheduled.  | VII.B.       |
| X <input type="checkbox"/> | 13 | Randomly selected specimens are tested periodically for a broader range of substances to detect any new drugs of abuse that might be emerging in the Drug Court population.  | VII.D.       |
| X <input type="checkbox"/> | 14 | If a participant denies substance use in response to a positive screening test, a portion of the same specimen is subjected to confirmatory analysis using an instrumented test, such as gas chromatography/mass spectrometry (GC/MS). | VII.G.       |
| X <input type="checkbox"/> | 15 | Standardized patient placement criteria govern the level of care that is provided.   | V.A.         |
| X <input type="checkbox"/> | 16 | Adjustments to the level of care are predicated on each participant's response to treatment and are not tied to the Drug Court's programmatic phase structure.   | V.A.         |
| X <input type="checkbox"/> | 17 | Participants receive a sufficient dosage and duration of substance abuse treatment to achieve long-term sobriety and recovery from addiction.  | V.D.         |
| X <input type="checkbox"/> | 18 | Participants meet with a treatment provider or clinical case manager for at least one individual session per week during the first phase of the program.   | V.E.         |
| X <input type="checkbox"/> | 19 | Participants are screened for their suitability for group interventions, and group membership is guided by evidence-based selection criteria including participants' gender, trauma histories and co-occurring psychiatric symptoms.   | V.E.         |
| X <input type="checkbox"/> | 20 | Treatment providers administer behavioral or cognitive-behavioral treatments that are documented in manuals and have been demonstrated to improve outcomes for addicted persons involved in the criminal justice system.               | V.F.<br>VI.G |
| X <input type="checkbox"/> | 21 | Treatment providers are proficient at delivering the interventions and are supervised regularly to ensure continuous fidelity to the treatment models.   | V.F.         |
| X <input type="checkbox"/> | 22 | Treatment providers are supervised regularly to ensure continuous fidelity to evidence-based practices.  | V.H.         |
| X <input type="checkbox"/> | 23 | Before participants enter the peer support groups, treatment providers use an evidence-based preparatory intervention, such as 12-step facilitation therapy.   | V.I.         |
| X <input type="checkbox"/> | 24 | Participants prepare a continuing-care plan together with their counselor to ensure they continue to engage in pro-social activities and remain connected with a peer support group after their discharge from the Drug Court.         | V.J.         |
| X <input type="checkbox"/> | 25 | Where indicated, participants receive assistance finding safe, stable, and drug-free housing beginning in the first phase of Drug Court and continuing as necessary throughout their enrollment in the program.                        | VI.D.        |
| X <input type="checkbox"/> | 26 | Participants are assessed using a validated instrument for trauma history, trauma-related symptoms, and posttraumatic stress disorder (PTSD).  | VI.F.        |
| X <input type="checkbox"/> | 27 | All Drug Court team members, including court personnel and other criminal justice professionals, receive formal training on delivering trauma-informed services.   | VI.F.        |

| YES NO                     | <b>PRESUMED CERTIFICATION CRITERIA</b> |  | <b>BPS</b> |
|----------------------------|--|--|------------|
|                            | #                                      | <i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>   |            |
| X <input type="checkbox"/> | 28                                     | Participants with deficient employment or academic histories receive vocational or educational services beginning in a late phase of Drug Court.   | VI.I.      |
| X <input type="checkbox"/> | 29                                     | Participants complete a brief evidence-based educational curriculum describing concrete measures they can take to prevent or reverse drug overdose.  | VI.L.      |
| X <input type="checkbox"/> | 30                                     | Clients are placed in the program within 50 days of arrest.  |            |
| X <input type="checkbox"/> | 31                                     | Team members are assigned to Drug Court for no less than two years.  |            |
| X <input type="checkbox"/> | 32                                     | All team members use electronic communication to contemporaneously communicate about Drug Court issues.  |            |
| X <input type="checkbox"/> | 33                                     | Subsequently, team members attend continuing education workshops on at least an annual basis to gain up-to-date knowledge about best practices on topics including substance abuse and mental health treatment, complementary treatment and social services, behavior modification, community supervision, drug and alcohol testing, team decision making, and constitutional and legal issues in Drug Courts. | VIII.F.    |
| X <input type="checkbox"/> | 34                                     | New staff hires receive a formal orientation training on the Drug Court model and best practices in Drug Courts as soon as practicable after assuming their position and attend annual continuing education workshops thereafter.  | VIII.F.    |
| X <input type="checkbox"/> | 35                                     | The Drug Court has more than 15 but less than 125 active participants.   | IX.A.*     |
| X <input type="checkbox"/> | 36                                     | The Drug Court monitors its adherence to best practice standards on at least an annual basis, develops a remedial action plan and timetable to rectify deficiencies, and examines the success of the remedial actions.   | X.A.       |
| X <input type="checkbox"/> | 37                                     | New arrests, new convictions, and new incarcerations are monitored for at least three years following each participant's entry into the Drug Court.  | X.C.       |
| X <input type="checkbox"/> | 38                                     | A skilled and independent evaluator examines the Drug Court's adherence to best practices and participant outcomes no less frequently than every five years.   | X.D.       |
| X <input type="checkbox"/> | 39                                     | Staff members are required to record information concerning the provision of services and in-program outcomes within forty-eight hours of the respective events.   | X.G.       |
| X <input type="checkbox"/> | 40                                     | The program conducts an exit interview for self-improvement.   |            |

| YES NO                     | <b>NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS</b> |   | <b>BPS</b> |
|----------------------------|--|---|------------|
|                            | #  | <i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i>                        |            |
| X <input type="checkbox"/> | 1  | The Drug Court offers a continuum of care for substance abuse treatment including detoxification, residential, sober living, day treatment, intensive outpatient and outpatient services. | V.A.       |
| X <input type="checkbox"/> | 2  | Treatment groups ordinarily have no more than twelve participants and at least two leaders or facilitators.   | V.E.       |
| X <input type="checkbox"/> | 3  | Treatment providers have substantial experience working with criminal justice populations.  | V.H.       |



| YES                      | NO                       | #  | NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS<br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i>   | BPS           |
|--------------------------|--------------------------|----|---|---------------|
| <input type="checkbox"/> | X                        | 4  | For at least the first ninety days after discharge from the Drug Court, treatment providers or clinical case managers attempt to contact previous participants periodically by telephone, mail, e-mail, or similar means to check on their progress, offer brief advice and encouragement, and provide referrals for additional treatment when indicated. | V.J.          |
| X                        | <input type="checkbox"/> | 5  | Participants are assessed using a validated instrument for major mental health disorders that co-occur frequently in Drug Courts, including major depression, bipolar disorder (manic depression), posttraumatic stress disorder (PTSD), and other major anxiety disorders.   | VI.E.         |
| X                        | <input type="checkbox"/> | 6  | Participants with PTSD or severe trauma-related symptoms are evaluated for their suitability for group interventions and are treated on an individual basis or in small groups when necessary to manage panic, dissociation, or severe anxiety.   | VI.F.         |
| X                        | <input type="checkbox"/> | 7  | Female participants receive trauma-related services in gender-specific groups.  | VI.F.         |
| X                        | <input type="checkbox"/> | 8  | Participants are required to have a stable job, be enrolled in a vocational or educational program, or be engaged in comparable pro-social activity as a condition of graduating from Drug Court.   | VI.I.         |
| X                        | <input type="checkbox"/> | 9  | Participants receive immediate medical or dental treatment for conditions that are life-threatening, cause serious pain or discomfort, or may lead to long-term disability or impairment.   | VI.J.         |
| <input type="checkbox"/> | X                        | 10 | Before starting a Drug Court, team members attend a formal pre-implementation training to learn from expert faculty about best practices in Drug Courts and develop fair and effective policies and procedures for the program.   | VIII.F.       |
| X                        | <input type="checkbox"/> | 11 | Supervision caseloads do not exceed fifty active participants per supervision officer.  | IX.B.         |
| X                        | <input type="checkbox"/> | 12 | Caseloads for clinicians must permit sufficient opportunities to assess participant needs and deliver adequate and effective dosages of substance abuse treatment and indicated complementary services.   | IX.C.         |
| X                        | <input type="checkbox"/> | 13 | The Drug Court continually monitors participant outcomes during enrollment in the program, including attendance at scheduled appointments, drug and alcohol test results, graduation rates, lengths of stay, and in-program technical violations and new arrests or referrals.  | X.B.*         |
| X                        | <input type="checkbox"/> | 14 | Information relating to the services provided and participants' in-program performance is entered into an electronic database. Statistical summaries from the database provide staff with real-time information concerning the Drug Court's adherence to best practices and in-program outcomes.  | X.F.          |
| X                        | <input type="checkbox"/> | 15 | Outcomes are examined for all eligible participants who entered the Drug Court regardless of whether they graduated, withdrew, or were terminated from the program.   | X.H.          |
| <input type="checkbox"/> | X                        | 16 | The Drug Court regularly monitors whether members of historically disadvantaged groups complete the program at equivalent rates to other participants.  | II.B.<br>X.E. |

**UTAH JUDICIAL COUNCIL**  
**ADULT DUI COURT CERTIFICATION CHECKLIST**  
 REVISED AND ADOPTED DECEMBER 7, 2020

**COURT LOCATION:** WEBER COUNTY, OGDEN

**COURT NUMBER:** ADC29WEBER

**JUDGE NAME:** NEIDER

**REVIEW DATE:** MARCH, 2023

*Many of the criteria enumerated in this certification checklist are restatements of the Adult Drug Court Best Practice Standards, Volume I and Volume II, published by the National Association of Drug Court Professionals (NADCP). Those are indicated by a citation in the **BPS** column following the standard. An asterisk indicates a modification of the NADCP standard.*

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>  | BPS    |
|-----|--------------------------|----|--|--------|
| X   | <input type="checkbox"/> | 1  | Eligibility and exclusion criteria are defined and applied objectively.  | I.A.   |
| X   | <input type="checkbox"/> | 2  | Eligibility and exclusion criteria are specified in writing.   | I.A.   |
| X   | <input type="checkbox"/> | 3  | The program admits only participants who are high-risk, high-need, however, if a program is unable to target high-risk and high-need offenders as measured by RANT or some other approved and validated assessment tool, the program develops alternative tracks with services that are modified to meet risk and need levels of its participants. | I.B.*  |
| X   | <input type="checkbox"/> | 4  | Candidates for the Dui court are assessed for eligibility using validated risk-assessment tool that has been demonstrated empirically to predict criminal recidivism or failure on community supervision and is equivalently predictive for women and racial or ethnic minority groups that are represented in the local arrestee population.      | I.C.   |
| X   | <input type="checkbox"/> | 5  | Candidates for the Dui court are assessed for eligibility using validated clinical-assessment tool that evaluates the formal diagnostic symptoms of substance dependence or addiction.   | I.C.   |
| X   | <input type="checkbox"/> | 6  | Evaluators are trained and proficient in the administration of the assessment tools and interpretation of the results.   | I.C.   |
| X   | <input type="checkbox"/> | 7  | Current or prior offenses may not disqualify candidates from participation in the Dui court unless empirical evidence demonstrates offenders with such records cannot be managed safely or effectively in a Dui court.   | I.D.   |
| X   | <input type="checkbox"/> | 8  | Offenders charged with non-drug charges, drug dealing or those with violence histories are not excluded automatically from participation in the Dui court.   | I.D.   |
| X   | <input type="checkbox"/> | 9  | If adequate treatment is available, candidates are not disqualified from participation in the Dui court because of co-occurring mental health or medical conditions or because they have been legally prescribed psychotropic or addiction medication.   | I.E.   |
| X   | <input type="checkbox"/> | 10 | The program has a written policy addressing medically assisted treatment.  |        |
| X   | <input type="checkbox"/> | 11 | Participants ordinarily appear before the same judge throughout their enrollment in the Dui court.   | III.C. |
| X   | <input type="checkbox"/> | 12 | The judge regularly attends pre-court staff meetings during which each participant's progress is reviewed and potential consequences for performance are discussed by the Dui court team.  | III.D. |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS               |
|-----|--------------------------|----|---|-------------------|
| X   | <input type="checkbox"/> | 13 | Participants appear before the judge for status hearings no less frequently than every two weeks during the first phase of the program. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.            |
| X   | <input type="checkbox"/> | 14 | Status hearings are scheduled no less frequently than every four weeks until participants graduate. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.*           |
| X   | <input type="checkbox"/> | 15 | The judge allows participants a reasonable opportunity to explain their perspectives concerning factual controversies and the imposition of sanctions, incentives, and therapeutic adjustments.   | III.G.            |
| X   | <input type="checkbox"/> | 16 | If a participant has difficulty expressing him or herself because of such factors as a language barrier, nervousness, or cognitive limitation, the judge permits the participant's attorney or legal representative to assist in providing such explanations.   | IV.B.             |
| X   | <input type="checkbox"/> | 17 | The judge is the ultimate arbiter of factual controversies and makes the final decision concerning the imposition of incentives or sanctions that affect a participant's legal status or liberty.   | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 18 | The judge makes these decisions after taking into consideration the input of other Dui court team members and discussing the matter in court with the participant or the participant's legal representative.  | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 19 | The judge relies on the expert input of duly trained treatment professionals when imposing treatment-related conditions.  | III.H.            |
| X   | <input type="checkbox"/> | 20 | Policies and procedures concerning the administration of incentives, sanctions, and therapeutic adjustments are specified in writing and communicated in advance to Dui court participants and team members.  | IV.A.             |
| X   | <input type="checkbox"/> | 21 | The policies and procedures provide a clear indication of which behaviors may elicit an incentive, sanction, or therapeutic adjustment; the range of consequences that may be imposed for those behaviors; the criteria for phase advancement, graduation, and termination from the program; and the legal and collateral consequences that may ensue from graduation and termination.                              | IV.A.             |
| X   | <input type="checkbox"/> | 22 | The Dui court has a range of sanctions of varying magnitudes that may be administered in response to infractions in the program.  | IV.E.             |
| X   | <input type="checkbox"/> | 23 | For goals that are difficult for participants to accomplish, such as abstaining from substance use or obtaining employment, the sanctions increase progressively in magnitude over successive infractions. For goals that are relatively easy for participants to accomplish, such as being truthful or attending counseling sessions, higher magnitude sanctions may be administered after only a few infractions. | IV.E.             |
| X   | <input type="checkbox"/> | 24 | Consequences are imposed for the non-medically indicated use of intoxicating or addictive substances, including but not limited to alcohol, cannabis (marijuana) and prescription medications, regardless of the licit or illicit status of the substance.  | IV.F.             |
| X   | <input type="checkbox"/> | 25 | Drug and/or alcohol testing is performed at least twice per week.   | VII.A.*           |
| X   | <input type="checkbox"/> | 26 | Drug and/or alcohol testing is random, and is available on weekends and holidays.   | VII.B.*           |
| X   | <input type="checkbox"/> | 27 | Collection of test specimens is witnessed and specimens are examined routinely for evidence of dilution, tampering and adulteration.  | VII.E*<br>VII.F.* |
| X   | <input type="checkbox"/> | 28 | Drug testing utilized by the Dui court uses scientifically valid and reliable testing procedures and establishes a chain of custody for each specimen.  | VII.G.            |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS      |
|-----|--------------------------|----|---|----------|
| X   | <input type="checkbox"/> | 29 | Metabolite levels falling below industry- or manufacturer-recommended cutoff scores are not interpreted as evidence of new substance use or changes in substance use patterns, unless such conclusions are reached by an expert trained in toxicology, pharmacology or a related field. | VII.G.*  |
| X   | <input type="checkbox"/> | 30 | Upon entering the Dui court, participants receive a clear and comprehensive explanation of their rights and responsibilities relating to drug and alcohol testing.  | VII.I.   |
| X   | <input type="checkbox"/> | 31 | The program requires a period of at least 90 consecutive days drug and alcohol-free to graduate.  |          |
| X   | <input type="checkbox"/> | 32 | The minimum length of the program is twelve months.   |          |
| X   | <input type="checkbox"/> | 33 | Unless a participant poses an immediate risk to public safety, jail sanctions are administered after less severe consequences have been ineffective at deterring infractions.   | IV.J.    |
| X   | <input type="checkbox"/> | 34 | Jail sanctions are definite in duration and typically last no more than three to five days.   | IV.J.    |
| X   | <input type="checkbox"/> | 35 | Participants are given access to counsel and a fair hearing if a jail sanction might be imposed.  | IV.J.    |
| X   | <input type="checkbox"/> | 36 | Participants are not terminated from the Dui court for continued substance use if they are otherwise compliant with their treatment and supervision conditions, unless they are non-amenable to the treatments that are reasonably available in their community.                        | IV.K.    |
| X   | <input type="checkbox"/> | 37 | If a participant is terminated from the Dui court because adequate treatment is not available, the participant does not receive an augmented sentence or disposition for failing to complete the program.   | IV.K.    |
| X   | <input type="checkbox"/> | 38 | Participants are not incarcerated to achieve clinical or social service objectives such as obtaining access to detoxification services or sober living quarters.  | V.B.     |
| X   | <input type="checkbox"/> | 39 | Treatment providers are licensed or certified to deliver substance abuse treatment, as required by the Department of Human Services or other relevant licensure or certification entity.  | V.H.*    |
| X   | <input type="checkbox"/> | 40 | Participants regularly attend self-help or peer support groups in addition to professional counseling.  | V.I.     |
| X   | <input type="checkbox"/> | 41 | The peer support groups follow a structured model or curriculum such as the 12-step or Smart Recovery models.   | V.I.     |
| X   | <input type="checkbox"/> | 42 | There is a secular alternative to 12-step peer support groups.  |          |
| X   | <input type="checkbox"/> | 43 | Participants complete a final phase of the Dui court focusing on relapse prevention and continuing care.  | V.J.     |
| X   | <input type="checkbox"/> | 44 | Participants are not excluded from participation in Dui court because they lack a stable place of residence.  | VI.D.    |
| X   | <input type="checkbox"/> | 45 | Participants diagnosed with mental illness receive appropriate mental health services beginning in the first phase of Dui court and continuing as needed throughout their enrollment in the program.  | VI.E.*   |
| X   | <input type="checkbox"/> | 46 | Participants are not required to participate in job seeking or vocational skills development in the early phases of dui court.  | VI.I.*   |
| X   | <input type="checkbox"/> | 47 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each staffing meeting.  | VIII.B.* |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>  | BPS      |
|-----|--------------------------|----|--|----------|
| X   | <input type="checkbox"/> | 48 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each Dui court session.  | VIII.A.* |
| X   | <input type="checkbox"/> | 49 | Pre-court staff meetings are presumptively closed to participants and the public unless the court has good reason for a participant to attend discussions related to that participant's case.  | VIII.B.  |
| X   | <input type="checkbox"/> | 50 | Participants provide voluntary and informed consent permitting team members to share specified data elements relating to participants' progress in treatment and compliance with program requirements.   | VIII.C.  |
| X   | <input type="checkbox"/> | 51 | Court fees are disclosed to each participant, are reasonable, and are based on each participant's ability to pay. Any fees assessed by the Dui court must be reasonably related to the costs of testing or other services.   |          |
| X   | <input type="checkbox"/> | 52 | Treatment fees are based on a sliding fee schedule and are disclosed to each participant.  |          |
| X   | <input type="checkbox"/> | 53 | The Dui court develops a remedial action plan and timetable to implement recommendations from the evaluator to improve the program's adherence to best practices.  | X.D.*    |
| X   | <input type="checkbox"/> | 54 | The Dui court has written policies and procedures that ensure confidentiality and security of participant information, which conform to all applicable state and federal laws, including, but not limited to, Utah's Governmental Records Access and Management Act (GRAMA), the Health Insurance Portability and Accountability Act of 1996 (HIPAA), and 42 C.F.R. 2 (Confidentiality of Substance Abuse Disorder Patient Records). | VIII.C.* |

| YES | NO                       | # | PRESUMED CERTIFICATION CRITERIA<br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>  | BPS     |
|-----|--------------------------|---|--|---------|
| X   | <input type="checkbox"/> | 1 | Eligibility and exclusion criteria are communicated to potential referral sources.   | I.A.    |
| X   | <input type="checkbox"/> | 2 | The Dui court regularly monitors the delivery of incentives and sanctions to ensure they are administered equivalently to all participants.  | II.D.   |
| X   | <input type="checkbox"/> | 3 | Each member of the Dui court team attends up-to-date training events on recognizing implicit cultural biases and correcting disparate impacts for members of historically disadvantaged groups.  | II.F.   |
| X   | <input type="checkbox"/> | 4 | The Dui court judge attends current training events on legal and constitutional issues in Dui courts, judicial ethics, evidence-based substance abuse and mental health treatment, behavior modification, and community supervision.                           | III.A.  |
| X   | <input type="checkbox"/> | 5 | The judge presides over the Dui court for no less than two consecutive years.  | III.B.  |
| X   | <input type="checkbox"/> | 6 | The Judge spends an average of at least three minutes with each participant.   | III.F.* |
| X   | <input type="checkbox"/> | 7 | The Dui court team relies on expert medical input to determine whether a prescription for an addictive or intoxicating medication is medically indicated and whether non-addictive, non-intoxicating, and medically safe alternative treatments are available. | IV.F.   |
| X   | <input type="checkbox"/> | 8 | Phase promotion is predicated on the achievement of realistic and defined behavioral objectives, such as completing a treatment regimen or remaining drug-abstinent for a specified period of time.  | IV.I.   |

| YES | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>                       | <b>BPS</b>   |
|-----|--------------------------|----|--|--------------|
| X   | <input type="checkbox"/> | 9  | Treatment is reduced only if it is determined clinically that a reduction in treatment is unlikely to precipitate a relapse to substance use.  | IV.I.        |
| X   | <input type="checkbox"/> | 10 | Testing regimens are not scheduled in seven-day or weekly blocks. The chances of being tested should be at least two in seven every day.   | VII.B.*      |
| X   | <input type="checkbox"/> | 11 | Drug test results are available within 48 hours.   | VII.H.       |
| X   | <input type="checkbox"/> | 12 | Participants are required to deliver a test specimen within 8 hours of being notified that a drug or alcohol test has been scheduled.  | VII.B.       |
| X   | <input type="checkbox"/> | 13 | Randomly selected specimens are tested periodically for a broader range of substances to detect any new drugs of abuse that might be emerging in the Dui court population.   | VII.D.       |
| X   | <input type="checkbox"/> | 14 | If a participant denies substance use in response to a positive screening test, a portion of the same specimen is subjected to confirmatory analysis using an instrumented test, such as gas chromatography/mass spectrometry (GC/MS). | VII.G.       |
| X   | <input type="checkbox"/> | 15 | Standardized patient placement criteria govern the level of care that is provided.   | V.A.         |
| X   | <input type="checkbox"/> | 16 | Adjustments to the level of care are predicated on each participant's response to treatment and are not tied to the Dui court's programmatic phase structure.  | V.A.         |
| X   | <input type="checkbox"/> | 17 | Participants receive a sufficient dosage and duration of substance abuse treatment to achieve long-term sobriety and recovery from addiction.  | V.D.         |
| X   | <input type="checkbox"/> | 18 | Participants meet with a treatment provider or clinical case manager for at least one individual session per week during the first phase of the program.   | V.E.         |
| X   | <input type="checkbox"/> | 19 | Participants are screened for their suitability for group interventions, and group membership is guided by evidence-based selection criteria including participants' gender, trauma histories and co-occurring psychiatric symptoms.   | V.E.         |
| X   | <input type="checkbox"/> | 20 | Treatment providers administer behavioral or cognitive-behavioral treatments that are documented in manuals and have been demonstrated to improve outcomes for addicted persons involved in the criminal justice system.               | V.F.<br>VI.G |
| X   | <input type="checkbox"/> | 21 | Treatment providers are proficient at delivering the interventions and are supervised regularly to ensure continuous fidelity to the treatment models.   | V.F.         |
| X   | <input type="checkbox"/> | 22 | Treatment providers are supervised regularly to ensure continuous fidelity to evidence-based practices.  | V.H.         |
| X   | <input type="checkbox"/> | 23 | Before participants enter the peer support groups, treatment providers use an evidence-based preparatory intervention, such as 12-step facilitation therapy.   | V.I.         |
| X   | <input type="checkbox"/> | 24 | Participants prepare a continuing-care plan together with their counselor to ensure they continue to engage in pro-social activities and remain connected with a peer support group after their discharge from the Dui court.          | V.J.         |
| X   | <input type="checkbox"/> | 25 | Where indicated, participants receive assistance finding safe, stable, and drug-free housing beginning in the first phase of Dui court and continuing as necessary throughout their enrollment in the program.                         | VI.D.        |
| X   | <input type="checkbox"/> | 26 | Participants are assessed using a validated instrument for trauma history, trauma-related symptoms, and posttraumatic stress disorder (PTSD).  | VI.F.        |
| X   | <input type="checkbox"/> | 27 | All Dui court team members, including court personnel and other criminal justice professionals, receive formal training on delivering trauma-informed services.  | VI.F.        |

| YES | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>  | BPS     |
|-----|--------------------------|----|---|---------|
| X   | <input type="checkbox"/> | 28 | Participants with deficient employment or academic histories receive vocational or educational services beginning in a late phase of Dui court.   | VI.I.   |
| X   | <input type="checkbox"/> | 29 | Participants complete a brief evidence-based educational curriculum describing concrete measures they can take to prevent or reverse drug overdose.   | VI.L.   |
| X   | <input type="checkbox"/> | 30 | Clients are placed in the program within 50 days of arrest.   |         |
| X   | <input type="checkbox"/> | 31 | Team members are assigned to Dui court for no less than two years.  |         |
| X   | <input type="checkbox"/> | 32 | All team members use electronic communication to contemporaneously communicate about Dui court issues.  |         |
| X   | <input type="checkbox"/> | 33 | Subsequently, team members attend continuing education workshops on at least an annual basis to gain up-to-date knowledge about best practices on topics including substance abuse and mental health treatment, complementary treatment and social services, behavior modification, community supervision, drug and alcohol testing, team decision making, and constitutional and legal issues in Dui courts. | VIII.F. |
| X   | <input type="checkbox"/> | 34 | New staff hires receive a formal orientation training on the Dui court model and best practices in Dui courts as soon as practicable after assuming their position and attend annual continuing education workshops thereafter.   | VIII.F. |
| X   | <input type="checkbox"/> | 35 | The Dui court has more than 15 but less than 125 active participants.   | IX.A.*  |
| X   | <input type="checkbox"/> | 36 | The Dui court monitors its adherence to best practice standards on at least an annual basis, develops a remedial action plan and timetable to rectify deficiencies, and examines the success of the remedial actions.   | X.A.    |
| X   | <input type="checkbox"/> | 37 | New arrests, new convictions, and new incarcerations are monitored for at least three years following each participant's entry into the Dui court.  | X.C.    |
| X   | <input type="checkbox"/> | 38 | A skilled and independent evaluator examines the Dui court's adherence to best practices and participant outcomes no less frequently than every five years.   | X.D.    |
| X   | <input type="checkbox"/> | 39 | Staff members are required to record information concerning the provision of services and in-program outcomes within forty-eight hours of the respective events.  | X.G.    |
| X   | <input type="checkbox"/> | 40 | The program conducts an exit interview for self-improvement.  |         |

| YES | NO                       | # | <b>NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS</b><br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i> | BPS  |
|-----|--------------------------|---|--|------|
| X   | <input type="checkbox"/> | 1 | The Dui court offers a continuum of care for substance abuse treatment including detoxification, residential, sober living, day treatment, intensive outpatient and outpatient services.                                       | V.A. |
| X   | <input type="checkbox"/> | 2 | Treatment groups ordinarily have no more than twelve participants and at least two leaders or facilitators.  | V.E. |
| X   | <input type="checkbox"/> | 3 | Treatment providers have substantial experience working with criminal justice populations.   | V.H. |

| YES                      | NO                       | #  | NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS<br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i>  | BPS           |
|--------------------------|--------------------------|----|--|---------------|
| <input type="checkbox"/> | X                        | 4  | For at least the first ninety days after discharge from the Dui court, treatment providers or clinical case managers attempt to contact previous participants periodically by telephone, mail, e-mail, or similar means to check on their progress, offer brief advice and encouragement, and provide referrals for additional treatment when indicated. | V.J.          |
| X                        | <input type="checkbox"/> | 5  | Participants are assessed using a validated instrument for major mental health disorders that co-occur frequently in Dui courts, including major depression, bipolar disorder (manic depression), posttraumatic stress disorder (PTSD), and other major anxiety disorders.   | VI.E.         |
| X                        | <input type="checkbox"/> | 6  | Participants with PTSD or severe trauma-related symptoms are evaluated for their suitability for group interventions and are treated on an individual basis or in small groups when necessary to manage panic, dissociation, or severe anxiety.  | VI.F.         |
| X                        | <input type="checkbox"/> | 7  | Female participants receive trauma-related services in gender-specific groups.   | VI.F.         |
| X                        | <input type="checkbox"/> | 8  | Participants are required to have a stable job, be enrolled in a vocational or educational program, or be engaged in comparable pro-social activity as a condition of graduating from Dui court.   | VI.I.         |
| X                        | <input type="checkbox"/> | 9  | Participants receive immediate medical or dental treatment for conditions that are life-threatening, cause serious pain or discomfort, or may lead to long-term disability or impairment.  | VI.J.         |
| <input type="checkbox"/> | X                        | 10 | Before starting a Dui court, team members attend a formal pre-implementation training to learn from expert faculty about best practices in Dui courts and develop fair and effective policies and procedures for the program.  | VIII.F.       |
| X                        | <input type="checkbox"/> | 11 | Supervision caseloads do not exceed fifty active participants per supervision officer.   | IX.B.         |
| X                        | <input type="checkbox"/> | 12 | Caseloads for clinicians must permit sufficient opportunities to assess participant needs and deliver adequate and effective dosages of substance abuse treatment and indicated complementary services.  | IX.C.         |
| X                        | <input type="checkbox"/> | 13 | The Dui court continually monitors participant outcomes during enrollment in the program, including attendance at scheduled appointments, drug and alcohol test results, graduation rates, lengths of stay, and in-program technical violations and new arrests or referrals.  | X.B.*         |
| X                        | <input type="checkbox"/> | 14 | Information relating to the services provided and participants' in-program performance is entered into an electronic database. Statistical summaries from the database provide staff with real-time information concerning the Dui court's adherence to best practices and in-program outcomes.  | X.F.          |
| X                        | <input type="checkbox"/> | 15 | Outcomes are examined for all eligible participants who entered the Dui court regardless of whether they graduated, withdrew, or were terminated from the program.   | X.H.          |
| <input type="checkbox"/> | X                        | 16 | The Dui court regularly monitors whether members of historically disadvantaged groups complete the program at equivalent rates to other participants.  | II.B.<br>X.E. |



**UTAH JUDICIAL COUNCIL**  
**MENTAL HEALTH COURT CERTIFICATION CHECKLIST**  
 REVISED AND ADOPTED DECEMBER 7, 2020

**COURT LOCATION:** WASHINGTON COUNTY, ST GEORGE

**COURT NUMBER:** AMHC11WASHINGTON

**NAME:** WESTFALL

**REVIEW DATE:** FEBRUARY, 2023

Many of the criteria enumerated in this certification checklist are restatements of the Adult Drug Court Best Practice Standards, Volume I and Volume II, published by the National Association of Drug Court Professionals (NADCP). Those are indicated by a citation in the **BPS** column following the standard. An asterisk indicates a modification of the NADCP standard.

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS    |
|-----|--------------------------|----|---|--------|
| X   | <input type="checkbox"/> | 1  | Eligibility and exclusion criteria are defined and applied objectively.   | I.A.   |
| X   | <input type="checkbox"/> | 2  | Eligibility and exclusion criteria are specified in writing.  | I.A.   |
| X   | <input type="checkbox"/> | 3  | The program admits only participants who are high-risk, high-need, however, if a program is unable to target high risk and high need offenders as measured by the RANT or some other approved and validated assessment tool, the program develops alternative tracks with services that are modified to meet risk and need levels of its participants.  | I.B.*  |
| X   | <input type="checkbox"/> | 4  | Candidates for the Mental health Court are assessed for eligibility using validated risk-assessment tool that has been demonstrated empirically to predict criminal recidivism or failure on community supervision and is equivalently predictive for women and racial or ethnic minority groups that are represented in the local arrestee population. | I.C.   |
| X   | <input type="checkbox"/> | 5  | Candidates for the Mental health Court are assessed for eligibility using validated clinical-assessment tool that evaluates the formal diagnostic symptoms of substance dependence or addiction.  | I.C.   |
| X   | <input type="checkbox"/> | 6  | Evaluators are trained and proficient in the administration of the assessment tools and interpretation of the results.  | I.C.   |
| X   | <input type="checkbox"/> | 7  | Current or prior offenses may not disqualify candidates from participation in the Mental health Court unless empirical evidence demonstrates offenders with such records cannot be managed safely or effectively in a Mental health Court.  | I.D.   |
| X   | <input type="checkbox"/> | 8  | Offenders charged with non-drug charges, drug dealing or those with violence histories are not excluded automatically from participation in the Mental health Court.  | I.D.   |
| X   | <input type="checkbox"/> | 9  | If adequate treatment is available, candidates are not disqualified from participation in the Mental health Court because of co-occurring mental health or medical conditions or because they have been legally prescribed psychotropic or addiction medication.  | I.E.   |
| X   | <input type="checkbox"/> | 10 | The program has a written policy addressing medically assisted treatment.   |        |
| X   | <input type="checkbox"/> | 11 | Participants ordinarily appear before the same judge throughout their enrollment in the Mental health Court.  | III.C. |
| X   | <input type="checkbox"/> | 12 | The judge regularly attends pre-court staff meetings during which each participant's progress is reviewed and potential consequences for performance are discussed by the Mental health Court team.   | III.D. |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS               |
|-----|--------------------------|----|---|-------------------|
| X   | <input type="checkbox"/> | 13 | Participants appear before the judge for status hearings no less frequently than every two weeks during the first phase of the program. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.            |
| X   | <input type="checkbox"/> | 14 | Status hearings are scheduled no less frequently than every four weeks until participants graduate. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.*           |
|     |                          |    |   |                   |
| X   | <input type="checkbox"/> | 15 | The judge allows participants a reasonable opportunity to explain their perspectives concerning factual controversies and the imposition of sanctions, incentives, and therapeutic adjustments.   | III.G.            |
| X   | <input type="checkbox"/> | 16 | If a participant has difficulty expressing him or herself because of such factors as a language barrier, nervousness, or cognitive limitation, the judge permits the participant's attorney or legal representative to assist in providing such explanations.   | IV.B.             |
| X   | <input type="checkbox"/> | 17 | The judge is the ultimate arbiter of factual controversies and makes the final decision concerning the imposition of incentives or sanctions that affect a participant's legal status or liberty.   | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 18 | The judge makes these decisions after taking into consideration the input of other Mental health Court team members and discussing the matter in court with the participant or the participant's legal representative.  | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 19 | The judge relies on the expert input of duly trained treatment professionals when imposing treatment-related conditions.  | III.H.            |
| X   | <input type="checkbox"/> | 20 | Policies and procedures concerning the administration of incentives, sanctions, and therapeutic adjustments are specified in writing and communicated in advance to Mental health Court participants and team members.  | IV.A.             |
| X   | <input type="checkbox"/> | 21 | The policies and procedures provide a clear indication of which behaviors may elicit an incentive, sanction, or therapeutic adjustment; the range of consequences that may be imposed for those behaviors; the criteria for phase advancement, graduation, and termination from the program; and the legal and collateral consequences that may ensue from graduation and termination.                              | IV.A.             |
| X   | <input type="checkbox"/> | 22 | The Mental health Court has a range of sanctions of varying magnitudes that may be administered in response to infractions in the program.  | IV.E.             |
| X   | <input type="checkbox"/> | 23 | For goals that are difficult for participants to accomplish, such as abstaining from substance use or obtaining employment, the sanctions increase progressively in magnitude over successive infractions. For goals that are relatively easy for participants to accomplish, such as being truthful or attending counseling sessions, higher magnitude sanctions may be administered after only a few infractions. | IV.E.             |
| X   | <input type="checkbox"/> | 24 | Consequences are imposed for the non-medically indicated use of intoxicating or addictive substances, including but not limited to alcohol, cannabis (marijuana) and prescription medications, regardless of the licit or illicit status of the substance.  | IV.F.             |
| X   | <input type="checkbox"/> | 25 | Drug testing is performed at least twice per week.  | VII.A.*           |
| X   | <input type="checkbox"/> | 26 | Drug testing is random, and is available on weekends and holidays.  | VII.B.*           |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS               |
|-----|--------------------------|----|---|-------------------|
| X   | <input type="checkbox"/> | 27 | Collection of test specimens is witnessed and specimens are examined routinely for evidence of dilution, tampering and adulteration.  | VII.E*<br>VII.F.* |
| X   | <input type="checkbox"/> | 28 | Drug testing utilized by the Mental health Court uses scientifically valid and reliable testing procedures and establishes a chain of custody for each specimen.  | VII.G.            |
| X   | <input type="checkbox"/> | 29 | Metabolite levels falling below industry- or manufacturer-recommended cutoff scores are not interpreted as evidence of new substance use or changes in substance use patterns, unless such conclusions are reached by an expert trained in toxicology, pharmacology or a related field. | VII.G.*           |
| X   | <input type="checkbox"/> | 30 | Upon entering the Mental health Court, participants receive a clear and comprehensive explanation of their rights and responsibilities relating to drug and alcohol testing.  | VII.I.            |
| X   | <input type="checkbox"/> | 31 | The program requires a period of at least 90 consecutive days drug-free to graduate.  |                   |
| X   | <input type="checkbox"/> | 32 | The minimum length of the program is twelve months.   |                   |
| X   | <input type="checkbox"/> | 33 | Unless a participant poses an immediate risk to public safety, jail sanctions are administered after less severe consequences have been ineffective at deterring infractions.   | IV.J.             |
| X   | <input type="checkbox"/> | 34 | Jail sanctions are definite in duration and typically last no more than three to five days.   | IV.J.             |
| X   | <input type="checkbox"/> | 35 | Participants are given access to counsel and a fair hearing if a jail sanction might be imposed.  | IV.J.             |
| X   | <input type="checkbox"/> | 36 | Participants are not terminated from the Mental health Court for continued substance use if they are otherwise compliant with their treatment and supervision conditions, unless they are non-amenable to the treatments that are reasonably available in their community.              | IV.K.             |
| X   | <input type="checkbox"/> | 37 | If a participant is terminated from the Mental health Court because adequate treatment is not available, the participant does not receive an augmented sentence or disposition for failing to complete the program.   | IV.K.             |
| X   | <input type="checkbox"/> | 38 | Participants are not incarcerated to achieve clinical or social service objectives such as obtaining access to detoxification services or sober living quarters.  | V.B.              |
| X   | <input type="checkbox"/> | 39 | Treatment providers are licensed or certified to deliver substance abuse treatment, as required by the Department of Human Services or other relevant licensure or certification entity.  | V.H.*             |
| X   | <input type="checkbox"/> | 40 | Participants regularly attend self-help or peer support groups in addition to professional counseling.  | V.I.              |
| X   | <input type="checkbox"/> | 41 | The peer support groups follow a structured model or curriculum such as the 12-step or Smart Recovery models.   | V.I.              |
| X   | <input type="checkbox"/> | 42 | There is a secular alternative to 12-step peer support groups.  |                   |
| X   | <input type="checkbox"/> | 43 | Participants complete a final phase of the Mental health Court focusing on relapse prevention and continuing care.  | V.J.              |
| X   | <input type="checkbox"/> | 44 | Participants are not excluded from participation in Mental health Court because they lack a stable place of residence.  | VI.D.             |
| X   | <input type="checkbox"/> | 45 | Participants diagnosed with mental illness receive appropriate mental health services beginning in the first phase of Mental health Court and continuing as needed throughout their enrollment in the program.  | VI.E.*            |

| YES | NO                       | #  | <b>REQUIRED CERTIFICATION CRITERIA</b><br><i>Adherence to these standards is required for certification.</i>   | BPS      |
|-----|--------------------------|----|--|----------|
| X   | <input type="checkbox"/> | 46 | Participants are not required to participate in job seeking or vocational skills development in the early phases of mental health court.   | VI.I.*   |
| X   | <input type="checkbox"/> | 47 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each staffing meeting.   | VIII.B.* |
| X   | <input type="checkbox"/> | 48 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each Mental health Court session.  | VIII.A.* |
| X   | <input type="checkbox"/> | 49 | Pre-court staff meetings are presumptively closed to participants and the public unless the court has good reason for a participant to attend discussions related to that participant's case.  | VIII.B.  |
| X   | <input type="checkbox"/> | 50 | Participants provide voluntary and informed consent permitting team members to share specified data elements relating to participants' progress in treatment and compliance with program requirements.   | VIII.C.  |
| X   | <input type="checkbox"/> | 51 | Court fees are disclosed to each participant, are reasonable, and are based on each participant's ability to pay. Any fees assessed by the Mental health Court must be reasonably related to the costs of testing or other services.   |          |
| X   | <input type="checkbox"/> | 52 | Treatment fees are based on a sliding fee schedule and are disclosed to each participant.  |          |
| X   | <input type="checkbox"/> | 53 | The Mental health Court develops a remedial action plan and timetable to implement recommendations from the evaluator to improve the program's adherence to best practices.  | X.D.*    |
| X   | <input type="checkbox"/> | 54 | The Mental health Court has written policies and procedures that ensure confidentiality and security of participant information, which conform to all applicable state and federal laws, including, but not limited to, Utah's Governmental Records Access and Management Act (GRAMA), the Health Insurance Portability and Accountability Act of 1996 (HIPAA), and 42 C.F.R. 2 (Confidentiality of Substance Abuse Disorder Patient Records). | VIII.C.* |

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| YES | NO                       | # | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>   | BPS     |
|-----|--------------------------|---|--|---------|
| X   | <input type="checkbox"/> | 1 | Eligibility and exclusion criteria are communicated to potential referral sources.   | I.A.    |
| X   | <input type="checkbox"/> | 2 | The Mental health Court regularly monitors the delivery of incentives and sanctions to ensure they are administered equivalently to all participants.  | II.D.   |
| X   | <input type="checkbox"/> | 3 | Each member of the Mental health Court team attends up-to-date training events on recognizing implicit cultural biases and correcting disparate impacts for members of historically disadvantaged groups.  | II.F.   |
| X   | <input type="checkbox"/> | 4 | The Mental health Court judge attends current training events on legal and constitutional issues in Mental health Courts, judicial ethics, evidence-based substance abuse and mental health treatment, behavior modification, and community supervision. | III.A.  |
| X   | <input type="checkbox"/> | 5 | The judge presides over the Mental health Court for no less than two consecutive years.  | III.B.  |
| X   | <input type="checkbox"/> | 6 | The Judge spends an average of at least three minutes with each participant.   | III.F.* |

| YES | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>   | <b>BPS</b>   |
|-----|--------------------------|----|--|--------------|
| X   | <input type="checkbox"/> | 7  | The Mental health Court team relies on expert medical input to determine whether a prescription for an addictive or intoxicating medication is medically indicated and whether non-addictive, non-intoxicating, and medically safe alternative treatments are available. | IV.F.        |
| X   | <input type="checkbox"/> | 8  | Phase promotion is predicated on the achievement of realistic and defined behavioral objectives, such as completing a treatment regimen or remaining drug-abstinent for a specified period of time.  | IV.I.        |
| X   | <input type="checkbox"/> | 9  | Treatment is reduced only if it is determined clinically that a reduction in treatment is unlikely to precipitate a relapse to substance use.  | IV.I.        |
| X   | <input type="checkbox"/> | 10 | Testing regimens are not scheduled in seven-day or weekly blocks. The chances of being tested should be at least two in seven every day.   | VII.B.*      |
| X   | <input type="checkbox"/> | 11 | Drug test results are available within 48 hours.   | VII.H.       |
| X   | <input type="checkbox"/> | 12 | Participants are required to deliver a test specimen within 8 hours of being notified that a drug or alcohol test has been scheduled.  | VII.B.       |
| X   | <input type="checkbox"/> | 13 | Randomly selected specimens are tested periodically for a broader range of substances to detect any new drugs of abuse that might be emerging in the Mental health Court population.   | VII.D.       |
| X   | <input type="checkbox"/> | 14 | If a participant denies substance use in response to a positive screening test, a portion of the same specimen is subjected to confirmatory analysis using an instrumented test, such as gas chromatography/mass spectrometry (GC/MS).                                   | VII.G.       |
| X   | <input type="checkbox"/> | 15 | Standardized patient placement criteria govern the level of care that is provided.   | V.A.         |
| X   | <input type="checkbox"/> | 16 | Adjustments to the level of care are predicated on each participant's response to treatment and are not tied to the Mental health Court's programmatic phase structure.  | V.A.         |
| X   | <input type="checkbox"/> | 17 | Participants receive a sufficient dosage and duration of substance abuse treatment to achieve long-term sobriety and recovery from addiction.  | V.D.         |
| X   | <input type="checkbox"/> | 18 | Participants meet with a treatment provider or clinical case manager for at least one individual session per week during the first phase of the program.   | V.E.         |
| X   | <input type="checkbox"/> | 19 | Participants are screened for their suitability for group interventions, and group membership is guided by evidence-based selection criteria including participants' gender, trauma histories and co-occurring psychiatric symptoms.                                     | V.E.         |
| X   | <input type="checkbox"/> | 20 | Treatment providers administer behavioral or cognitive-behavioral treatments that are documented in manuals and have been demonstrated to improve outcomes for addicted persons involved in the criminal justice system.   | V.F.<br>VI.G |
| X   | <input type="checkbox"/> | 21 | Treatment providers are proficient at delivering the interventions and are supervised regularly to ensure continuous fidelity to the treatment models.   | V.F.         |
| X   | <input type="checkbox"/> | 22 | Treatment providers are supervised regularly to ensure continuous fidelity to evidence-based practices.  | V.H.         |
| X   | <input type="checkbox"/> | 23 | Before participants enter the peer support groups, treatment providers use an evidence-based preparatory intervention, such as 12-step facilitation therapy.   | V.I.         |
| X   | <input type="checkbox"/> | 24 | Participants prepare a continuing-care plan together with their counselor to ensure they continue to engage in pro-social activities and remain connected with a peer support group after their discharge from the Mental health Court.                                  | V.J.         |

| YES | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>  | BPS     |
|-----|--------------------------|----|---|---------|
| X   | <input type="checkbox"/> | 25 | Where indicated, participants receive assistance finding safe, stable, and drug-free housing beginning in the first phase of Mental health Court and continuing as necessary throughout their enrollment in the program.  | VI.D.   |
| X   | <input type="checkbox"/> | 26 | Participants are assessed using a validated instrument for trauma history, trauma-related symptoms, and posttraumatic stress disorder (PTSD).   | VI.F.   |
| X   | <input type="checkbox"/> | 27 | All Mental health Court team members, including court personnel and other criminal justice professionals, receive formal training on delivering trauma-informed services.   | VI.F.   |
| X   | <input type="checkbox"/> | 28 | Participants with deficient employment or academic histories receive vocational or educational services beginning in a late phase of Mental health Court.   | VI.I.   |
| X   | <input type="checkbox"/> | 29 | Participants complete a brief evidence-based educational curriculum describing concrete measures they can take to prevent or reverse drug overdose.   | VI.L.   |
| X   | <input type="checkbox"/> | 30 | Clients are placed in the program within 50 days of eligibility screening.  |         |
| X   | <input type="checkbox"/> | 31 | Team members are assigned to Mental health Court for no less than two years.  |         |
| X   | <input type="checkbox"/> | 32 | All team members use electronic communication to contemporaneously communicate about Mental health Court issues.  |         |
| X   | <input type="checkbox"/> | 33 | Subsequently, team members attend continuing education workshops on at least an annual basis to gain up-to-date knowledge about best practices on topics including substance abuse and mental health treatment, complementary treatment and social services, behavior modification, community supervision, drug and alcohol testing, team decision making, and constitutional and legal issues in Mental health Courts. | VIII.F. |
| X   | <input type="checkbox"/> | 34 | New staff hires receive a formal orientation training on the Mental health Court model and best practices in Mental health Courts as soon as practicable after assuming their position and attend annual continuing education workshops thereafter.   | VIII.F. |
| X   | <input type="checkbox"/> | 35 | The Mental health Court has more than 15 but less than 125 active participants.   | IX.A.*  |
| X   | <input type="checkbox"/> | 36 | The Mental health Court monitors its adherence to best practice standards on at least an annual basis, develops a remedial action plan and timetable to rectify deficiencies, and examines the success of the remedial actions.   | X.A.    |
| X   | <input type="checkbox"/> | 37 | New arrests, new convictions, and new incarcerations are monitored for at least three years following each participant's entry into the Mental health Court.  | X.C.    |
| X   | <input type="checkbox"/> | 38 | A skilled and independent evaluator examines the Mental Health Court's adherence to best practices and participation outcomes no less frequently than every five years.   |         |
| X   | <input type="checkbox"/> | 39 | Staff members are required to record information concerning the provision of services and in-program outcomes within forty-eight hours of the respective events.  | X.G.    |
| X   | <input type="checkbox"/> | 40 | The program conducts an exit interview for self-improvement.  |         |

| YES | NO                       | #  | NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS<br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i>  | BPS           |
|-----|--------------------------|----|--|---------------|
| X   | <input type="checkbox"/> | 1  | The Mental health Court offers a continuum of care for substance abuse treatment including detoxification, residential, sober living, day treatment, intensive outpatient and outpatient services.   | V.A.          |
| X   | <input type="checkbox"/> | 2  | Treatment groups ordinarily have no more than twelve participants and at least two leaders or facilitators.  | V.E.          |
| X   | <input type="checkbox"/> | 3  | Treatment providers have substantial experience working with criminal justice populations.   | V.H.          |
| X   | <input type="checkbox"/> | 4  | For at least the first ninety days after discharge from the Mental health Court, treatment providers or clinical case managers attempt to contact previous participants periodically by telephone, mail, e-mail, or similar means to check on their progress, offer brief advice and encouragement, and provide referrals for additional treatment when indicated. | V.J.          |
| X   | <input type="checkbox"/> | 5  | Participants are assessed using a validated instrument for major mental health disorders that co-occur frequently in Mental health Courts, including major depression, bipolar disorder (manic depression), posttraumatic stress disorder (PTSD), and other major anxiety disorders.   | VI.E.         |
| X   | <input type="checkbox"/> | 6  | Participants with PTSD or severe trauma-related symptoms are evaluated for their suitability for group interventions and are treated on an individual basis or in small groups when necessary to manage panic, dissociation, or severe anxiety.  | VI.F.         |
| X   | <input type="checkbox"/> | 7  | Female participants receive trauma-related services in gender-specific groups.   | VI.F.         |
| X   | <input type="checkbox"/> | 8  | Participants are required to have a stable job, be enrolled in a vocational or educational program, or be engaged in comparable pro-social activity as a condition of graduating from Mental health Court.   | VI.I.         |
| X   | <input type="checkbox"/> | 9  | Participants receive immediate medical or dental treatment for conditions that are life-threatening, cause serious pain or discomfort, or may lead to long-term disability or impairment.  | VI.J.         |
| X   | <input type="checkbox"/> | 10 | Before starting a Mental health Court, team members attend a formal pre-implementation training to learn from expert faculty about best practices in Mental health Courts and develop fair and effective policies and procedures for the program.  | VIII.F.       |
| X   | <input type="checkbox"/> | 11 | Supervision caseloads do not exceed fifty active participants per supervision officer.   | IX.B.         |
| X   | <input type="checkbox"/> | 12 | Caseloads for clinicians must permit sufficient opportunities to assess participant needs and deliver adequate and effective dosages of substance abuse treatment and indicated complementary services.  | IX.C.         |
| X   | <input type="checkbox"/> | 13 | The Mental health Court continually monitors participant outcomes during enrollment in the program, including attendance at scheduled appointments, drug and alcohol test results, graduation rates, lengths of stay, and in-program technical violations and new arrests or referrals.  | X.B.*         |
| X   | <input type="checkbox"/> | 14 | Information relating to the services provided and participants' in-program performance is entered into an electronic database. Statistical summaries from the database provide staff with real-time information concerning the Mental health Court's adherence to best practices and in-program outcomes.  | X.F.          |
| X   | <input type="checkbox"/> | 15 | Outcomes are examined for all eligible participants who entered the Mental health Court regardless of whether they graduated, withdrew, or were terminated from the program.   | X.H.          |
| X   | <input type="checkbox"/> | 16 | The Mental health Court regularly monitors whether members of historically disadvantaged groups complete the program at equivalent rates to other participants.  | II.B.<br>X.E. |

**UTAH JUDICIAL COUNCIL**  
**MENTAL HEALTH COURT CERTIFICATION CHECKLIST**  
 REVISED AND ADOPTED DECEMBER 7, 2020

COURT LOCATION: DAVIS COUNTY, BOUNTIFUL

COURT NUMBER: \_\_\_\_\_

NAME: WILLIAMS

REVIEW DATE: 2023, FEBRUARY

*Many of the criteria enumerated in this certification checklist are restatements of the Adult Drug Court Best Practice Standards, Volume I and Volume II, published by the National Association of Drug Court Professionals (NADCP). Those are indicated by a citation in the **BPS** column following the standard. An asterisk indicates a modification of the NADCP standard.*

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS    |
|-----|--------------------------|----|---|--------|
| X   | <input type="checkbox"/> | 1  | Eligibility and exclusion criteria are defined and applied objectively.   | I.A.   |
| X   | <input type="checkbox"/> | 2  | Eligibility and exclusion criteria are specified in writing.  | I.A.   |
| X   | <input type="checkbox"/> | 3  | The program admits only participants who are high-risk, high-need, however, if a program is unable to target high risk and high need offenders as measured by the RANT or some other approved and validated assessment tool, the program develops alternative tracks with services that are modified to meet risk and need levels of its participants.  | I.B.*  |
| X   | <input type="checkbox"/> | 4  | Candidates for the Mental health Court are assessed for eligibility using validated risk-assessment tool that has been demonstrated empirically to predict criminal recidivism or failure on community supervision and is equivalently predictive for women and racial or ethnic minority groups that are represented in the local arrestee population. | I.C.   |
| X   | <input type="checkbox"/> | 5  | Candidates for the Mental health Court are assessed for eligibility using validated clinical-assessment tool that evaluates the formal diagnostic symptoms of substance dependence or addiction.  | I.C.   |
| X   | <input type="checkbox"/> | 6  | Evaluators are trained and proficient in the administration of the assessment tools and interpretation of the results.  | I.C.   |
| X   | <input type="checkbox"/> | 7  | Current or prior offenses may not disqualify candidates from participation in the Mental health Court unless empirical evidence demonstrates offenders with such records cannot be managed safely or effectively in a Mental health Court.  | I.D.   |
| X   | <input type="checkbox"/> | 8  | Offenders charged with non-drug charges, drug dealing or those with violence histories are not excluded automatically from participation in the Mental health Court.  | I.D.   |
| X   | <input type="checkbox"/> | 9  | If adequate treatment is available, candidates are not disqualified from participation in the Mental health Court because of co-occurring mental health or medical conditions or because they have been legally prescribed psychotropic or addiction medication.  | I.E.   |
| X   | <input type="checkbox"/> | 10 | The program has a written policy addressing medically assisted treatment.   |        |
| X   | <input type="checkbox"/> | 11 | Participants ordinarily appear before the same judge throughout their enrollment in the Mental health Court.  | III.C. |
| X   | <input type="checkbox"/> | 12 | The judge regularly attends pre-court staff meetings during which each participant's progress is reviewed and potential consequences for performance are discussed by the Mental health Court team.   | III.D. |



| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS               |
|-----|--------------------------|----|---|-------------------|
| X   | <input type="checkbox"/> | 13 | Participants appear before the judge for status hearings no less frequently than every two weeks during the first phase of the program. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.            |
| X   | <input type="checkbox"/> | 14 | Status hearings are scheduled no less frequently than every four weeks until participants graduate. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.*           |
| X   | <input type="checkbox"/> | 15 | The judge allows participants a reasonable opportunity to explain their perspectives concerning factual controversies and the imposition of sanctions, incentives, and therapeutic adjustments.   | III.G.            |
| X   | <input type="checkbox"/> | 16 | If a participant has difficulty expressing him or herself because of such factors as a language barrier, nervousness, or cognitive limitation, the judge permits the participant's attorney or legal representative to assist in providing such explanations.   | IV.B.             |
| X   | <input type="checkbox"/> | 17 | The judge is the ultimate arbiter of factual controversies and makes the final decision concerning the imposition of incentives or sanctions that affect a participant's legal status or liberty.   | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 18 | The judge makes these decisions after taking into consideration the input of other Mental health Court team members and discussing the matter in court with the participant or the participant's legal representative.  | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 19 | The judge relies on the expert input of duly trained treatment professionals when imposing treatment-related conditions.  | III.H.            |
| X   | <input type="checkbox"/> | 20 | Policies and procedures concerning the administration of incentives, sanctions, and therapeutic adjustments are specified in writing and communicated in advance to Mental health Court participants and team members.  | IV.A.             |
| X   | <input type="checkbox"/> | 21 | The policies and procedures provide a clear indication of which behaviors may elicit an incentive, sanction, or therapeutic adjustment; the range of consequences that may be imposed for those behaviors; the criteria for phase advancement, graduation, and termination from the program; and the legal and collateral consequences that may ensue from graduation and termination.                              | IV.A.             |
| X   | <input type="checkbox"/> | 22 | The Mental health Court has a range of sanctions of varying magnitudes that may be administered in response to infractions in the program.  | IV.E.             |
| X   | <input type="checkbox"/> | 23 | For goals that are difficult for participants to accomplish, such as abstaining from substance use or obtaining employment, the sanctions increase progressively in magnitude over successive infractions. For goals that are relatively easy for participants to accomplish, such as being truthful or attending counseling sessions, higher magnitude sanctions may be administered after only a few infractions. | IV.E.             |
| X   | <input type="checkbox"/> | 24 | Consequences are imposed for the non-medically indicated use of intoxicating or addictive substances, including but not limited to alcohol, cannabis (marijuana) and prescription medications, regardless of the licit or illicit status of the substance.  | IV.F.             |
| X   | <input type="checkbox"/> | 25 | Drug testing is performed at least twice per week.  | VII.A.*           |
| X   | <input type="checkbox"/> | 26 | Drug testing is random, and is available on weekends and holidays.  | VII.B.*           |
| X   | <input type="checkbox"/> | 27 | Collection of test specimens is witnessed and specimens are examined routinely for evidence of dilution, tampering and adulteration.  | VII.E*<br>VII.F.* |
| X   | <input type="checkbox"/> | 28 | Drug testing utilized by the Mental health Court uses scientifically valid and reliable testing procedures and establishes a chain of custody for each specimen.  | VII.G.            |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS      |
|-----|--------------------------|----|---|----------|
| X   | <input type="checkbox"/> | 29 | Metabolite levels falling below industry- or manufacturer-recommended cutoff scores are not interpreted as evidence of new substance use or changes in substance use patterns, unless such conclusions are reached by an expert trained in toxicology, pharmacology or a related field. | VII.G.*  |
| X   | <input type="checkbox"/> | 30 | Upon entering the Mental health Court, participants receive a clear and comprehensive explanation of their rights and responsibilities relating to drug and alcohol testing.  | VII.I.   |
| X   | <input type="checkbox"/> | 31 | The program requires a period of at least 90 consecutive days drug-free to graduate.  |          |
| X   | <input type="checkbox"/> | 32 | The minimum length of the program is twelve months.   |          |
| X   | <input type="checkbox"/> | 33 | Unless a participant poses an immediate risk to public safety, jail sanctions are administered after less severe consequences have been ineffective at deterring infractions.   | IV.J.    |
| X   | <input type="checkbox"/> | 34 | Jail sanctions are definite in duration and typically last no more than three to five days.   | IV.J.    |
| X   | <input type="checkbox"/> | 35 | Participants are given access to counsel and a fair hearing if a jail sanction might be imposed.  | IV.J.    |
| X   | <input type="checkbox"/> | 36 | Participants are not terminated from the Mental health Court for continued substance use if they are otherwise compliant with their treatment and supervision conditions, unless they are non-amenable to the treatments that are reasonably available in their community.              | IV.K.    |
| X   | <input type="checkbox"/> | 37 | If a participant is terminated from the Mental health Court because adequate treatment is not available, the participant does not receive an augmented sentence or disposition for failing to complete the program.   | IV.K.    |
| X   | <input type="checkbox"/> | 38 | Participants are not incarcerated to achieve clinical or social service objectives such as obtaining access to detoxification services or sober living quarters.  | V.B.     |
| X   | <input type="checkbox"/> | 39 | Treatment providers are licensed or certified to deliver substance abuse treatment, as required by the Department of Human Services or other relevant licensure or certification entity.  | V.H.*    |
| X   | <input type="checkbox"/> | 40 | Participants regularly attend self-help or peer support groups in addition to professional counseling.  | V.I.     |
| X   | <input type="checkbox"/> | 41 | The peer support groups follow a structured model or curriculum such as the 12-step or Smart Recovery models.   | V.I.     |
| X   | <input type="checkbox"/> | 42 | There is a secular alternative to 12-step peer support groups.  |          |
| X   | <input type="checkbox"/> | 43 | Participants complete a final phase of the Mental health Court focusing on relapse prevention and continuing care.  | V.J.     |
| X   | <input type="checkbox"/> | 44 | Participants are not excluded from participation in Mental health Court because they lack a stable place of residence.  | VI.D.    |
| X   | <input type="checkbox"/> | 45 | Participants diagnosed with mental illness receive appropriate mental health services beginning in the first phase of Mental health Court and continuing as needed throughout their enrollment in the program.  | VI.E.*   |
| X   | <input type="checkbox"/> | 46 | Participants are not required to participate in job seeking or vocational skills development in the early phases of mental health court.  | VI.I.*   |
| X   | <input type="checkbox"/> | 47 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each staffing meeting.  | VIII.B.* |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>  | BPS      |
|-----|--------------------------|----|--|----------|
| X   | <input type="checkbox"/> | 48 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each Mental health Court session.  | VIII.A.* |
| X   | <input type="checkbox"/> | 49 | Pre-court staff meetings are presumptively closed to participants and the public unless the court has good reason for a participant to attend discussions related to that participant's case.  | VIII.B.  |
| X   | <input type="checkbox"/> | 50 | Participants provide voluntary and informed consent permitting team members to share specified data elements relating to participants' progress in treatment and compliance with program requirements.   | VIII.C.  |
| X   | <input type="checkbox"/> | 51 | Court fees are disclosed to each participant, are reasonable, and are based on each participant's ability to pay. Any fees assessed by the Mental health Court must be reasonably related to the costs of testing or other services.   |          |
| X   | <input type="checkbox"/> | 52 | Treatment fees are based on a sliding fee schedule and are disclosed to each participant.  |          |
| X   | <input type="checkbox"/> | 53 | The Mental health Court develops a remedial action plan and timetable to implement recommendations from the evaluator to improve the program's adherence to best practices.  | X.D.*    |
| X   | <input type="checkbox"/> | 54 | The Mental health Court has written policies and procedures that ensure confidentiality and security of participant information, which conform to all applicable state and federal laws, including, but not limited to, Utah's Governmental Records Access and Management Act (GRAMA), the Health Insurance Portability and Accountability Act of 1996 (HIPAA), and 42 C.F.R. 2 (Confidentiality of Substance Abuse Disorder Patient Records). | VIII.C.* |

| YES | NO                       | # | PRESUMED CERTIFICATION CRITERIA<br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>  | BPS     |
|-----|--------------------------|---|--|---------|
| X   | <input type="checkbox"/> | 1 | Eligibility and exclusion criteria are communicated to potential referral sources.   | I.A.    |
| X   | <input type="checkbox"/> | 2 | The Mental health Court regularly monitors the delivery of incentives and sanctions to ensure they are administered equivalently to all participants.  | II.D.   |
| X   | <input type="checkbox"/> | 3 | Each member of the Mental health Court team attends up-to-date training events on recognizing implicit cultural biases and correcting disparate impacts for members of historically disadvantaged groups.  | II.F.   |
| X   | <input type="checkbox"/> | 4 | The Mental health Court judge attends current training events on legal and constitutional issues in Mental health Courts, judicial ethics, evidence-based substance abuse and mental health treatment, behavior modification, and community supervision.                 | III.A.  |
| X   | <input type="checkbox"/> | 5 | The judge presides over the Mental health Court for no less than two consecutive years.  | III.B.  |
| X   | <input type="checkbox"/> | 6 | The Judge spends an average of at least three minutes with each participant.   | III.F.* |
| X   | <input type="checkbox"/> | 7 | The Mental health Court team relies on expert medical input to determine whether a prescription for an addictive or intoxicating medication is medically indicated and whether non-addictive, non-intoxicating, and medically safe alternative treatments are available. | IV.F.   |
| X   | <input type="checkbox"/> | 8 | Phase promotion is predicated on the achievement of realistic and defined behavioral objectives, such as completing a treatment regimen or remaining drug-abstinent for a specified period of time.  | IV.I.   |

| YES | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>                        | <b>BPS</b>   |
|-----|--------------------------|----|---|--------------|
| X   | <input type="checkbox"/> | 9  | Treatment is reduced only if it is determined clinically that a reduction in treatment is unlikely to precipitate a relapse to substance use.   | IV.I.        |
| X   | <input type="checkbox"/> | 10 | Testing regimens are not scheduled in seven-day or weekly blocks. The chances of being tested should be at least two in seven every day.  | VII.B.*      |
| X   | <input type="checkbox"/> | 11 | Drug test results are available within 48 hours.  | VII.H.       |
| X   | <input type="checkbox"/> | 12 | Participants are required to deliver a test specimen within 8 hours of being notified that a drug or alcohol test has been scheduled.   | VII.B.       |
| X   | <input type="checkbox"/> | 13 | Randomly selected specimens are tested periodically for a broader range of substances to detect any new drugs of abuse that might be emerging in the Mental health Court population.  | VII.D.       |
| X   | <input type="checkbox"/> | 14 | If a participant denies substance use in response to a positive screening test, a portion of the same specimen is subjected to confirmatory analysis using an instrumented test, such as gas chromatography/mass spectrometry (GC/MS).  | VII.G.       |
| X   | <input type="checkbox"/> | 15 | Standardized patient placement criteria govern the level of care that is provided.  | V.A.         |
| X   | <input type="checkbox"/> | 16 | Adjustments to the level of care are predicated on each participant's response to treatment and are not tied to the Mental health Court's programmatic phase structure.   | V.A.         |
| X   | <input type="checkbox"/> | 17 | Participants receive a sufficient dosage and duration of substance abuse treatment to achieve long-term sobriety and recovery from addiction.   | V.D.         |
| X   | <input type="checkbox"/> | 18 | Participants meet with a treatment provider or clinical case manager for at least one individual session per week during the first phase of the program.  | V.E.         |
| X   | <input type="checkbox"/> | 19 | Participants are screened for their suitability for group interventions, and group membership is guided by evidence-based selection criteria including participants' gender, trauma histories and co-occurring psychiatric symptoms.    | V.E.         |
| X   | <input type="checkbox"/> | 20 | Treatment providers administer behavioral or cognitive-behavioral treatments that are documented in manuals and have been demonstrated to improve outcomes for addicted persons involved in the criminal justice system.                | V.F.<br>VI.G |
| X   | <input type="checkbox"/> | 21 | Treatment providers are proficient at delivering the interventions and are supervised regularly to ensure continuous fidelity to the treatment models.  | V.F.         |
| X   | <input type="checkbox"/> | 22 | Treatment providers are supervised regularly to ensure continuous fidelity to evidence-based practices.   | V.H.         |
| X   | <input type="checkbox"/> | 23 | Before participants enter the peer support groups, treatment providers use an evidence-based preparatory intervention, such as 12-step facilitation therapy.  | V.I.         |
| X   | <input type="checkbox"/> | 24 | Participants prepare a continuing-care plan together with their counselor to ensure they continue to engage in pro-social activities and remain connected with a peer support group after their discharge from the Mental health Court. | V.J.         |
| X   | <input type="checkbox"/> | 25 | Where indicated, participants receive assistance finding safe, stable, and drug-free housing beginning in the first phase of Mental health Court and continuing as necessary throughout their enrollment in the program.                | VI.D.        |
| X   | <input type="checkbox"/> | 26 | Participants are assessed using a validated instrument for trauma history, trauma-related symptoms, and posttraumatic stress disorder (PTSD).   | VI.F.        |
| X   | <input type="checkbox"/> | 27 | All Mental health Court team members, including court personnel and other criminal justice professionals, receive formal training on delivering trauma-informed services.   | VI.F.        |

| YES | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>  | BPS     |
|-----|--------------------------|----|---|---------|
| X   | <input type="checkbox"/> | 28 | Participants with deficient employment or academic histories receive vocational or educational services beginning in a late phase of Mental health Court.   | VI.I.   |
| X   | <input type="checkbox"/> | 29 | Participants complete a brief evidence-based educational curriculum describing concrete measures they can take to prevent or reverse drug overdose. <b>THOSE WITH SUBSTANCE ABUSE ISSUES</b>  | VI.L.   |
| X   | <input type="checkbox"/> | 30 | Clients are placed in the program within 50 days of eligibility screening.  |         |
| X   | <input type="checkbox"/> | 31 | Team members are assigned to Mental health Court for no less than two years.  |         |
| X   | <input type="checkbox"/> | 32 | All team members use electronic communication to contemporaneously communicate about Mental health Court issues.  |         |
| X   | <input type="checkbox"/> | 33 | Subsequently, team members attend continuing education workshops on at least an annual basis to gain up-to-date knowledge about best practices on topics including substance abuse and mental health treatment, complementary treatment and social services, behavior modification, community supervision, drug and alcohol testing, team decision making, and constitutional and legal issues in Mental health Courts. | VIII.F. |
| X   | <input type="checkbox"/> | 34 | New staff hires receive a formal orientation training on the Mental health Court model and best practices in Mental health Courts as soon as practicable after assuming their position and attend annual continuing education workshops thereafter.   | VIII.F. |
| X   | <input type="checkbox"/> | 35 | The Mental health Court has more than 15 but less than 125 active participants.   | IX.A.*  |
| X   | <input type="checkbox"/> | 36 | The Mental health Court monitors its adherence to best practice standards on at least an annual basis, develops a remedial action plan and timetable to rectify deficiencies, and examines the success of the remedial actions.   | X.A.    |
| X   | <input type="checkbox"/> | 37 | New arrests, new convictions, and new incarcerations are monitored for at least three years following each participant's entry into the Mental health Court. <b>LOCALLY</b>   | X.C.    |
| X   | <input type="checkbox"/> | 38 | A skilled and independent evaluator examines the Mental health Court's adherence to best practices and participant outcomes no less frequently than every five years.   | X.D.    |
| X   | <input type="checkbox"/> | 39 | Staff members are required to record information concerning the provision of services and in-program outcomes within forty-eight hours of the respective events.  | X.G.    |
| X   | <input type="checkbox"/> | 40 | The program conducts an exit interview for self-improvement.  |         |

| YES | NO                       | # | <b>NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS</b><br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i> | BPS  |
|-----|--------------------------|---|--|------|
| X   | <input type="checkbox"/> | 1 | The Mental health Court offers a continuum of care for substance abuse treatment including detoxification, residential, sober living, day treatment, intensive outpatient and outpatient services.                             | V.A. |
| X   | <input type="checkbox"/> | 2 | Treatment groups ordinarily have no more than twelve participants and at least two leaders or facilitators.  | V.E. |
| X   | <input type="checkbox"/> | 3 | Treatment providers have substantial experience working with criminal justice populations.   | V.H. |

| YES                      | NO                       | #  | NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS<br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i>  | BPS           |
|--------------------------|--------------------------|----|--|---------------|
| X                        | <input type="checkbox"/> | 4  | For at least the first ninety days after discharge from the Mental health Court, treatment providers or clinical case managers attempt to contact previous participants periodically by telephone, mail, e-mail, or similar means to check on their progress, offer brief advice and encouragement, and provide referrals for additional treatment when indicated. | V.J.          |
| X                        | <input type="checkbox"/> | 5  | Participants are assessed using a validated instrument for major mental health disorders that co-occur frequently in Mental health Courts, including major depression, bipolar disorder (manic depression), posttraumatic stress disorder (PTSD), and other major anxiety disorders.   | VI.E.         |
| X                        | <input type="checkbox"/> | 6  | Participants with PTSD or severe trauma-related symptoms are evaluated for their suitability for group interventions and are treated on an individual basis or in small groups when necessary to manage panic, dissociation, or severe anxiety.  | VI.F.         |
| X                        | <input type="checkbox"/> | 7  | Female participants receive trauma-related services in gender-specific groups.   | VI.F.         |
| <input type="checkbox"/> | X                        | 8  | Participants are required to have a stable job, be enrolled in a vocational or educational program, or be engaged in comparable pro-social activity as a condition of graduating from Mental health Court.   | VI.I.         |
| X                        | <input type="checkbox"/> | 9  | Participants receive immediate medical or dental treatment for conditions that are life-threatening, cause serious pain or discomfort, or may lead to long-term disability or impairment.  | VI.J.         |
| X                        | <input type="checkbox"/> | 10 | Before starting a Mental health Court, team members attend a formal pre-implementation training to learn from expert faculty about best practices in Mental health Courts and develop fair and effective policies and procedures for the program.  | VIII.F.       |
| X                        | <input type="checkbox"/> | 11 | Supervision caseloads do not exceed fifty active participants per supervision officer.   | IX.B.         |
| X                        | <input type="checkbox"/> | 12 | Caseloads for clinicians must permit sufficient opportunities to assess participant needs and deliver adequate and effective dosages of substance abuse treatment and indicated complementary services.  | IX.C.         |
| X                        | <input type="checkbox"/> | 13 | The Mental health Court continually monitors participant outcomes during enrollment in the program, including attendance at scheduled appointments, drug and alcohol test results, graduation rates, lengths of stay, and in-program technical violations and new arrests or referrals.  | X.B.*         |
| X                        | <input type="checkbox"/> | 14 | Information relating to the services provided and participants' in-program performance is entered into an electronic database. Statistical summaries from the database provide staff with real-time information concerning the Mental health Court's adherence to best practices and in-program outcomes.  | X.F.          |
| X                        | <input type="checkbox"/> | 15 | Outcomes are examined for all eligible participants who entered the Mental health Court regardless of whether they graduated, withdrew, or were terminated from the program.   | X.H.          |
| X                        | <input type="checkbox"/> | 16 | The Mental health Court regularly monitors whether members of historically disadvantaged groups complete the program at equivalent rates to other participants.  | II.B.<br>X.E. |

**UTAH JUDICIAL COUNCIL**  
**ADULT DRUG COURT CERTIFICATION CHECKLIST**  
 REVISED AND ADOPTED DECEMBER 7, 2020

**COURT LOCATION:** WASHINGTON COUNTY, ST GEORGE

**COURT NUMBER:** ADC26WASHINGTON

**JUDGE NAME:** WALTON

**REVIEW DATE:** FEBRUARY, 2023

*Many of the criteria enumerated in this certification checklist are restatements of the Adult Drug Court Best Practice Standards, Volume I and Volume II, published by the National Association of Drug Court Professionals (NADCP). Those are indicated by a citation in the **BPS** column following the standard. An asterisk indicates a modification of the NADCP standards.*

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>  | BPS    |
|-----|--------------------------|----|--|--------|
| X   | <input type="checkbox"/> | 1  | Eligibility and exclusion criteria are defined and applied objectively.  | I.A.   |
| X   | <input type="checkbox"/> | 2  | Eligibility and exclusion criteria are specified in writing.   | I.A.   |
| X   | <input type="checkbox"/> | 3  | The program admits only participants who are high-risk high-need, however if a program is unable to target high-risk and high need offenders as measured by RANT or some other approved and validated assessment tool, the program develops alternative tracks with services that are modified to meet risk and need levels of its participants. | I.B.*  |
| X   | <input type="checkbox"/> | 4  | Candidates for the Drug Court are assessed for eligibility using validated risk-assessment tool that has been demonstrated empirically to predict criminal recidivism or failure on community supervision and is equivalently predictive for women and racial or ethnic minority groups that are represented in the local arrestee population.   | I.C.   |
| X   | <input type="checkbox"/> | 5  | Candidates for the Drug Court are assessed for eligibility using validated clinical-assessment tool that evaluates the formal diagnostic symptoms of substance dependence or addiction.  | I.C.   |
| X   | <input type="checkbox"/> | 6  | Evaluators are trained and proficient in the administration of the assessment tools and interpretation of the results.   | I.C.   |
| X   | <input type="checkbox"/> | 7  | Current or prior offenses may not disqualify candidates from participation in the Drug Court unless empirical evidence demonstrates offenders with such records cannot be managed safely or effectively in a Drug Court.   | I.D.   |
|     |                          |    |  |        |
| X   | <input type="checkbox"/> | 8  | Offenders charged with non-drug charges, drug dealing or those with violence histories are not excluded automatically from participation in the Drug Court.  | I.D.   |
| X   | <input type="checkbox"/> | 9  | If adequate treatment is available, candidates are not disqualified from participation in the Drug Court because of co-occurring mental health or medical conditions or because they have been legally prescribed psychotropic or addiction medication.  | I.E.   |
| X   | <input type="checkbox"/> | 10 | The program has a written policy addressing medically assisted treatment.  |        |
| X   | <input type="checkbox"/> | 11 | Participants ordinarily appear before the same judge throughout their enrollment in the Drug Court.  | III.C. |



| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS               |
|-----|--------------------------|----|---|-------------------|
| X   | <input type="checkbox"/> | 12 | The judge regularly attends pre-court staff meetings during which each participant's progress is reviewed and potential consequences for performance are discussed by the Drug Court team.  | III.D.            |
| X   | <input type="checkbox"/> | 13 | Participants appear before the judge for status hearings no less frequently than every two weeks during the first phase of the program. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.            |
| X   | <input type="checkbox"/> | 14 | Status hearings are scheduled no less frequently than every four weeks until participants graduate. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.*           |
| X   | <input type="checkbox"/> | 15 | The judge allows participants a reasonable opportunity to explain their perspectives concerning factual controversies and the imposition of sanctions, incentives, and therapeutic adjustments.   | III.G.            |
| X   | <input type="checkbox"/> | 16 | If a participant has difficulty expressing him or herself because of such factors as a language barrier, nervousness, or cognitive limitation, the judge permits the participant's attorney or legal representative to assist in providing such explanations.   | IV.B.             |
| X   | <input type="checkbox"/> | 17 | The judge is the ultimate arbiter of factual controversies and makes the final decision concerning the imposition of incentives or sanctions that affect a participant's legal status or liberty.   | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 18 | The judge makes these decisions after taking into consideration the input of other Drug Court team members and discussing the matter in court with the participant or the participant's legal representative.   | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 19 | The judge relies on the expert input of duly trained treatment professionals when imposing treatment-related conditions.  | III.H.            |
| X   | <input type="checkbox"/> | 20 | Policies and procedures concerning the administration of incentives, sanctions, and therapeutic adjustments are specified in writing and communicated in advance to Drug Court participants and team members.   | IV.A.             |
| X   | <input type="checkbox"/> | 21 | The policies and procedures provide a clear indication of which behaviors may elicit an incentive, sanction, or therapeutic adjustment; the range of consequences that may be imposed for those behaviors; the criteria for phase advancement, graduation, and termination from the program; and the legal and collateral consequences that may ensue from graduation and termination.                              | IV.A.             |
| X   | <input type="checkbox"/> | 22 | The Drug Court has a range of sanctions of varying magnitudes that may be administered in response to infractions in the program.   | IV.E.             |
| X   | <input type="checkbox"/> | 23 | For goals that are difficult for participants to accomplish, such as abstaining from substance use or obtaining employment, the sanctions increase progressively in magnitude over successive infractions. For goals that are relatively easy for participants to accomplish, such as being truthful or attending counseling sessions, higher magnitude sanctions may be administered after only a few infractions. | IV.E.             |
| X   | <input type="checkbox"/> | 24 | Consequences are imposed for the non-medically indicated use of intoxicating or addictive substances, including but not limited to alcohol, cannabis (marijuana) and prescription medications, regardless of the licit or illicit status of the substance.  | IV.F.             |
| X   | <input type="checkbox"/> | 25 | Drug testing is performed at least twice per week.  | VII.A.*           |
| X   | <input type="checkbox"/> | 26 | Drug testing is random, and is available on weekends and holidays.  | VII.B.*           |
| X   | <input type="checkbox"/> | 27 | Collection of test specimens is witnessed and specimens are examined routinely for evidence of dilution, tampering and adulteration.  | VII.E*<br>VII.F.* |



| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS     |
|-----|--------------------------|----|---|---------|
| X   | <input type="checkbox"/> | 28 | Drug testing utilized by the Drug Court uses scientifically valid and reliable testing procedures and establishes a chain of custody for each specimen.   | VII.G.  |
| X   | <input type="checkbox"/> | 29 | Metabolite levels falling below industry- or manufacturer-recommended cutoff scores are not interpreted as evidence of new substance use or changes in substance use patterns, unless such conclusions are reached by an expert trained in toxicology, pharmacology or a related field. | VII.G.* |
| X   | <input type="checkbox"/> | 30 | Upon entering the Drug Court, participants receive a clear and comprehensive explanation of their rights and responsibilities relating to drug and alcohol testing.   | VII.I.  |
| X   | <input type="checkbox"/> | 31 | The program requires a period of at least 90 consecutive days drug-free to graduate.  |         |
| X   | <input type="checkbox"/> | 32 | The minimum length of the program is twelve months.   |         |
| X   | <input type="checkbox"/> | 33 | Unless a participant poses an immediate risk to public safety, jail sanctions are administered after less severe consequences have been ineffective at deterring infractions.   | IV.J.   |
| X   | <input type="checkbox"/> | 34 | Jail sanctions are definite in duration and typically last no more than three to five days.   | IV.J.   |
| X   | <input type="checkbox"/> | 35 | Participants are given access to counsel and a fair hearing if a jail sanction might be imposed.  | IV.J.   |
| X   | <input type="checkbox"/> | 36 | Participants are not terminated from the Drug Court for continued substance use if they are otherwise compliant with their treatment and supervision conditions, unless they are non-amenable to the treatments that are reasonably available in their community.                       | IV.K.   |
| X   | <input type="checkbox"/> | 37 | If a participant is terminated from the Drug Court because adequate treatment is not available, the participant does not receive an augmented sentence or disposition for failing to complete the program.  | IV.K.   |
| X   | <input type="checkbox"/> | 38 | Participants are not incarcerated to achieve clinical or social service objectives such as obtaining access to detoxification services or sober living quarters.  | V.B.    |
| X   | <input type="checkbox"/> | 39 | Treatment providers are licensed or certified to deliver substance abuse treatment, as required by the Department of Human Services or other relevant licensure or certification entity.  | V.H.*   |
| X   | <input type="checkbox"/> | 40 | Participants regularly attend self-help or peer support groups in addition to professional counseling.  | V.I.    |
| X   | <input type="checkbox"/> | 41 | The peer support groups follow a structured model or curriculum such as the 12-step or Smart Recovery models.   | V.I.    |
| X   | <input type="checkbox"/> | 42 | There is a secular alternative to 12-step peer support groups.  |         |
| X   | <input type="checkbox"/> | 43 | Participants complete a final phase of the Drug Court focusing on relapse prevention and continuing care.   | V.J.    |
| X   | <input type="checkbox"/> | 44 | Participants are not excluded from participation in Drug Court because they lack a stable place of residence.   | VI.D.   |
| X   | <input type="checkbox"/> | 45 | Participants diagnosed with mental illness receive appropriate mental health services beginning in the first phase of Drug Court and continuing as needed throughout their enrollment in the program.   | VI.E.*  |
| X   | <input type="checkbox"/> | 46 | Participants are not required to participate in job seeking or vocational skills development in the early phases of drug court.   | VI.I.*  |

| YES | NO                       | #  | <b>REQUIRED CERTIFICATION CRITERIA</b><br><i>Adherence to these standards is required for certification.</i>  | BPS      |
|-----|--------------------------|----|---|----------|
| X   | <input type="checkbox"/> | 47 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each staffing meeting.  | VIII.B.* |
| X   | <input type="checkbox"/> | 48 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each Drug Court session.  | VIII.A.* |
| X   | <input type="checkbox"/> | 49 | Pre-court staff meetings are presumptively closed to participants and the public unless the court has good reason for a participant to attend discussions related to that participant's case.   | VIII.B.  |
| X   | <input type="checkbox"/> | 50 | Participants provide voluntary and informed consent permitting team members to share specified data elements relating to participants' progress in treatment and compliance with program requirements.  | VIII.C.  |
| X   | <input type="checkbox"/> | 51 | Court fees are disclosed to each participant, are reasonable, and are based on each participant's ability to pay. Any fees assessed by the Drug Court must be reasonably related to the costs of testing or other services.   |          |
| X   | <input type="checkbox"/> | 52 | Treatment fees are based on a sliding fee schedule and are disclosed to each participant.   |          |
| X   | <input type="checkbox"/> | 53 | The Drug Court develops a remedial action plan and timetable to implement recommendations from the evaluator to improve the program's adherence to best practices.  | X.D.*    |
| X   | <input type="checkbox"/> | 54 | The Drug Court has written policies and procedures that ensure confidentiality and security of participant information, which conform to all applicable state and federal laws, including, but not limited to, Utah's Governmental Records Access and Management Act (GRAMA), the Health Insurance Portability and Accountability Act of 1996 (HIPAA), and 42 C.F.R. 2 (Confidentiality of Substance Abuse Disorder Patient Records). | VIII.C.* |

| YES | NO                       | # | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>  | BPS     |
|-----|--------------------------|---|---|---------|
| X   | <input type="checkbox"/> | 1 | Eligibility and exclusion criteria are communicated to potential referral sources.  | I.A.    |
| X   | <input type="checkbox"/> | 2 | The Drug Court regularly monitors the delivery of incentives and sanctions to ensure they are administered equivalently to all participants.  | II.D.   |
| X   | <input type="checkbox"/> | 3 | Each member of the Drug Court team attends up-to-date training events on recognizing implicit cultural biases and correcting disparate impacts for members of historically disadvantaged groups.  | II.F.   |
| X   | <input type="checkbox"/> | 4 | The Drug Court judge attends current training events on legal and constitutional issues in Drug Courts, judicial ethics, evidence-based substance abuse and mental health treatment, behavior modification, and community supervision.                          | III.A.  |
| X   | <input type="checkbox"/> | 5 | The judge presides over the Drug Court for no less than two consecutive years.  | III.B.  |
| X   | <input type="checkbox"/> | 6 | The Judge spends an average of at least three minutes with each participant.  | III.F.* |
| X   | <input type="checkbox"/> | 7 | The Drug Court team relies on expert medical input to determine whether a prescription for an addictive or intoxicating medication is medically indicated and whether non-addictive, non-intoxicating, and medically safe alternative treatments are available. | IV.F.   |

| YES | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>                       | <b>BPS</b>   |
|-----|--------------------------|----|--|--------------|
| X   | <input type="checkbox"/> | 8  | Phase promotion is predicated on the achievement of realistic and defined behavioral objectives, such as completing a treatment regimen or remaining drug-abstinent for a specified period of time.                                    | IV.I.        |
| X   | <input type="checkbox"/> | 9  | Treatment is reduced only if it is determined clinically that a reduction in treatment is unlikely to precipitate a relapse to substance use.  | IV.I.        |
| X   | <input type="checkbox"/> | 10 | Testing regimens are not scheduled in seven-day or weekly blocks. The chances of being tested should be at least two in seven every day.   | VII.B.*      |
| X   | <input type="checkbox"/> | 11 | Drug test results are available within 48 hours.   | VII.H.       |
| X   | <input type="checkbox"/> | 12 | Participants are required to deliver a test specimen within 8 hours of being notified that a drug or alcohol test has been scheduled.  | VII.B.       |
| X   | <input type="checkbox"/> | 13 | Randomly selected specimens are tested periodically for a broader range of substances to detect any new drugs of abuse that might be emerging in the Drug Court population.  | VII.D.       |
| X   | <input type="checkbox"/> | 14 | If a participant denies substance use in response to a positive screening test, a portion of the same specimen is subjected to confirmatory analysis using an instrumented test, such as gas chromatography/mass spectrometry (GC/MS). | VII.G.       |
| X   | <input type="checkbox"/> | 15 | Standardized patient placement criteria govern the level of care that is provided.   | V.A.         |
| X   | <input type="checkbox"/> | 16 | Adjustments to the level of care are predicated on each participant's response to treatment and are not tied to the Drug Court's programmatic phase structure.   | V.A.         |
| X   | <input type="checkbox"/> | 17 | Participants receive a sufficient dosage and duration of substance abuse treatment to achieve long-term sobriety and recovery from addiction.  | V.D.         |
| X   | <input type="checkbox"/> | 18 | Participants meet with a treatment provider or clinical case manager for at least one individual session per week during the first phase of the program.   | V.E.         |
| X   | <input type="checkbox"/> | 19 | Participants are screened for their suitability for group interventions, and group membership is guided by evidence-based selection criteria including participants' gender, trauma histories and co-occurring psychiatric symptoms.   | V.E.         |
| X   | <input type="checkbox"/> | 20 | Treatment providers administer behavioral or cognitive-behavioral treatments that are documented in manuals and have been demonstrated to improve outcomes for addicted persons involved in the criminal justice system.               | V.F.<br>VI.G |
| X   | <input type="checkbox"/> | 21 | Treatment providers are proficient at delivering the interventions and are supervised regularly to ensure continuous fidelity to the treatment models.   | V.F.         |
| X   | <input type="checkbox"/> | 22 | Treatment providers are supervised regularly to ensure continuous fidelity to evidence-based practices.  | V.H.         |
| X   | <input type="checkbox"/> | 23 | Before participants enter the peer support groups, treatment providers use an evidence-based preparatory intervention, such as 12-step facilitation therapy.   | V.I.         |
| X   | <input type="checkbox"/> | 24 | Participants prepare a continuing-care plan together with their counselor to ensure they continue to engage in pro-social activities and remain connected with a peer support group after their discharge from the Drug Court.         | V.J.         |
| X   | <input type="checkbox"/> | 25 | Where indicated, participants receive assistance finding safe, stable, and drug-free housing beginning in the first phase of Drug Court and continuing as necessary throughout their enrollment in the program.                        | VI.D.        |

| YES                      | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>   | BPS     |
|--------------------------|--------------------------|----|--|---------|
| X                        | <input type="checkbox"/> | 26 | Participants are assessed using a validated instrument for trauma history, trauma-related symptoms, and posttraumatic stress disorder (PTSD).  | VI.F.   |
| X                        | <input type="checkbox"/> | 27 | All Drug Court team members, including court personnel and other criminal justice professionals, receive formal training on delivering trauma-informed services.   | VI.F.   |
| X                        | <input type="checkbox"/> | 28 | Participants with deficient employment or academic histories receive vocational or educational services beginning in a late phase of Drug Court.   | VI.I.   |
| X                        | <input type="checkbox"/> | 29 | Participants complete a brief evidence-based educational curriculum describing concrete measures they can take to prevent or reverse drug overdose.  | VI.L.   |
| <input type="checkbox"/> | X                        | 30 | Clients are placed in the program within 50 days of arrest.  |         |
| X                        | <input type="checkbox"/> | 31 | Team members are assigned to Drug Court for no less than two years.  |         |
| X                        | <input type="checkbox"/> | 32 | All team members use electronic communication to contemporaneously communicate about Drug Court issues.  |         |
| X                        | <input type="checkbox"/> | 33 | Subsequently, team members attend continuing education workshops on at least an annual basis to gain up-to-date knowledge about best practices on topics including substance abuse and mental health treatment, complementary treatment and social services, behavior modification, community supervision, drug and alcohol testing, team decision making, and constitutional and legal issues in Drug Courts. | VIII.F. |
| X                        | <input type="checkbox"/> | 34 | New staff hires receive a formal orientation training on the Drug Court model and best practices in Drug Courts as soon as practicable after assuming their position and attend annual continuing education workshops thereafter.  | VIII.F. |
| X                        | <input type="checkbox"/> | 35 | The Drug Court has more than 15 but less than 125 active participants.   | IX.A.*  |
| X                        | <input type="checkbox"/> | 36 | The Drug Court monitors its adherence to best practice standards on at least an annual basis, develops a remedial action plan and timetable to rectify deficiencies, and examines the success of the remedial actions.   | X.A.    |
| <input type="checkbox"/> | X                        | 37 | New arrests, new convictions, and new incarcerations are monitored for at least three years following each participant's entry into the Drug Court.  | X.C.    |
| X                        | <input type="checkbox"/> | 38 | A skilled and independent evaluator examines the Drug Court's adherence to best practices and participant outcomes no less frequently than every five years.   | X.D.    |
| X                        | <input type="checkbox"/> | 39 | Staff members are required to record information concerning the provision of services and in-program outcomes within forty-eight hours of the respective events.   | X.G.    |
| X                        | <input type="checkbox"/> | 40 | The program conducts an exit interview for self-improvement.   |         |

| YES | NO                       | # | <b>NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS</b><br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i> | BPS  |
|-----|--------------------------|---|--|------|
| X   | <input type="checkbox"/> | 1 | The Drug Court offers a continuum of care for substance abuse treatment including detoxification, residential, sober living, day treatment, intensive outpatient and outpatient services.                                      | V.A. |
| X   | <input type="checkbox"/> | 2 | Treatment groups ordinarily have no more than twelve participants and at least two leaders or facilitators.  | V.E. |

| YES                      | NO                       | #  | <b>NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS</b><br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i>  | <b>BPS</b>    |
|--------------------------|--------------------------|----|---|---------------|
| X                        | <input type="checkbox"/> | 3  | Treatment providers have substantial experience working with criminal justice populations.  | V.H.          |
| <input type="checkbox"/> | X                        | 4  | For at least the first ninety days after discharge from the Drug Court, treatment providers or clinical case managers attempt to contact previous participants periodically by telephone, mail, e-mail, or similar means to check on their progress, offer brief advice and encouragement, and provide referrals for additional treatment when indicated. | V.J.          |
| X                        | <input type="checkbox"/> | 5  | Participants are assessed using a validated instrument for major mental health disorders that co-occur frequently in Drug Courts, including major depression, bipolar disorder (manic depression), posttraumatic stress disorder (PTSD), and other major anxiety disorders.   | VI.E.         |
| X                        | <input type="checkbox"/> | 6  | Participants with PTSD or severe trauma-related symptoms are evaluated for their suitability for group interventions and are treated on an individual basis or in small groups when necessary to manage panic, dissociation, or severe anxiety.   | VI.F.         |
| X                        | <input type="checkbox"/> | 7  | Female participants receive trauma-related services in gender-specific groups.  | VI.F.         |
| X                        | <input type="checkbox"/> | 8  | Participants are required to have a stable job, be enrolled in a vocational or educational program, or be engaged in comparable pro-social activity as a condition of graduating from Drug Court.   | VI.I.         |
| X                        | <input type="checkbox"/> | 9  | Participants receive immediate medical or dental treatment for conditions that are life-threatening, cause serious pain or discomfort, or may lead to long-term disability or impairment.   | VI.J.         |
| <input type="checkbox"/> | X                        | 10 | Before starting a Drug Court, team members attend a formal pre-implementation training to learn from expert faculty about best practices in Drug Courts and develop fair and effective policies and procedures for the program.   | VIII.F.       |
| X                        | <input type="checkbox"/> | 11 | Supervision caseloads do not exceed fifty active participants per supervision officer.  | IX.B.         |
| X                        | <input type="checkbox"/> | 12 | Caseloads for clinicians must permit sufficient opportunities to assess participant needs and deliver adequate and effective dosages of substance abuse treatment and indicated complementary services.   | IX.C.         |
| X                        | <input type="checkbox"/> | 13 | The Drug Court continually monitors participant outcomes during enrollment in the program, including attendance at scheduled appointments, drug and alcohol test results, graduation rates, lengths of stay, and in-program technical violations and new arrests or referrals.  | X.B.*         |
| X                        | <input type="checkbox"/> | 14 | Information relating to the services provided and participants' in-program performance is entered into an electronic database. Statistical summaries from the database provide staff with real-time information concerning the Drug Court's adherence to best practices and in-program outcomes.  | X.F.          |
| X                        | <input type="checkbox"/> | 15 | Outcomes are examined for all eligible participants who entered the Drug Court regardless of whether they graduated, withdrew, or were terminated from the program.   | X.H.          |
| X                        | <input type="checkbox"/> | 16 | The Drug Court regularly monitors whether members of historically disadvantaged groups complete the program at equivalent rates to other participants.  | II.B.<br>X.E. |

**UTAH JUDICIAL COUNCIL**  
**MENTAL HEALTH COURT CERTIFICATION CHECKLIST**  
 REVISED AND ADOPTED DECEMBER 7, 2020

**COURT LOCATION:** IRON COUNTY. CEDAR CITY

**COURT NUMBER:** AMHC5IRON

**NAME:** BELL

**REVIEW DATE:** JANUARY, 2023

*Many of the criteria enumerated in this certification checklist are restatements of the Adult Drug Court Best Practice Standards, Volume I and Volume II, published by the National Association of Drug Court Professionals (NADCP). Those are indicated by a citation in the **BPS** column following the standard. An asterisk indicates a modification of the NADCP standard.*

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS    |
|-----|--------------------------|----|---|--------|
| X   | <input type="checkbox"/> | 1  | Eligibility and exclusion criteria are defined and applied objectively.   | I.A.   |
| X   | <input type="checkbox"/> | 2  | Eligibility and exclusion criteria are specified in writing.  | I.A.   |
| X   | <input type="checkbox"/> | 3  | The program admits only participants who are high-risk, high-need, however, if a program is unable to target high risk and high need offenders as measured by the RANT or some other approved and validated assessment tool, the program develops alternative tracks with services that are modified to meet risk and need levels of its participants.  | I.B.*  |
| X   | <input type="checkbox"/> | 4  | Candidates for the Mental health Court are assessed for eligibility using validated risk-assessment tool that has been demonstrated empirically to predict criminal recidivism or failure on community supervision and is equivalently predictive for women and racial or ethnic minority groups that are represented in the local arrestee population. | I.C.   |
| X   | <input type="checkbox"/> | 5  | Candidates for the Mental health Court are assessed for eligibility using validated clinical-assessment tool that evaluates the formal diagnostic symptoms of substance dependence or addiction.  | I.C.   |
| X   | <input type="checkbox"/> | 6  | Evaluators are trained and proficient in the administration of the assessment tools and interpretation of the results.  | I.C.   |
| X   | <input type="checkbox"/> | 7  | Current or prior offenses may not disqualify candidates from participation in the Mental health Court unless empirical evidence demonstrates offenders with such records cannot be managed safely or effectively in a Mental health Court.  | I.D.   |
| X   | <input type="checkbox"/> | 8  | Offenders charged with non-drug charges, drug dealing or those with violence histories are not excluded automatically from participation in the Mental health Court.  | I.D.   |
| X   | <input type="checkbox"/> | 9  | If adequate treatment is available, candidates are not disqualified from participation in the Mental health Court because of co-occurring mental health or medical conditions or because they have been legally prescribed psychotropic or addiction medication.  | I.E.   |
| X   | <input type="checkbox"/> | 10 | The program has a written policy addressing medically assisted treatment.   |        |
| X   | <input type="checkbox"/> | 11 | Participants ordinarily appear before the same judge throughout their enrollment in the Mental health Court.  | III.C. |
| X   | <input type="checkbox"/> | 12 | The judge regularly attends pre-court staff meetings during which each participant's progress is reviewed and potential consequences for performance are discussed by the Mental health Court team.   | III.D. |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS               |
|-----|--------------------------|----|---|-------------------|
| X   | <input type="checkbox"/> | 13 | Participants appear before the judge for status hearings no less frequently than every two weeks during the first phase of the program. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.            |
| X   | <input type="checkbox"/> | 14 | Status hearings are scheduled no less frequently than every four weeks until participants graduate. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.*           |
| X   | <input type="checkbox"/> | 15 | The judge allows participants a reasonable opportunity to explain their perspectives concerning factual controversies and the imposition of sanctions, incentives, and therapeutic adjustments.   | III.G.            |
| X   | <input type="checkbox"/> | 16 | If a participant has difficulty expressing him or herself because of such factors as a language barrier, nervousness, or cognitive limitation, the judge permits the participant's attorney or legal representative to assist in providing such explanations.   | IV.B.             |
| X   | <input type="checkbox"/> | 17 | The judge is the ultimate arbiter of factual controversies and makes the final decision concerning the imposition of incentives or sanctions that affect a participant's legal status or liberty.   | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 18 | The judge makes these decisions after taking into consideration the input of other Mental health Court team members and discussing the matter in court with the participant or the participant's legal representative.  | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 19 | The judge relies on the expert input of duly trained treatment professionals when imposing treatment-related conditions.  | III.H.            |
| X   | <input type="checkbox"/> | 20 | Policies and procedures concerning the administration of incentives, sanctions, and therapeutic adjustments are specified in writing and communicated in advance to Mental health Court participants and team members.  | IV.A.             |
| X   | <input type="checkbox"/> | 21 | The policies and procedures provide a clear indication of which behaviors may elicit an incentive, sanction, or therapeutic adjustment; the range of consequences that may be imposed for those behaviors; the criteria for phase advancement, graduation, and termination from the program; and the legal and collateral consequences that may ensue from graduation and termination.                              | IV.A.             |
| X   | <input type="checkbox"/> | 22 | The Mental health Court has a range of sanctions of varying magnitudes that may be administered in response to infractions in the program.  | IV.E.             |
| X   | <input type="checkbox"/> | 23 | For goals that are difficult for participants to accomplish, such as abstaining from substance use or obtaining employment, the sanctions increase progressively in magnitude over successive infractions. For goals that are relatively easy for participants to accomplish, such as being truthful or attending counseling sessions, higher magnitude sanctions may be administered after only a few infractions. | IV.E.             |
| X   | <input type="checkbox"/> | 24 | Consequences are imposed for the non-medically indicated use of intoxicating or addictive substances, including but not limited to alcohol, cannabis (marijuana) and prescription medications, regardless of the licit or illicit status of the substance.  | IV.F.             |
| X   | <input type="checkbox"/> | 25 | Drug testing is performed at least twice per week.  | VII.A.*           |
| X   | <input type="checkbox"/> | 26 | Drug testing is random, and is available on weekends and holidays.  | VII.B.*           |
| X   | <input type="checkbox"/> | 27 | Collection of test specimens is witnessed and specimens are examined routinely for evidence of dilution, tampering and adulteration.  | VII.E*<br>VII.F.* |
| X   | <input type="checkbox"/> | 28 | Drug testing utilized by the Mental health Court uses scientifically valid and reliable testing procedures and establishes a chain of custody for each specimen.  | VII.G.            |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS      |
|-----|--------------------------|----|---|----------|
| X   | <input type="checkbox"/> | 29 | Metabolite levels falling below industry- or manufacturer-recommended cutoff scores are not interpreted as evidence of new substance use or changes in substance use patterns, unless such conclusions are reached by an expert trained in toxicology, pharmacology or a related field. | VII.G.*  |
| X   | <input type="checkbox"/> | 30 | Upon entering the Mental health Court, participants receive a clear and comprehensive explanation of their rights and responsibilities relating to drug and alcohol testing.  | VII.I.   |
| X   | <input type="checkbox"/> | 31 | The program requires a period of at least 90 consecutive days drug-free to graduate.  |          |
| X   | <input type="checkbox"/> | 32 | The minimum length of the program is twelve months.   |          |
| X   | <input type="checkbox"/> | 33 | Unless a participant poses an immediate risk to public safety, jail sanctions are administered after less severe consequences have been ineffective at deterring infractions.   | IV.J.    |
| X   | <input type="checkbox"/> | 34 | Jail sanctions are definite in duration and typically last no more than three to five days.   | IV.J.    |
| X   | <input type="checkbox"/> | 35 | Participants are given access to counsel and a fair hearing if a jail sanction might be imposed.  | IV.J.    |
| X   | <input type="checkbox"/> | 36 | Participants are not terminated from the Mental health Court for continued substance use if they are otherwise compliant with their treatment and supervision conditions, unless they are non-amenable to the treatments that are reasonably available in their community.              | IV.K.    |
| X   | <input type="checkbox"/> | 37 | If a participant is terminated from the Mental health Court because adequate treatment is not available, the participant does not receive an augmented sentence or disposition for failing to complete the program.   | IV.K.    |
| X   | <input type="checkbox"/> | 38 | Participants are not incarcerated to achieve clinical or social service objectives such as obtaining access to detoxification services or sober living quarters.  | V.B.     |
| X   | <input type="checkbox"/> | 39 | Treatment providers are licensed or certified to deliver substance abuse treatment, as required by the Department of Human Services or other relevant licensure or certification entity.  | V.H.*    |
| X   | <input type="checkbox"/> | 40 | Participants regularly attend self-help or peer support groups in addition to professional counseling.  | V.I.     |
| X   | <input type="checkbox"/> | 41 | The peer support groups follow a structured model or curriculum such as the 12-step or Smart Recovery models.   | V.I.     |
| X   | <input type="checkbox"/> | 42 | There is a secular alternative to 12-step peer support groups.  |          |
| X   | <input type="checkbox"/> | 43 | Participants complete a final phase of the Mental health Court focusing on relapse prevention and continuing care.  | V.J.     |
| X   | <input type="checkbox"/> | 44 | Participants are not excluded from participation in Mental health Court because they lack a stable place of residence.  | VI.D.    |
| X   | <input type="checkbox"/> | 45 | Participants diagnosed with mental illness receive appropriate mental health services beginning in the first phase of Mental health Court and continuing as needed throughout their enrollment in the program.  | VI.E.*   |
| X   | <input type="checkbox"/> | 46 | Participants are not required to participate in job seeking or vocational skills development in the early phases of mental health court.  | VI.I.*   |
| X   | <input type="checkbox"/> | 47 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each staffing meeting.  | VIII.B.* |



| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>  | BPS      |
|-----|--------------------------|----|--|----------|
| X   | <input type="checkbox"/> | 48 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each Mental health Court session.  | VIII.A.* |
| X   | <input type="checkbox"/> | 49 | Pre-court staff meetings are presumptively closed to participants and the public unless the court has good reason for a participant to attend discussions related to that participant's case.  | VIII.B.  |
| X   | <input type="checkbox"/> | 50 | Participants provide voluntary and informed consent permitting team members to share specified data elements relating to participants' progress in treatment and compliance with program requirements.   | VIII.C.  |
| X   | <input type="checkbox"/> | 51 | Court fees are disclosed to each participant, are reasonable, and are based on each participant's ability to pay. Any fees assessed by the Mental health Court must be reasonably related to the costs of testing or other services.   |          |
| X   | <input type="checkbox"/> | 52 | Treatment fees are based on a sliding fee schedule and are disclosed to each participant.  |          |
| X   | <input type="checkbox"/> | 53 | The Mental health Court develops a remedial action plan and timetable to implement recommendations from the evaluator to improve the program's adherence to best practices.  | X.D.*    |
| X   | <input type="checkbox"/> | 54 | The Mental health Court has written policies and procedures that ensure confidentiality and security of participant information, which conform to all applicable state and federal laws, including, but not limited to, Utah's Governmental Records Access and Management Act (GRAMA), the Health Insurance Portability and Accountability Act of 1996 (HIPAA), and 42 C.F.R. 2 (Confidentiality of Substance Abuse Disorder Patient Records). | VIII.C.* |

| YES | NO                       | # | PRESUMED CERTIFICATION CRITERIA<br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>  | BPS     |
|-----|--------------------------|---|--|---------|
| X   | <input type="checkbox"/> | 1 | Eligibility and exclusion criteria are communicated to potential referral sources.   | I.A.    |
| X   | <input type="checkbox"/> | 2 | The Mental health Court regularly monitors the delivery of incentives and sanctions to ensure they are administered equivalently to all participants.  | II.D.   |
| X   | <input type="checkbox"/> | 3 | Each member of the Mental health Court team attends up-to-date training events on recognizing implicit cultural biases and correcting disparate impacts for members of historically disadvantaged groups.  | II.F.   |
| X   | <input type="checkbox"/> | 4 | The Mental health Court judge attends current training events on legal and constitutional issues in Mental health Courts, judicial ethics, evidence-based substance abuse and mental health treatment, behavior modification, and community supervision.                 | III.A.  |
| X   | <input type="checkbox"/> | 5 | The judge presides over the Mental health Court for no less than two consecutive years.  | III.B.  |
| X   | <input type="checkbox"/> | 6 | The Judge spends an average of at least three minutes with each participant.   | III.F.* |
| X   | <input type="checkbox"/> | 7 | The Mental health Court team relies on expert medical input to determine whether a prescription for an addictive or intoxicating medication is medically indicated and whether non-addictive, non-intoxicating, and medically safe alternative treatments are available. | IV.F.   |
| X   | <input type="checkbox"/> | 8 | Phase promotion is predicated on the achievement of realistic and defined behavioral objectives, such as completing a treatment regimen or remaining drug-abstinent for a specified period of time.  | IV.I.   |

| YES | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>                        | <b>BPS</b>   |
|-----|--------------------------|----|---|--------------|
| X   | <input type="checkbox"/> | 9  | Treatment is reduced only if it is determined clinically that a reduction in treatment is unlikely to precipitate a relapse to substance use.   | IV.I.        |
| X   | <input type="checkbox"/> | 10 | Testing regimens are not scheduled in seven-day or weekly blocks. The chances of being tested should be at least two in seven every day.  | VII.B.*      |
| X   | <input type="checkbox"/> | 11 | Drug test results are available within 48 hours.  | VII.H.       |
| X   | <input type="checkbox"/> | 12 | Participants are required to deliver a test specimen within 8 hours of being notified that a drug or alcohol test has been scheduled.   | VII.B.       |
| X   | <input type="checkbox"/> | 13 | Randomly selected specimens are tested periodically for a broader range of substances to detect any new drugs of abuse that might be emerging in the Mental health Court population.  | VII.D.       |
| X   | <input type="checkbox"/> | 14 | If a participant denies substance use in response to a positive screening test, a portion of the same specimen is subjected to confirmatory analysis using an instrumented test, such as gas chromatography/mass spectrometry (GC/MS).  | VII.G.       |
| X   | <input type="checkbox"/> | 15 | Standardized patient placement criteria govern the level of care that is provided.  | V.A.         |
| X   | <input type="checkbox"/> | 16 | Adjustments to the level of care are predicated on each participant's response to treatment and are not tied to the Mental health Court's programmatic phase structure.   | V.A.         |
| X   | <input type="checkbox"/> | 17 | Participants receive a sufficient dosage and duration of substance abuse treatment to achieve long-term sobriety and recovery from addiction.   | V.D.         |
| X   | <input type="checkbox"/> | 18 | Participants meet with a treatment provider or clinical case manager for at least one individual session per week during the first phase of the program.  | V.E.         |
| X   | <input type="checkbox"/> | 19 | Participants are screened for their suitability for group interventions, and group membership is guided by evidence-based selection criteria including participants' gender, trauma histories and co-occurring psychiatric symptoms.    | V.E.         |
| X   | <input type="checkbox"/> | 20 | Treatment providers administer behavioral or cognitive-behavioral treatments that are documented in manuals and have been demonstrated to improve outcomes for addicted persons involved in the criminal justice system.                | V.F.<br>VI.G |
| X   | <input type="checkbox"/> | 21 | Treatment providers are proficient at delivering the interventions and are supervised regularly to ensure continuous fidelity to the treatment models.  | V.F.         |
| X   | <input type="checkbox"/> | 22 | Treatment providers are supervised regularly to ensure continuous fidelity to evidence-based practices.   | V.H.         |
| X   | <input type="checkbox"/> | 23 | Before participants enter the peer support groups, treatment providers use an evidence-based preparatory intervention, such as 12-step facilitation therapy.  | V.I.         |
| X   | <input type="checkbox"/> | 24 | Participants prepare a continuing-care plan together with their counselor to ensure they continue to engage in pro-social activities and remain connected with a peer support group after their discharge from the Mental health Court. | V.J.         |
| X   | <input type="checkbox"/> | 25 | Where indicated, participants receive assistance finding safe, stable, and drug-free housing beginning in the first phase of Mental health Court and continuing as necessary throughout their enrollment in the program.                | VI.D.        |
| X   | <input type="checkbox"/> | 26 | Participants are assessed using a validated instrument for trauma history, trauma-related symptoms, and posttraumatic stress disorder (PTSD).   | VI.F.        |
| X   | <input type="checkbox"/> | 27 | All Mental health Court team members, including court personnel and other criminal justice professionals, receive formal training on delivering trauma-informed services.   | VI.F.        |

| YES                      | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>  | BPS     |
|--------------------------|--------------------------|----|---|---------|
| X                        | <input type="checkbox"/> | 28 | Participants with deficient employment or academic histories receive vocational or educational services beginning in a late phase of Mental health Court.   | VI.I.   |
| X                        | <input type="checkbox"/> | 29 | Participants complete a brief evidence-based educational curriculum describing concrete measures they can take to prevent or reverse drug overdose.   | VI.L.   |
| X                        | <input type="checkbox"/> | 30 | Clients are placed in the program within 50 days of eligibility screening.  |         |
| X                        | <input type="checkbox"/> | 31 | Team members are assigned to Mental health Court for no less than two years.  |         |
| X                        | <input type="checkbox"/> | 32 | All team members use electronic communication to contemporaneously communicate about Mental health Court issues.  |         |
| X                        | <input type="checkbox"/> | 33 | Subsequently, team members attend continuing education workshops on at least an annual basis to gain up-to-date knowledge about best practices on topics including substance abuse and mental health treatment, complementary treatment and social services, behavior modification, community supervision, drug and alcohol testing, team decision making, and constitutional and legal issues in Mental health Courts. | VIII.F. |
| X                        | <input type="checkbox"/> | 34 | New staff hires receive a formal orientation training on the Mental health Court model and best practices in Mental health Courts as soon as practicable after assuming their position and attend annual continuing education workshops thereafter.   | VIII.F. |
| X                        | <input type="checkbox"/> | 35 | The Mental health Court has more than 15 but less than 125 active participants.   | IX.A.*  |
| X                        | <input type="checkbox"/> | 36 | The Mental health Court monitors its adherence to best practice standards on at least an annual basis, develops a remedial action plan and timetable to rectify deficiencies, and examines the success of the remedial actions.   | X.A.    |
| <input type="checkbox"/> | X                        | 37 | New arrests, new convictions, and new incarcerations are monitored for at least three years following each participant's entry into the Mental health Court.  | X.C.    |
| X                        | <input type="checkbox"/> | 38 | A skilled and independent evaluator examines the Mental health Court's adherence to best practices and participant outcomes no less frequently than every five years.   | X.D.    |
| X                        | <input type="checkbox"/> | 39 | Staff members are required to record information concerning the provision of services and in-program outcomes within forty-eight hours of the respective events.  | X.G.    |
| X                        | <input type="checkbox"/> | 40 | The program conducts an exit interview for self-improvement.  |         |

| YES | NO                       | # | <b>NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS</b><br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i> | BPS  |
|-----|--------------------------|---|--|------|
| X   | <input type="checkbox"/> | 1 | The Mental health Court offers a continuum of care for substance abuse treatment including detoxification, residential, sober living, day treatment, intensive outpatient and outpatient services.                             | V.A. |
| X   | <input type="checkbox"/> | 2 | Treatment groups ordinarily have no more than twelve participants and at least two leaders or facilitators.  | V.E. |
| X   | <input type="checkbox"/> | 3 | Treatment providers have substantial experience working with criminal justice populations.   | V.H. |

| YES | NO                       | #  | NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS<br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i>  | BPS           |
|-----|--------------------------|----|--|---------------|
| X   | <input type="checkbox"/> | 4  | For at least the first ninety days after discharge from the Mental health Court, treatment providers or clinical case managers attempt to contact previous participants periodically by telephone, mail, e-mail, or similar means to check on their progress, offer brief advice and encouragement, and provide referrals for additional treatment when indicated. | V.J.          |
| X   | <input type="checkbox"/> | 5  | Participants are assessed using a validated instrument for major mental health disorders that co-occur frequently in Mental health Courts, including major depression, bipolar disorder (manic depression), posttraumatic stress disorder (PTSD), and other major anxiety disorders.   | VI.E.         |
| X   | <input type="checkbox"/> | 6  | Participants with PTSD or severe trauma-related symptoms are evaluated for their suitability for group interventions and are treated on an individual basis or in small groups when necessary to manage panic, dissociation, or severe anxiety.  | VI.F.         |
| X   | <input type="checkbox"/> | 7  | Female participants receive trauma-related services in gender-specific groups.   | VI.F.         |
| X   | <input type="checkbox"/> | 8  | Participants are required to have a stable job, be enrolled in a vocational or educational program, or be engaged in comparable pro-social activity as a condition of graduating from Mental health Court.   | VI.I.         |
| X   | <input type="checkbox"/> | 9  | Participants receive immediate medical or dental treatment for conditions that are life-threatening, cause serious pain or discomfort, or may lead to long-term disability or impairment.  | VI.J.         |
| X   | <input type="checkbox"/> | 10 | Before starting a Mental health Court, team members attend a formal pre-implementation training to learn from expert faculty about best practices in Mental health Courts and develop fair and effective policies and procedures for the program.  | VIII.F.       |
| X   | <input type="checkbox"/> | 11 | Supervision caseloads do not exceed fifty active participants per supervision officer.   | IX.B.         |
| X   | <input type="checkbox"/> | 12 | Caseloads for clinicians must permit sufficient opportunities to assess participant needs and deliver adequate and effective dosages of substance abuse treatment and indicated complementary services.  | IX.C.         |
| X   | <input type="checkbox"/> | 13 | The Mental health Court continually monitors participant outcomes during enrollment in the program, including attendance at scheduled appointments, drug and alcohol test results, graduation rates, lengths of stay, and in-program technical violations and new arrests or referrals.  | X.B.*         |
| X   | <input type="checkbox"/> | 14 | Information relating to the services provided and participants' in-program performance is entered into an electronic database. Statistical summaries from the database provide staff with real-time information concerning the Mental health Court's adherence to best practices and in-program outcomes.  | X.F.          |
| X   | <input type="checkbox"/> | 15 | Outcomes are examined for all eligible participants who entered the Mental health Court regardless of whether they graduated, withdrew, or were terminated from the program.   | X.H.          |
| X   | <input type="checkbox"/> | 16 | The Mental health Court regularly monitors whether members of historically disadvantaged groups complete the program at equivalent rates to other participants.  | II.B.<br>X.E. |

**UTAH JUDICIAL COUNCIL**  
**ADULT DRUG COURT CERTIFICATION CHECKLIST**  
 REVISED AND ADOPTED DECEMBER 7, 2020

**COURT LOCATION:** DAVIS COUNTY, FARMINGTON

**COURT NUMBER:** ADC5DAVIS

**JUDGE NAME:** EDWARDS

**REVIEW DATE:** FEBRUARY, 2023

*Many of the criteria enumerated in this certification checklist are restatements of the Adult Drug Court Best Practice Standards, Volume I and Volume II, published by the National Association of Drug Court Professionals (NADCP). Those are indicated by a citation in the **BPS** column following the standard. An asterisk indicates a modification of the NADCP standard.*

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>  | BPS    |
|-----|--------------------------|----|--|--------|
| X   | <input type="checkbox"/> | 1  | Eligibility and exclusion criteria are defined and applied objectively.  | I.A.   |
| X   | <input type="checkbox"/> | 2  | Eligibility and exclusion criteria are specified in writing.   | I.A.   |
| X   | <input type="checkbox"/> | 3  | The program admits only participants who are high-risk, high-need, however, if a program is unable to target high-risk and high-need offenders as measured by RANT or some other approved and validated assessment tool, the program develops alternative tracks with services that are modified to meet risk and need levels of its participants. | I.B.*  |
| X   | <input type="checkbox"/> | 4  | Candidates for the Dui court are assessed for eligibility using validated risk-assessment tool that has been demonstrated empirically to predict criminal recidivism or failure on community supervision and is equivalently predictive for women and racial or ethnic minority groups that are represented in the local arrestee population.      | I.C.   |
| X   | <input type="checkbox"/> | 5  | Candidates for the Dui court are assessed for eligibility using validated clinical-assessment tool that evaluates the formal diagnostic symptoms of substance dependence or addiction.   | I.C.   |
| X   | <input type="checkbox"/> | 6  | Evaluators are trained and proficient in the administration of the assessment tools and interpretation of the results.   | I.C.   |
| X   | <input type="checkbox"/> | 7  | Current or prior offenses may not disqualify candidates from participation in the Dui court unless empirical evidence demonstrates offenders with such records cannot be managed safely or effectively in a Dui court.   | I.D.   |
| X   | <input type="checkbox"/> | 8  | Offenders charged with non-drug charges, drug dealing or those with violence histories are not excluded automatically from participation in the Dui court.   | I.D.   |
| X   | <input type="checkbox"/> | 9  | If adequate treatment is available, candidates are not disqualified from participation in the Dui court because of co-occurring mental health or medical conditions or because they have been legally prescribed psychotropic or addiction medication.   | I.E.   |
| X   | <input type="checkbox"/> | 10 | The program has a written policy addressing medically assisted treatment.  |        |
| X   | <input type="checkbox"/> | 11 | Participants ordinarily appear before the same judge throughout their enrollment in the Dui court.   | III.C. |
| X   | <input type="checkbox"/> | 12 | The judge regularly attends pre-court staff meetings during which each participant's progress is reviewed and potential consequences for performance are discussed by the Dui court team.  | III.D. |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS               |
|-----|--------------------------|----|---|-------------------|
| X   | <input type="checkbox"/> | 13 | Participants appear before the judge for status hearings no less frequently than every two weeks during the first phase of the program. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.            |
| X   | <input type="checkbox"/> | 14 | Status hearings are scheduled no less frequently than every four weeks until participants graduate. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.*           |
| X   | <input type="checkbox"/> | 15 | The judge allows participants a reasonable opportunity to explain their perspectives concerning factual controversies and the imposition of sanctions, incentives, and therapeutic adjustments.   | III.G.            |
| X   | <input type="checkbox"/> | 16 | If a participant has difficulty expressing him or herself because of such factors as a language barrier, nervousness, or cognitive limitation, the judge permits the participant's attorney or legal representative to assist in providing such explanations.   | IV.B.             |
| X   | <input type="checkbox"/> | 17 | The judge is the ultimate arbiter of factual controversies and makes the final decision concerning the imposition of incentives or sanctions that affect a participant's legal status or liberty.   | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 18 | The judge makes these decisions after taking into consideration the input of other Dui court team members and discussing the matter in court with the participant or the participant's legal representative.  | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 19 | The judge relies on the expert input of duly trained treatment professionals when imposing treatment-related conditions.  | III.H.            |
| X   | <input type="checkbox"/> | 20 | Policies and procedures concerning the administration of incentives, sanctions, and therapeutic adjustments are specified in writing and communicated in advance to Dui court participants and team members.  | IV.A.             |
| X   | <input type="checkbox"/> | 21 | The policies and procedures provide a clear indication of which behaviors may elicit an incentive, sanction, or therapeutic adjustment; the range of consequences that may be imposed for those behaviors; the criteria for phase advancement, graduation, and termination from the program; and the legal and collateral consequences that may ensue from graduation and termination.                              | IV.A.             |
| X   | <input type="checkbox"/> | 22 | The Dui court has a range of sanctions of varying magnitudes that may be administered in response to infractions in the program.  | IV.E.             |
| X   | <input type="checkbox"/> | 23 | For goals that are difficult for participants to accomplish, such as abstaining from substance use or obtaining employment, the sanctions increase progressively in magnitude over successive infractions. For goals that are relatively easy for participants to accomplish, such as being truthful or attending counseling sessions, higher magnitude sanctions may be administered after only a few infractions. | IV.E.             |
| X   | <input type="checkbox"/> | 24 | Consequences are imposed for the non-medically indicated use of intoxicating or addictive substances, including but not limited to alcohol, cannabis (marijuana) and prescription medications, regardless of the licit or illicit status of the substance.  | IV.F.             |
| X   | <input type="checkbox"/> | 25 | Drug and/or alcohol testing is performed at least twice per week.   | VII.A.*           |
| X   | <input type="checkbox"/> | 26 | Drug and/or alcohol testing is random, and is available on weekends and holidays.   | VII.B.*           |
| X   | <input type="checkbox"/> | 27 | Collection of test specimens is witnessed and specimens are examined routinely for evidence of dilution, tampering and adulteration.  | VII.E*<br>VII.F.* |
| X   | <input type="checkbox"/> | 28 | Drug testing utilized by the Dui court uses scientifically valid and reliable testing procedures and establishes a chain of custody for each specimen.  | VII.G.            |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS      |
|-----|--------------------------|----|---|----------|
| X   | <input type="checkbox"/> | 29 | Metabolite levels falling below industry- or manufacturer-recommended cutoff scores are not interpreted as evidence of new substance use or changes in substance use patterns, unless such conclusions are reached by an expert trained in toxicology, pharmacology or a related field. | VII.G.*  |
| X   | <input type="checkbox"/> | 30 | Upon entering the Dui court, participants receive a clear and comprehensive explanation of their rights and responsibilities relating to drug and alcohol testing.  | VII.I.   |
| X   | <input type="checkbox"/> | 31 | The program requires a period of at least 90 consecutive days drug and alcohol-free to graduate.  |          |
| X   | <input type="checkbox"/> | 32 | The minimum length of the program is twelve months.   |          |
| X   | <input type="checkbox"/> | 33 | Unless a participant poses an immediate risk to public safety, jail sanctions are administered after less severe consequences have been ineffective at deterring infractions.   | IV.J.    |
| X   | <input type="checkbox"/> | 34 | Jail sanctions are definite in duration and typically last no more than three to five days.   | IV.J.    |
| X   | <input type="checkbox"/> | 35 | Participants are given access to counsel and a fair hearing if a jail sanction might be imposed.  | IV.J.    |
| X   | <input type="checkbox"/> | 36 | Participants are not terminated from the Dui court for continued substance use if they are otherwise compliant with their treatment and supervision conditions, unless they are non-amenable to the treatments that are reasonably available in their community.                        | IV.K.    |
| X   | <input type="checkbox"/> | 37 | If a participant is terminated from the Dui court because adequate treatment is not available, the participant does not receive an augmented sentence or disposition for failing to complete the program.   | IV.K.    |
| X   | <input type="checkbox"/> | 38 | Participants are not incarcerated to achieve clinical or social service objectives such as obtaining access to detoxification services or sober living quarters.  | V.B.     |
| X   | <input type="checkbox"/> | 39 | Treatment providers are licensed or certified to deliver substance abuse treatment, as required by the Department of Human Services or other relevant licensure or certification entity.  | V.H.*    |
| X   | <input type="checkbox"/> | 40 | Participants regularly attend self-help or peer support groups in addition to professional counseling.  | V.I.     |
| X   | <input type="checkbox"/> | 41 | The peer support groups follow a structured model or curriculum such as the 12-step or Smart Recovery models.   | V.I.     |
| X   | <input type="checkbox"/> | 42 | There is a secular alternative to 12-step peer support groups.  |          |
| X   | <input type="checkbox"/> | 43 | Participants complete a final phase of the Dui court focusing on relapse prevention and continuing care.  | V.J.     |
| X   | <input type="checkbox"/> | 44 | Participants are not excluded from participation in Dui court because they lack a stable place of residence.  | VI.D.    |
| X   | <input type="checkbox"/> | 45 | Participants diagnosed with mental illness receive appropriate mental health services beginning in the first phase of Dui court and continuing as needed throughout their enrollment in the program.  | VI.E.*   |
| X   | <input type="checkbox"/> | 46 | Participants are not required to participate in job seeking or vocational skills development in the early phases of dui court.  | VI.I.*   |
| X   | <input type="checkbox"/> | 47 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each staffing meeting.  | VIII.B.* |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>  | BPS      |
|-----|--------------------------|----|--|----------|
| X   | <input type="checkbox"/> | 48 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each Dui court session.  | VIII.A.* |
| X   | <input type="checkbox"/> | 49 | Pre-court staff meetings are presumptively closed to participants and the public unless the court has good reason for a participant to attend discussions related to that participant's case.  | VIII.B.  |
| X   | <input type="checkbox"/> | 50 | Participants provide voluntary and informed consent permitting team members to share specified data elements relating to participants' progress in treatment and compliance with program requirements.   | VIII.C.  |
| X   | <input type="checkbox"/> | 51 | Court fees are disclosed to each participant, are reasonable, and are based on each participant's ability to pay. Any fees assessed by the Dui court must be reasonably related to the costs of testing or other services.   |          |
| X   | <input type="checkbox"/> | 52 | Treatment fees are based on a sliding fee schedule and are disclosed to each participant.  |          |
| X   | <input type="checkbox"/> | 53 | The Dui court develops a remedial action plan and timetable to implement recommendations from the evaluator to improve the program's adherence to best practices.  | X.D.*    |
| X   | <input type="checkbox"/> | 54 | The Dui court has written policies and procedures that ensure confidentiality and security of participant information, which conform to all applicable state and federal laws, including, but not limited to, Utah's Governmental Records Access and Management Act (GRAMA), the Health Insurance Portability and Accountability Act of 1996 (HIPAA), and 42 C.F.R. 2 (Confidentiality of Substance Abuse Disorder Patient Records). | VIII.C.* |

| YES | NO                       | # | PRESUMED CERTIFICATION CRITERIA<br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>  | BPS     |
|-----|--------------------------|---|--|---------|
| X   | <input type="checkbox"/> | 1 | Eligibility and exclusion criteria are communicated to potential referral sources.   | I.A.    |
| X   | <input type="checkbox"/> | 2 | The Dui court regularly monitors the delivery of incentives and sanctions to ensure they are administered equivalently to all participants.  | II.D.   |
| X   | <input type="checkbox"/> | 3 | Each member of the Dui court team attends up-to-date training events on recognizing implicit cultural biases and correcting disparate impacts for members of historically disadvantaged groups.  | II.F.   |
| X   | <input type="checkbox"/> | 4 | The Dui court judge attends current training events on legal and constitutional issues in Dui courts, judicial ethics, evidence-based substance abuse and mental health treatment, behavior modification, and community supervision.                           | III.A.  |
| X   | <input type="checkbox"/> | 5 | The judge presides over the Dui court for no less than two consecutive years.  | III.B.  |
| X   | <input type="checkbox"/> | 6 | The Judge spends an average of at least three minutes with each participant.   | III.F.* |
| X   | <input type="checkbox"/> | 7 | The Dui court team relies on expert medical input to determine whether a prescription for an addictive or intoxicating medication is medically indicated and whether non-addictive, non-intoxicating, and medically safe alternative treatments are available. | IV.F.   |
| X   | <input type="checkbox"/> | 8 | Phase promotion is predicated on the achievement of realistic and defined behavioral objectives, such as completing a treatment regimen or remaining drug-abstinent for a specified period of time.  | IV.I.   |



| YES | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>                       | <b>BPS</b>   |
|-----|--------------------------|----|--|--------------|
| X   | <input type="checkbox"/> | 9  | Treatment is reduced only if it is determined clinically that a reduction in treatment is unlikely to precipitate a relapse to substance use.  | IV.I.        |
| X   | <input type="checkbox"/> | 10 | Testing regimens are not scheduled in seven-day or weekly blocks. The chances of being tested should be at least two in seven every day.   | VII.B.*      |
| X   | <input type="checkbox"/> | 11 | Drug test results are available within 48 hours.   | VII.H.       |
| X   | <input type="checkbox"/> | 12 | Participants are required to deliver a test specimen within 8 hours of being notified that a drug or alcohol test has been scheduled.  | VII.B.       |
| X   | <input type="checkbox"/> | 13 | Randomly selected specimens are tested periodically for a broader range of substances to detect any new drugs of abuse that might be emerging in the Dui court population.   | VII.D.       |
| X   | <input type="checkbox"/> | 14 | If a participant denies substance use in response to a positive screening test, a portion of the same specimen is subjected to confirmatory analysis using an instrumented test, such as gas chromatography/mass spectrometry (GC/MS). | VII.G.       |
| X   | <input type="checkbox"/> | 15 | Standardized patient placement criteria govern the level of care that is provided.   | V.A.         |
| X   | <input type="checkbox"/> | 16 | Adjustments to the level of care are predicated on each participant's response to treatment and are not tied to the Dui court's programmatic phase structure.  | V.A.         |
| X   | <input type="checkbox"/> | 17 | Participants receive a sufficient dosage and duration of substance abuse treatment to achieve long-term sobriety and recovery from addiction.  | V.D.         |
| X   | <input type="checkbox"/> | 18 | Participants meet with a treatment provider or clinical case manager for at least one individual session per week during the first phase of the program.   | V.E.         |
| X   | <input type="checkbox"/> | 19 | Participants are screened for their suitability for group interventions, and group membership is guided by evidence-based selection criteria including participants' gender, trauma histories and co-occurring psychiatric symptoms.   | V.E.         |
| X   | <input type="checkbox"/> | 20 | Treatment providers administer behavioral or cognitive-behavioral treatments that are documented in manuals and have been demonstrated to improve outcomes for addicted persons involved in the criminal justice system.               | V.F.<br>VI.G |
| X   | <input type="checkbox"/> | 21 | Treatment providers are proficient at delivering the interventions and are supervised regularly to ensure continuous fidelity to the treatment models.   | V.F.         |
| X   | <input type="checkbox"/> | 22 | Treatment providers are supervised regularly to ensure continuous fidelity to evidence-based practices.  | V.H.         |
| X   | <input type="checkbox"/> | 23 | Before participants enter the peer support groups, treatment providers use an evidence-based preparatory intervention, such as 12-step facilitation therapy.   | V.I.         |
| X   | <input type="checkbox"/> | 24 | Participants prepare a continuing-care plan together with their counselor to ensure they continue to engage in pro-social activities and remain connected with a peer support group after their discharge from the Dui court.          | V.J.         |
| X   | <input type="checkbox"/> | 25 | Where indicated, participants receive assistance finding safe, stable, and drug-free housing beginning in the first phase of Dui court and continuing as necessary throughout their enrollment in the program.                         | VI.D.        |
| X   | <input type="checkbox"/> | 26 | Participants are assessed using a validated instrument for trauma history, trauma-related symptoms, and posttraumatic stress disorder (PTSD).  | VI.F.        |
| X   | <input type="checkbox"/> | 27 | All Dui court team members, including court personnel and other criminal justice professionals, receive formal training on delivering trauma-informed services.  | VI.F.        |

| YES                      | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>  | BPS     |
|--------------------------|--------------------------|----|---|---------|
| X                        | <input type="checkbox"/> | 28 | Participants with deficient employment or academic histories receive vocational or educational services beginning in a late phase of DUI court.   | VI.I.   |
| X                        | <input type="checkbox"/> | 29 | Participants complete a brief evidence-based educational curriculum describing concrete measures they can take to prevent or reverse drug overdose.   | VI.L.   |
| <input type="checkbox"/> | X                        | 30 | Clients are placed in the program within 50 days of arrest.   |         |
| X                        | <input type="checkbox"/> | 31 | Team members are assigned to DUI court for no less than two years.  |         |
| X                        | <input type="checkbox"/> | 32 | All team members use electronic communication to contemporaneously communicate about DUI court issues.  |         |
| X                        | <input type="checkbox"/> | 33 | Subsequently, team members attend continuing education workshops on at least an annual basis to gain up-to-date knowledge about best practices on topics including substance abuse and mental health treatment, complementary treatment and social services, behavior modification, community supervision, drug and alcohol testing, team decision making, and constitutional and legal issues in DUI courts. | VIII.F. |
| X                        | <input type="checkbox"/> | 34 | New staff hires receive a formal orientation training on the DUI court model and best practices in DUI courts as soon as practicable after assuming their position and attend annual continuing education workshops thereafter.   | VIII.F. |
| X                        | <input type="checkbox"/> | 35 | The DUI court has more than 15 but less than 125 active participants.   | IX.A.*  |
| X                        | <input type="checkbox"/> | 36 | The DUI court monitors its adherence to best practice standards on at least an annual basis, develops a remedial action plan and timetable to rectify deficiencies, and examines the success of the remedial actions.   | X.A.    |
| <input type="checkbox"/> | X                        | 37 | New arrests, new convictions, and new incarcerations are monitored for at least three years following each participant's entry into the DUI court.  | X.C.    |
| X                        | <input type="checkbox"/> | 38 | A skilled and independent evaluator examines the DUI court's adherence to best practices and participant outcomes no less frequently than every five years.   | X.D.    |
| X                        | <input type="checkbox"/> | 39 | Staff members are required to record information concerning the provision of services and in-program outcomes within forty-eight hours of the respective events.  | X.G.    |
| X                        | <input type="checkbox"/> | 40 | The program conducts an exit interview for self-improvement.  |         |

| YES | NO                       | # | <b>NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS</b><br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i> | BPS  |
|-----|--------------------------|---|--|------|
| X   | <input type="checkbox"/> | 1 | The DUI court offers a continuum of care for substance abuse treatment including detoxification, residential, sober living, day treatment, intensive outpatient and outpatient services.                                       | V.A. |
| X   | <input type="checkbox"/> | 2 | Treatment groups ordinarily have no more than twelve participants and at least two leaders or facilitators.  | V.E. |
| X   | <input type="checkbox"/> | 3 | Treatment providers have substantial experience working with criminal justice populations.   | V.H. |

| YES | NO                       | #  | NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS<br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i>  | BPS           |
|-----|--------------------------|----|--|---------------|
| X   | <input type="checkbox"/> | 4  | For at least the first ninety days after discharge from the Dui court, treatment providers or clinical case managers attempt to contact previous participants periodically by telephone, mail, e-mail, or similar means to check on their progress, offer brief advice and encouragement, and provide referrals for additional treatment when indicated. | V.J.          |
| X   | <input type="checkbox"/> | 5  | Participants are assessed using a validated instrument for major mental health disorders that co-occur frequently in Dui courts, including major depression, bipolar disorder (manic depression), posttraumatic stress disorder (PTSD), and other major anxiety disorders.   | VI.E.         |
| X   | <input type="checkbox"/> | 6  | Participants with PTSD or severe trauma-related symptoms are evaluated for their suitability for group interventions and are treated on an individual basis or in small groups when necessary to manage panic, dissociation, or severe anxiety.  | VI.F.         |
| X   | <input type="checkbox"/> | 7  | Female participants receive trauma-related services in gender-specific groups.   | VI.F.         |
| X   | <input type="checkbox"/> | 8  | Participants are required to have a stable job, be enrolled in a vocational or educational program, or be engaged in comparable pro-social activity as a condition of graduating from Dui court.   | VI.I.         |
| X   | <input type="checkbox"/> | 9  | Participants receive immediate medical or dental treatment for conditions that are life-threatening, cause serious pain or discomfort, or may lead to long-term disability or impairment.  | VI.J.         |
| X   | <input type="checkbox"/> | 10 | Before starting a Dui court, team members attend a formal pre-implementation training to learn from expert faculty about best practices in Dui courts and develop fair and effective policies and procedures for the program.  | VIII.F.       |
| X   | <input type="checkbox"/> | 11 | Supervision caseloads do not exceed fifty active participants per supervision officer.   | IX.B.         |
| X   | <input type="checkbox"/> | 12 | Caseloads for clinicians must permit sufficient opportunities to assess participant needs and deliver adequate and effective dosages of substance abuse treatment and indicated complementary services.  | IX.C.         |
| X   | <input type="checkbox"/> | 13 | The Dui court continually monitors participant outcomes during enrollment in the program, including attendance at scheduled appointments, drug and alcohol test results, graduation rates, lengths of stay, and in-program technical violations and new arrests or referrals.  | X.B.*         |
| X   | <input type="checkbox"/> | 14 | Information relating to the services provided and participants' in-program performance is entered into an electronic database. Statistical summaries from the database provide staff with real-time information concerning the Dui court's adherence to best practices and in-program outcomes.  | X.F.          |
| X   | <input type="checkbox"/> | 15 | Outcomes are examined for all eligible participants who entered the Dui court regardless of whether they graduated, withdrew, or were terminated from the program.   | X.H.          |
| X   | <input type="checkbox"/> | 16 | The Dui court regularly monitors whether members of historically disadvantaged groups complete the program at equivalent rates to other participants.  | II.B.<br>X.E. |

**UTAH JUDICIAL COUNCIL**  
**ADULT DUI COURT CERTIFICATION CHECKLIST**  
 REVISED AND ADOPTED DECEMBER 7, 2020

**COURT LOCATION:** DAVIS COUNTY, FARMINGTON

**COURT NUMBER:** ADC4DAVIS

**JUDGE NAME:** EDWARDS

**REVIEW DATE:** FEBRUARY, 2023

*Many of the criteria enumerated in this certification checklist are restatements of the Adult Drug Court Best Practice Standards, Volume I and Volume II, published by the National Association of Drug Court Professionals (NADCP). Those are indicated by a citation in the **BPS** column following the standard. An asterisk indicates a modification of the NADCP standard.*

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>  | BPS    |
|-----|--------------------------|----|--|--------|
| X   | <input type="checkbox"/> | 1  | Eligibility and exclusion criteria are defined and applied objectively.  | I.A.   |
| X   | <input type="checkbox"/> | 2  | Eligibility and exclusion criteria are specified in writing.   | I.A.   |
| X   | <input type="checkbox"/> | 3  | The program admits only participants who are high-risk, high-need, however, if a program is unable to target high-risk and high-need offenders as measured by RANT or some other approved and validated assessment tool, the program develops alternative tracks with services that are modified to meet risk and need levels of its participants. | I.B.*  |
| X   | <input type="checkbox"/> | 4  | Candidates for the Dui court are assessed for eligibility using validated risk-assessment tool that has been demonstrated empirically to predict criminal recidivism or failure on community supervision and is equivalently predictive for women and racial or ethnic minority groups that are represented in the local arrestee population.      | I.C.   |
| X   | <input type="checkbox"/> | 5  | Candidates for the Dui court are assessed for eligibility using validated clinical-assessment tool that evaluates the formal diagnostic symptoms of substance dependence or addiction.   | I.C.   |
| X   | <input type="checkbox"/> | 6  | Evaluators are trained and proficient in the administration of the assessment tools and interpretation of the results.   | I.C.   |
| X   | <input type="checkbox"/> | 7  | Current or prior offenses may not disqualify candidates from participation in the Dui court unless empirical evidence demonstrates offenders with such records cannot be managed safely or effectively in a Dui court.   | I.D.   |
| X   | <input type="checkbox"/> | 8  | Offenders charged with non-drug charges, drug dealing or those with violence histories are not excluded automatically from participation in the Dui court.   | I.D.   |
| X   | <input type="checkbox"/> | 9  | If adequate treatment is available, candidates are not disqualified from participation in the Dui court because of co-occurring mental health or medical conditions or because they have been legally prescribed psychotropic or addiction medication.   | I.E.   |
| X   | <input type="checkbox"/> | 10 | The program has a written policy addressing medically assisted treatment.  |        |
| X   | <input type="checkbox"/> | 11 | Participants ordinarily appear before the same judge throughout their enrollment in the Dui court.   | III.C. |
| X   | <input type="checkbox"/> | 12 | The judge regularly attends pre-court staff meetings during which each participant's progress is reviewed and potential consequences for performance are discussed by the Dui court team.  | III.D. |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS               |
|-----|--------------------------|----|---|-------------------|
| X   | <input type="checkbox"/> | 13 | Participants appear before the judge for status hearings no less frequently than every two weeks during the first phase of the program. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.            |
| X   | <input type="checkbox"/> | 14 | Status hearings are scheduled no less frequently than every four weeks until participants graduate. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.*           |
| X   | <input type="checkbox"/> | 15 | The judge allows participants a reasonable opportunity to explain their perspectives concerning factual controversies and the imposition of sanctions, incentives, and therapeutic adjustments.   | III.G.            |
| X   | <input type="checkbox"/> | 16 | If a participant has difficulty expressing him or herself because of such factors as a language barrier, nervousness, or cognitive limitation, the judge permits the participant's attorney or legal representative to assist in providing such explanations.   | IV.B.             |
| X   | <input type="checkbox"/> | 17 | The judge is the ultimate arbiter of factual controversies and makes the final decision concerning the imposition of incentives or sanctions that affect a participant's legal status or liberty.   | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 18 | The judge makes these decisions after taking into consideration the input of other Dui court team members and discussing the matter in court with the participant or the participant's legal representative.  | III.H.<br>VIII.D. |
| X   | <input type="checkbox"/> | 19 | The judge relies on the expert input of duly trained treatment professionals when imposing treatment-related conditions.  | III.H.            |
| X   | <input type="checkbox"/> | 20 | Policies and procedures concerning the administration of incentives, sanctions, and therapeutic adjustments are specified in writing and communicated in advance to Dui court participants and team members.  | IV.A.             |
| X   | <input type="checkbox"/> | 21 | The policies and procedures provide a clear indication of which behaviors may elicit an incentive, sanction, or therapeutic adjustment; the range of consequences that may be imposed for those behaviors; the criteria for phase advancement, graduation, and termination from the program; and the legal and collateral consequences that may ensue from graduation and termination.                              | IV.A.             |
| X   | <input type="checkbox"/> | 22 | The Dui court has a range of sanctions of varying magnitudes that may be administered in response to infractions in the program.  | IV.E.             |
| X   | <input type="checkbox"/> | 23 | For goals that are difficult for participants to accomplish, such as abstaining from substance use or obtaining employment, the sanctions increase progressively in magnitude over successive infractions. For goals that are relatively easy for participants to accomplish, such as being truthful or attending counseling sessions, higher magnitude sanctions may be administered after only a few infractions. | IV.E.             |
| X   | <input type="checkbox"/> | 24 | Consequences are imposed for the non-medically indicated use of intoxicating or addictive substances, including but not limited to alcohol, cannabis (marijuana) and prescription medications, regardless of the licit or illicit status of the substance.  | IV.F.             |
| X   | <input type="checkbox"/> | 25 | Drug and/or alcohol testing is performed at least twice per week.   | VII.A.*           |
| X   | <input type="checkbox"/> | 26 | Drug and/or alcohol testing is random, and is available on weekends and holidays.   | VII.B.*           |
| X   | <input type="checkbox"/> | 27 | Collection of test specimens is witnessed and specimens are examined routinely for evidence of dilution, tampering and adulteration.  | VII.E*<br>VII.F.* |
| X   | <input type="checkbox"/> | 28 | Drug testing utilized by the Dui court uses scientifically valid and reliable testing procedures and establishes a chain of custody for each specimen.  | VII.G.            |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS      |
|-----|--------------------------|----|---|----------|
| X   | <input type="checkbox"/> | 29 | Metabolite levels falling below industry- or manufacturer-recommended cutoff scores are not interpreted as evidence of new substance use or changes in substance use patterns, unless such conclusions are reached by an expert trained in toxicology, pharmacology or a related field. | VII.G.*  |
| X   | <input type="checkbox"/> | 30 | Upon entering the Dui court, participants receive a clear and comprehensive explanation of their rights and responsibilities relating to drug and alcohol testing.  | VII.I.   |
| X   | <input type="checkbox"/> | 31 | The program requires a period of at least 90 consecutive days drug and alcohol-free to graduate.  |          |
| X   | <input type="checkbox"/> | 32 | The minimum length of the program is twelve months.   |          |
| X   | <input type="checkbox"/> | 33 | Unless a participant poses an immediate risk to public safety, jail sanctions are administered after less severe consequences have been ineffective at deterring infractions.   | IV.J.    |
| X   | <input type="checkbox"/> | 34 | Jail sanctions are definite in duration and typically last no more than three to five days.   | IV.J.    |
| X   | <input type="checkbox"/> | 35 | Participants are given access to counsel and a fair hearing if a jail sanction might be imposed.  | IV.J.    |
| X   | <input type="checkbox"/> | 36 | Participants are not terminated from the Dui court for continued substance use if they are otherwise compliant with their treatment and supervision conditions, unless they are non-amenable to the treatments that are reasonably available in their community.                        | IV.K.    |
| X   | <input type="checkbox"/> | 37 | If a participant is terminated from the Dui court because adequate treatment is not available, the participant does not receive an augmented sentence or disposition for failing to complete the program.   | IV.K.    |
| X   | <input type="checkbox"/> | 38 | Participants are not incarcerated to achieve clinical or social service objectives such as obtaining access to detoxification services or sober living quarters.  | V.B.     |
| X   | <input type="checkbox"/> | 39 | Treatment providers are licensed or certified to deliver substance abuse treatment, as required by the Department of Human Services or other relevant licensure or certification entity.  | V.H.*    |
| X   | <input type="checkbox"/> | 40 | Participants regularly attend self-help or peer support groups in addition to professional counseling.  | V.I.     |
| X   | <input type="checkbox"/> | 41 | The peer support groups follow a structured model or curriculum such as the 12-step or Smart Recovery models.   | V.I.     |
| X   | <input type="checkbox"/> | 42 | There is a secular alternative to 12-step peer support groups.  |          |
| X   | <input type="checkbox"/> | 43 | Participants complete a final phase of the Dui court focusing on relapse prevention and continuing care.  | V.J.     |
| X   | <input type="checkbox"/> | 44 | Participants are not excluded from participation in Dui court because they lack a stable place of residence.  | VI.D.    |
| X   | <input type="checkbox"/> | 45 | Participants diagnosed with mental illness receive appropriate mental health services beginning in the first phase of Dui court and continuing as needed throughout their enrollment in the program.  | VI.E.*   |
| X   | <input type="checkbox"/> | 46 | Participants are not required to participate in job seeking or vocational skills development in the early phases of dui court.  | VI.I.*   |
| X   | <input type="checkbox"/> | 47 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each staffing meeting.  | VIII.B.* |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>  | BPS      |
|-----|--------------------------|----|--|----------|
| X   | <input type="checkbox"/> | 48 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each Dui court session.  | VIII.A.* |
| X   | <input type="checkbox"/> | 49 | Pre-court staff meetings are presumptively closed to participants and the public unless the court has good reason for a participant to attend discussions related to that participant's case.  | VIII.B.  |
| X   | <input type="checkbox"/> | 50 | Participants provide voluntary and informed consent permitting team members to share specified data elements relating to participants' progress in treatment and compliance with program requirements.   | VIII.C.  |
| X   | <input type="checkbox"/> | 51 | Court fees are disclosed to each participant, are reasonable, and are based on each participant's ability to pay. Any fees assessed by the Dui court must be reasonably related to the costs of testing or other services.   |          |
| X   | <input type="checkbox"/> | 52 | Treatment fees are based on a sliding fee schedule and are disclosed to each participant.  |          |
| X   | <input type="checkbox"/> | 53 | The Dui court develops a remedial action plan and timetable to implement recommendations from the evaluator to improve the program's adherence to best practices.  | X.D.*    |
| X   | <input type="checkbox"/> | 54 | The Dui court has written policies and procedures that ensure confidentiality and security of participant information, which conform to all applicable state and federal laws, including, but not limited to, Utah's Governmental Records Access and Management Act (GRAMA), the Health Insurance Portability and Accountability Act of 1996 (HIPAA), and 42 C.F.R. 2 (Confidentiality of Substance Abuse Disorder Patient Records). | VIII.C.* |

| YES | NO                       | # | PRESUMED CERTIFICATION CRITERIA<br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>  | BPS     |
|-----|--------------------------|---|--|---------|
| X   | <input type="checkbox"/> | 1 | Eligibility and exclusion criteria are communicated to potential referral sources.   | I.A.    |
| X   | <input type="checkbox"/> | 2 | The Dui court regularly monitors the delivery of incentives and sanctions to ensure they are administered equivalently to all participants.  | II.D.   |
| X   | <input type="checkbox"/> | 3 | Each member of the Dui court team attends up-to-date training events on recognizing implicit cultural biases and correcting disparate impacts for members of historically disadvantaged groups.  | II.F.   |
| X   | <input type="checkbox"/> | 4 | The Dui court judge attends current training events on legal and constitutional issues in Dui courts, judicial ethics, evidence-based substance abuse and mental health treatment, behavior modification, and community supervision.                           | III.A.  |
| X   | <input type="checkbox"/> | 5 | The judge presides over the Dui court for no less than two consecutive years.  | III.B.  |
| X   | <input type="checkbox"/> | 6 | The Judge spends an average of at least three minutes with each participant.   | III.F.* |
| X   | <input type="checkbox"/> | 7 | The Dui court team relies on expert medical input to determine whether a prescription for an addictive or intoxicating medication is medically indicated and whether non-addictive, non-intoxicating, and medically safe alternative treatments are available. | IV.F.   |
| X   | <input type="checkbox"/> | 8 | Phase promotion is predicated on the achievement of realistic and defined behavioral objectives, such as completing a treatment regimen or remaining drug-abstinent for a specified period of time.  | IV.I.   |

| YES | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>                       | <b>BPS</b>   |
|-----|--------------------------|----|--|--------------|
| X   | <input type="checkbox"/> | 9  | Treatment is reduced only if it is determined clinically that a reduction in treatment is unlikely to precipitate a relapse to substance use.  | IV.I.        |
| X   | <input type="checkbox"/> | 10 | Testing regimens are not scheduled in seven-day or weekly blocks. The chances of being tested should be at least two in seven every day.   | VII.B.*      |
| X   | <input type="checkbox"/> | 11 | Drug test results are available within 48 hours.   | VII.H.       |
| X   | <input type="checkbox"/> | 12 | Participants are required to deliver a test specimen within 8 hours of being notified that a drug or alcohol test has been scheduled.  | VII.B.       |
| X   | <input type="checkbox"/> | 13 | Randomly selected specimens are tested periodically for a broader range of substances to detect any new drugs of abuse that might be emerging in the Dui court population.   | VII.D.       |
| X   | <input type="checkbox"/> | 14 | If a participant denies substance use in response to a positive screening test, a portion of the same specimen is subjected to confirmatory analysis using an instrumented test, such as gas chromatography/mass spectrometry (GC/MS). | VII.G.       |
| X   | <input type="checkbox"/> | 15 | Standardized patient placement criteria govern the level of care that is provided.   | V.A.         |
| X   | <input type="checkbox"/> | 16 | Adjustments to the level of care are predicated on each participant's response to treatment and are not tied to the Dui court's programmatic phase structure.  | V.A.         |
| X   | <input type="checkbox"/> | 17 | Participants receive a sufficient dosage and duration of substance abuse treatment to achieve long-term sobriety and recovery from addiction.  | V.D.         |
| X   | <input type="checkbox"/> | 18 | Participants meet with a treatment provider or clinical case manager for at least one individual session per week during the first phase of the program.   | V.E.         |
| X   | <input type="checkbox"/> | 19 | Participants are screened for their suitability for group interventions, and group membership is guided by evidence-based selection criteria including participants' gender, trauma histories and co-occurring psychiatric symptoms.   | V.E.         |
| X   | <input type="checkbox"/> | 20 | Treatment providers administer behavioral or cognitive-behavioral treatments that are documented in manuals and have been demonstrated to improve outcomes for addicted persons involved in the criminal justice system.               | V.F.<br>VI.G |
| X   | <input type="checkbox"/> | 21 | Treatment providers are proficient at delivering the interventions and are supervised regularly to ensure continuous fidelity to the treatment models.   | V.F.         |
| X   | <input type="checkbox"/> | 22 | Treatment providers are supervised regularly to ensure continuous fidelity to evidence-based practices.  | V.H.         |
| X   | <input type="checkbox"/> | 23 | Before participants enter the peer support groups, treatment providers use an evidence-based preparatory intervention, such as 12-step facilitation therapy.   | V.I.         |
| X   | <input type="checkbox"/> | 24 | Participants prepare a continuing-care plan together with their counselor to ensure they continue to engage in pro-social activities and remain connected with a peer support group after their discharge from the Dui court.          | V.J.         |
| X   | <input type="checkbox"/> | 25 | Where indicated, participants receive assistance finding safe, stable, and drug-free housing beginning in the first phase of Dui court and continuing as necessary throughout their enrollment in the program.                         | VI.D.        |
| X   | <input type="checkbox"/> | 26 | Participants are assessed using a validated instrument for trauma history, trauma-related symptoms, and posttraumatic stress disorder (PTSD).  | VI.F.        |
| X   | <input type="checkbox"/> | 27 | All Dui court team members, including court personnel and other criminal justice professionals, receive formal training on delivering trauma-informed services.  | VI.F.        |



| YES                      | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>  | BPS     |
|--------------------------|--------------------------|----|---|---------|
| X                        | <input type="checkbox"/> | 28 | Participants with deficient employment or academic histories receive vocational or educational services beginning in a late phase of DUI court.   | VI.I.   |
| X                        | <input type="checkbox"/> | 29 | Participants complete a brief evidence-based educational curriculum describing concrete measures they can take to prevent or reverse drug overdose.   | VI.L.   |
| <input type="checkbox"/> | X                        | 30 | Clients are placed in the program within 50 days of arrest.   |         |
| X                        | <input type="checkbox"/> | 31 | Team members are assigned to DUI court for no less than two years.  |         |
| X                        | <input type="checkbox"/> | 32 | All team members use electronic communication to contemporaneously communicate about DUI court issues.  |         |
| X                        | <input type="checkbox"/> | 33 | Subsequently, team members attend continuing education workshops on at least an annual basis to gain up-to-date knowledge about best practices on topics including substance abuse and mental health treatment, complementary treatment and social services, behavior modification, community supervision, drug and alcohol testing, team decision making, and constitutional and legal issues in DUI courts. | VIII.F. |
| X                        | <input type="checkbox"/> | 34 | New staff hires receive a formal orientation training on the DUI court model and best practices in DUI courts as soon as practicable after assuming their position and attend annual continuing education workshops thereafter.   | VIII.F. |
| X                        | <input type="checkbox"/> | 35 | The DUI court has more than 15 but less than 125 active participants.   | IX.A.*  |
| X                        | <input type="checkbox"/> | 36 | The DUI court monitors its adherence to best practice standards on at least an annual basis, develops a remedial action plan and timetable to rectify deficiencies, and examines the success of the remedial actions.   | X.A.    |
| <input type="checkbox"/> | X                        | 37 | New arrests, new convictions, and new incarcerations are monitored for at least three years following each participant's entry into the DUI court.  | X.C.    |
| X                        | <input type="checkbox"/> | 38 | A skilled and independent evaluator examines the DUI court's adherence to best practices and participant outcomes no less frequently than every five years.   | X.D.    |
| X                        | <input type="checkbox"/> | 39 | Staff members are required to record information concerning the provision of services and in-program outcomes within forty-eight hours of the respective events.  | X.G.    |
| X                        | <input type="checkbox"/> | 40 | The program conducts an exit interview for self-improvement.  |         |

| YES | NO                       | # | <b>NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS</b><br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i> | BPS  |
|-----|--------------------------|---|--|------|
| X   | <input type="checkbox"/> | 1 | The DUI court offers a continuum of care for substance abuse treatment including detoxification, residential, sober living, day treatment, intensive outpatient and outpatient services.                                       | V.A. |
| X   | <input type="checkbox"/> | 2 | Treatment groups ordinarily have no more than twelve participants and at least two leaders or facilitators.  | V.E. |
| X   | <input type="checkbox"/> | 3 | Treatment providers have substantial experience working with criminal justice populations.   | V.H. |

| YES | NO                       | #  | NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS<br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i>  | BPS           |
|-----|--------------------------|----|--|---------------|
| X   | <input type="checkbox"/> | 4  | For at least the first ninety days after discharge from the Dui court, treatment providers or clinical case managers attempt to contact previous participants periodically by telephone, mail, e-mail, or similar means to check on their progress, offer brief advice and encouragement, and provide referrals for additional treatment when indicated. | V.J.          |
| X   | <input type="checkbox"/> | 5  | Participants are assessed using a validated instrument for major mental health disorders that co-occur frequently in Dui courts, including major depression, bipolar disorder (manic depression), posttraumatic stress disorder (PTSD), and other major anxiety disorders.   | VI.E.         |
| X   | <input type="checkbox"/> | 6  | Participants with PTSD or severe trauma-related symptoms are evaluated for their suitability for group interventions and are treated on an individual basis or in small groups when necessary to manage panic, dissociation, or severe anxiety.  | VI.F.         |
| X   | <input type="checkbox"/> | 7  | Female participants receive trauma-related services in gender-specific groups.   | VI.F.         |
| X   | <input type="checkbox"/> | 8  | Participants are required to have a stable job, be enrolled in a vocational or educational program, or be engaged in comparable pro-social activity as a condition of graduating from Dui court.   | VI.I.         |
| X   | <input type="checkbox"/> | 9  | Participants receive immediate medical or dental treatment for conditions that are life-threatening, cause serious pain or discomfort, or may lead to long-term disability or impairment.  | VI.J.         |
| X   | <input type="checkbox"/> | 10 | Before starting a Dui court, team members attend a formal pre-implementation training to learn from expert faculty about best practices in Dui courts and develop fair and effective policies and procedures for the program.  | VIII.F.       |
| X   | <input type="checkbox"/> | 11 | Supervision caseloads do not exceed fifty active participants per supervision officer.   | IX.B.         |
| X   | <input type="checkbox"/> | 12 | Caseloads for clinicians must permit sufficient opportunities to assess participant needs and deliver adequate and effective dosages of substance abuse treatment and indicated complementary services.  | IX.C.         |
| X   | <input type="checkbox"/> | 13 | The Dui court continually monitors participant outcomes during enrollment in the program, including attendance at scheduled appointments, drug and alcohol test results, graduation rates, lengths of stay, and in-program technical violations and new arrests or referrals.  | X.B.*         |
| X   | <input type="checkbox"/> | 14 | Information relating to the services provided and participants' in-program performance is entered into an electronic database. Statistical summaries from the database provide staff with real-time information concerning the Dui court's adherence to best practices and in-program outcomes.  | X.F.          |
| X   | <input type="checkbox"/> | 15 | Outcomes are examined for all eligible participants who entered the Dui court regardless of whether they graduated, withdrew, or were terminated from the program.   | X.H.          |
| X   | <input type="checkbox"/> | 16 | The Dui court regularly monitors whether members of historically disadvantaged groups complete the program at equivalent rates to other participants.  | II.B.<br>X.E. |

**UTAH JUDICIAL COUNCIL**  
**ADULT DRUG COURT CERTIFICATION CHECKLIST**  
 REVISED AND ADOPTED DECEMBER 7, 2020

**COURT LOCATION:** SALT LAKE COUNTY, SALT LAKE CITY

**COURT NUMBER:** ADC15SALT LAKE

**JUDGE NAME:** SHAUGHNESSY

**REVIEW DATE:** DECEMBER, 2022

*Many of the criteria enumerated in this certification checklist are restatements of the Adult Drug Court Best Practice Standards, Volume I and Volume II, published by the National Association of Drug Court Professionals (NADCP). Those are indicated by a citation in the **BPS** column following the standard. An asterisk indicates a modification of the NADCP standards.*

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>  | BPS    |
|-----|--------------------------|----|--|--------|
| X   | <input type="checkbox"/> | 1  | Eligibility and exclusion criteria are defined and applied objectively.  | I.A.   |
| X   | <input type="checkbox"/> | 2  | Eligibility and exclusion criteria are specified in writing.   | I.A.   |
| X   | <input type="checkbox"/> | 3  | The program admits only participants who are high-risk high-need, however if a program is unable to target high-risk and high need offenders as measured by RANT or some other approved and validated assessment tool, the program develops alternative tracks with services that are modified to meet risk and need levels of its participants. | I.B.*  |
| X   | <input type="checkbox"/> | 4  | Candidates for the Drug Court are assessed for eligibility using validated risk-assessment tool that has been demonstrated empirically to predict criminal recidivism or failure on community supervision and is equivalently predictive for women and racial or ethnic minority groups that are represented in the local arrestee population.   | I.C.   |
| X   | <input type="checkbox"/> | 5  | Candidates for the Drug Court are assessed for eligibility using validated clinical-assessment tool that evaluates the formal diagnostic symptoms of substance dependence or addiction.  | I.C.   |
| X   | <input type="checkbox"/> | 6  | Evaluators are trained and proficient in the administration of the assessment tools and interpretation of the results.   | I.C.   |
| X   | <input type="checkbox"/> | 7  | Current or prior offenses may not disqualify candidates from participation in the Drug Court unless empirical evidence demonstrates offenders with such records cannot be managed safely or effectively in a Drug Court.   | I.D.   |
| X   | <input type="checkbox"/> | 8  | Offenders charged with non-drug charges, drug dealing or those with violence histories are not excluded automatically from participation in the Drug Court.  | I.D.   |
| X   | <input type="checkbox"/> | 9  | If adequate treatment is available, candidates are not disqualified from participation in the Drug Court because of co-occurring mental health or medical conditions or because they have been legally prescribed psychotropic or addiction medication.  | I.E.   |
| X   | <input type="checkbox"/> | 10 | The program has a written policy addressing medically assisted treatment.  |        |
| X   | <input type="checkbox"/> | 11 | Participants ordinarily appear before the same judge throughout their enrollment in the Drug Court.  | III.C. |
| X   | <input type="checkbox"/> | 12 | The judge regularly attends pre-court staff meetings during which each participant's progress is reviewed and potential consequences for performance are discussed by the Drug Court team.   | III.D. |

| YES   | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS               |
|-------|--------------------------|----|---|-------------------|
| X     | <input type="checkbox"/> | 13 | Participants appear before the judge for status hearings no less frequently than every two weeks during the first phase of the program. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.            |
| X     | <input type="checkbox"/> | 14 | Status hearings are scheduled no less frequently than every four weeks until participants graduate. In rural areas, some allowance may be made for other appearances or administrative reviews when the judge is unavailable.   | III.E.*           |
| X     |                          |    |   |                   |
| X     | <input type="checkbox"/> | 16 | If a participant has difficulty expressing him or herself because of such factors as a language barrier, nervousness, or cognitive limitation, the judge permits the participant's attorney or legal representative to assist in providing such explanations.   | IV.B.             |
| X     | <input type="checkbox"/> | 17 | The judge is the ultimate arbiter of factual controversies and makes the final decision concerning the imposition of incentives or sanctions that affect a participant's legal status or liberty.   | III.H.<br>VIII.D. |
| X     | <input type="checkbox"/> | 18 | The judge makes these decisions after taking into consideration the input of other Drug Court team members and discussing the matter in court with the participant or the participant's legal representative.   | III.H.<br>VIII.D. |
| X     | <input type="checkbox"/> | 19 | The judge relies on the expert input of duly trained treatment professionals when imposing treatment-related conditions.  | III.H.            |
| X     | <input type="checkbox"/> | 20 | Policies and procedures concerning the administration of incentives, sanctions, and therapeutic adjustments are specified in writing and communicated in advance to Drug Court participants and team members.   | IV.A.             |
| X     | <input type="checkbox"/> | 21 | The policies and procedures provide a clear indication of which behaviors may elicit an incentive, sanction, or therapeutic adjustment; the range of consequences that may be imposed for those behaviors; the criteria for phase advancement, graduation, and termination from the program; and the legal and collateral consequences that may ensue from graduation and termination.                              | IV.A.             |
| X     | <input type="checkbox"/> | 22 | The Drug Court has a range of sanctions of varying magnitudes that may be administered in response to infractions in the program.   | IV.E.             |
| X     | <input type="checkbox"/> | 23 | For goals that are difficult for participants to accomplish, such as abstaining from substance use or obtaining employment, the sanctions increase progressively in magnitude over successive infractions. For goals that are relatively easy for participants to accomplish, such as being truthful or attending counseling sessions, higher magnitude sanctions may be administered after only a few infractions. | IV.E.             |
| X     | <input type="checkbox"/> | 24 | Consequences are imposed for the non-medically indicated use of intoxicating or addictive substances, including but not limited to alcohol, cannabis (marijuana) and prescription medications, regardless of the licit or illicit status of the substance.  | IV.F.             |
| XXXX* |                          | 25 | Drug testing is performed at least twice per week.  | VII.A.*           |
| XXXX* |                          | 26 | Drug testing is random, and is available on weekends and holidays.  | VII.B.*           |
| XXXX* |                          | 27 | Collection of test specimens is witnessed and specimens are examined routinely for evidence of dilution, tampering and adulteration.  | VII.E*<br>VII.F.* |
| XXXX* |                          | 28 | Drug testing utilized by the Drug Court uses scientifically valid and reliable testing procedures and establishes a chain of custody for each specimen.   | VII.G.            |

| YES    | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS     |
|--------|--------------------------|----|---|---------|
| X      | <input type="checkbox"/> | 29 | Metabolite levels falling below industry- or manufacturer-recommended cutoff scores are not interpreted as evidence of new substance use or changes in substance use patterns, unless such conclusions are reached by an expert trained in toxicology, pharmacology or a related field. | VII.G.* |
| X      | <input type="checkbox"/> | 30 | Upon entering the Drug Court, participants receive a clear and comprehensive explanation of their rights and responsibilities relating to drug and alcohol testing.   | VII.I.  |
| X      | <input type="checkbox"/> | 31 | The program requires a period of at least 90 consecutive days drug-free to graduate.  |         |
| X      | <input type="checkbox"/> | 32 | The minimum length of the program is twelve months.   |         |
| X      | <input type="checkbox"/> | 33 | Unless a participant poses an immediate risk to public safety, jail sanctions are administered after less severe consequences have been ineffective at deterring infractions.   | IV.J.   |
| X      | <input type="checkbox"/> | 34 | Jail sanctions are definite in duration and typically last no more than three to five days.   | IV.J.   |
| X      | <input type="checkbox"/> | 35 | Participants are given access to counsel and a fair hearing if a jail sanction might be imposed.  | IV.J.   |
| X      | <input type="checkbox"/> | 36 | Participants are not terminated from the Drug Court for continued substance use if they are otherwise compliant with their treatment and supervision conditions, unless they are non-amenable to the treatments that are reasonably available in their community.                       | IV.K.   |
| X      | <input type="checkbox"/> | 37 | If a participant is terminated from the Drug Court because adequate treatment is not available, the participant does not receive an augmented sentence or disposition for failing to complete the program.  | IV.K.   |
| XXXX * |                          | 38 | Participants are not incarcerated to achieve clinical or social service objectives such as obtaining access to detoxification services or sober living quarters.  | V.B.    |
| X      | <input type="checkbox"/> | 39 | Treatment providers are licensed or certified to deliver substance abuse treatment, as required by the Department of Human Services or other relevant licensure or certification entity.  | V.H.*   |
| X      | <input type="checkbox"/> | 40 | Participants regularly attend self-help or peer support groups in addition to professional counseling.  | V.I.    |
| X      | <input type="checkbox"/> | 41 | The peer support groups follow a structured model or curriculum such as the 12-step or Smart Recovery models.   | V.I.    |
| X      | <input type="checkbox"/> | 42 | There is a secular alternative to 12-step peer support groups.  |         |
| X      | <input type="checkbox"/> | 43 | Participants complete a final phase of the Drug Court focusing on relapse prevention and continuing care.   | V.J.    |
| X      | <input type="checkbox"/> | 44 | Participants are not excluded from participation in Drug Court because they lack a stable place of residence.   | VI.D.   |
| XXXX*  |                          | 45 | Participants diagnosed with mental illness receive appropriate mental health services beginning in the first phase of Drug Court and continuing as needed throughout their enrollment in the program.   | VI.E.*  |
| X      | <input type="checkbox"/> | 46 | Participants are not required to participate in job seeking or vocational skills development in the early phases of drug court.   | VI.I.*  |

| YES | NO                       | #  | REQUIRED CERTIFICATION CRITERIA<br><i>Adherence to these standards is required for certification.</i>   | BPS      |
|-----|--------------------------|----|---|----------|
| X   | <input type="checkbox"/> | 47 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each staffing meeting.  | VIII.B.* |
| X   | <input type="checkbox"/> | 48 | At a minimum, the prosecutor / assistant attorney general, defense counsel, treatment representative, law enforcement, a guardian ad litem (in dependency courts), and the judge attend each Drug Court session.  | VIII.A.* |
| X   | <input type="checkbox"/> | 49 | Pre-court staff meetings are presumptively closed to participants and the public unless the court has good reason for a participant to attend discussions related to that participant's case.   | VIII.B.  |
| X   | <input type="checkbox"/> | 50 | Participants provide voluntary and informed consent permitting team members to share specified data elements relating to participants' progress in treatment and compliance with program requirements.  | VIII.C.  |
| X   | <input type="checkbox"/> | 51 | Court fees are disclosed to each participant, are reasonable, and are based on each participant's ability to pay. Any fees assessed by the Drug Court must be reasonably related to the costs of testing or other services.   |          |
| X   | <input type="checkbox"/> | 52 | Treatment fees are based on a sliding fee schedule and are disclosed to each participant.   |          |
| X   | <input type="checkbox"/> | 53 | The Drug Court develops a remedial action plan and timetable to implement recommendations from the evaluator to improve the program's adherence to best practices.  | X.D.*    |
| X   | <input type="checkbox"/> | 54 | The Drug Court has written policies and procedures that ensure confidentiality and security of participant information, which conform to all applicable state and federal laws, including, but not limited to, Utah's Governmental Records Access and Management Act (GRAMA), the Health Insurance Portability and Accountability Act of 1996 (HIPAA), and 42 C.F.R. 2 (Confidentiality of Substance Abuse Disorder Patient Records). | VIII.C.* |

| YES | NO                       | # | PRESUMED CERTIFICATION CRITERIA<br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>                              | BPS     |
|-----|--------------------------|---|--|---------|
| X   | <input type="checkbox"/> | 1 | Eligibility and exclusion criteria are communicated to potential referral sources.   | I.A.    |
| X   | <input type="checkbox"/> | 2 | The Drug Court regularly monitors the delivery of incentives and sanctions to ensure they are administered equivalently to all participants.   | II.D.   |
| X   | <input type="checkbox"/> | 3 | Each member of the Drug Court team attends up-to-date training events on recognizing implicit cultural biases and correcting disparate impacts for members of historically disadvantaged groups.                                       | II.F.   |
| X   | <input type="checkbox"/> | 4 | The Drug Court judge attends current training events on legal and constitutional issues in Drug Courts, judicial ethics, evidence-based substance abuse and mental health treatment, behavior modification, and community supervision. | III.A.  |
| X   | <input type="checkbox"/> | 5 | The judge presides over the Drug Court for no less than two consecutive years.   | III.B.  |
| X   | <input type="checkbox"/> | 6 | The Judge spends an average of at least three minutes with each participant.   | III.F.* |

| YES                      | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>  | BPS          |
|--------------------------|--------------------------|----|---|--------------|
| X                        | <input type="checkbox"/> | 7  | The Drug Court team relies on expert medical input to determine whether a prescription for an addictive or intoxicating medication is medically indicated and whether non-addictive, non-intoxicating, and medically safe alternative treatments are available. | IV.F.        |
| X                        | <input type="checkbox"/> | 8  | Phase promotion is predicated on the achievement of realistic and defined behavioral objectives, such as completing a treatment regimen or remaining drug-abstinent for a specified period of time.   | IV.I.        |
| X                        | <input type="checkbox"/> | 9  | Treatment is reduced only if it is determined clinically that a reduction in treatment is unlikely to precipitate a relapse to substance use.   | IV.I.        |
| X                        | <input type="checkbox"/> | 10 | Testing regimens are not scheduled in seven-day or weekly blocks. The chances of being tested should be at least two in seven every day.  | VII.B.*      |
| XXXX*                    |                          | 11 | Drug test results are available within 48 hours.  | VII.H.       |
| XXXX*                    |                          | 12 | Participants are required to deliver a test specimen within 8 hours of being notified that a drug or alcohol test has been scheduled.   | VII.B.       |
| <input type="checkbox"/> | *X                       | 13 | Randomly selected specimens are tested periodically for a broader range of substances to detect any new drugs of abuse that might be emerging in the Drug Court population.   | VII.D.       |
| X                        | <input type="checkbox"/> | 14 | If a participant denies substance use in response to a positive screening test, a portion of the same specimen is subjected to confirmatory analysis using an instrumented test, such as gas chromatography/mass spectrometry (GC/MS).                          | VII.G.       |
| X                        | <input type="checkbox"/> | 15 | Standardized patient placement criteria govern the level of care that is provided.  | V.A.         |
| X                        | <input type="checkbox"/> | 16 | Adjustments to the level of care are predicated on each participant's response to treatment and are not tied to the Drug Court's programmatic phase structure.  | V.A.         |
| X                        | <input type="checkbox"/> | 17 | Participants receive a sufficient dosage and duration of substance abuse treatment to achieve long-term sobriety and recovery from addiction.   | V.D.         |
| X                        | <input type="checkbox"/> | 18 | Participants meet with a treatment provider or clinical case manager for at least one individual session per week during the first phase of the program.  | V.E.         |
| X                        | <input type="checkbox"/> | 19 | Participants are screened for their suitability for group interventions, and group membership is guided by evidence-based selection criteria including participants' gender, trauma histories and co-occurring psychiatric symptoms.                            | V.E.         |
| X                        | <input type="checkbox"/> | 20 | Treatment providers administer behavioral or cognitive-behavioral treatments that are documented in manuals and have been demonstrated to improve outcomes for addicted persons involved in the criminal justice system.  | V.F.<br>VI.G |
| X                        | <input type="checkbox"/> | 21 | Treatment providers are proficient at delivering the interventions and are supervised regularly to ensure continuous fidelity to the treatment models.  | V.F.         |
| X                        | <input type="checkbox"/> | 22 | Treatment providers are supervised regularly to ensure continuous fidelity to evidence-based practices.   | V.H.         |
| X                        | <input type="checkbox"/> | 23 | Before participants enter the peer support groups, treatment providers use an evidence-based preparatory intervention, such as 12-step facilitation therapy.  | V.I.         |
| X                        | <input type="checkbox"/> | 24 | Participants prepare a continuing-care plan together with their counselor to ensure they continue to engage in pro-social activities and remain connected with a peer support group after their discharge from the Drug Court.                                  | V.J.         |

| YES  | NO                       | #  | <b>PRESUMED CERTIFICATION CRITERIA</b><br><i>There is a presumption that these standards must be met. If your program can show sufficient compensating measures, compliance with the standard may be waived.</i>   | BPS     |
|------|--------------------------|----|--|---------|
| X    | <input type="checkbox"/> | 25 | Where indicated, participants receive assistance finding safe, stable, and drug-free housing beginning in the first phase of Drug Court and continuing as necessary throughout their enrollment in the program.  | VI.D.   |
| X    | <input type="checkbox"/> | 26 | Participants are assessed using a validated instrument for trauma history, trauma-related symptoms, and posttraumatic stress disorder (PTSD).  | VI.F.   |
| X    | <input type="checkbox"/> | 27 | All Drug Court team members, including court personnel and other criminal justice professionals, receive formal training on delivering trauma-informed services.   | VI.F.   |
| X    | <input type="checkbox"/> | 28 | Participants with deficient employment or academic histories receive vocational or educational services beginning in a late phase of Drug Court.   | VI.I.   |
| X    | <input type="checkbox"/> | 29 | Participants complete a brief evidence-based educational curriculum describing concrete measures they can take to prevent or reverse drug overdose.  | VI.L.   |
| XXX* |                          | 30 | Clients are placed in the program within 50 days of arrest.  |         |
| X    | <input type="checkbox"/> | 31 | Team members are assigned to Drug Court for no less than two years.  |         |
| X    | <input type="checkbox"/> | 32 | All team members use electronic communication to contemporaneously communicate about Drug Court issues.  |         |
| X    | <input type="checkbox"/> | 33 | Subsequently, team members attend continuing education workshops on at least an annual basis to gain up-to-date knowledge about best practices on topics including substance abuse and mental health treatment, complementary treatment and social services, behavior modification, community supervision, drug and alcohol testing, team decision making, and constitutional and legal issues in Drug Courts. | VIII.F. |
| X    | <input type="checkbox"/> | 34 | New staff hires receive a formal orientation training on the Drug Court model and best practices in Drug Courts as soon as practicable after assuming their position and attend annual continuing education workshops thereafter.  | VIII.F. |
| X    | <input type="checkbox"/> | 35 | The Drug Court has more than 15 but less than 125 active participants.   | IX.A.*  |
| X    | <input type="checkbox"/> | 36 | The Drug Court monitors its adherence to best practice standards on at least an annual basis, develops a remedial action plan and timetable to rectify deficiencies, and examines the success of the remedial actions.   | X.A.    |
| XXX* |                          | 37 | New arrests, new convictions, and new incarcerations are monitored for at least three years following each participant's entry into the Drug Court.  | X.C.    |
| XXX* |                          | 38 | A skilled and independent evaluator examines the Drug Court's adherence to best practices and participant outcomes no less frequently than every five years.   | X.D.    |
| X    | <input type="checkbox"/> | 39 | Staff members are required to record information concerning the provision of services and in-program outcomes within forty-eight hours of the respective events.   | X.G.    |
| X    | <input type="checkbox"/> | 40 | The program conducts an exit interview for self-improvement.   |         |



| YES                      | NO                       | #  | <b>NON-CERTIFICATION-RELATED BEST PRACTICE STANDARDS</b><br><i>These are best practice standards that research has shown will produce better outcomes. Failure to meet these standards will not result in decertification.</i>  | BPS           |
|--------------------------|--------------------------|----|---|---------------|
| X                        | <input type="checkbox"/> | 1  | The Drug Court offers a continuum of care for substance abuse treatment including detoxification, residential, sober living, day treatment, intensive outpatient and outpatient services.   | V.A.          |
| X                        | <input type="checkbox"/> | 2  | Treatment groups ordinarily have no more than twelve participants and at least two leaders or facilitators.   | V.E.          |
| X                        | <input type="checkbox"/> | 3  | Treatment providers have substantial experience working with criminal justice populations.  | V.H.          |
| <input type="checkbox"/> | XX                       | 4  | For at least the first ninety days after discharge from the Drug Court, treatment providers or clinical case managers attempt to contact previous participants periodically by telephone, mail, e-mail, or similar means to check on their progress, offer brief advice and encouragement, and provide referrals for additional treatment when indicated. | V.J.          |
| X                        | <input type="checkbox"/> | 5  | Participants are assessed using a validated instrument for major mental health disorders that co-occur frequently in Drug Courts, including major depression, bipolar disorder (manic depression), posttraumatic stress disorder (PTSD), and other major anxiety disorders.   | VI.E.         |
| X                        | <input type="checkbox"/> | 6  | Participants with PTSD or severe trauma-related symptoms are evaluated for their suitability for group interventions and are treated on an individual basis or in small groups when necessary to manage panic, dissociation, or severe anxiety.   | VI.F.         |
| XXX*                     |                          | 7  | Female participants receive trauma-related services in gender-specific groups.  | VI.F.         |
| X                        | <input type="checkbox"/> | 8  | Participants are required to have a stable job, be enrolled in a vocational or educational program, or be engaged in comparable pro-social activity as a condition of graduating from Drug Court.   | VI.I.         |
| X                        | <input type="checkbox"/> | 9  | Participants receive immediate medical or dental treatment for conditions that are life-threatening, cause serious pain or discomfort, or may lead to long-term disability or impairment.   | VI.J.         |
| N/A                      |                          | 10 | Before starting a Drug Court, team members attend a formal pre-implementation training to learn from expert faculty about best practices in Drug Courts and develop fair and effective policies and procedures for the program.   | VIII.F.       |
| X                        | <input type="checkbox"/> | 11 | Supervision caseloads do not exceed fifty active participants per supervision officer.  | IX.B.         |
| X                        | <input type="checkbox"/> | 12 | Caseloads for clinicians must permit sufficient opportunities to assess participant needs and deliver adequate and effective dosages of substance abuse treatment and indicated complementary services.   | IX.C.         |
| XXX*                     |                          | 13 | The Drug Court continually monitors participant outcomes during enrollment in the program, including attendance at scheduled appointments, drug and alcohol test results, graduation rates, lengths of stay, and in-program technical violations and new arrests or referrals.  | X.B.*         |
| XXX*                     |                          | 14 | Information relating to the services provided and participants' in-program performance is entered into an electronic database. Statistical summaries from the database provide staff with real-time information concerning the Drug Court's adherence to best practices and in-program outcomes.  | X.F.          |
| <input type="checkbox"/> | *XX                      | 15 | Outcomes are examined for all eligible participants who entered the Drug Court regardless of whether they graduated, withdrew, or were terminated from the program.   | X.H.          |
| XXX*                     |                          | 16 | The Drug Court regularly monitors whether members of historically disadvantaged groups complete the program at equivalent rates to other participants.  | II.B.<br>X.E. |

## Addendum

### Drug Court Certification Checklist Third District Court, Judge Shaughnessy

#### Required Certification Criteria

Questions 25, 26, 27, 28: For drug testing in our court we rely on two different sets of providers. The county has a contracted drug testing agency where all participants who are not in residential treatment are required to test. For participants in residential treatment, drug testing is done by the treatment provider who typically is not the county's contracted provider.

The county's contracted provider rigorously follows industry standards and drug court best practices, and except as noted below, is contractually obligated to follow those standards. When issues arise, we address them with the provider. We have a high degree of confidence that best practices are being followed in this context.

Unfortunately, the quality of drug testing at some residential treatment providers is not as rigorous as we would like. The quality of testing at some residential treatment providers is uneven and we cannot say with confidence that drug court best practices are being followed at all times. This is the only testing that is being done on participants who are in residential treatment.

We have taken the following compensating measures to address this. First, we monitor the testing that is occurring with all of these providers as best as we can, and attempt to address shortcomings with them informally. Second, all county contracted residential treatment providers are obligated by their contracts with the county to follow best practices. When we learn about shortcomings in testing that we are not able to resolve informally, we rely on County personnel to intervene and correct the deficiencies and have had some success in doing so. Third, in extreme cases, where those efforts are unsuccessful, we stop sending participants to those providers and have, on occasion, removed participants from facilities due to non-compliance with our testing standards.

Once participants graduate residential treatment, they are required to test with our county-contracted provider who, as noted above, complies with best practices standards.

Question 38: We do not use jail for purposes of detoxification unless all community-based detoxification facilities are full and the participant presents an immediate safety risk. In those instances, we may use jail for a brief period of time until a detox bed can be secured. We also occasionally use the CATS program, an in-custody behavioral therapy program, for individuals who have demonstrated an inability to engage in community-based treatment by repeatedly leaving treatment against clinical advice.

Question 45: There is a serious, systemic shortage of integrated treatment resources in our community and in our State. These systemic limitations impede our ability to provide a complete range of mental health services to participants throughout their participation in drug court. Our court makes use, to the greatest extent possible, of those resources that are available in the community to provide the best care we can under the circumstances, but virtually all of our participants need mental health services to some degree and we cannot state that all drug court participants are able to get all treatment that may be indicated.

## Presumed Certification Criteria

Question 11, 12: See Required Certification Criteria, Questions 25, 26, 27, 28 above. Also, there is a difference of opinion regarding whether test results are available within 48 hours. This issue was the subject of a recent review by CJS and the county-contracted provider and the results of that review were that this requirement is being met. Many, however, believe it is not being met. Discussions and work on this subject with the contracted provider will continue.

Question 13: To the extent this standard requires a truly random selection of samples be pulled and tested for a broader range of substances, this does not occur as it is not part of our contract with the provider. To our knowledge, there is no testing provider in the State of Utah who does this. Compensating measures we employ are as follows: We pay close attention to substances that we hear through the grapevine are being used by our participants and others in treatment and test for those when we can. We also have rotating panels of tests, and we can substitute tests for particular substances for particular individuals and we regularly do this. Some substances are simply prohibitively expensive to test for, and we are unable to do so despite knowing that they are being used in our cohort.

Question 30: Participants enter our program in a variety of ways and not all of them involve placement within 50 days of arrest. Probationers, for example, enter the program many months, sometimes years, after their arrest on the underlying offense. Additionally, offenders who are prefile released (released because the State did not file charges within 3 business days of arrest) and offenders who are released from the jail based on overcrowding typically are not placed in the program within 50 days of arrest. In Salt Lake County, we have significant numbers of offenders who are prefile or overcrowd released. Even individuals who are not prefile or overcrowd released may not always be placed within 50 days of arrest – if, for example, the lawyers involved do not refer the person for screening until later stages of the case, which happens occasionally. However, once an application is filed, individuals are screened and accepted into drug court within approximately three weeks. So non-probationer offenders who are not prefile or overcrowd released, and who apply at or around the time of their first appearance before the assigned judge, will be placed in the program far less than 50 days from their arrest.

Question 37: In our court, we track all graduates for new drug-related charges and have done so since 2018. The results of this and a corresponding recidivism rate is shared with the team quarterly.

We do not have a system for tracking new drug-related charges for those who are discharged from drug court before graduation. We are working a system to better track outcomes and other data for those who do not successfully complete and a more formalized system for tracking data for graduates.

Question 38: To the extent this question contemplates an independent evaluator – namely, someone independent from our courts or the county – then the answer to the question is no. And to our knowledge, no drug courts in the state have had such an independent evaluation, though we would welcome one. However, to the extent certification by the Judicial Council satisfies this requirement, we are meeting that standard.

### Non-Certification-Related Best Practices

Question 7: Gender specific groups are required by county contract and strongly endorsed by us, but due to staffing shortages and other challenges, not all providers are able to provide them at all times.

Question 13, 14, 16: We are working on a system that would better enable real-time sharing of information among all team members, as well as better data regarding the racial and ethnic backgrounds of participants as well as those who denied participation and who do not successfully complete. We attended equity and inclusion training sponsored by NADCP and are following up with them on a number of specific recommendations. We have made a number of improvements, but this remains a work in progress.

# Tab 4



## Administrative Office of the Courts

Chief Justice Matthew B. Durrant  
Utah Supreme Court  
Chair, Utah Judicial Council

April 4, 2023

Ronald B. Gordon, Jr.  
State Court Administrator  
Neira Siaperas  
Deputy State Court Administrator

### MEMORANDUM

**TO: Management Committee – Utah Judicial Council**

**FROM: Katy Burke, Statewide Treatment Court Coordinator**

**RE: Juvenile Behavior Health Court, 4<sup>th</sup> District – Provo**

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The Provo Juvenile Court submitted an application to reorganize the Juvenile Drug Court into two separate courts, one serving juveniles with a primary substance use disorder diagnosis and the second court serving juveniles with a primary mental health diagnosis. Judge Richards Smith currently presides over the Juvenile Drug Court and separated the docket based on the clinical needs of the juveniles. The Juvenile mental health court serves youth involved with the Fourth district Juvenile Court (Utah County) who have high criminogenic risk and high mental health needs whose mental health condition has been a direct contributing factor in the youth being under the court's jurisdiction.

The Behavioral Health Court formed during the pandemic under the current Problem-Solving Court Certification (JDC1UTAH) and has been operational since June 2021. Since June 2021 the court has served 20 youth. To date, there are 8 successful completions, 3 unsuccessful completions and 9 current participants.

I have reviewed the policy and procedure manual as well as observed the treatment court staffing and court hearing. Based on the review of the application material and court observation, I recommend the 4<sup>th</sup> District Juvenile Mental Health Court for certification.

**The mission of the Utah judiciary is to provide the people an open, fair,  
efficient, and independent system for the advancement of justice under the law.**

**APPLICATION FOR INITIAL PROJECT PLANNING  
APPROVAL  
FOR PROPOSED PROBLEM-SOLVING COURT  
PROJECT**

Name/Working Title of Proposed Project: Juvenile Behavioral Health Court

Location: 137 N Freedom Blvd, Provo, UT. 84660

Application Submitted by: Judge F. Richards Smith III

- I.** The Program's target population is youth involved with the Fourth District Juvenile Court (Utah County) who have both high criminogenic risk and high mental health needs whose mental health condition has been a direct contributing factor in the youth being under court jurisdiction.
1. Acceptance Criteria:
    - i. Youth over age 12 and under 18 at time of admittance
    - ii. Moderate or High Risk
    - iii. A psychological evaluation completed within the past year that identifies a mental health diagnosis, and or screening by Wasatch Behavioral Health staff.
- II.** Purpose/Goal of Project: Please explain why you believe this project is necessary or desirable. How will a problem-solving approach benefit your target population?

Behavioral Health Court is a court that has been specifically designated and staffed to supervise juvenile offenders who have been referred to a comprehensive and judicially monitored program of mental health treatment and rehabilitation services.

Behavioral Health Courts represent an approach to juvenile offenders who have been identified as high risk/high need, with mental health driving the delinquent behaviors. Problem-Solving Courts are built upon a unique partnership between the criminal justice system and the treatment community, one which structures treatment intervention around the authority and personal involvement of a single Juvenile Court Judge. Problem-Solving Courts are also dependent upon the creation of a non-adversarial courtroom atmosphere where a single judge and a dedicated court team work together toward a common goal of serving the youth's mental health needs and delinquent behavior.

Because of the unique problems and opportunities that present themselves in working with high need youth who are court involved with delinquent behavior, intervention and rehabilitation strategies must be evidenced based." Problem-Solving Court programs must therefore recognize that:

- High need youth who are court involved with delinquent behavior are most receptive to successful intervention when they are in the crisis of initial arrest and incarceration, so intervention must be immediate.
- Preventing gaps in communication and ensuring offender accountability are of critical importance, so court supervision must be coordinated and comprehensive.
- Delinquent behavior seldom exists in isolation from other serious problems, which undermine rehabilitation, so intervention must include other available services and resources such as educational assessments and other community resources that would enhance the overall rehabilitation of the participants.

- Setbacks are part of the recovery process, so sanctions and incentives must be integral to the Problem-Solving Court intervention strategy.

### **Mission**

The mission of the Youth Behavioral Health Court Program is to assist youth, help them appreciate their strengths, their unique mental health needs, and increase their skills to succeed at home and in the community. This will be accomplished through:

1. **Collaborative Planning**—Engage all stakeholders in creating an interdisciplinary, coordinated, and systemic approach to working with youth and their families.
2. **Teamwork**—Develop and maintain an interdisciplinary, non-adversarial work team.
3. **Clearly Defined Target Population and Eligibility Criteria**—Define a target population and eligibility criteria that are aligned with the program's goals and objectives.
4. **Judicial Involvement and Supervision**—Schedule frequent judicial reviews and be sensitive to the affect that court proceedings can have on youth and their families.
5. **Monitoring and Evaluation**—Establish a system for program monitoring and evaluation to maintain quality of service, assess program impact, and contribute to knowledge in the field.
6. **Community Partnerships**—Build partnerships with community organizations to expand the range of opportunities available to youth and their families.
7. **Comprehensive Treatment Planning**—Tailor interventions to the complex and varied needs of youth and their families.
8. **Developmentally Appropriate Services**—Tailor treatment to the developmental needs of adolescents.
9. **Gender-Appropriate Services**—Design treatment to address the unique needs of each gender.
10. **Cultural Competence**—Create policies and procedures that are responsive to cultural differences and train personnel to be culturally competent.
11. **Focus on Strengths**—Maintain a focus on the strengths of the youth and their families during program planning and in every interaction between court and those it serves.
12. **Family Engagement**—Recognize and engage the family as a valued partner in all components of the program.
13. **Educational Linkages**—Coordinate with the school system to ensure that each participant enrolls in and attends an educational program that is appropriate to his or her needs.
14. **Drug Testing**—Design drug testing to be based upon identified assessment needs and would follow best practices guidelines for drug testing. Document testing policies and procedures in writing.
15. **Goal-Oriented Incentives and Sanctions**—Respond to compliance and noncompliance with incentives and sanctions that are designed to reinforce or modify the behavior of youth and their families.



16. **Confidentiality**—Establish a confidentiality policy and procedures that guard the privacy of the youth while allowing the behavioral health court team to access key information.

**III.** What is the size of the proposed project? Approximately how large is your target population how many participants would likely be served?

- 10-Moderate-High youth where mental health is driving the delinquent behaviors.

**IV.** What is the anticipated impact on court staff, clerks and judges, and how will that need be met?

The Behavior Health Court was formed during the pandemic under our current Problem-Solving Court certification (Judge Smith's Juvenile Drug Court JDC1UTAH), as there was a moratorium. It has been in operation since June of 2021. Where we have been in operation since 2021; we don't foresee any additional impact on the above-mentioned parties. Rather, we are seeking to have our Behavior Health Court recognized as its own Problem-Solving Court.

**V.** Funding Considerations/Stakeholders: Identify the stakeholders and what they will need to contribute to the project. If you have identified a funding source to support the project, please specify. The funding for this problem-solving court is already in place and no additional court funds are necessary.

#### **Stakeholder**

1. Wasatch Behavioral Health
2. Juvenile Court Judge
3. Clerk
4. Probation Officer(s)
5. County Attorney
6. Public Defender
7. Therapist
8. Bailiff

#### **Contribution**

Therapy, tracking and transportation.  
 Current court personnel  
 Current court personnel  
 Current court personnel  
 Agency current personnel  
 Agency current personnel  
 Agency current personnel  
 Agency current personnel

#### 4<sup>th</sup> District Juvenile Court Youth Behavioral Health Court Program

##### Objective:

##### A. Mission Statement:

1. The mission of the Youth Behavioral Health Court Program is to assist youth, to appreciate their strengths, their unique mental health needs, and increase their skills to succeed at home and in the community.

##### B. Target Population:

1. The Program's target population is youth involved with the Fourth District Juvenile Court (Utah County) who have both high criminogenic risk and high mental health needs whose mental health condition has been a direct contributing factor in the youth being under court jurisdiction.
2. Acceptance Criteria:
  - i. Youth over age 12 and under 18 at time of admittance
  - ii. Moderate or High Risk
  - iii. A psychological evaluation and or screening completed within the past year that identifies a mental health diagnosis.

##### Organization:

- A. The Youth Behavioral Health Court Program is a court ordered program designed to work with moderate/high risk youth with high mental health needs with the intent of reducing recidivism and increasing participants' stability in the community.
- B. Youth Behavioral Health Court Participants:
  1. Participants shall be youth under the jurisdiction of the Fourth District Juvenile Court who have been determined to be of moderate to high criminogenic risk, high mental health needs, who meet all Acceptance Criteria, and whose parents have been determined to meet all Acceptance Criteria .
- C. The Youth Behavioral Health Court Program Professional Team:
  1. The Youth Behavioral Health Court Program Professional Team is responsible for screening cases referred to the program for participation (meeting acceptance criteria), staffing active cases prior to regularly scheduled reviews (monitoring progress), and providing the program judge with informed recommendations for program response to participants' behavior, both positive and negative.

2. Any agency, institution, or professional entity involved in the court processing, placement, treatment, education, or supervision of program participants is welcome to be a part of the program team.
  3. In addition to the program judge, program professional team members include, but are not limited to:
    - a. Probation Officer--
    - b. Prosecuting attorney.
    - c. Defense attorney.
    - d. Division of Child and Family Services (DCFS).
    - e. Division of Juvenile Justice and Youth Services (JJYS).
    - f. Utah County Youth Services.
    - g. Treatment providers (e.g., therapist, prescriber, case manager, peer support specialist, etc.)
    - h. School districts and staff.
  4. The Youth Behavioral Health Court Program shall enter into a Memorandum of Understanding (MOU) with all professional entities involved in the regular screening and staffing of cases that will allow for the sharing of confidential information.
  5. Any professional entity that will participate in a single screening and/or staffing need not enter into a Memorandum of Understanding with the Youth Behavioral Health Court Program. Court Program Coordinator and Probation Officer and/or Entity representatives shall insure an appropriate release of information has been signed by the program participant and his/her parents that will allow the representative to discuss confidential information at the screening and/or staffing.
- D. Fourth District Juvenile Youth Behavioral Health Court Program Advisory Board:
1. The program Advisory Board is responsible for setting program policy and procedure specific to the program:
    - a. Fourth District Juvenile Court Administration sets policy and procedure not specific to the Youth Behavioral Health Court Program but specific to court functioning.
  2. Court Program Advisory Board members consist of:
    - a. Youth Behavioral Health Court Program Professional Team members.
    - b. Fourth District Juvenile Court Administration.
  3. Advisory Board meetings shall be held at a minimum of every six (6) months, with quarterly meetings preferred.

Structure:

A. Referral Process:

1. Any person can request a youth be screened for participation in the Youth Behavioral Health Court Program. This includes, but is not limited to:
  - i. Parents.
  - ii. Teachers, school counselors, and other school personnel.
  - iii. Law enforcement.
  - iv. Treatment providers.
  - v. DCFS workers.
  - vi. JJYS case managers.
  - vii. Probation officers.
2. The referral to the Youth Behavioral Health Court Program must come from the youth's:
  - i. Juvenile court probation officer.
  - ii. DCFS case worker.
  - iii. JJYS case manager.
3. Upon receipt of a referral, the Youth Behavioral Health Court Probation Officer shall:
  - a. Review the case materials and documentation provided by the referring worker to confirm the case meets criteria.
  - b. If sufficient documentation is not available at the time of referral, the Probation Officer shall notify the referring worker what documentation is required, and take no further action until receipt of the requested information.
  - c. If upon review the Probation Officer determines the referred case does not meet criteria, the case shall not be screened for participation.
  - d. If upon review the Probation Officer determines the referred case meets criteria as outlined above in Target Population, the Probation Officer shall:
    - i. Provide the referring worker with a date and time the case will be formally screened.
    - ii. Inform the team members of the referral. Provide documentation of diagnosis, IQ, and other relevant information to team members.

B. Screenings:

1. The purpose of screening process is to ensure all acceptance criteria are met for participation in the Youth Behavioral Health Program.
2. Youth Behavioral Health Court Screening

- a. A case shall be presumed accepted unless specific information is presented indicating acceptance criteria are not met.
  - b. The referring worker will attend the screening meeting with the YMHC team and share information regarding the youth and family to the team.
  - c. Any professionals working with the referred youth may attend the screening meeting provided the appropriate releases of information have been signed.
  - d. All treatment team members may provide information about the case in this decision making process.
  - e. All parties participating in the screening shall sign a confidentiality agreement as part of the screening process.
  - f. The decision to accept a case into the program shall not be based on subjective criteria or opinion.
3. Behavioral Health Screening
- a. Once the Youth Behavioral Health Court team has agreed to the referral, a Mental Health Screening with a Local Mental Health Authority will be scheduled.
  - b. The client is responsible to attend and successfully complete the Mental Health Screening in order to participate in the program.
  - c. The mental health screening will evaluate the client's mental health status, provide a provisional diagnosis, and establish a recovery plan in conjunction with the client that will identify their goals and objectives pertaining to their recovery.
  - d. Funding availability, co-pays, rules and expectations of program will be explained to the client and guardians at this screening.
  - e. As a result of the Mental Health Screening, a recommendation will be made regarding the diagnostic appropriateness of client for participation in the program. This will be communicated with the team verbally or in written form by the next scheduled court date.
4. Cases not accepted into the Youth Behavioral Health Court Program:
- a. The case will be retained by the referring worker and assigned judge for all further processing.
5. Youth and Family that prefer not to participate:
- a. The Probation Officer will notify the referring case worker, defense counsel, prosecution, and the assigned judge's team of the objection, and refer the case back to the assigned judge for further disposition.
6. Youth and Family that agree to participate in the program:

- a. The Probation Officer will notify the referring worker, defense counsel, prosecution, the assigned judge's team that youth and family would like to participate in the program. Disposition would be calendared at the next Youth Behavioral Health Court review date.
  - b. The Probation Officer will provide the youth and family with a Participant's Packet.
- C. Orientation:
  - 1. The purpose of orientation is for the referred youth and family to observe Youth Behavioral Health Court and meet members of the treatment team.
    - a. The referred youth and family will have the opportunity to ask questions.
- D. Pre Court Staffing:
  - 1. The purpose of Pre Court staffing is to:
    - a. Review each program participant's progress.
  - 2. All parties participating in the Youth Behavioral Health Court Staffings are bound to the confidentiality agreement as part of the staffing process.
  - 3. The Probation Officer chairs pre-court staffings, but all team members will share information on each client.
- E. Reviews:
  - 1. The purpose of court reviews is to incentivize participants' progress in treatment, education, medication management, strengths and achievements. Each case shall be presented by prosecution, defense, and the Probation Officer.
- F. Incentives and Sanctions:
  - 1. Program Response to Compliant Behaviors (Incentives)
  - 2. Program Response to Non-Compliant Behavior:
    - a. The Youth Behavioral Health Court Program has a matrix of graduated recommended responses to non-compliant behavior.
    - b. In general, responses to behavior should be individualized and based on the matrix. Each response will be discussed at pre-court staffing and determined by the judge.
- G. Successful or Unsuccessful Completion of the program
  - 1. Successful:
    - a. Graduation from the program.
      - i. Court participants may graduate from the program upon successful completion of all phase requirements.
  - 2. Discharged Unsuccessfully:
    - a. If a participant is terminated from the program either unsuccessfully or due to other reasons, his/her case will be

returned to the previously assigned judge prior to the participant being referred to the Youth Behavioral Health Court Program.

H. Case Management and Planning:

1. The Youth Behavioral Health Court Program shall adhere to the Utah State Juvenile Court's philosophy and Policy regarding Case Planning including the Risk Needs Responsivity model and the utilization of evidence-based practices.
2. The Probation Officer is responsible for the case planning for each program participant.
3. The Case Plan should interface and support treatment or case plans from the other agencies that may be involved with the program participant (e.g. DCFS, treatment agencies, etc.).
4. Protective Risk Assessment (PRA):
  - a. All participants shall receive a PRA
5. Supervision and Case Plan:
  - a. All participants shall receive a Case Plan per probation policy.

I. Cases Placed in DCFS Custody:

1. The Probation Officer will work collaboratively and in a supportive role with the participant's DCFS Case Worker.

J. Cases Placed in JJYS Custody (Community Based Placement/Secure Confinement):

1. Active program participants placed in JJYS Custody will be terminated from the program at the court's discretion.
2. Participants in JJYS Custody can be considered for participation in the program if they are residing in their home and the Youth Behavioral Health Court Program is a step down in services. This would be done via the standard referral process.

K. Program Components

Program Overview—The Fourth District Youth Behavioral Health Court Program strives to approach each youth and his/her family with regard for their strengths and competencies and to discover mutually how these personal resources can be applied to building solutions. The Fourth District Youth Behavioral Health Court Program is a pre-adjudicated program. The initial phases of the program are intensive, making a gradual transition into less intensive interventions as the participant progresses. Throughout the program, participants are linked with appropriate specialized ancillary services. The Court may impose additional requirements.

1. Phases

The Fourth District Youth Behavioral Health Court Program has established minimum program elements for each phase that include but are not limited to the following:

a. Phase I

i Objectives of Phase I

- Orientation of the Youth Behavioral Health Court Program expectations.
- Assessment and development of initial Treatment Plan with treatment provider in coordination with Youth Behavioral Health Court Program team.
- Attend all required treatment per recommendations (that includes, individual, group and family therapy as determined by treatment team).
- Regular and consistent attendance in school/employment or alternative education/vocation program.
- Assessment and development of initial Probation Supervision and Correctional Plan.
- Initial Probation Officer home visit (within first 30 days).
- Report to Probation Officer as directed.
- House arrest and terms of such conditions will be directed by Probation Officer.
- A curfew may be established by the Judge, Probation officer, and parents/guardians.
- Attend all reviews with the Youth Behavioral Health Court Judge.
- Parent or responsible adult must attend all required therapy appointments.
- No new charges.
- Random urinalysis testing (if applicable).

ii. Criteria for Advancing to Phase II

- Regular and consistent attendance in school/employment or alternative education/vocation program.
- Regular and consistent attendance in treatment and other intervention services.
- Maintain a treatment journal. Record journal entry after every individual, group, or family session. Keep track of therapy assignments, habits, goals, etc.

iii. Minimum time for completion of Phase I is 6 weeks.



b. Phase II—Consistency and Progress

i. Objectives of Phase II

- Continued compliance with probation requirements.
- Progress of skill development learned in treatment as observed by the Youth Behavioral Health Court team.
- Regular and consistent attendance in school/employment or alternative education/vocation program.
- Attend all reviews with Youth Behavioral Health Court Judge.
- Parents actively participating in treatment.
- Consistent negative urinalysis testing results (if applicable).

ii. Criteria for advancing to Phase III

- No new criminal charges for a substantial period of time.
- Regular and consistent attendance in school/employment or alternative education/vocation program.
- Regular and consistent attendance in treatment and other intervention services.
- Attend all reviews with Youth Behavioral Health Court Judge.
- Completion of treatment and program goals for Phase II
- Consistent negative urinalysis testing results (if applicable).

iii. Minimum time completion for Phase II is 6 weeks.

c. Phase III-Developing Healthy Lifestyle Behaviors

i. Objectives of Phase III

- Continued compliance of probation requirements
- Continued progress in treatment and development of healthy lifestyle behaviors as specified in the clinical Recovery Plan
- Regular and consistent attendance in school/employment or alternative education/vocation program.
- Attend all reviews with Youth Behavioral Health Court Judge.
- Consistent negative urinalysis testing results (if applicable)

ii. Criteria for Advancing to Phase IV

- No new criminal charges for a substantial period of time.

- Regular and consistent attendance in school/employment or alternative education/vocation program.
- Regular and consistent attendance in treatment and other intervention services
- Consistent negative urinalysis testing results (if applicable)
- Completion of treatment and program goals for Phase III

iii. Minimum time for completion of Phase III 6 is weeks.

d. Phase IV—Maintaining Healthy Lifestyle Behaviors

i. Objectives of Phase IV

- Decreased need of probation monitoring
- Self-sustained progress in treatment and lifestyle choices
- Minimal Probation Officer home visits
- No new criminal charges for a substantial period of time.
- Maintaining Healthy family relationships.
- Consistent negative urinalysis testing results (if applicable)
- Attend all reviews with Youth Behavioral Health Court Judge.
- Complete a 4-6 hour service project and written summary of what was done and how it affected the client.

ii. Criteria for Advancing to Graduation

- Maintaining improved academic performance or alternative education/vocation program
- Consistent demonstration of healthy lifestyle behaviors
- Consistent negative urinalysis testing results (if applicable)
- Successful completion of all Youth Behavioral Health Court requirements.
- Consistent negative urinalysis testing results (if applicable)
- Exit interview with Youth Behavioral Health Court Treatment provider.

iii. Criteria for Termination of Courts Jurisdiction

- Maintained the above until the next review hearing. Review hearings may be continued until the Judge feels the youth has demonstrated the Healthy Lifestyle changes.





# Behavioral Health Court

Youth Packet



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*Behind you,*  
all your memories.

*Before you,*  
all your dreams.

*Around you,*  
all who love you.

*Within you,*  
all you need.

## **Welcome to Behavioral Health Court**

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We welcome you to Juvenile Behavioral Health Court. Our motto is "Together We Can", and we truly believe that. We also believe that your personal story is important and valuable, and worth sharing. While you are in Behavioral Health Court you will learn more about yourself and learn to understand your choices better. We also want you to share what you learn with others. In your work with Behavioral Health Court, you can give back to your community and help others learn to improve their lives and relationships – including your family. We hope that by working together, you become stronger and have the courage to break the cycle of addiction and build a better life for yourself.

Please read all the following information. It is your responsibility to be familiar with the information contained in the Youth Packet.

### **Who is involved in Behavioral Health Court?**

This program involves a team of people working together – your Probation Officer, Law Enforcement, Therapists and Case Managers, as well as the Fourth District Juvenile Court Judges and Attorneys. Your team will meet before each behavioral health court to talk about your progress and hard work. They will discuss how you are doing in treatment and therapy, the results of your drug screens (sometimes called U.A.'s, which is short for Urinalysis), your relationships with family and friends, your progress in school, and any other information that may be important to the things you are working on. By having these discussions, your team can make sure we are doing everything we can to help you be successful.

But the most important person involved in behavioral health is YOU. We are here to help you, and you are the “star” of this show.

### **How often do I see my Judge?**

Every two weeks your Judge will be given a report from the team that talks about your progress, and you will have a court hearing in front of your Judge. Based on your behaviors your Judge will give you incentives (rewards or motivations to encourage you to keep trying) for good choices and healthy behaviors; your Judge will also give you interventions (things you are required to do) and/or sanctions (consequences that can include things like fines, community service hours, etc.) for poor choices or unhealthy behaviors.

## **What are the requirements of Behavioral Court?**

The Juvenile Behavioral Health Court program includes four Phases (parts or sections), with an Introductory Phase when you first begin and a Graduation Phase after you complete everything. You start in the Introductory Phase and then work through each of the next phases one at a time. The program usually takes about six months depending on how hard you work on the things you are expected to accomplish. The requirements for each Phase are listed in later sections in this Packet.

## **How do I graduate from Behavioral Health Court?**

You will be able to graduate from Behavioral Health Court after you have done all the required work for each of the Phases. Once this is done, your Judge and the rest of your team will meet to approve your graduation.

The whole point of the Juvenile Behavioral Health Court program is to help you work through your recovery, but the final responsibility for success or failure is on you. We know you can do it, or you wouldn't have been accepted into Behavioral Health Court in the first place. We are happy to welcome you into the program and we wish you every success in your recovery.





## Behavioral Health Court Contact List

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### Juvenile Court Staff

**Kay Allen**, Probation Officer: (801) 372-2096 Cell  
**Ashlee Harrington**, Probation Officer: (801) 372-2148 Cell  
Juvenile Court Main Number: (801) 354-7200

If you can't reach your Probation Officer, call  
**Leslie Johnson**, Program Coordinator: (801) 354-7250 Office  
(801) 362-6410 Cell

### Judge Smith's Juvenile Behavioral Health Court

**Wayne Vandegraff**, County Attorney (801) 851-8056  
**Alex Clark**, Public Defender (801) 418-9161



Provo Family Clinic: (801) 377-1213  
Payson Family Clinic: (801) 852-3805  
American Fork Family Clinic: (801) 763-5010  
Elizabeth Feil: (801) 372-3852  
Kainoa Aina: (385) 307-7648  
Vantage Point: (801) 373-2215

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SafeUT (833) 372-3388  
Utah Crisis Line: 988

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**The Honorable Judge F. Richards Smith III**



Every two weeks or so you will appear in Behavioral Health Court before Judge Smith and members of your Behavioral Health Court team. There are certain rules and expectations (standards) that you should follow any time you appear before your Judge. They are listed below:

### **Standards for In-Person Behavioral Health Court**

- You should not have side conversations with other people in the room while Behavioral Court is happening. If you need to speak with someone, please do it before court or wait until after court is over. If you absolutely must speak to someone during court, you should excuse yourself into the hallway.
- No chewing gum or eating while Behavioral Health Court is happening.
- When speaking to your Judge, it is respectful to stand and call him "Judge" or "Your Honor". Do not approach the bench unless given permission by your Judge.
- Only your Judge or your Behavioral Health Court team may excuse you from therapy, groups, drug screens, or court appearances.
- If someone is attending court with you, please make sure they understand the Standards for being in Behavioral Health Court.
- You should be dressed in decent clothes and be clean.
- Always remember to be respectful to everyone in court and use polite language.

### **Standards for Virtual (Online) Court**

- You should have your webcam turned on so your Judge can see you.
- You should not have side conversations with other people in the room while you are appearing in Behavioral Health Court on video. You are STILL in court, even if it's only on video.
- No chewing gum or eating while on video in Behavioral Health court.
- When speaking to your Judge, it is respectful to call him "Judge" or "Your Honor". Do not interrupt your Judge when he is speaking.
- Only your Judge or your Behavioral Health Court team may excuse you from therapy, groups, drug screens, or court appearances.
- If someone is attending court with you, please make sure they understand the Standards for being on video in Behavioral Health Court.
- You should be dressed in decent clothes and be clean, even if your Judge can only see you from the waist up.
- Make sure the area in which you are sitting for your video court appearance is quiet and there are not people or animals running around in the background. YOU MUST BE SOMEWHERE PRIVATE while on video in court.
- Always remember to be respectful to everyone in court and use polite language.

## Behavioral Health Court Rules for Youth

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### *Communication*

Constant communication is very important to being successful in Behavioral Health Court. You are required to respond to phone calls, emails, and/or text messages from members of your Behavioral Health Court team (including Probation Officers, Therapists, etc.) as quickly as possible.

You are expected to always be respectful and appropriate. Please keep in mind that your Probation Officer or Therapist may not be able to answer you right away, so you should plan ahead and try to be patient.

### *Transportation*

You are responsible to make sure that transportation arrangements have been made so you can be on time and in attendance for all school, treatment and therapy appointments, drug tests, court, and probation appointments.

### *School*

You are required to be enrolled in school full-time. You must be in school every day, on time, and complete all required classwork and homework. Absences must be approved by probation prior to your missing class. Any absence for illness requires a doctor's note. If you are completing school online, you will be required to provide proof of time spent on schoolwork and proof of completed assignments. Any exceptions to this must be approved by the Judge.

### *Therapy/ Family Therapy*

You are required to attend all scheduled treatment and therapy appointments. Changes to scheduled appointments should be made with your therapist at least 24 hours in advance. You are required to complete at least one family session per month, unless you are told family therapy is not necessary by your Therapist. Missing therapy sessions can lead to your Judge giving you sanctions and will keep you from working your way through the Phases.

### *Drug Testing – If applicable*

Youth may be required to produced random drug tests as ordered by the Judge and at the request of your probation officer.

### *Probation rules*

You are required to comply with probation rules including a daily check-in scheduled determined by your probation officer, spending time with peers that have been **approved by your probation officer**, attending activities that are **approved by your probation officer**, house arrest (if applicable), curfew, electronic devices, weapons, and any other rules deemed appropriate by your probation officer.

## Behavioral Health Court Guidelines for Parents/Guardians

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### *Communication*

Constant communication is very important to your child being successful in Behavioral Health Court. Please respond to phone calls, emails, and/or text messages from members of your child's Behavioral Health Court team (including Probation Officers, Therapists, etc.) as quickly as possible. Please be respectful and appropriate. Keep in mind that your Probation Officer or Therapist may not be able to respond to you or your child right away, so please plan ahead and try to be patient.

### *Transportation*

You will need to make sure that your child is attending and being on-time to all therapy sessions, probation appointments, drug tests, and court hearings. **If you are not able to personally transport your child, it is your responsibility to make other arrangements to ensure your child can meet their responsibilities.**

### *School*

If your child is still in school, you will need to make sure they are attending school as required and completing all required assignments and schoolwork. If your child is completing school online, please make sure they provide proof of time spent on schoolwork and proof of completed assignments. Any exceptions to this must be approved by the Judge.

### *Therapy/ Family Therapy*

At least one family therapy session is required per month unless your child's therapist tells you otherwise. Therapists will do what they can to give you a time that works with your schedule, but if this is not possible you should do everything you can to make arrangements to attend family sessions. You may also be required to participate in family services that are ordered by the Judge.

### *Drugs/Alcohol/Weapons in the Home*

Any alcohol, over-the-counter medications, prescription medications and weapons should be removed from common areas of the home and locked where your child cannot access them. No illegal substances are allowed in the residence at any time..

### *Support the Program*

The courts and probation want your child to succeed. The rules and services put in place are there to support your child in overcoming their problems. You should not make any adjustments to probation rules (curfew, house arrest, phone restrictions, etc.) **without prior consent from the Probation Officer.** You should immediately report any violations of probation or treatment rules to the Probation Officer. Remember that without accountability your child will not be successful. You should also report good behavior and accomplishments so that your child can be given the appropriate credit they deserve.

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## Behavioral Health Court Phases Overview

| Phase   | Requirements to Move Forward to Next Phase   |
|---|--|
| <b>Introduction/<br/>Starting Point</b>                 | <ul style="list-style-type: none"> <li>Review this Packet with your probation officer and discuss the rules with your Parents/Guardians and Therapist</li> <li>Attend at least one individual therapy session and (if requested) one family therapy session</li> <li>Complete "How Did I Get Here?" worksheet with your therapist.</li> </ul> <p><i>When these requirements have been met, you may ask your Judge to advance you to Phase 1.</i></p>   |
| <b>Phase 1:<br/>Behavioral<br/>Health<br/>Awareness</b> | <ul style="list-style-type: none"> <li>Develop a Treatment Plan with your therapist.</li> <li>Attend all treatment that is recommended by your therapist. (that includes individual, group and family therapy as determined by the treatment team).</li> <li>Regular attendance in school/employment or an alternative education/vocation program approved by your probation officer and the Judge.</li> <li>Meet with your probation officer to complete any necessary assessments and develop a Case Plan.</li> <li>Attend weekly probation appointments in person or by video.</li> <li>Comply with daily check-ins as required by your Probation Officer</li> <li>House arrest with conditions set by your probation officer.</li> <li>Follow a curfew set by the Judge, your Probation Officer, and parents/guardians.</li> <li>Attend all reviews with the Youth Behavioral Health Court Judge</li> <li>Attend random drug testing (if applicable)</li> <li>No new charges</li> <li>Begin and maintain a treatment journal. Record journal entries after every individual, group, or family session. Keep track of therapy assignments, habits, goals, etc.</li> <li>Complete all sanctions (if any)</li> </ul> <p><i>When these requirements have been met, you may ask your Judge to advance you to Phase 2.</i></p> |
| <b>Phase 2:<br/>Consistency<br/>and Progress</b>        | <ul style="list-style-type: none"> <li>Continue to follow all probation requirements.</li> <li>Continue to attend treatment and show that you are working to learn new skills and develop healthy lifestyle behaviors.</li> <li>Regular and consistent attendance in school/employment or alternative education/vocational program.</li> <li>Comply with check-ins as required by your Probation Officer</li> <li>Attend all reviews with your Youth Behavioral Health Court Judge.</li> <li>Attend random drug tests if required.</li> <li>Plan and complete a personal individual goal with your probation officer.</li> <li>Complete all sanctions (if any)</li> </ul> <p><i>When these requirements have been met, you may ask your Judge to advance you to Phase 3.</i></p>   |

|   |  |
|---|--|
| <p><b>Phase 3:</b><br/>Developing<br/>Healthy<br/>Lifestyle<br/>Behaviors</p> | <ul style="list-style-type: none"> <li>• Continue to follow all probation requirements.</li> <li>• Continue to attend treatment and show that you are working to learn new skills and develop healthy lifestyle behaviors.</li> <li>• Regular and consistent attendance in school/employment or an alternative education/vocational program.</li> <li>• Comply with check-ins as required by your Probation Officer</li> <li>• Attend all reviews with your Youth Behavioral Health Court Judge.</li> <li>• Attend random drug tests if applicable.</li> <li>• Plan and complete a personal individual goal with your probation officer.</li> <li>• Complete all sanctions (if any)</li> </ul> <p><i>When these requirements have been met, you may ask your Judge to advance you to Phase 4.</i></p>  |
| <p><b>Phase 4:</b><br/>Maintaining<br/>Healthy Lifestyle<br/>Behaviors</p>    | <ul style="list-style-type: none"> <li>• Continue to follow probation rules and show that you are able to maintain good behavior with decreased probation monitoring.</li> <li>• Make good progress in treatment.</li> <li>• No new criminal charges for several months.</li> <li>• Maintain good grades and attendance in school or in an alternative education/vocation program.</li> <li>• Consistently make healthy lifestyle choices.</li> <li>• Successful completion of all Youth Behavioral Health Court requirements.</li> <li>• Maintaining Healthy Family Relationships</li> <li>• Consistent negative drug tests (if applicable)</li> <li>• Attend all reviews with Youth Behavioral Health Court Judge.</li> <li>• Regular and consistent attendance in school/employment alternative education/vocational program.</li> <li>• Comply with check-ins as required by your Probation Officer</li> <li>• Complete all sanctions (if any)</li> <li>• Plan and complete a 4-6 hour service project and written summary of what was done and what you learned from it.</li> </ul> <p><i>When these requirements have been met, you may ask your Judge for Graduation from Behavioral Health Court..</i></p> |
| <p><b>Graduation</b></p>  | <ul style="list-style-type: none"> <li>• Return for a review with your Judge in about 30 days.</li> <li>• Continue to follow all of the rules of probation. The Judge will continue to monitor your progress while you show that you have made healthy lifestyle changes.</li> </ul>   |

Checklist to Advance

## Introduction Phase (Starting Point)

### INTRODUCTION

**To Move from the Introduction Phase to Phase 1, Complete the following:**

- ☐ Review Behavioral Health Court Packet
- ☐ Discuss Packet with Treatment Team/Therapist
- ☐ Attend at least one family/individual/group therapy session.
- ☐ Complete "How Did I Get Here?" worksheet at treatment and bring to Court.

Present this completed checklist to your Judge along with any attachments required.



# The Stages of Change

The Stages of Change is a way of thinking about how can make changes in our behavior as a part of our life, and is very helpful in thinking about your goals. The stages range from not thinking you have a problem (Precontemplation) to managing your problem effectively all the time (Maintenance). Going back to the behavior, or relapse, may occur. A person may cycle through the stages of change more than once on their journey, too.



## Precontemplation

*"I don't really think I have a problem"*

At this stage you are not willing to accept that you have a problem, and you don't see any reason to work on changing yourself or your behavior.

## Contemplation

*"Maybe I do have a problem and might need to do something about it."*

You're not really sure what to do at this stage. You might be trying to decide if you can or should try to change the behavior, and what losing it may mean for everyday life. One way to help is to make a "pros and cons of changing" list. When considering changing a behavior, imagining yourself having made the change — or not — in the future can help you decide next steps.



**Preparation**

*"I realize I do have a problem and I need to do something about it."*

When you've decided to make a behavior change and are ready to act, you're in the preparation stage. You might still be gathering facts about exactly how you can change; your Behavioral Health Court team and your Therapist can help you with this.

**Action**

*"I am working to change my behavior and get this problem under control."*

You're actively changing in this stage! You've put the pedal to the metal, and you're working on things. Being in this stage will help you work through Behavioral Health Court quickly.

**Maintenance**

*"I have this problem under control, and I just need to keep doing what I'm doing."*

In the maintenance stage you're focused on keeping the changes you've made for the long term. You're working to keep moving forward to try and prevent relapse.

**Relapse**

*"I messed up. Now I need to figure out how to get back on track."*

A Relapse is when you've managed to stop using drugs or alcohol for a period of time, and then you slip up and start using again. This can happen at any stage, but it doesn't mean you have to start all over – you just think about what you need to do to get back on track again, and start doing it.





## How Did I Get Here?

Think of the last few times you got arrested, got into trouble, or did something you just knew was wrong. List at least six events in the first column and then go back and answer the questions in each box. Complete this form (your Therapist can help you if you want), and then return it to your Probation Officer.

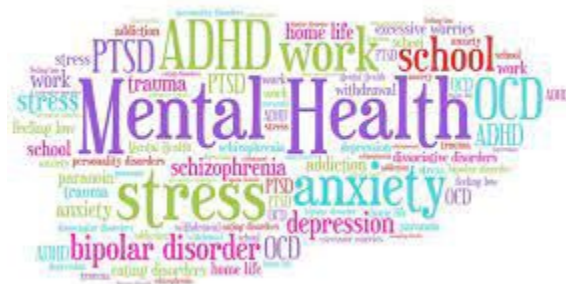
| What happened that got you into trouble? | Where were you and what was going on that led up to the situation?   | Who were you with? Did they have any influence over what happened?                                | What were you thinking and feeling during the event?  | What were the consequences?                        |
|--|--|---|---|--|
| <b>EXAMPLE</b><br>I hit my mom.          | I was walking home from school. My mom started yelling at me for being late as soon as I walked in the door. | My mom and my friend who walked home with me. Yes, I shouldn't have yelled but my mom started it. | My mom is always on my case and I didn't even do anything wrong this time. She needs to give me some trust. | My mom called the police and I got another charge. |
|  |  |   |   |  |
|  |  |   |   |  |
|  |  |   |   |  |
|  |  |   |   |  |

## Checklist to Advance

# Phase 1: Behavioral Health Awareness

## To Move from Phase 1 to Phase 2, Complete the Following:

- ☐ Develop a Treatment Plan with your therapist
- ☐ Attend all treatment that is recommended by your therapist (that includes individual, group, and family therapy as determined by the treatment team)
- ☐ Regular attendance in school/employment or an alternative education/vocation program approved by your probation officer and the Judge.
- ☐ Meet with your probation officer to complete any necessary assessments and develop a Case Plan
- ☐ Attend weekly probation appointments in person or by video
- ☐ House arrest with conditions set by your Probation Officer
- ☐ Follow a curfew set by the Judge, your Probation Officer, and parents/guardians
- ☐ Attend all reviews with the your Behavioral Health Court Judge
- ☐ Attend random drug testing (if applicable)
- ☐ No new charges
- ☐ Begin and maintain a treatment journal. Record journal entries after every individual, group, or family session. Keep track of therapy assignments habits, goals, etc.
- ☐ Complete all sanctions (if any)



## Tips for your Therapy Journal:

**Tip #1** — Make all needed supplies readily available so you do not have any obstacles to getting started with your journaling. The basic supplies include ink pens or pencils and some form of notebook or paper pad.

**Tip #2** — Many people who enjoy journaling feel more comfortable with a specific type of notebook or special journaling book.

**Tip #3** — Journal keeping doesn't require any specific format. Simply start writing whatever is on your mind. If you write in one block of text or many paragraphs, cursive or print, none of it matters. You just need to get comfortable opening up in your journal writings.

**Tip #4** — Set a timer and keep your pen or pencil moving until it goes off. Start with 5 or 10 minutes and increase the time as you become more comfortable you're journaling. (Extra-Hot tip ? — Longer sessions will allow deeper processing of their thoughts on the page.)

**Tip #5** — Make sure you know you can always choose to keep your journal entries private. One idea is to fold the private pages in half inside your journals, so they can be flipped past without seeing the page. Even if no one else ever reads it you will get it off their chest just writing it out.

Here are journal prompts for mental health that you can include to your daily journal:

What can you do to make today a better day?

What did you like most about today?

What went wrong today?

What can you change about today to make it more productive?

Write down one thing you did today to help you achieve your goals?

Write how the weather made you feel today.

Who did you meet today that made you smile?

What is one thing you would like to change about your morning routine?

Did you read anything on social media today that made you upset?

Did everything go according to plan today? If not, what went wrong?

Did you compliment someone today?

Did you receive a compliment today?

What is the kindest thing you witnessed today?

Art journaling is a great way to be creative and to express your thoughts using pictures other than words. It is especially useful if you are feeling stuck and don't feel like writing a lot.

Using art journaling for mental health can help you get out of the mental block you may be facing.

For art journaling to work it is important that you should enjoy art and the process of creating art.

Here are some art journaling prompts for mental health to help you get started :

Think of your bucket list and draw them inside clouds.

Draw a mandala and focus on the small details in it.

Draw a flower that makes you feel happy.

Think of words that make you smile. Then draw them in any random order.

Doodle different faces that express what you are feeling right now

Collect images of things you are grateful for and stick them on a page

Create a vision board of all the things you would like to achieve in the next 5 years].

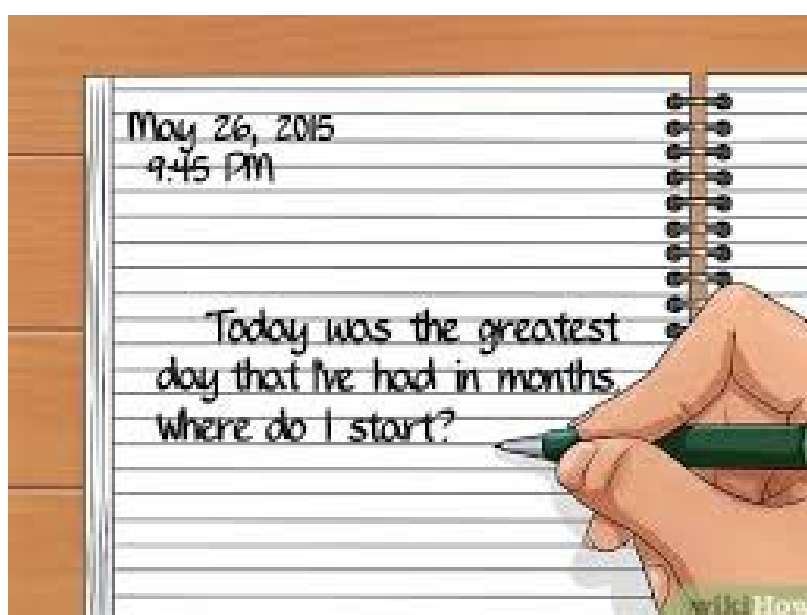
Watch a Youtube video on calligraphy and practice it

Draw all the things you would like to do if money was not an issue

Draw all the places you would like to visit in the next 5 years.

Draw an image of how you feel today.

Design a place of peace for yourself. What does that place look like? How does it make you feel?



Checklist to Advance

## Phase 2: Consistency and Progress

- ☐ Continue to follow all probation requirements.
- ☐ Continue to attend treatment and show that you are working to learn new skills and develop healthy lifestyle behaviors.
- ☐ Regular and consistent attendance in school/employment or alternative education/vocational program.
- ☐ Comply with check-ins as required by your Probation Officer
- ☐ Attend all reviews with your Youth Behavioral Health Court Judge.
- ☐ Attend random drug tests if required.
- ☐ Plan and complete a personal goal with your probation officer.
- ☐ Complete all sanctions (if any)



**Strive for  
progress, not  
perfection**

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**When setting your goals – ask yourself what is going to make me a happier stronger person, or what can I do to help get me where I want to go in my life? Here are some ideas.**

Improve my attendance in school

Improve my grades at school

Get a job or sign up with Workforce Services

Enroll in a GED program

Learn a new skill

Get more Exercise

Start a new hobby

Spend more quality time with my family

Make a new pro-social friend

Plan a pro-social activity for my friends

Help my parents more at home

Read a new book

Do more self-care activities

Give a complement to someone every day for 30 days

Checklist to Advance

## Phase 3: Developing Healthy Lifestyle Behaviors

To advance from Phase 3 to Phase 4, complete the following:

- ☐ Continue to follow all probation requirements.
- ☐ Continue to attend treatment and show that you are working to learn new skills and develop healthy lifestyle behaviors.
- ☐ Regular and consistent attendance in school/employment or alternative education/vocational program.
- ☐ Comply with check-ins as required by your Probation Officer
- ☐ Attend all reviews with your Youth Behavioral Health Court Judge.
- ☐ Attend random drug tests if required.
- ☐ Plan and complete a personal individual goal with your probation officer.
- ☐ Complete all sanctions (if any)





# Steps to Self Care



## Fuel your body

You are what you eat, so fill your body with fresh fruits and vegetables and stay hydrated



Surround yourself with **positive people** who make you feel good about yourself



## Get enough rest

7 - 8 hours of sleep per night helps increase productivity during the day



## Meditate

Take time for yourself to reflect on your thoughts and feelings



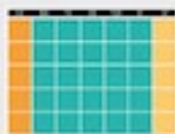
## Speak up

It's important to let others know how you feel



## Learn to forgive yourself

We all make mistakes and it's okay



## Stay organized

Keeping things in order will help you feel more in control and less stressed as a result



## Exercise

Get that blood flowing, whether it be in a gym or just dancing in your room




Devote time each day to doing something that makes you

**happy**



## Phase 4: Maintaining Healthy Lifestyle Behaviors

To Complete Phase 4 and Graduate Behavioral Health Court, complete the following:

- ☐ Continue to follow probation rules and show that you are able to maintain good behavior with decreased probation monitoring.
  - ☐ Make good progress in treatment.
  - ☐ No new criminal charges for several months.
  - ☐ Maintain good grades and attendance in school or in an alternative education/vocation program.
  - ☐ Consistently make healthy lifestyle choices.
  - ☐ Successful completion of all Youth Behavioral Health Court requirements.
  - ☐ Maintaining Healthy Family Relationships
  - ☐ Consistent negative drug tests (if applicable)
  - ☐ Attend all reviews with Youth Behavioral Health Court Judge.
  - ☐ Regular and consistent attendance in school/employment alternative education/vocational program.
  - ☐ Comply with check-ins as required by your Probation Officer
  - ☐ Complete all sanctions (if any)
  - ☐ Plan and complete a 4-6 hour service project and written summary of what was done and what you learned from it.
- 



## Service Project Guidelines

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1. With help from your Therapist, Behavioral Health Court Team, and your family come up with something you could do to improve your community (or neighborhood). Think about ideas for what you could do to help make this improvement.
2. Using the Service Project Application worksheet on the next page, write out your idea, the steps you will take to make the improvement, and what you hope will happen.
3. As you work on your Project, keep track of how it is going, what was hard about it, what was good about it, etc., and how it all turned out.
4. When you have completed the Project, complete the Service Project Report worksheet with your Therapist or Probation Officer and be prepared to share your experience at your next Court hearing.

### Examples/Ideas

Although you should come up with your own idea that is specific to your community and your situation, here are some possible examples that have been done by other Behavioral Health Court clients.

- Volunteer one day a week at a local Food Bank or Community Pantry and help hand out food to community members who are in need. Be sure and arrange this ahead of time -- don't just show up at a Food Bank.
- Organize a group of friends and/or family members to do a "neighborhood cleanup" project, where you go around and pick up trash around your neighborhood. Take before and after photos to show your work.
- Volunteer to work in a local Food Kitchen and serve meals to community members in need. Be sure and arrange this ahead of time -- don't just show up at a Food Bank.
- Volunteer at a local Elder Care Center to read to or play games with Elderly persons. Get permission ahead of time.
- With adult help and supervision, deliver blankets, coats, or other warm clothing to homeless individuals. It may help to contact agencies that help the homeless for guidance.



## Service

# Project Application

*“The purpose of life is not to be happy, but to matter – to be productive, to be useful, to have made some difference that you have lived at all.”*



Client Name: \_\_\_\_\_

1. What is a project you can do to make a difference and improve things in your local community?
2. Explain how you will do your project Step by Step (Step 1, Step 2, Step 3, etc.).
3. Describe how you hope everything will turn out (the outcome), and how you hope it will improve things for yourself and your community.

Once you have completed this worksheet, review it with your Therapist and then submit it to your Behavioral Health Court team for approval by your Judge.

\_\_\_\_\_  
Client Signature

\_\_\_\_\_  
Date Completed

\_\_\_\_\_  
Therapist Signature

\_\_\_\_\_  
Date Approved



## Service Project Report

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Once your project has been done, complete this worksheet with your Therapist and give it to your Behavioral Health Court team for approval by the judge. Be prepared to talk about your experience in Court.

Client Name: \_\_\_\_\_

3. Talk about how you arranged for your Project to happen. What did you do to make sure you were able to do it?
  
  
  
  
  
  
  
  
  
  
4. What were some of the hard things about getting your Project done?
  
  
  
  
  
  
  
  
  
  
5. What were some of the strengths you noticed about yourself while doing the Project?
  
  
  
  
  
  
  
  
  
  
6. How did the project turn out? What do you think you personally gained from your Project? How did your community benefit from your work on this?

Once you have completed this worksheet, review it with your Therapist and then submit to your Behavioral Health Court team for approval by your Judge.

\_\_\_\_\_  
Client Signature

\_\_\_\_\_  
Date Completed

\_\_\_\_\_  
Therapist Signature

\_\_\_\_\_  
Date Approved

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Checklist to Advance

## Graduation

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**There are no more Phases – You Did it! To graduate from Behavioral Health Court, complete the Following:**

- ☐ Return for a review with your Judge in about 30 days.
- ☐ Continue to follow all of the rules of probation. The Judge will continue to monitor your progress while you show that you have made healthy lifestyle changes.



*Congratulations*

# Tab 5



## Administrative Office of the Courts

**Chief Justice Matthew B. Durrant**  
Utah Supreme Court  
Chair, Utah Judicial Council

**Ronald B. Gordon, Jr.**  
State Court Administrator  
  
**Neira Siaperas**  
Deputy Court Administrator

### MEMORANDUM

**To:** Management Committee / Judicial Council  
**From:** Michael C. Drechsel, Assistant State Court Administrator  
**Date:** Tuesday, April 11, 2023  
**Re:** Uniform Fine Committee – Proposed 2023 Uniform Fine Schedule

The 2023 legislative session resulted in passage of three large statutory recodification projects:

**HB0046:** Criminal Code Recodification (**579 changes**)  
**SB0038 – SB0041:** Health and Human Services Code Recodification (**70 changes**)  
**HB0030:** Wildlife Resources Code Recodification (**96 changes**)

In addition to these recodification projects, another 28 bills (**82 changes**) also modified entries on the Uniform Fine Schedule (UFS). Over the last month, AOC staff carefully reviewed each of the relevant bills and generated a detailed list of **412 proposed fine schedule changes** (six of these change Federal Motor Carrier Rule entries, with the rest being changes to Utah Code entries).

On April 4, 2023, the Judicial Council's Uniform Fine Committee met for nearly five hours and reviewed all of the proposed changes one at a time, incorporating the changes into a proposed 2023 UFS. The changes are now marked in the materials with five color-coded labels,<sup>1</sup> as follows:

**NEW** (4 UFS entries):

- the legislature created two new class B misdemeanors and two new infractions.

**EDIT** (28 UFS entries):

- these entries retain the same offense violation code, but required some modification to the existing description, fine amount, etc. (the changed details are in **bold underline**);

**ADD** (73 UFS entries):

- these are offenses that were not previously included in the Uniform Fine Schedule, but have become necessary due to legislative changes or were existing offenses that were discovered as bills were reviewed;

<sup>1</sup> Once approved, this color-coding (which only exist to highlight the proposed changes) will be removed from the final materials made available to the public.



**RENUMBER** (113 UFS entries):

- these existing entries have been renumbered to reflect changes in statutory numbering, mostly as a result of the recodification projects; and

**REMOVE** (200 UFS entries):

- these offense codes have been either completely eliminated from Utah Code or have been replaced with accompanying "Renumber" or "Add" entries.

One proposed substantive change needs further legislative attention before the 2023 Uniform Fine Schedule materials can be finalized. During the 2023 session, two separate bills (HB0046 and HB0208) each inadvertently made completely unrelated changes to the same newly-created statutory code: Utah Code § 76-6-206.5. The committee has made contact with the Office of Legislative Research and General Counsel (OLRGC) and informed that office of the need for clarification as to which statute will remain numbered as Utah Code section 76-6-206.5 and which will be renumbered. The committee has been informed that the final decision will not be made until May 3, 2023. The committee seeks authority from the Judicial Council to make a last minute change to the fine schedule materials to reflect OLRGC's May 3, 2023 decision.

In addition to the substantive changes to offense entries, the committee also made some minor substantive revisions to the eight-page Uniform Fine Schedule introduction, including simplifying language where possible.

Finally, one of the recodification bills (HB0030, the wildlife code bill) does not go into effect until July 1, 2023. Those changes are not included in the materials presented today. The Uniform Fine Committee has crafted a plan to engage in additional coordinating work with the Division of Wildlife Resources prior to finalizing proposed changes based on that recodification. The committee will bring those proposed changes to Management Committee / the Judicial Council for approval prior to HB0030's July 1, 2023 effective date.

The committee and its staff are prepared to answer any specific questions you may have about any of the identified changes in the proposed 2023 Uniform Fine Schedule. We appreciate your patience as the committee worked through such a massive amount of material in order to prepare the 2023 Uniform Fine Schedule.

## 2023 UNIFORM FINE SCHEDULE

### INTENT

It is the intent of the Uniform Fine Schedule to assist the sentencing judge in determining the appropriate fine to be imposed as a condition of the sentence in a particular case and to minimize disparity in sentencing for similar offenses and offenders. This schedule is not intended to supplant or to minimize a court's authority to impose a just sentence.

### APPLICABILITY

These guidelines apply to all Utah courts whenever a criminal fine may be imposed.

In determining whether a fine is appropriate to impose as a condition of the sentence for a public offense, a judge should consider several factors, including aggravating and/or mitigating circumstances as set forth in Form 6 of the [Sentencing and Release Guidelines](#), the cumulative effect of probation conditions, and the ability of the defendant to pay.

The Uniform Fine Schedule should not be used in setting a financial condition of release. See [Appendix J](#) of the Code of Judicial Administration.

In cases where a defendant may remit a fine without personally appearing before the court, the recommended fine amount may be increased by \$50 if the defendant fails to appear or pay within fourteen days after receiving the citation. The recommended fine amount may be increased by an additional \$75 if the defendant fails to appear or pay within forty days after receiving the citation. See the Utah Code of Judicial Administration Rule 4-701. For information on how to calculate the surcharge on delinquent enhancements, contact the Administrative Office of the Courts.

### TRAFFIC-RELATED OFFENSES

#### ***Overweight Violations***

The assessing court shall retain the first \$50 of the fine for offenses under Utah Code sections 72-7-404 and 72-7-406. The remainder of the fine shall be paid in accordance with Utah Code section 78A-7-120. See Gross Weight Chart for fines.

#### ***Traffic***

The recommended fine may increase \$30 for moving traffic violations resulting in an accident.

In either adult or juvenile court, if an accident has occurred, it may be considered by the court as an aggravating circumstance, and the fine/amount for the cited offense (which caused the accident) may be increased by the indicated amount.

All traffic offenses involving personal injury or death require a MANDATORY APPEARANCE.

A credit of \$8 must be applied towards a fine imposed on any motor vehicle violation for the operator of a motorcycle or motor-driven cycle, class 3 electric assisted bicycle or auticycle not fully enclosed if the operator was 21 years old or older at the time of violation AND the operator was wearing protective head gear (Utah Code section 41-6a-1505), except for DUI offenses.

### ***Nonresident Violator Compact***

At the present time the following states are not members of the Nonresident Violator Compact (NRVC) and will not act on a request to suspend the driver's license of a person, who has been issued a traffic citation in the State of Utah and who failed to appear or contact the court on the citation: Alaska, California, Michigan, Montana, Oregon, and Wisconsin.

All other states, including the District of Columbia, are members of this compact and, with the exception of the following traffic offenses, will act on a request to suspend a driver license if the request reaches that state within six months of the date of the violation:

1. those offenses requiring a mandatory appearance such as driving under the influence; failure to stop in the event of an accident that causes death, personal injuries, or damage to property; and offenses that the directors of the compact have determined to require a mandatory appearance such as driving on suspension, driving on revocation, etc.;
2. parking or standing violations;
3. highway weight limit violations; and
4. violations of the law governing the transportation of hazardous materials.

Because a request under the NRVC must reach a state within six months, it must be received by the Utah Driver License Division no later than five months after the issuance of the citation. There is no FTC in the NRVC, only FTAs. If partial payment is accepted by the court, that payment constitutes an appearance, and the court cannot issue an FTC against the out-of-state driver.

## **PROCEEDING ON CITATION**

Utah Code section 77-7-21 allows a court to proceed with a matter on citation in lieu of an information with the consent of the defendant. It states that, where provided in the Uniform Fine Schedule, an individual may remit a fine without making a personal appearance before the court in certain circumstances.

For any class B or class C misdemeanor or any infraction listed as "Mandatory Appearance," the court may allow a defendant to voluntarily remit the fine and other penalties in lieu of appearance, unless the charge:

1. is a domestic violence offense;
2. is a DUI or driving with measurable controlled substance offense; or
3. appears to affect a victim or requires restitution.

For all other infractions, the court may allow the defendant to voluntarily remit the fine and other penalties in lieu of appearance.

## SENTENCING

The felony matrix and misdemeanor matrix are guidelines for assessing penalties and fines after adjudication of a case requiring a mandatory appearance. The matrices include a broad range of fines from the statutory maximum to a base minimum within each category of offense. The matrices are to be used in conjunction with the criminal history assessment criteria. From the base financial sanction in each category, the schedules provide an escalation of the fine in correlation with the points accumulated in the criminal history criteria. The matrices also specify when both incarceration and a fine may be appropriate. Pre-sentence investigation reports include the criminal history data necessary to place the defendant's case on the matrix. In those cases where a pre-sentence report is not available, a defendant's criminal history should be verified before placing the defendant's case on the matrix. A defendant's ability to pay should be considered in determining whether or not to impose a fine and, if a fine is imposed, in establishing a payment plan.

## CRIMINAL HISTORY ASSESSMENT FOR USE IN SENTENCING

The General Disposition Matrix, consistent with the Utah [Sentencing and Release Guidelines](#) — Form 1 and Form 5, classifies a defendant's criminal history in five categories from excellent (0-3 points), good (4-7 points), moderate (8-11 points), fair (12-15 points), and poor (16+ points). The appropriate classification is determined by summing points assessed in the Criminal History Scoring Section of Form 1 and Form 5 of the Utah Adult Sentencing and Release Guidelines.

In assessing fines for class A and B misdemeanor offenses, excluding statutorily mandated fine amounts, the criminal disposition matrix is as follows:

| GENERAL DISPOSITION MATRIX — Misdemeanors |  |  |
|---|--|--|
| CRIMINAL HISTORY                          | Class A Misdemeanors<br><i>Person or Drugs</i> | Class B Misdemeanors<br><i>Person or Drugs</i> |
| POOR                                      | \$2,500  | \$1,000  |
| FAIR                                      | \$2,010  | \$860  |
| MODERATE                                  | \$1,510  | \$660  |
| GOOD                                      | \$1,010  | \$460  |
| EXCELLENT                                 | \$510  | \$260  |

*THE AMOUNTS IN THIS MATRIX DO NOT INCLUDE THE SURCHARGE.*

Additional considerations in assessing fines:

- fines for class C misdemeanors may be assessed from \$100 to \$750 using the same classification considerations per Utah Code section 76-3-301;
- consider jail on a 2<sup>nd</sup> offense;
- fines for infractions may be assessed from \$0 to \$500;
- credit is allowed towards fines for time served in jail at the rate of \$100 day; and

- credit is allowed towards fines for community service at a rate of not less than \$10/hr., per Utah Code section 76-3-301.7.

## 2023 UNIFORM FINE SCHEDULE

| ANY OFFENSE NOT SPECIFICALLY NAMED ON THE FINE SCHEDULE<br>AND NOT CONTAINED IN A SPECIFIC FINE SCHEDULE SHALL BE AS FOLLOWS:   |  |
|---|--|
| <b>FELONY OFFENSES</b> <ul style="list-style-type: none"> <li>• 1st degree with minimum mandatory sentence</li> <li>• Other 1st degree</li> <li>• 2nd degree</li> <li>• 3rd degree</li> </ul> | <b>FINE:</b> <ul style="list-style-type: none"> <li>\$25,000</li> <li>\$20,000</li> <li>\$10,000</li> <li>\$5,000</li> </ul>   |
| <b>MISDEMEANORS</b> <i>(other than local ordinances)</i> <ul style="list-style-type: none"> <li>• Class A</li> <li>• Class B</li> <li>• Class C</li> <li>• Infractions</li> </ul>             | <b>RECOMMENDED / MAXIMUM:</b> <ul style="list-style-type: none"> <li>\$1,960 / \$4,813</li> <li>\$690 / \$1,970</li> <li>\$350 / \$1,082.50</li> <li>\$110 / \$1,082.50</li> </ul> |
| <b>LOCAL ORDINANCES</b> <ul style="list-style-type: none"> <li>• Class B</li> <li>• Class C</li> <li>• Infractions</li> </ul>   | <b>RECOMMENDED / MAXIMUM:</b> <ul style="list-style-type: none"> <li>\$350 / \$1,082.50</li> <li>\$110 / \$1,082.50</li> <li>\$110 / \$1,082.50</li> </ul>                         |

**NOTE:** *Recommended and maximum includes all potential surcharges.*

## 2023 DUI FINE TABLE

Utah Code section 41-6a-505 outlines minimum mandatory fines that must be imposed upon conviction for driving under the influence of alcohol, drugs, or a combination of both. In addition to these fines, Utah Code section 51-9-401 requires that a 90% criminal surcharge be paid on each fine imposed by the court. Finally, Utah Code requires that a security surcharge be assessed in addition to any fine or other surcharge: \$53 in district court (Utah Code § 78A-2-601) and \$60 in justice court (Utah Code § 78A-7-122). The tables below outline these amounts.

|  | In District Court | In Justice Court |
|--|-------------------|------------------|
| <b>MISDEMEANOR DUI<br/>First Conviction</b>                                | <b>\$1,383</b>    | <b>\$1,390</b>   |
| Minimum Mandatory Fine<br><i>41-6a-505(1)(a)(v) and (3)(a)(v)</i>          | \$700             |                  |
| Criminal Surcharge (90%)<br><i>51-9-401(1)(b)(i)(C)</i>                    | \$630             |                  |
| Security Surcharge<br><i>District: 78A-2-601(1); Justice: 78A-7-122(1)</i> | \$53              | \$60             |

|  | In District Court | In Justice Court |
|--|-------------------|------------------|
| <b>MISDEMEANOR DUI<br/>Prior Conviction within 10 Years</b>                | <b>\$1,573</b>    | <b>\$1,580</b>   |
| Minimum Mandatory Fine<br><i>41-6a-505(5)(a)(v) and (7)(a)(v)</i>          | \$800             |                  |
| Criminal Surcharge (90%)<br><i>51-9-401(1)(b)(i)(C)</i>                    | \$720             |                  |
| Security Surcharge<br><i>District: 78A-2-601(1); Justice: 78A-7-122(1)</i> | \$53              | \$60             |

|  | In District Court |
|--|-------------------|
| <b>FELONY DUI<br/>(if prison is suspended and probation ordered)</b> | <b>\$2,903</b>    |
| Minimum Mandatory Fine<br><i>41-6a-505(9)(a) and (11)(a)</i>         | \$1,500           |
| Criminal Surcharge: 90%<br><i>51-9-401(1)(b)(i)(C)</i>               | \$1,350           |
| Security Surcharge<br><i>78A-2-601(1)</i>                            | \$53              |

## GUIDE TO THE UNIFORM FINE SCHEDULE

The Uniform Fine Schedule is published in both .PDF (Adobe Acrobat) and .XLS (Excel) file formats. The .PDF format is organized for ease of printing and the .XLS format has been provided for ease in sorting. You can access these files [here](#).

### ***“Violation Code” Column***

The code for the violation is based on Utah statute.

### ***“Description” Column***

Description of the applicable violation.

### ***“Default Severity” Column***

The severity of the offense as determined by statute.

### ***“Mandatory Appearance” Column***

This column is marked Y (Yes) if a court appearance is required to resolve this offense or N (No) if no appearance is necessary and the offense can be resolved by paying the designated fine.

### ***“Suggested Fine” Column***

The total Suggested Fine and Security Surcharge.

This includes the \$60 security surcharge for justice courts.

### ***“Comp Credit” Column (Compliance Credit)***

This is the amount of credit given for complying with violation requirements prior to resolving the offense. The "Comments" column describes the compliance required.

### ***“Non-Moving Traffic” Column***

This column is marked “Y” (yes) if the offense is a non-moving traffic violation and “N” (no) if the offense is not a non-moving traffic violation. No surcharge should be imposed in non-moving traffic offenses. The Utah Judicial Council, through the designated Uniform Fine Committee, has the responsibility to define which offenses are moving and which are non-moving. They have established definitions as follows:

**Moving violations** involve an act or omission dealing with the actual driving of the motor vehicle (e.g., failure to yield, speeding).

**Non-moving violations** encompass status or conditions of the vehicle or driver license violations (e.g., not registered, not licensed, broken equipment).

### ***“Surcharge” Column***

Utah Code section 51-9-401(1)(a) provides that “[a] surcharge shall be paid on all criminal fines, penalties, and forfeitures imposed by the courts.” It also provides that the surcharge shall be:

- (i) **90%** upon conviction of a:
  - (A) felony;
  - (B) class A misdemeanor;
  - (C) violation of Title 41, Chapter 6a, Part 5, Driving Under the Influence and Reckless Driving; or

(D) class B misdemeanor not classified within Title 41, Motor Vehicles, including violation of comparable county or municipal ordinances; or

- (ii) **35%** upon conviction of any other offense, including violation of county or municipal ordinances not subject to the 90% surcharge.

Under the statute, a surcharge may not be imposed upon:

- (a) non-moving traffic violations;
- (b) upon court orders when the offender is ordered to perform compensatory service work in lieu of paying a fine; and
- (c) upon penalties assessed by the juvenile court as part of the non-judicial adjustment of a case under Section 78A-6-602.

### ***“DLD Reportable” Column***

This column will be marked “Y” (yes) if the offense is reportable to the Utah Driver License Division and “N” (no) if not reportable. All states and the Canadian Provinces are members of the compact that shares information regarding convictions for traffic violations. If the convicted violator has a Utah, an out-of-state, or a Canadian driver license, a record of a conviction for an offense with a “Y” in this column will be sent to the Utah Driver License Division within 10 days of the conviction or bail forfeiture. See Utah Code section 77-7-25 and Utah Code section 53-3-218.

A plea in abeyance in Utah will not assess points to a driving record. A plea in abeyance may be handled differently in the motorist’s home state.

#### **Pleas in Abeyance:**

**For a Utah non-CDL** (commercial driver license) driver:

A plea in abeyance does not assess points on the driver’s motor vehicle record (MVR).

**For a CDL** (commercial driver license) driver:

A plea in abeyance will be reflected as a citation on the MVR for a CDL driver because federal law prohibits the Driver License Division (DLD) from masking or deferring judgment for a traffic citation for CDL drivers. If the violation requires a mandatory CDL license disqualification, DLD will also take action on an abeyance.

**For a non-resident, non-CDL driver:**

A plea in abeyance disposition may or may not result in assessed points on a license issued in a NRVC (Non-Resident Violator Compact) state. Drivers should contact their home state driver license division to determine if a plea in abeyance disposition in Utah will be recognized as a conviction or a diversion.

### ***“BCI Reportable” Column***

This column will be marked “Y” (yes) if the offense is reportable to the Utah Bureau of Criminal Identification (BCI) and “N” (no) if it is not reportable. Offenses are determined reportable by the Utah Bureau of Criminal Identification.

### ***“Transport” Column***

This column is used to designate transportation requirements for individuals arrested in a county other than the county from which the warrant was issued. Utah Code of Judicial Administration Rule 4-613.



C - requires transportation only within the county.

S - requires transportation within the state.

Unless otherwise ordered by the court, warrants for the following offenses will require transportation from the county in which the defendant is arrested:

- felonies;
- class A misdemeanors; and
- class B misdemeanors charged under Utah Code Title 76, Chapter 5 (Offenses Against the Person), Title 76, Chapter 10, Part 5 (Weapons), and Title 41, Chapter 6a, Part 5 (Driving Under the Influence and Reckless Driving).

Unless otherwise ordered by the court, warrants for the following offenses will require transportation only within the county from which the warrant originates:

- class B misdemeanors not included above; and
- class C misdemeanors.

***“Comments” Column***

This field may contain comments regarding offense codes.

## UTAH CODE OFFENSE ENTRIES

Current as of May 3, 2023

| Action   | Violation Code       | Description   | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments            |
|----------|----------------------|---|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---------------------|
|          | 10-3-1304            | USE OF PUBLIC OFFICE FOR PERSONAL BENEFIT                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 10-3-1305            | UNLAW COMPENSATION TO ELECTED OFFICIAL                        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 10-9A-611            | SALE OF SUBDIVIDED LAND BEFORE SUBDIVISION IS APPROVED        | IN               | N                    | \$350          | \$0         | N                  | 30%       | N              | Y              | C         |                     |
|          | 10-9A-802(2)(B)      | BUILDING WITHOUT A PERMIT                                     | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |                     |
|          | 10-3-908             | CITY ENGINEER RECORD VIOLATION                                | MB               | N                    | \$680          | \$0         | N                  | 90%       | Y              | Y              | C         |                     |
|          | 10-8-23              | OWNER REQUIRED TO REMOVE WEEDS, LITTER, SNOW AND ICE          | MB               | Y                    | \$250          | \$0         | N                  | 90%       |                |                | C         |                     |
|          | 11-6-1               | FAIL TO KEEP PAWNBROKER RECORDS                               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 11-1-6               | LOCAL TAXING UNIT VIOLATION                                   | MB               | N                    | \$680          | \$0         | N                  | 90%       | Y              | Y              | C         |                     |
|          | 11-6-3               | PAWNBROKER RECORDS VIOLATION                                  | MB               | N                    | \$680          | \$0         | N                  | 90%       | Y              | Y              | C         |                     |
|          | 13-10-4(1)           | TRANSFER OF RECORDED MATERIAL FOR PROFIT                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 13-10-4(2)           | UNLAW SALE/DISTRIBUTE RECORDED MATERIAL                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 13-10-4(3)           | UNAUTHORIZED RECORDING PRACTICES - EQUIPMENT RENTAL           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 13-10-6              | UNAUTHORIZED RECORDING  | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |                     |
|          | 13-10-8              | FAIL TO DISCLOSE ORIGIN OF A RECORDING                        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 13-13-7              | FAILURE TO PAY AS DIRECTED IN MOTION PICTURES ACT             | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | N              | C         |                     |
|          | 13-19-2              | COMMERCIAL SHOPPING CART RETRIEVAL VIOLATION                  | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | N              | C         |                     |
|          | 13-22-13             | UNLAWFUL SOLICITATION TACTICS                                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 13-22-4(1)           | UNLAWFUL CHARITABLE SOLICITATION                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 13-22-5              | ORGANIZATION REGISTRATION REQUIRED                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 13-23-7(2)(A)        | VIOLATION OF HEALTH SPA SERVICES ACT                          | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | N              |           |                     |
|          | 13-26-11             | TELEPHONE FRAUD/SOLICITATION                                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 13-26-8(1)(A)(I)     | TELEPHONE SOLICITOR PROHIBITED PRACTICES                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              |           | Enhanceable Offense |
|          | 13-32-103            | PROHIBITED SALES - SWAP MEET                                  | IN               | N                    | \$210          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|          | 13-32-104            | RETENTION OF RECEIPTS & TRANSACTIONS - SWAP MEET              | IN               | N                    | \$210          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|          | 13-32-105            | FALSIFY/DESTROY RECORDS/RECEIPTS - SWAP MEET VENDOR           | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|          | 13-32A-104           | REGISTER TO BE MAINTAINED/IDENTIFY ITEMS/PROHIBIT PAWN/SELL   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 13-32A-104.6         | FAIL TO MAINTAIN TICKET BY AUTO RECYCLING KIOSK OPERATOR      | MB               | N                    | \$690          | \$0         | N                  | 90%       |                |                |           |                     |
|          | 13-32A-104.7         | CATALYTIC CONVERTER PURCHASER FAIL TO DOCUMENT DATABASE INFO  | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                |           |                     |
|          | 13-32A-104(3)        | FAIL TO MAINTAIN REGISTER OR SALE CERTAIN PROP WHEN PROHIBIT  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |                     |
|          | 13-32A-106           | PAWN BUSINESS FAIL TO SUBMIT OR MAINTAIN INFORMATION          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |                     |
|          | 13-32A-106.5(3)(A)   | CONFIDENTIALITY OF PAWN AND PURCHASE TRANSACTIONS             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 13-32A-108           | PAWN BROKER POLICE RETENTION OF RECORDS VIOLATION             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |                     |
|          | 13-32A-109           | HOLDING PERIOD FOR ARTICLES IN PAWN                           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |                     |
|          | 13-34-107(1)         | POSTSECONDARY PROPRIETY SCHOOL VIOLATION                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 13-39-301(1)(A)      | CHILD PROTECTION REGISTRY VIOLATION - FIRST OFFENSE           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| ADD      | 16-6A-1609(3)        | NON-PROFIT FAIL/REFUSE TO ANSWER INTERROGATORIES              | MC               | N                    | \$240          | \$0         | N                  | 35%       |                |                | C         |                     |
| ADD      | 16-6A-1609(4)        | OFFICER/DIRECTOR NON-PROF FAIL/REFUSE ANSWER INTERROGATORIES  | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |                     |
| ADD      | 17-16-6.5            | CAMPAIGN FINANCIAL DISCLOSURE IN COUNTY ELECTIONS VIOLATION   | IN               | N                    | \$110          | \$0         | N                  | 35%       |                |                | C         |                     |
|          | 17-23-15             | REMOVAL OR DESTRUCTION OF GOV SURVEY MONUMENT                 | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|          | 17-23-17(2)(A)(I)    | FAILURE TO FILE MAP OF BOUNDARY SURVEY                        | MC               | N                    | \$280          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|          | 17-30-22             | POLITICAL COMPENSATION ACTIVITY VIOLATION                     | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |                     |
|          | 17-43-308            | SHOCK TREATMENT, LOBOTOMY, OR SURGERY VIOLATION               | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |                     |
| EDIT     | 17B-2A-818.5(4)      | PUBLIC TRANSIT CONTRACTOR CIRCUMVENT HEALTH INS COVERAGE REQ  | IN               | N                    | \$750          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|          | 17B-2A-821           | FAILURE TO PAY FARE   | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|          | 19-1-206(4)          | DEPT GOV OP CONTRACTOR CIRCUMVENT HEALTH INS COVERAGE REQ     | IN               | N                    | \$750          | \$0         | N                  | 35%       |                |                |           |                     |
|          | 19-4-109(7)(A)       | REIMBURSEMENT FOR EXPENSES VIOLATION                          | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 20A-1-604            | DESTROYING ELECTION PARAPHERNALIA                             | IN               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|          | 20A-1-606(2)         | NON-CANDIDATE WAGERING ON ELECTIONS                           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 20A-1-606(3)         | WAGER ON ELECTION WITH INTENT TO PREVENT VOTE                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 20A-1-607            | INDUCING ATTENDANCE AT POLLS-PAYMENT OF WORKERS               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 20A-1-608            | PROMISE OF APPOINTMENT TO OFFICE IN ORDER TO AID CANDIDATE    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 20A-1-610            | AID, ABET VIOLATIONS OF VOTING CODE                           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 20A-11-101.7(1)      | CONCEALING CONTRIBUTORS IDENTITY ON CAMPAIGN CONTRIBUTION     | MB               | N                    | \$110          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 20A-11-101.7(2)      | CONCEALING CONTRIBUTORS IDENTITY ON CAMPAIGN CONTRIBUTION     | MB               | N                    | \$110          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 20A-11-1103          | FALSE STATEMENTS/RE-CANDIDATES FORBIDDEN                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| RENUMBER | 20A-11-1305(7)(C)(I) | FAIL TO FILE / AMEND REPORT BY SCHOOL BOARD CANDIDATE         | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 20A-11-1305(2)(C)    | FAIL TO FILE STATEMENT- STATE SCHOOL BOARD CANDIDATE          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 20A-11-1305(3)(C)    | FAIL TO FILE STATEMENT- LOCAL SCHOOL BOARD CANDIDATE          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 20A-11-1305(6)(C)(I) | FAIL TO FILE OR AMEND REPORT BY SCHOOL BOARD OFFICE CANDIDATE | MB               | N                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 20A-11-1604          | FAIL TO DISCLOSE CONFLICT OF INTEREST/COMPLY WITH REPORTING   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 20A-11-1605(4)(A)    | REGULATED OFFICEHOLDER FAIL TO FILE FINANCIAL DISCLOSURE      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| RENUMBER | 20A-11-206(7)(C)(I)  | FAIL TO FILE / AMEND REPORT BY STATE OFFICE CANDIDATE         | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 20A-11-206(6)(C)(I)  | FAIL TO FILE OR AMEND REPORT BY STATE OFFICE CANDIDATE        | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| RENUMBER | 20A-11-305(7)(C)(I)  | FAIL TO FILE / AMEND REPORT BY LEGISLATIVE OFFICE CANDIDATE   | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 20A-11-305(6)(C)(I)  | FAIL TO FILE OR AMEND REPORT BY LEGISLATIVE OFFICE CANDIDATE  | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |

| Action   | Violation Code   | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments |
|----------|------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|----------|
|          | 20A-11-403       | OFFICEHOLDER FAIL TO FILE SUMMARY RPRT W/IN 7 DAYS OF NOTICE   | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 20A-11-603(1)(A) | FAIL TO FILE PAC FINANCIAL STATEMENT BEFORE DEADLINE           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 20A-11-603(4)(A) | FAIL TO FILE OR AMEND A STATEMENT WITHIN 14 DAYS OF NOTICE     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 20A-11-803(1)(A) | FAIL TO FILE PIC FINANCIAL STATEMENT BEFORE DEADLINE           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 20A-11-803(4)(A) | FAIL TO FILE OR AMEND A STATEMENT WITHIN 14 DAYS OF NOTICE     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 20A-17-102       | REMOVE, ALTER, DEFACE, VANDALIZE A CAMPAIGN SIGN               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 20A-17-102(1)    | REMOVE, ALTER, DEFACE, VANDALIZE A CAMPAIGN SIGN               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 20A-2-301(5)     | FAIL TO DELIVER VOTER REGISTRATION                             | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 20A-3-109(3)     | INSTRUCTING VOTER  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 20A-3-502        | INTIMIDATION/UNDUE INFLUENCE FOR VOTE OR REFRAIN FROM VOTE     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 20A-3-503        | EMPLOYER INFLUENCE OF EMPLOYEE'S VOTE                          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 20A-3-504        | ALLOW BALLOT SHOW W/INTENT TO REVEAL VOTE-INTERFERE W/VOTER    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 20A-3-506        | FALSE INFORMATION ON PROVISIONAL BALLOT ENVELOPE               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 20A-3A-403(2)    | FRAUDS AND MALFEASANCE IN VOTING BY ELECTION OFFICER           | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 20A-3A-501       | PROHIBITED VOTING ACTIVITY                                     | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 20A-3A-502       | INTIMIDATION/UNDUE INFLUENCE FOR VOTE OR REFRAIN FROM VOTE     | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 20A-3A-503       | EMPLOYER INFLUENCE OF EMPLOYEE'S VOTE                          | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 20A-3A-504       | ALLOW BALLOT SHOW W/INTENT TO REVEAL VOTE-INTERFERE W/VOTER    | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |          |
|          | 20A-3A-506       | FALSE INFORMATION OR PROVISIONAL BALLOT ENVELOPE               | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 20A-7-104        | VIOLATION OF SIGNATURE GATHERERS REQUIREMENTS                  | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              |                |           |          |
|          | 23-13-13         | COMMERCIALIZATION OF WILDLIFE UNLAWFUL                         | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 23-13-4          | CAPTIVITY OF PROTECTED WILDLIFE UNLAWFUL                       | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 23-13-5          | IMPORTATION OR EXPORTATION OF PROTECTED WILDLIFE               | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 23-15-4          | FISH SCREEN INSTALLMENT VIOLATION                              | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |          |
|          | 23-15-9          | POSSESSION/TRANSPORTATION OF LIVE PROTECTED AQUATIC WILDLIFE   | MB               | Y                    | \$300          | \$0         | N                  | 90%       | N              | N              | C         |          |
| ADD      | 23-15-11(2)      | BIG GAME BAITING   | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |          |
|          | 23-19-1          | POSSESS OF LICENSES, CERT OF REGIST, PERMITS, AND TAGS REQ     | MB               | N                    | \$300          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 23-19-1(1)       | POSSESSION OF LICENSES, CERT OF REGIST, PERMITS AND TAGS REQ   | MB               | N                    | \$300          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 23-19-1(2)       | USE/TRANSFER/LEND HUNTING OR FISHING LICENSE/PERMIT/REGIS      | MB               | N                    | \$300          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 23-19-15         | WILDLIFE AGENT VIOLATION                                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 23-19-5          | LICENSE,PERMIT,TAG,COR OBTAINED BY FRAUD,DECEIT,MISREPRESENT   | MB               | N                    | \$300          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 23-19-8          | PROHIBITED USE OF UNSIGNED DOCUMENTS                           | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 23-19-9(10)      | UNLAWFUL PURCHASE OF A LICENSE WHILE ON REVOCATION             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 23-20-13         | DESTROYING SIGNS OR PROPERTY OF DWR                            | MB               | N                    | \$490          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 23-20-14(2)(A)   | TRESPASSING DURING WILDLIFE RELATED ACTIVITY                   | MB               | N                    | \$260          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 23-20-14(2)(D)   | WRONGFUL POSTING OF PROPERTY                                   | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 23-20-15         | DESTRUCTION OF PRIVATE PROPERTY                                | MB               | N                    | \$490          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 23-20-18         | INTERFERING WITH AN OFFICER                                    | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 23-20-19         | FAIL TO STOP AT DWR ROADBLOCK OR CHECKING STATION              | MB               | N                    | \$370          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 23-20-20         | CHILDREN ACCOMPANIED BY ADULTS WHILE HUNTING WITH WEAPON       | MB               | Y                    | \$650          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 23-20-23         | AIDING OR ASSISTING VIOLATION UNLAWFUL                         | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |          |
|          | 23-20-25         | FAILURE TO PRODUCE LICENSE, DEVICE, AND WILDLIFE UPON DEMAND   | MB               | N                    | \$220          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 23-20-29         | UNLAWFUL INTERFERENCE WITH LEGAL HUNTERS/HUNTING ACTIVITY      | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 23-20-3          | TAKE,TRANSFER,SELL,PURCHASE PROTECTED WILDLIFE                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
| ADD      | 23-20-3(1)(F)    | UNAUTHORIZED TAKING OF PROTECTED WILDLIFE                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 23-20-3.5        | UNLAWFUL TAKING OF PROTECTED WILDLIFE WHILE TRESPASSING        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 23-20-3(1)(C)    | ILLEGAL TAKE, TRANSPORT, SELL OR PURCHASE PROTECTED WILDLIFE   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 23-20-3(1)(G)    | WANTON DESTROY PROT WILDLIFE - OUT OF SEASON, BOUNDARIES, TIME | MB               | Y                    | \$300          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 23-20-30         | TAGGING REQUIREMENT VIOLATION                                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 23-20-31         | FAILURE TO WEAR SPECIFIED AMOUNT OF HUNTER ORANGE              | MB               | N                    | \$180          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 23-20-31(2)      | FAILURE TO WEAR SPECIFIED AMOUNT OF HUNTER ORANGE              | MB               | N                    | \$180          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 23-20-4          | WANTON DESTRUCTION OF PROTECTED WILDLIFE                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 23-20-8          | WASTE OF WILDLIFE  | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 23-23-10         | HUNTING ON COOP WILDLIFE MANAGEMENT UNIT WITHOUT A PERMIT      | MB               | Y                    | \$300          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 23-27-201(1)(A)  | POSSESS/IMPORT/EXPORT/SHIP OR TRANSPORT DREISSENA MUSSEL       | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |          |
|          | 23-27-201(1)(B)  | RELEASE/PLACE/PLANT/ DREISSENA MUSSEL IN WATER BODY            | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | N              | C         |          |
|          | 23-27-201(1)(C)  | TRANSPORT A CONVEYANCE/EQUIPMENT HAS BEEN IN INFESTED WATER    | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | N              | C         |          |
|          | 23-27-201(4)     | PASS/TRAVEL TO STATION/CHECKPNT W/OUT PRESENTING CONVEYANCE    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 23-27-306        | FAIL TO REMOVE DRAIN PLUG OR SIMILAR DEVICE DURING TRANSPORT   | MC               | N                    | \$340          | \$0         | N                  | 35%       | N              | N              | C         |          |
| RENUMBER | 26B-7-407(4)     | VIOLATE TANNING REGULATION -MINORS NEED WRITTEN PERMISSION     | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |          |
| REMOVE   | 26-15-13(4)      | VIOLATE TANNING REGULATION -MINORS NEED WRITTEN PERMISSION     | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |          |
| RENUMBER | 26B-7-407(7)(B)  | MISREPRESENT TO TANNING FACILITY THAT PERSON IS 18 OR OLDER    | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |          |
| REMOVE   | 26-15-13(7)(B)   | MISREPRESENT TO TANNING FACILITY THAT PERSON IS 18 OR OLDER    | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |          |
| RENUMBER | 26B-8-120        | SIGN DEATH CERTIF WHERE SIGNATURE OF FUNERAL DIR REQUIRED      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
| REMOVE   | 26-2-16(5)       | SIGN DEATH CERTIF WHERE SIGNATURE OF FUNERAL DIR REQUIRED      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
| RENUMBER | 26B-3-1106       | FALSE CLAIMS FOR MEDICAL BENEFITS                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
| REMOVE   | 26-20-7          | FALSE CLAIMS FOR MEDICAL BENEFITS                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
| RENUMBER | 26B-1-222        | DISOBEYING PUBLIC HEALTH LAWS                                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
| REMOVE   | 26-23-3          | DISOBEYING PUBLIC HEALTH LAWS                                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |

| Action   | Violation Code       | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments            |
|----------|----------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---------------------|
| RENUMBER | 26B-8-113(1)         | FALSE STMNT TO VITAL RECORDS BY FILING CERT/RECORD/REPORT                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | Enhanceable Offense |
| REMOVE   | 26-23-5(1)           | FALSE STMNT TO VITAL RECORDS BY FILING CERT/RECORD/REPORT                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | Enhanceable Offense |
| RENUMBER | 26B-8-133(2)         | MAKE/ALTER/MUTILATE CERTIFICATE RECORD W/ INTENT TO DECEIVE              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | Enhanceable Offense |
| REMOVE   | 26-23-5(2)           | MAKE/ALTER/MUTILATE CERTIFICATE RECORD W/ INTENT TO DECEIVE              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | Enhanceable Offense |
| RENUMBER | 26B-8-133(3)         | OBTAIN/USE/SELL/FURNISH CERTIFICATE/RECORD INCL COUNTERFEITS             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | Enhanceable Offense |
| REMOVE   | 26-23-5(3)           | OBTAIN/USE/SELL/FURNISH CERTIFICATE/RECORD INC. COUNTERFEITS             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | Enhanceable Offense |
| RENUMBER | 26B-8-133(4)         | POSSESS RECORD/CERTIFICATE/REPORT KNOWN TO BE STOLEN                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | Enhanceable Offense |
| REMOVE   | 26-23-5(4)           | POSSESS RECORD/CERTIFICATE/REPORT KNOWN TO BE STOLEN                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | Enhanceable Offense |
| RENUMBER | 26B-8-133(5)         | IMPROPER REMOVAL OF DECEASED PERSON                                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | Enhanceable Offense |
| REMOVE   | 26-23-5(5)           | IMPROPER REMOVAL OF DECEASED PERSON                                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | Enhanceable Offense |
| RENUMBER | 26B-8-209(1)(A)      | CERTIFICATION OF CAUSE OF DEATH BY UNAUTHORIZED INDIVIDUAL               | MB               | N                    | \$690          | \$0         | N                  | 90%       |                |                | C         |                     |
| REMOVE   | 26-4-10(1)(a)        | CERTIFICATION OF CAUSE OF DEATH BY UNAUTHORIZED INDIVIDUAL               | MB               | N                    | \$690          | \$0         | N                  | 90%       |                |                |           |                     |
| RENUMBER | 26B-8-209(2)(A)      | KNOWINGLY GIVE FALSE INFO TO MISLEAD ME OR ME DESIGNEE                   | MB               | N                    | \$690          | \$0         | N                  | 90%       |                |                | C         |                     |
| REMOVE   | 26-4-10(2)(a)        | KNOWINGLY GIVE FALE INFO TO MISLEAD ME OR ME DESIGNEE                    | MB               | N                    | \$690          | \$0         | N                  | 90%       |                |                |           |                     |
| RENUMBER | 26B-8-206            | IMPROPER PROCEDURE-DISCOVERY OF DEAD BODY                                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 26-4-8               | IMPROPER PROCEDURE-DISCOVERY OF DEAD BODY                                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| RENUMBER | 26B-8-207            | CLEAN/EMBALM BODY W/OUT PERMISSION OF MEDICAL EXAMINER                   | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              |                | C         |                     |
| REMOVE   | 26-4-9(2)(A)         | CLEAN/EMBALM BODY W/OUT PERMISSION OF MEDICAL EXAMINER                   | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              |                |           |                     |
| RENUMBER | 26B-4-202(7)(B)      | NEG/RECKLESSLY RELEASE INFO FROM STATE ELEC VERIF SYSTEM                 | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
| REMOVE   | 26-61A-103(7)(B)     | NEG/RECKLESSLY RELEASE INFO FROM STATE ELEC VERIF SYSTEM                 | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
| RENUMBER | 26B-4-216(1)(A)      | CARDHOLDER POSSESS MEDICAL CANNABIS WITHOUT CARRYING CARD                | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
| REMOVE   | 26-61A-204(1)(A)     | CARDHLDR POSSESS MEDICAL CANNABIS W/O CARRYING CARD                      | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
| ADD      | 26B-4-216(1)(B)      | MEDICAL CANNABIS CARD VIO: < 113G UNPROCESSED OR < 20G THC               | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
| RENUMBER | 26B-4-216(1)(C)(I)   | CARDHLDR POSS MED CANNABIS - > LGL LIMIT & = < 2X LGL LIMIT              | IN               | N                    | \$100          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
| REMOVE   | 26-61A-204(1)(C)(I)  | CARDHLDR POSS MED CANNABIS - > LGL LIMIT & = < 2X LGL LIMIT              | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              |           |                     |
| RENUMBER | 26B-4-216(1)(C)(II)  | CRDHLDR POSS MED CANNABIS - > LGL LMT & = < LGL LMT 2ND OFF              | MB               | N                    | \$1,000        | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 26-61A-204(1)(C)(II) | CRDHLDR POSS MED CANNABIS - > LGL LMT & = < LGL LMT 2ND OFF              | MB               | N                    | \$1,010        | \$0         | N                  | 90%       | N              | Y              |           |                     |
| RENUMBER | 26B-4-216(1)(E)      | NON-RES PATIENT POSS MED CANNABIS NOT IN MEDICINAL FORM                  | IN               | N                    | \$100          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
| REMOVE   | 26-61A-204(1)(E)     | NON-RES PATIENT POSS MED CANNABIS NOT IN MEDICINAL FORM                  | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              |           |                     |
| REMOVE   | 26-61A-204(2)(C)(I)  | CARDHLDR POSSESS MED CANNABIS - > LEGAL LIMIT AND = < 2X LIMIT           | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
| REMOVE   | 26-61A-204(2)(C)(II) | CARDHLDR POSSESS MED CANNABIS - > LEGAL LIMIT AND = < 2X LIMIT 2ND + OFF | MB               | N                    | \$1,010        | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| RENUMBER | 26B-4-216(2)(D)(I)   | MEDICAL CANNABIS CARDHOLDER VIOLATION - PUBLIC VIEW                      | IN               | N                    | \$100          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
| REMOVE   | 26-61A-204(2)(D)(I)  | CARDHLDR POSS MED CANNABIS - > LGL LIMIT & = < 2X LGL LIMIT              | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              |           |                     |
| RENUMBER | 26B-4-216(2)(D)(II)  | MEDICAL CANNABIS CARDHOLDER VIOLAT - PUBLIC VIEW - 2ND/SUBSQ             | MB               | N                    | \$1,000        | \$0         | N                  | 95%       | N              | N              | C         |                     |
| REMOVE   | 26-61A-204(2)(D)(II) | MEDICAL CANNABIS CARDHOLDER VIOLAT - PUBLIC VIEW - 2ND/SUBSQ             | MB               | N                    | \$1,010        | \$0         | N                  | 95%       | N              | N              |           |                     |
| REMOVE   | 26-61A-204(2)(E)(I)  | NON-RES PATIENT POSS MED CANN NOT IN MEDICINAL FORM                      | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
| REMOVE   | 26-61A-204(2)(E)(II) | NON-RES PATIENT POSS MED CANN NOT IN MEDICINAL FORM 2ND + OFF            | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| RENUMBER | 26B-4-240(6)(A)      | TRANSPORT MED CANNABIS SHIPMENT W/O REQUIRED MANIFEST                    | IN               | N                    | \$100          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
| REMOVE   | 26-61A-605(6)(A)     | TRANSPORT MED CANNABIS SHIPMENT W/O REQUIRED MANIFEST                    | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
| RENUMBER | 26B-4-221(7)(A)      | UTAH MEDICAL CANNABIS ACT VIOLATION                                      | IN               | N                    | \$100          | \$0         | N                  | 35%       |                |                | C         |                     |
| REMOVE   | 26-61A-702(7)(A)     | UTAH MEDICAL CANNABIS ACT VIOLATION                                      | IN               | N                    | \$110          | \$0         | N                  | 35%       |                |                |           |                     |
| RENUMBER | 53-2D-602            | ILLEGAL ACTIVITY - EMERGENCY MEDICAL SERVICES                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| RENUMBER | 26B-4-127(6)         | SUMMON AN AMBULANCE/EMERGENCY RESPONSE WHEN NOT NEEDED                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 26-8A-502(6)         | SUMMON AN AMBULANCE/EMERGENCY RESPONSE WHEN NOT NEEDED                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 26A-1-123(1)(A)      | VIOL PUBLIC HEALTH LAWS, NOTICES, OR ORDINANCES                          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 26A-1-123(1)(B)      | DISREGARD NOTICE OR ORDER - HEALTH                                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 26A-1-123(1)(C)      | FAIL TO FILE REQUIRED REPORT RE DISEASE, HEALTH RELATED FACT             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 26A-1-123(1)(D)      | WILLFULLY MAKE, ALTER A PUBLIC HEALTH CERTIFICATE                        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 26A-1-123(1)(E)      | FAILURE TO REMOVE OR ABATE PUBLIC HEALTH NUISANCE                        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 26A-1-123(1)(F)      | CONVEY A GIFT TO LOCAL HEALTH OFFICER NOT PERMIT TO RECEIVE              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 26A-1-123(2)         | REMOVAL OR ABATEMENT OF HEALTH NUISANCE MUST BE <= 30 DAYS               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 26A-1-123(3)         | ACCEPT GIFT OR REMUNERATION BY LOCAL HEALTH OFFICER/EMPL                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |                     |
|          | 26A-1-123(4)         | PERFORM NON-WORK RELATED DUTIES DURING WORK HOURS PUB HLTH               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| ADD      | 26B-1-229(10)(A)     | UNLAWFUL USE/RELEASE/PUBLICATION HEALTH DATA                             | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |                     |
| ADD      | 26B-4-220            | CANNABIS CARDHOLDER SELL/GIVE CANNABIS TO ANOTHER CARDHOLDER             | MB               | N                    | \$690          | \$0         | N                  | 90%       |                |                | C         |                     |
|          | 30-1-11              | FAILURE TO RETURN MARRIAGE LICENSE W/IN 30 DAYS                          | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |                     |
|          | 30-1-39              | MARRIAGE COUNSELING PROVISIONS   | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |                     |
|          | 31A-1-104            | INSURANCE AGENT WITHOUT LICENSE  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| ADD      | 31A-2-308(9)         | VIOLATION OF STATE INSURANCE CODE  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 31A-2-308            | INSURANCE VIOLATION  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 31A-22-302           | MOTOR VEHICLE INSURANCE POLICY COMPONENTS REQUIREMENT                    | MB               | Y                    | \$660          | \$0         | N                  | 90%       | N              | N              | C         |                     |
|          | 31A-27A-110          | FAIL TO COOPERATE W/INSURANCE COMM OR RE                                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| ADD      | 31A-27A-201          | FAILURE TO COMPLY WITH SEIZURE ORDER                                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |                     |
|          | 31A-31-103           | INSURANCE FRAUD  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 31A-31-110           | FAILURE TO REPORT FRAUDULENT TITLE INSURANCE ACTS                        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 31A-31-110(1)        | FAIL TO REPORT FRAUDULENT INSURANCE ACTS                                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 31A-35-701           | BAIL BOND PRODUCER, SURETY PROHIBITIONS                                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |                     |
|          | 31A-44-604           | FALSE INFORMATION PROVIDED BY CONTINUING CARE PROVIDER                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 32B-1-206            | UNLAWFUL ALCOHOL ADVERTISING   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |

| Action   | Violation Code      | Description   | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments                                 |
|----------|---------------------|---|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|--|
|          | 32B-1-206(2)(B)     | ADVERTISE AN ALCOHOLIC PRODUCT ON A BILLBOARD                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-1-403(1)        | UNLAWFUL TRANSFER OF PROOF OF AGE TO ANOTHER PERSON               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-1-407           | VERIFICATION OF PROOF OF AGE BY APPLICABLE LICENSEES              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |  |
|          | 32B-11-201          | MANUFACTURING ALCOHOL WITHOUT A LICENSE                           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-13-301(8)       | SELL, DISTRIBUTE BEER TO RETAILER FOR SALES OUTSIDE AREA          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-2-605(9)(B)     | CONSUME/ALLOW ALC TO BE CONSUMED BY ANY PERSON ON PREMISES        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-208           | MAINTAIN OR ASSIST IN MAINTAINING A NUISANCE                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-401(1)        | SALE, FURNISH ALCOHOL BY RETAIL LICENSEE, PERMITEE, OR STAFF      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |  |
|          | 32B-4-401(6)        | UNLAWFUL SELL, SHIP, TRANSPORT OF BEER FROM OUT-OF-STATE          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-401(7)        | UNLAWFUL SELL, SHIP, TRANSPORT OF LIQUOR FROM OUT-OF-STATE        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-402           | UNAUTHORIZED SALE, OFFER FOR SALE, OR FURNISHING                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-403(2)(A)     | SELL, OFFER, FURNISH ALC PRODUCT TO A MINOR - NEGLIGENTLY         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | If committed in negligence or recklessly |
|          | 32B-4-404(2)(A)     | SUPPLY ALC PRODUCT TO INTOXICATED PERSON NEGLIGENTLY              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | If committed in negligence or recklessly |
|          | 32B-4-405           | SUPPLYING ALCOHOL TO INTERDICTED PERSON                           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-406(1)(A)     | SUPPLY BEER TO GENERAL PUBLIC CONTAINER EXCEEDS 2 LITERS          | MB               | Y                    | \$490          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-406(1)(B)     | PURCHASE, POSSESS BEER IN CONTAINER THAT EXCEEDS TWO LITERS       | MB               | Y                    | \$490          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-406(3)(A)     | SUPPLY HEAVY BEER IN CONTAINER THAT EXCEEDS 2 LITERS              | MB               | Y                    | \$490          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-406(3)(B)     | PURCHASE, POSSESS HEAVY BEER CONTAINER EXCEEDS TWO LITERS         | MB               | Y                    | \$490          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-408           | UNLAWFUL PURCHASE OR ACCEPTANCE OF ALCOHOL                        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-409           | PURCHASE, POSSESS, CONSUME BY MINOR - MEASURABLE AMOUNTS          | MB               | Y                    | \$590          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-409(1)(A)     | UNLAWFUL FOR MINOR TO PURCHASE AN ALCOHOLIC PRODUCT               | MB               | Y                    | \$590          | \$0         | N                  | 90%       | N              | Y              | C         | Minor offense - Under 21                 |
|          | 32B-4-409(1)(B)     | UNLAWFUL FOR MINOR TO ATTEMPT TO PURCHASE ALCOHOLIC PRODUCT       | MB               | Y                    | \$590          | \$0         | N                  | 90%       | N              | Y              | C         | Minor offense - Under 21                 |
|          | 32B-4-409(1)(C)     | UNLAWFUL FOR MINOR TO SOLICIT PERSON TO PURCHASE ALCOHOL          | MB               | Y                    | \$590          | \$0         | N                  | 90%       | N              | Y              | C         | Minor offense - Under 21                 |
|          | 32B-4-409(1)(D)     | UNLAWFUL FOR MINOR TO POSSESS AN ALCOHOLIC PRODUCT                | MB               | Y                    | \$590          | \$0         | N                  | 90%       | N              | Y              | C         | Minor offense - Under 21                 |
|          | 32B-4-409(1)(E)     | UNLAWFUL FOR MINOR TO CONSUME AN ALCOHOLIC PRODUCT                | MB               | Y                    | \$590          | \$0         | N                  | 90%       | N              | Y              | C         | Minor offense - Under 21                 |
|          | 32B-4-409(1)(F)     | MEASURABLE BLOOD, BREATH, OR URINE ALC CONCENTRATION - MINOR      | MB               | Y                    | \$590          | \$0         | N                  | 90%       | N              | Y              | C         | Minor offense - Under 21                 |
|          | 32B-4-409(2)(A)     | MISREPRESENT MINOR'S AGE (BY MINOR) TO OBTAIN ALCOHOL             | MB               | Y                    | \$380          | \$0         | N                  | 90%       | N              | Y              | C         | Minor offense - Under 21                 |
|          | 32B-4-409(2)(B)     | MISREPRESENT MINOR'S AGE (BY ANOTHER) TO OBTAIN ALCOHOL           | MB               | Y                    | \$380          | \$0         | N                  | 90%       | N              | Y              | C         | Minor offense - Under 21                 |
|          | 32B-4-409(3)        | MINOR IN POSSESSION OF ALCOHOL IN LIMOUSINE OR CHARTERED BUS      | MB               | Y                    | \$590          | \$0         | N                  | 90%       | N              | Y              | C         | Minor offense - Under 21                 |
|          | 32B-4-410           | UNLAWFUL ADMIT/ATTEMPT TO GAIN ADMIT BY MINOR IN BAR/TAVERN       | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |  |
|          | 32B-4-411(2)(A)(I)  | UNLAWFUL USE OF PROOF OF AGE- FIRST OFFENSE                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |  |
|          | 32B-4-412           | UNLAWFUL PURCHASE BY INTOXICATED PERSON                           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-413           | UNLAWFUL PURCHASE BY INTERDICTED PERSON                           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-415           | UNLAWFUL BRINGING ONTO PREMISES FOR CONSUMPTION                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-416           | PERMITTING MINOR TO CONSUME ALCOHOL ON CHARTERED BUS OR LIMO      | IN               | Y                    | \$340          | \$0         | N                  | 35%       | N              | N              | C         |  |
|          | 32B-4-416(1)        | PERMITTING MINOR TO CONSUME ALCOHOL ON CHARTERED BUS OR LIMO      | IN               | Y                    | \$340          | \$0         | N                  | 35%       | N              | N              | C         |  |
|          | 32B-4-417           | POSSESS, STORE, OR ALLOW CONSUMPTION OF LIQUOR ON PREMISES        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-418           | UNLAWFUL STORAGE OF LIQUOR ON PREMISES                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-419           | UNLAWFUL PERMITTING OF INTOXICATION                               | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |  |
|          | 32B-4-421           | CONSUME LIQUOR IN A PUBLIC PLACE BUILDING, PARK, OR STADIUM       | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |  |
|          | 32B-4-422           | UNLAWFUL DISPENSING   | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |  |
|          | 32B-4-422(2)        | UNLAWFUL DISPENSING   | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |  |
|          | 32B-4-422(2)(A)     | SUPPLY PRIMARY SPIRITUOUS LIQUOR ON PREMISES                      | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |  |
|          | 32B-4-422(2)(B)     | SUPPLY MORE THAN 2.5 OZ OF SPIRITUOUS LIQUOR PER BEVERAGE         | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |  |
|          | 32B-4-422(2)(C)     | ALLOW PERSON MORE THAN 2.5 OZ OF SPIRITUOUS LIQUOR AT A TIME      | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |  |
|          | 32B-4-422(2)(D)(I)  | ALLOW PERSON TO HAVE MORE THAN TWO SPIRITUOUS LIQUOR AT TIME      | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |  |
|          | 32B-4-422(2)(D)(II) | ALLOW PERSONS ON PREMISES TO HAVE MORE THAN 1 SPIRIT LIQUOR       | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |  |
|          | 32B-4-424           | POWDERED ALCOHOL VIOLATION  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
|          | 32B-4-424(2)        | USE/OFFER/PURCHASE/FURNISH POWDERED ALCOHOL                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
|          | 32B-4-424(3)        | RETAIL LICENSE HOLDER USING POWDERED ALCOHOL AS PRODUCT           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
|          | 32B-4-501           | OPERATING WITHOUT A LICENSE OR PERMIT                             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |  |
|          | 32B-4-501(1)        | OPERATE W/O LICENSE/PERMIT TO SELL/CONSUME ALCOHOL ON PREMISE     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |  |
|          | 32B-4-501(2)        | FAILURE TO OBTAIN PUBLIC EVENT PERMIT FOR ALCOHOL SALES           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |  |
|          | 32B-4-501(3)        | FAILURE TO OBTAIN PRIVATE EVENT PERMIT FOR ALCOHOL SALES          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |  |
|          | 32B-4-501(4)        | OPERATE BUSINESS WITHOUT FIRST OBTAINING A LICENSE                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-501(5)        | FAIL TO OBTAIN PUBLIC SERVICE PERMIT FOR PUBLIC CONVEYANCE        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |  |
|          | 32B-4-502           | UNLAWFUL TO POSSESS, STORE LIQUOR PURSUANT TO FEDERAL STAMP       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-4-503(2)(A)     | TAMPERING WITH A DABC RECORD                                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| RENUMBER | 32B-4-505(1)+(5A)   | ALC OBSTRUCT - REFUSE/FAIL TO ADMIT / OBSTRUCT ENTRY              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| REMOVE   | 32B-4-505(1)        | REFUSE OR FAIL TO ADMIT TO PREMISES OR OBSTRUCT THE ENTRY         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| RENUMBER | 32B-4-505(2)+(5A)   | ALC OBSTRUCT - INTERFERE WITH INVESTIGATION                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |  |
| RENUMBER | 32B-4-505(3)+(5A)   | ALC OBSTRUCT - ALTER/DESTROY/CONCEAL/REMOVE RECORD W/NOTICE       | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |  |
|          | 32B-4-602           | UNLAW TRANSPORTATION OF ALCOHOL                                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-5-201(1)(A)     | FAILURE TO OBTAIN RETAIL LICENSE FOR SELL/CONSUMPTION ON PREMISES | MB               | Y                    | \$350          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-5-308(1)(A)     | CONSUMING ALCOHOL ON DUTY   | IN               | Y                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         | See 76-3-104(2)                          |
|          | 32B-6-706(7)(B)(I)  | ALCOHOL OPERATIONAL RESTRICTIONS (BEER)                           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 32B-7-202(2)(B)     | UNLAWFUL PURCHASE-OFF PREMISE BEER RETAILER FROM BEER WHOLESALER  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|          | 34-19-12            | DEPUTIZING OF EMPLOYEE PROHIBITED DURING STRIKE OR LOCKOUT        | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |  |
|          | 34-28-12            | PAYMENT OF WAGES VIOLATION  | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |  |

| Action   | Violation Code       | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments  |
|----------|----------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---|
|          | 34-28-12(2)          | REFUSE TO PAY WAGES  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 34-28-4              | FAILURE TO NOTIFY EMPLOYEE OF PAYDAY                               | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|          | 34-29-1              | SCHOOLTEACHER AGENCY COMMISSION VIOLATION                          | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|          | 34-29-20             | FALSE EMPLOYMENT STATEMENT   | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|          | 34-29-6              | EMPLOYMENT REFERRAL TO UNLAWFUL PLACE                              | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|          | 34-30-9              | FAILURE TO KEEP OR PRODUCE PUBLIC WORKS RECORDS                    | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|          | 34-32-3              | PUBLIC EMPLOYERS MAKING WAGE DEDUCTION FOR POLITICAL PURPOSE       | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|          | 34-33-2              | UNLAWFUL EMPLOYER MEDICAL EXAM FEE                                 | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|          | 34-34-17             | EMPLOYEE RIGHT TO BARGAIN COLLECTIVELY                             | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|          | 34-40-204(2)(A)      | VIOLATION OF MINIMUM WAGE ACT                                      | IN               | Y                    | \$180          | \$0         | N                  | 35%       | Y              | Y              | C         |   |
|          | 34-40-204(2)(B)      | VIOLATION OF MINIMUM WAGE ACT - 2ND VIOLATION                      | MC               | Y                    | \$350          | \$0         | N                  | 35%       | Y              | Y              | C         |   |
|          | 34-40-204(2)(C)      | VIOLATION OF MINIMUM WAGE ACT - 3RD OR SUBSEQUENT                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|          | 34A-2-108            | EMPLOYER DEDUCTION OF PREMIUM FROM WAGE VIOLATION                  | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|          | 34A-2-803            | VIOLATION OF JUDGMENT, ORDER OR DECREE                             | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|          | 35A-4-103(1)(C)      | VOID AGREEMENT CHILD SUPPORT OBLIGATION                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 35A-8-410            | HOUSING ASSISTANCE FRAUD   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 36-11-301            | INTENTIONAL COMPENSATION CONTINGENT                                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 36-11-302            | INFLUENCE/INTENTIONAL COMMUNICATION W/LEGISLATORS EMPLOYER         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 36-11-303            | INTENTIONAL COMMUNICATION/FALSE INFO TO PUBLIC OFFICER             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| ADD      | 36-12-15(16A)        | INTERFERENCE WITH A LEGISLATIVE AUDIT                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |   |
|          | 38-1-25              | ABUSE OF LIEN RIGHT  | MB               | N                    | \$420          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 39-1-53              | MILITARY VIOLATION BY LEAVING STATE                                | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|          | 39A-6-113            | EVICION OF MILITARY SERVICE OR DEPENDANTS VIOLATION                | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 39A-6-114            | INSTALLMENT CONTRACT DURING MILITARY SERVICE VIOLATION             | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 39A-6-115            | MORTGAGE FORECLOSURE PROHIBITED DURING MILITARY SERVICE            | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 39A-6-117            | STORAGE LIEN PROHIBITED DURING MILITARY SERVICE                    | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 4-23-111             | HOLDING A RACCOON OR COYOTE IN CAPTIVITY                           | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 4-24-306             | BRAND INSPECTION REQUIRED TO TRANSPORT LIVESTOCK                   | MB               | N                    | \$60           | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|          | 4-24-307             | TRANSPORT LIVESTOCK WITHOUT EVIDENCE OF OWNERSHIP                  | MB               | N                    | \$60           | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|          | 4-24-403             | UNLAWFUL WEBSITE PROMOTING THE SALE OF LIVESTOCK                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 4-24-502(1)(A)       | LIVESTOCK NOT BRANDED FORAGING IN OPEN RANGE OR OUTSIDE ENCLOSURE  | MB               | Y                    | \$350          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 4-24-502(1)(B)       | BRAND OR MARK LIVESTOCK W/BRAND OR MARK NOT OF RECORD              | MB               | Y                    | \$350          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 4-24-502(1)(C)       | OBLITERATE CHANGE OR REMOVE A RECORDED BRAND OR MARK               | MB               | Y                    | \$350          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 4-24-502(1)(D)       | DESTROY CONCEAL EVIDENCE OF OWNERSHIP OF THE ANIMAL HIDE           | MB               | Y                    | \$350          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 4-24-503             | USE OF VEHICLE TO TRANSPORT STOLEN LIVESTOCK PROHIBITED            | MB               | Y                    | \$350          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 4-25-301             | ALLOW SWINE TO ROAM AT LARGE                                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 4-26-101             | FAILURE TO CLOSE ENTRANCE TO ENCLOSURE                             | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 4-31-102             | DUTY OF OWNER TO BURY OR DISPOSE OF DEAD DOMESTIC ANIMAL           | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 4-31-103             | DEPOSIT DEAD ANIMAL ON ANOTHERS LAND WITHOUT CONSENT               | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 4-32-106             | SLAUGHTERING LIVESTOCK EXCEPT IN LICENSED ESTABLISHMENT PROHIBITED | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 4-32-106(6)          | SALE OR OFFER FOR SALE ANY UNINSPECTED MEAT OR POULTRY             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 4-39-105             | DOMESTICATED ELK - PROHIBITED ACTIVITIES                           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 4-41-402             | SALE OR USE OF UNAUTHORIZED CANNABINOID PRODUCT                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |   |
| ADD      | 4-41A-1107(3)(A)(I)  | FAIL TO CARRY MED CANNABIS PHARM AGENT CARD-1ST/2ND OFFENSE        | IN               | N                    | \$100          | \$0         | N                  | 35%       |                |                | C         |   |
| ADD      | 4-41A-1107(3)(A)(II) | FAIL TO CARRY MED CANNABIS PHARM AGENT CARD-3RD+ OFFENSE           | MC               | N                    | \$350          | \$0         | N                  | 35%       |                |                | C         |   |
| RENUMBER | 4-41A-1203(6)(A)     | TRANSPORT MED CANNABIS SHIPMENT W/O REQUIRED MANIFEST              | IN               | N                    | \$100          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| ADD      | 4-41A-1204(7)        | FAIL TO CARRY MEDICAL CANNABIS COURIER AGENT REG CARD              | IN               | N                    | \$100          | \$0         | N                  | 35%       |                |                | C         |   |
| RENUMBER | 4-41A-801.1          | UTAH MEDICAL CANNABIS ACT VIOLATION                                | IN               | N                    | \$100          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 4-41A-404(4)(A)      | TRANSPORT MED CANNABIS W/O REQUIRED MANIFEST                       | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 4-44-104             | UNLAWFUL PREP/DIST/SALE/OFFER OF KRATOM PRODUCT                    | MC               | Y                    | \$210          | \$0         | N                  | 35%       | Y              | Y              | C         |   |
|          | 4-44-105             | UNLAWFUL PREP/DIST/SALE/OFFER OF KRATOM PRODUCT TO MINOR           | MC               | Y                    | \$460          | \$0         | N                  | 35%       | Y              | Y              | C         |   |
|          | 4-44-201             | VIOLATION OF AGRICULTURE NUISANCE JUDGMENT/ORDER                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|          | 40-1-11              | INTERFERING WITH NOTICES, STAKES OR MONUMENTS                      | MB               | Y                    | \$110          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 41-12A-302           | OPERATING VEHICLE WITHOUT INSURANCE                                | MC               | Y                    | \$400          | \$300       | Y                  | 0%        | Y              | Y              | C         | May be dismissed upon proof that valid insurance was in effect for the vehicle at the time of the |
|          | 41-12A-302(1)(A)(II) | NO INSURANCE 2ND OR SUBSEQUENT OFFENSE WITHIN 3 YRS OF PRIOR       | MC               | Y                    | \$1,000        | \$300       | Y                  | 0%        | Y              | Y              | C         | May be dismissed upon proof that valid insurance was in effect for the vehicle at the time of the |
|          | 41-12A-303.2         | NO PROOF OF INSURANCE  | IN               | Y                    | \$400          | \$0         | Y                  | 0%        | Y              | Y              | C         | May be dismissed upon proof that valid insurance was in effect for the vehicle at the time of the |
|          | 41-12A-303.2(2)      | NO PROOF OF INSURANCE  | IN               | Y                    | \$400          | \$0         | Y                  | 0%        | Y              | Y              | C         | May be dismissed upon proof that valid insurance was in effect for the vehicle at the time of the |
|          | 41-12A-303.2(2)      | NO PROOF OF INSURANCE - 2ND OR SUBSEQUENT OFFENSE                  | IN               | Y                    | \$1,000        | \$0         | Y                  | 0%        | Y              | Y              | C         | May be dismissed upon proof that valid insurance was in effect for the vehicle at the time of the |
|          | 41-12A-303.3         | PROVIDE FALSE EVIDENCE OF INSURANCE                                | MC               | Y                    | \$410          | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|          | 41-12A-601           | COLLUSIVE TRANSFER OF MOTOR VEHICLE REGISTRATION                   | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 41-12A-603           | OPERATE VEH W/O LICENSE OR REGIST (SUSPENDED OR REVOKED)           | MC               | N                    | \$90           | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|          | 41-12A-804(5)        | FALSE OR FRAUDULENT STATEMENT TO DMV                               | MB               | Y                    | \$570          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|          | 41-1A-1005.3         | RESALE OF SALVAGE VEHICLE  | IN               | Y                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 41-1A-1005.5(2)      | FAIL TO OBTAIN NONREPAIRABLE CERTIFICATE OF SELL NONREP VEH        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 41-1A-1005.5(6)      | REPAIR, RECONSTRUCT, OR RESTORE A NONREPAIRABLE VEHICLE            | IN               | Y                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |



| Action | Violation Code      | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments   |
|--------|---------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|--|
|        | 41-1A-1010          | PERMIT REQUIRED TO DISMANTLE VEHICLE                           | IN               | Y                    | \$280          | \$0         | Y                  | 0%        | N              | N              | C         |  |
| ADD    | 41-1a-1101(5)       | FAILURE TO MEET PUBLIC GARAGE/IMPOUND LOT OR YARD STANDARDS    | IN               | N                    | \$110          | \$0         | N                  | 35%       |                |                | C         |  |
|        | 41-1A-1101(6)       | UNAUTHORIZED PERSON OPERATE VEHICLE IN IMPOUND LOT             | MC               | N                    | \$340          | \$0         | Y                  | 0%        | N              | N              | C         |  |
| ADD    | 41-1A-1105          | FAILURE TO MAINTAIN RECORDS PUBLIC GARAGE/IMPOUND LOT/YARD     | IN               | N                    | \$110          | \$0         | N                  | 35%       |                |                | C         |  |
|        | 41-1A-116           | KNOWING, INTENTIONAL ACCESS DISSEMINATE DMV RECORDS UNLAWFUL   | MB               | Y                    | \$660          | \$0         | N                  | 90%       | N              | Y              | C         |  |
|        | 41-1A-1206          | IMPROPER REGISTRATION OF FARM TRUCK                            | IN               | N                    | \$200          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 41-1A-1303          | FAILURE TO REGISTER OR EXPIRED VEHICLE REGISTRATION            | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         | May be dismissed if citation was issued within 2 months of expiration and if registered within 14 days   |
|        | 41-1A-1303.5        | NO REGISTRATION - NEW UTAH RESIDENT                            | MC               | N                    | \$1,000        | \$800       | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-1303(1)       | FAILURE TO REGISTER OR EXPIRED VEHICLE REGISTRATION            | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | May be dismissed if citation was issued within 2 months of expiration and if registered within 14 days   |
|        | 41-1A-1303(1)(A)    | FAILURE TO REGISTER VEHICLE OR APPLY FOR TITLE                 | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | May be dismissed if citation was issued within 2 months of expiration and if registered within 14 days   |
|        | 41-1A-1303(1)(B)    | FAILED TO REGISTER OR EXPIRED REGISTRATION                     | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         | May be dismissed if citation was issued within 2 months of expiration and if registered within 14 days   |
|        | 41-1A-1304          | OPERATE VEHICLE IN EXCESS OF GROSS WEIGHT REGISTRATION         | IN               | N                    | \$90           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-1305          | USING PLATES REGISTERED TO ANOTHER VEHICLE                     | MC               | N                    | \$110          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 41-1A-1305(1)       | BREAK OR REMOVE DEVICE HOLDING LICENSE PLATE OR REGIST CARD    | MC               | N                    | \$110          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 41-1A-1305(10)      | MFG/USE/DISPLAY FACSIMILE/REPRODUCE LIC PLATE                  | MC               | N                    | \$110          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 41-1A-1305(11)      | FAIL TO RETURN CANCELED,SUSP,REVOKED PLATES,REG CARD,PERMIT    | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | Y              | C         |  |
|        | 41-1A-1305(2)       | REMOVE PLATE/REGISTRATION FROM VEHICLE                         | MC               | N                    | \$110          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 41-1A-1305(3)       | DISPLAY PLATE/REG ON INCORRECT VEHICLE                         | MC               | N                    | \$110          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 41-1A-1305(4)       | IMPROPER REGISTRATION/PLATE                                    | MC               | N                    | \$110          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 41-1A-1305(5)       | OPER VEHICLE ON HIGHWAY W/O LIC PLATES ATTACHED AND REG IN VEH | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | Y              | C         |  |
|        | 41-1A-1305(7)       | INTEND/KNOW THAT ACT WOULD INJURE PERSON, DEPRIVE OR DEFRAUD   | MC               | N                    | \$110          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 41-1A-1306          | ABUSE OF DISABILITIES PARKING PRIVILEGES                       | IN               | N                    | \$125          | \$100       | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-1307          | OPERATION OF MOTOR VEHICLES WITHOUT PAYMENT OF FEES            | IN               | N                    | \$280          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 41-1A-1309          | BOARDING VEHICLE WITH INTENT TO COMMIT CRIMINAL MISCHIEF       | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |  |
|        | 41-1A-1310(1)(A)    | FAIL TO ENDORSE & DELIVER TITLE NON DEALER                     | IN               | N                    | \$260          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 41-1A-1310(1)(B)    | NO ODOMETER DISCLOSURE STATEMENT                               | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-1310(2)(A)    | OPERATE VEHICLE WITH DISCONNECTED ODOMETER                     | MB               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-1310(2)(B)    | OFFER,SALE,USE,INSTALL IMPROPER ODOMETER (ALTERED)             | MB               | Y                    | \$110          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 41-1A-1310(2)(C)    | FAIL TO ADJUST ODOMETER OR AFFIX NOTICE AS REQUIRED            | MB               | Y                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-1310(2)(D)    | REMOVE OR ALTER NOTICE OF ODOMETER ADJUSTMENT                  | MB               | Y                    | \$110          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 41-1A-1310(2)(E)    | ACCEPT OR GIVE INCOMPLETE ODOMETER STATEMENT                   | MB               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 41-1A-1310(3)       | FAIL TO RECORD ODOMETER READING ON TITLE                       | MC               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-1320(1)       | TAX CLEARANCE REQD TO MOVE MANUFACTURED HOME OR MOBILE HOME    | MB               | Y                    | \$570          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 41-1A-201           | DRIVING WITHOUT REGISTRATION                                   | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         | May be dismissed if citation was issued within 2 months of expiration and if registered within 14 days   |
|        | 41-1A-202(3)        | NEW RESIDENT FAILURE TO REGISTER VEHICLE W/IN 60 DAYS          | MC               | N                    | \$1,000        | \$800       | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-202(3)        | NEW RESIDENT FAILURE TO REGISTER VEHICLE W/IN 60 DAYS          | MC               | N                    | \$1,000        | \$800       | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-205(1)        | ATV SAFETY INSPECTION REQUIRED FOR 1ST TIME REGISTRATION       | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-205(2)        | SALVAGE VEHICLE SAFETY INSPECTION REQUIRED ON REGISTRATION     | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-214           | REGISTRATION CARD TO BE EXHIBITED                              | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-218           | FAIL TO CHANGE ADDRESS WITH MOTOR VEHICLE DEPT W/IN 10 DAYS    | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-220           | LOST OR DAMAGED REGISTRATION CARD                              | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-221           | VEHICLE REGISTRATION FOR POLITICAL/STATE                       | IN               | N                    | \$280          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-229           | FAILURE TO DISPLAY GROSS WEIGHTS                               | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-229(3)        | IMPROPER GROSS WEIGHT DISPLAYED                                | IN               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-301           | NO TRIP PERMIT/NO UT APPORTION                                 | IN               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-401           | LICENSE PLATES VIOLATION                                       | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-401(1)(B)     | LIC PLT REMOVE FROM REG VEH, USED ON OTH                       | IN               | Y                    | \$280          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 41-1A-402           | REQUIRED COLORS/NUMERALS/LETTERS NOT VISIBLE (LIC PLATE)       | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
| REMOVE | 41-1A-402(1)(A)     | REQUIRED COLORS/ NUMERALS/ LETTERS NOT VISIBLE (LIC PLATE)     | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | HB0026 Line 618: 41-1A-402 repealed and reenacted<br>Repeat this SMOT/UFS offense (general SMOT cleanup) |
| REMOVE | 41-1A-402(6)        | FAIL TO DISPLAY VALIDATION DECAL                               | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | HB0026 Line 618: 41-1A-402 repealed and reenacted<br>Repeat this SMOT/UFS offense (general SMOT cleanup) |
|        | 41-1A-403           | PLATES TO BE VISIBLE FROM 100 FT                               | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-404(1)        | LOCATION/POSITION OF PLATES                                    | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-404(3)(B)(I)  | LICENSE PLATES-FREE FROM FOREIGN MATERIAL                      | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-404(3)(B)(II) | LICENSE PLATE TO BE IN A PLACE AND POSITION CLEARLY VISIBLE    | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-414(3)(A)     | ACCESSIBLE REMODED SPACE VIOLATION                             | MC               | N                    | \$340          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-701           | FAIL TO REMOVE PLATES TRANSFER OWNERSHIP                       | IN               | N                    | \$280          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 41-1A-702(3)        | FAIL TO DELIVER TITLE  | IN               | Y                    | \$280          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 41-1A-703           | NEW OWNER TO SECURE REGISTRATION                               | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-704           | FAILURE TO SECURE NEW LICENSE PLATES                           | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-704(1)        | OPERATING VEHICLE WITHOUT TRANSFERRING NEW LICENSE PLATES      | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-1A-704(2)        | DRIVING >75 MILES BEFORE TRANSFERRING PLATES ON VEHICLE        | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |

| Action | Violation Code      | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments  |
|--------|---------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---|
|        | 41-1A-705           | UNLAWFUL SELL, OFFER, DISPLAY FOR SALE OR EXCHANGE VEHICLE     | MB               | Y                    | \$400          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 41-1A-705(2)        | UNLAWFUL SELL, OFFER, DISPLAY FOR SALE OR EXCHANGE VEHICLE     | MB               | Y                    | \$400          | \$0         | N                  | 90%       | N              | N              | C         |   |
|        | 41-1A-712           | FOREIGN VEHICLE DISCLOSURE REQUIREMENTS                        | MB               | N                    | \$570          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-1A-803           | ID NUMBER ON VEHICLE/OUTBOARD MOTOR                            | IN               | Y                    | \$280          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-1A-803(4)        | ALTERED HULL ID NUMBER OR OUTBOARD MOTOR SERIAL NUMBER         | MC               | Y                    | \$890          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 41-1A-904           | DEALER TO RETAIN ODOMETER RECORDS FOR 4 YEARS AFTER TRANSFER   | IN               | Y                    | \$280          | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|        | 41-22-10.1(1)       | OPER OHV ON PUB LAND, STREET, HIGHWAY NOT DESIG/POSTED AS OPEN | IN               | N                    | \$830          | \$0         | N                  | 35%       | N              | N              | C         |   |
|        | 41-22-10.2          | OPERATE OHV ON OR WITHIN BOUNDARIES OF INTERSTATE FREEWAY      | IN               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-22-10.3          | OPERATE OHV ON STREET OR HIGHWAY NOT DESIGNATED OPEN           | IN               | N                    | \$300          | \$0         | N                  | 35%       | N              | N              | C         |   |
|        | 41-22-10.7(1)(A)    | OPERATE WITHOUT ADEQUATE BRAKES                                | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-22-10.7(1)(B)    | OPERATE WITHOUT LIGHTS AFTER SUNSET                            | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-22-10.7(1)(C)    | OPERATE WITHOUT MUFFLER OR SPARK ARRESTER                      | IN               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-22-10.7(1)(D)    | OPERATE WITHOUT FLAG ON DESIGNATED SAND DUNES                  | IN               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-22-10.8          | OPERATE OHV WITHOUT PROPER HEADGEAR                            | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-22-10.8(1)       | UNDER 18 YRS AND OPERATING OHV WITHOUT A HELMET                | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-22-10.8(2)       | GAVE PERMISSION TO OPERATE/RIDE AN OHV W/O HELMET UNDER 18     | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-22-11            | PLACED AN OHV REGULATORY SIGN WITHOUT AUTHORIZATION            | IN               | Y                    | \$630          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-22-12.1          | OPERATED A WHEELED VEHICLE IN EXCESS OF 800 POUNDS ON A MAIN   | IN               | Y                    | \$640          | \$0         | N                  | 35%       | N              | N              | C         |   |
|        | 41-22-12.2          | OPERATE/GAVE PERM TO OPER A MOTOR VEH ON UNDESIG PUBL LANDS    | IN               | Y                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-22-12.5(1)(A)    | OPERATE/ACCOMP A PERSON OPER MOTOR VEH ON PVT LAND W/O PERM    | IN               | Y                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-22-12.5(1)(B)    | OPERATOR OF OHV ON PRIVATE LAND REFUSE TO LEAVE UPON REQUEST   | MC               | Y                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-22-12.5(2)       | OBSTRUCTED ACCESS WITHOUT PERMISSION                           | IN               | Y                    | \$110          | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|        | 41-22-12.5(3)       | TEAR DOWN, MUTIL, DEST SIGN BOARD, FENCE REG MOT VEH TRESPASS  | MC               | Y                    | \$280          | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|        | 41-22-12.7          | UNLAWFUL MOTOR VEHICLE USE ON PUBLIC OR PRIVATE PROPERTY       | MC               | Y                    | \$300          | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|        | 41-22-12.7(3)       | UNLAWFUL MOTOR VEHICLE USE ON PUBLIC/PRIVATE PROP W/IN 5 YRS   | MC               | Y                    | \$600          | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|        | 41-22-12(2)         | TEAR DOWN, MUTIL, DEST SIGN BOARD, FENCE REGUL OHV PUBL LAND   | IN               | Y                    | \$280          | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|        | 41-22-13            | PROHIBITED OHV USE-VANDALISM/HARASSMENT/BURGLARY/DAMAGE        | IN               | Y                    | \$360          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 41-22-13{1}         | OPERATED OHV IN CONNECTION WITH EXCESSIVE MECHANICAL NOISE     | IN               | Y                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |   |
|        | 41-22-15            | HELD AN ORGANIZED EVENT WITHOUT PROPER AUTHORIZATION           | IN               | Y                    | \$640          | \$0         | N                  | 35%       | N              | N              | C         |   |
|        | 41-22-3(1)(A)       | OPERATED OR TRANSPORTED W/O CURRENT REGISTRATION               | IN               | N                    | \$90           | \$10        | Y                  | 0%        | N              | N              | C         | \$10 suspended upon compliance  |
|        | 41-22-3(1)(B)       | DEALER SOLD OHV W/O CURRENT REGISTRATION                       | IN               | N                    | \$70           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-22-3(4)(B)(II)   | FAILURE TO DISPLAY REGISTRATION STICKER                        | IN               | N                    | \$60           | \$5         | Y                  | 0%        | N              | N              | C         | \$5 suspended upon compliance   |
|        | 41-22-3(4)(C)       | NO REGISTRATION CARD ON OHV                                    | IN               | N                    | \$90           | \$0         | Y                  | 0%        | N              | N              | C         | may be dismissed upon proof of valid registration at time of citation |
|        | 41-22-30(2)(A)      | UNABLE TO REACH/OPERATE CNTRL NECESSARY TO SAFELY OPERATE OHV  | IN               | N                    | \$100          | \$0         | Y                  | 0%        |                |                |           | Fine not to exceed \$100  |
|        | 41-22-30(2)(B)(I)   | OPERATING OHV WITHOUT DIRECT SUPERVISION OF INSTRUCTOR         | IN               | N                    | \$100          | \$0         | Y                  | 0%        | N              | N              | C         | Fine not to exceed \$100  |
|        | 41-22-30(2)(B)(II)  | OPERATE/GIVE PERMISSION - OPERATE W/O OHV SAFETY CERTIFICATE   | IN               | N                    | \$100          | \$0         | Y                  | 0%        | N              | N              | C         | Fine not to exceed \$100  |
|        | 41-22-30(2)(B)(III) | OPERATE OHV WITHOUT POSSESSION OF VALID MV OPERATORS LICENSE   | IN               | N                    | \$100          | \$0         | Y                  | 0%        |                |                |           | Fine not to exceed \$100  |
|        | 41-22-30(2)(C)(I)   | <18 OPERATING OHV W/OUT DIRECT SUPERVISION OF A PERSON >18     | IN               | N                    | \$100          | \$0         | Y                  | 0%        | N              | N              | C         | Fine not to exceed \$100  |
|        | 41-22-30(2)(C)(II)  | OP OHV W/O POSS OF VALID MV LIC AND W/O DIRECT SUP OF >18      | IN               | N                    | \$100          | \$0         | Y                  | 0%        |                |                |           | Fine not to exceed \$100  |
|        | 41-22-30(2)(C)(III) | OP OHV ON MV HWY/NOT RESERVED FOR OHV W/O DIRECT SUP >18       | IN               | N                    | \$100          | \$0         | Y                  | 0%        |                |                |           | Fine not to exceed \$100  |
| ADD    | 41-22-31(2)         | OPERATE OHV ON PUBLIC LANDS W/O SAFETY PROGRAM < 18 YRS OLD    | IN               | N                    | \$110          | \$0         | N                  | 35%       |                |                | C         |   |
| ADD    | 41-22-31(3)         | OPERATE OHV ON PUBLIC LANDS W/O SAFETY PROGRAM - 18+ YR OLD    | IN               | N                    | \$110          | \$0         | N                  | 35%       |                |                | C         |   |
| EDIT   | 41-22-31(5)         | RENT OHV TO INDIVIDUAL W/O PROOF OF SAFETY PROGRAM             | IN               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-22-35            | NON-RESIDENT OPERATING AN OHV WITHOUT USER FEE                 | IN               | N                    | \$160          | \$5         | N                  | 35%       | N              | N              | C         |   |
|        | 41-22-35(5)(D)      | FAILURE OF AGENT TO REPORT SALES AND SUBMIT FEES COLLECTED     | MC               | Y                    | \$340          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 41-22-4(1)(A)       | FRAUDULENT APPLICATION FOR OHV REG                             | MC               | Y                    | \$750          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 41-22-4(1)(B)       | ALTER /DEFACE / REMOVE MANUFACTURERS SERIAL NUMBER ON OHV      | MC               | Y                    | \$760          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 41-22-4(1)(C)       | FRAUDULENT USE OR DISPLAY OF OHV REGISTRATION                  | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 41-22-4(1)(D)       | ALTERED OR DEFACED REGISTRATION STICKER OR CARD                | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 41-22-5.5(1)(A)     | FRAUDULENT APPLICATION FOR OHV IMPLEMENT OF HUSBANDRY REGIST   | IN               | Y                    | \$760          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 41-22-5.5(1)(B)     | IMPROPER RECREATIONAL USE OF A IMPLEMENT OF HUSBANDRY          | IN               | N                    | \$160          | \$10        | N                  | 35%       | N              | N              | C         |   |
|        | 41-22-5.5(1)(C)     | IMPROPER DISPLAY OF IMPLEMENT OF HUSBANDRY REGIST STICKER      | IN               | N                    | \$130          | \$5         | N                  | 35%       | N              | N              | C         |   |
|        | 41-22-5.5(3)        | OPERATE WITHOUT IMPLEMENT OF HUSBANDRY REGISTRATION            | IN               | N                    | \$160          | \$10        | N                  | 35%       | N              | N              | C         |   |
|        | 41-22-5.5(4)        | IMPROPER USE OF A REGISTERED OHV IMPLEMENT OF HUSBANDRY        | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-22-5.5(5)        | OPERATE IMPLEMENT OF HUSBANDRY ALONG AN INTERSTATE FREEWAY     | IN               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |   |
|        | 41-3-201.5          | BROKERING OF NEW OR USED MOTOR VEHICLE WITHOUT LICENSE         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 41-3-201.5(1)(A)    | BROKERING OF NEW OR USED MOTOR VEHICLE WITHOUT LICENSE         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 41-3-203            | FAIL TO DELIVER DEALER CARD                                    | MB               | N                    | \$160          | \$0         | Y                  | 0%        | N              | Y              | C         |   |
| ADD    | 41-3-204(2)(A)      | FAILURE TO RETURN PLATES OR TEMPORARY PERMITS                  | MB               | N                    | \$160          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 41-3-210            | VARIOUS DEALER VIOLATIONS                                      | MB               | N                    | \$160          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-3-210(1)(A)      | MISLEADING OR INACCURATE ADS BY LICENSED DEALER                | MB               | N                    | \$160          | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|        | 41-3-210(1)(B)      | DEALER ADVERTISING WITHOUT NAME AND LICENSE NUMBER             | MB               | N                    | \$160          | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|        | 41-3-210(1)(G)      | ENGAGE IN UNLICENSED BUSINESS OF MOTOR VEH SALES OR EXCHANGE   | MB               | N                    | \$160          | \$0         | Y                  | 0%        | N              | Y              | C         |   |
| ADD    | 41-3-210(1)(H)      | DEALER DISMANTLING WITHOUT A PERMIT                            | MB               | N                    | \$160          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 41-3-210(1)(N)      | DEALER SELLING VEHICLE FROM UNLICENSED LOCATION                | MB               | N                    | \$160          | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|        | 41-3-210(1)(R)      | ALTER TEMPORARY PERMIT   | MB               | N                    | \$160          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-3-210(3)         | FAILURE TO MAINTAIN RECORDS BY DEALER                          | MB               | N                    | \$160          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-3-210(6)         | ASSISTING AN UNLICENSED DEALER                                 | MB               | N                    | \$160          | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|        | 41-3-211            | UNLAWFUL MOTOR VEHICLE ACTS                                    | MB               | N                    | \$570          | \$0         | Y                  | 0%        | N              | Y              | C         |   |



| Action | Violation Code        | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments |
|--------|-----------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|----------|
|        | 41-3-301              | FAIL TO DELIVER TITLE (DEALER)   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|        | 41-3-303              | EMISSION REQUIRED BEFORE DEALER ISSUANCE OF TEMPORARY PERMIT             | MB               | N                    | \$340          | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-3-304(2)(B)        | DEALER TO RETURN TEMPORARY PLATES TO DIVISION                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|        | 41-3-305              | IN-TRANSIT PERMIT - EXPIRED  | MC               | Y                    | \$280          | \$0         | Y                  | 0%        | N              | N              | C         |          |
| EDIT   | 41-3-401              | NO FINANCIAL DISCLOSURE  | MB               | Y                    | \$660          | \$0         | N                  | 90%       | N              | N              | C         |          |
|        | 41-3-402(1)           | PAY OFF OF LIEN ON MOTOR VEHICLE TRADED IN                               | MB               | Y                    | \$660          | \$0         | N                  | 90%       | N              | N              | C         |          |
|        | 41-3-405              | FAIL TO PAY WARRANTY OR SERVICE CONTRACT                                 | MB               | Y                    | \$250          | \$0         | N                  | 90%       | N              | N              | C         |          |
|        | 41-3-408              | RESALE OF BUYBACK/NON CONFORMING VEHICLE                                 | MB               | Y                    | \$600          | \$0         | N                  | 90%       | N              | N              | C         |          |
|        | 41-3-501              | SPECIAL PLATES - DEALERS/DISMANTLERS                                     | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-3-501(2)           | SPECIAL PLATES/ DISMANTLERS - PLACE OF PURCHASE/DISPOSAL                 | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-3-501(2)(A)        | SPECIAL PLATES TRANSPORT FOR DISMANTLING                                 | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-3-501(2)(B)        | SPECIAL PLATES DISMANTLERS TO TRANSPORT TO LICENSED CRUSHER              | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-3-501(5)           | MISUSE OF DEALER/DISMANTLER PLATES                                       | MB               | Y                    | \$110          | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-3-502              | NO LOAD PERMIT FOR DEALER  | MB               | Y                    | \$570          | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-3-504              | FAIL TO DISPLAY DEALER PLATES  | MB               | N                    | \$60           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-3-506              | SPECIAL PLATE (EXPIRED)  | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-3-508              | FAILURE TO RETURN PLATES OR PERMITTING CONTINUED USE                     | MB               | N                    | \$60           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-3-803(4)(A)        | CONSIGNMENT SALES VIOLATION  | MB               | Y                    | \$570          | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1001            | PEDESTRIAN TO OBEY TRAFFIC CONTROL DEVICE                                | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |          |
|        | 41-6A-1002            | YIELD TO PEDESTRIAN'S RIGHT OF WAY                                       | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |          |
|        | 41-6A-1002(1)         | FAIL TO YIELD TO PED WHEN TRAFFIC SIGNAL NOT OPERATING                   | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |          |
|        | 41-6A-1002(1)(C)      | PEDESTRIAN MAY NOT WALK OR RUN IN THE PATH OF A VEHICLE                  | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |          |
|        | 41-6A-1002(2)         | YIELD TO PEDESTRIAN'S RIGHT OF WAY - SCHOOL CROSSWALK                    | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |          |
|        | 41-6A-1002(3)         | PASSING A VEHICLE STOPPED AT A MARKED, UNMARKED CROSSWALK                | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |          |
|        | 41-6A-1003            | PEDESTRIANS YIELDING RIGHT-OF-WAY  | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |          |
|        | 41-6A-1004            | EMERGENCY VEHICLE-PEDESTRIAN TO YIELD                                    | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |          |
|        | 41-6A-1005            | PEDESTRIAN VIOLATION AT RAILROAD   | IN               | Y                    | \$160          | \$0         | N                  | 35%       | N              | N              | C         |          |
|        | 41-6A-1005(2)         | PEDESTRIAN PASS THROUGH OR AROUND ACTIVE CROSSING GATE                   | IN               | Y                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         |          |
|        | 41-6A-1005(3)         | ENTER AREA BETWEEN RR TRACK AND SIGN IF CROSSING IS ACTIVE               | IN               | Y                    | \$160          | \$0         | N                  | 35%       | N              | N              | C         |          |
|        | 41-6A-1005(4)         | OCCUPYING RR GRADE CROSSING WHEN RR SIGN NOT ACTIVE                      | IN               | Y                    | \$160          | \$0         | N                  | 35%       | N              | N              | C         |          |
|        | 41-6A-1005(5)         | REMAIN BETWEEN RR SIGN IF RR CROSSING SIGN IS ACTIVE                     | IN               | Y                    | \$160          | \$0         | N                  | 35%       | N              | N              | C         |          |
|        | 41-6A-1006            | NEGLIGENTLY FAIL TO AVOID PEDESTRIAN                                     | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | Y              | C         |          |
|        | 41-6A-1007            | FAILURE TO YIELD TO BLIND PEDESTRIAN                                     | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|        | 41-6A-1008            | FAILURE TO YIELD AT A SIDEWALK   | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |          |
|        | 41-6A-1009            | PROHIBITED ACTIVITIES BY PEDESTRIAN USING ROADWAY                        | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(1)         | PEDESTRIAN WALKING IN ROAD WITH SIDEWALK AVAILABLE                       | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(4)(A)      | PEDESTRIAN MAY NOT IMPEDE OR BLOCK TRAFFIC                               | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(4)(A)(I)   | PEDESTRIAN MAY NOT IMPEDE OR BLOCK AN INTERSTATE SYSTEM                  | IN               | N                    | \$340          | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(4)(A)(II)  | PEDESTRIAN MAY NOT IMPEDE OR BLOCK A FREEWAY                             | IN               | N                    | \$340          | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(4)(A)(III) | PEDESTRIAN MAY NOT IMPEDE OR BLOCK A STATE HIGHWAY                       | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(4)(A)(IV)  | PEDESTRIAN MAY NOT IMPEDE OR BLOCK A STATE ROUTE                         | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(4)(A)(V)   | PEDESTRIAN MAY NOT IMPEDE OR BLOCK A HIGHWAY                             | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(4)(B)(I)   | PEDESTRIAN MAY NOT IMPEDE OR BLOCK SHOULDER AREAS                        | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(4)(B)(II)  | PEDESTRIAN MAY NOT IMPEDE OR BLOCK ON RAMP                               | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(4)(B)(III) | PEDESTRIAN MAY NOT IMPEDE OR BLOCK OFF RAMP                              | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(4)(B)(IV)  | PEDESTRIAN MAY NOT IMPEDE OR BLOCK A DIVIDED HIGHWAY                     | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(4)(D)(I)   | PEDESTRIAN MAY NOT IMPEDE OR BLOCK TRAFFIC TAKING CONTROL OF MONEY       | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(4)(D)(II)  | DRIVER MAY NOT IMPEDE OR BLOCK TRAFFIC TRANSACTING MONEY FROM PEDESTRIAN | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(5)         | INTOXICATED PEDESTRIAN MAY NOT WALK ON HWY MUST USE SIDEWALK             | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(6)         | PEDESTRIAN ON ROADWAY TO YIELD RIGHT OF WAY TO ALL VEHICLES              | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(7)         | PEDESTRIAN WALKING ON OR ALONG NO ACCESS FREEWAY                         | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(8)(B)      | ENGAGE IN CONDUCT TO CAUSE INDIVIDUAL FEAR OF HARM                       | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(8)(C)      | ENGAGE IN CONDUCT TO INTIMIDATE INTO GIVING MONEY OR GOODS               | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(8)(D)      | ENGAGE IN CONDUCT TO BLOCK PATH OF INDIVIDUAL                            | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(8)(E)      | PHYSICAL CONTACT W/INDIVIDUAL OR PROPERTY W/OUT CONSENT                  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1009(9)(B)      | PROHIBITED ACTIVITIES BY PEDESTRIAN USING ROADWAY 3RD OR SUBSEQUENT      | MC               | Y                    | \$340          | \$0         | Y                  | 0%        | N              | Y              | C         |          |
|        | 41-6A-1101            | PARENT/GUARD ALLOW VIOLATION OF BICYCLE REGISTRATION                     | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1103            | CARRYING MORE PERSONS THAN DESIGN PERMIT                                 | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1104            | ATTACHING BIKE, SLED, ETC TO MOVING VEHICLE                              | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1105            | OPERATION OF BICYCLE OR MOPED ON AND USE OF ROADWAY                      | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1105(2)         | BIKE/MOPED ON HWY TO OPERATE IN SAME DIRECTION OF TRAFFIC                | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1106            | BICYCLES YIELD TO PEDESTRIANS ON WALKWAY                                 | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1106(1)         | BICYCLE/HUMAN PROPEL DEVICE TO YIELD/SIGNAL TO PEDESTRIANS               | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1106(2)         | BICYCLE/HUMAN PROPEL DEVICE NOT TO OPERATE WHERE PROHIBITED              | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1106(3)         | OPERATE BICYCLE/HUMAN PROPELLED DEVICE IN A NEGLIGENT MANNER             | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1106(3)(B)(II)  | OPERATE HUMAN POWERED VEHICLE IN NEGLIGENT MANNER                        | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
| EDIT   | 41-6A-1106(4)         | OPERATING BICYCLE/HUMAN PROPELLED DEVICE AT SPEED>REASONABLE             | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1107            | BICYCLE PARKING  | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1108            | BICYCLES/MOPEDS - TURNS  | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |

| Action | Violation Code         | Description   | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments                      |
|--------|------------------------|---|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|-------------------------------|
|        | 41-6A-1109             | BICYCLES/MOPEDS - SIGNALS   | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1110             | REQ BICYCLE OR MOPED INSPECT BY POLICE                              | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1112             | CARRYING A BUNDLE ON BIKE / ONE HAND ON HANDLE BARS                 | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1112(2)          | BIKE OPERATOR W/OUT HANDS ON BIKE                                   | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1113             | BICYCLE EQUIPMENT/REQ & PROHIBITED                                  | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1114             | BICYCLE LAMPS & REFLECTORS REQUIRED                                 | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1115             | MOTOR ASSISTED SCOOTERS RESTRICTIONS                                | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1115.5(4)        | < 16 OPERATING CLASS 3 ELECTRIC ASSISTED BICYCLE                    | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1115.5(5)        | < 14 OPERATE ELECTRIC ASST BIKE W/MOTOR ENG W/OUT SUPERVISION       | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1115.5(6)        | < 8 OPERATING ELECTRIC ASSISTED BICYCLE W/MOTOR ENGAGED IN PUBLIC   | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1115.5(7)        | OWNER MAY NOT AUTHORIZE OPERATION OF ELECTRIC ASSISTED BICYCLE      | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1115.5(8)(A)     | DISTRIBUTOR TO PERMANENTLY AFFIX LABEL ON ELECTRIC ASSISTED BICYCLE | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1115.5(8)(B)     | MANUFACT/DISTRIBUTOR ENSURE AFFIXED LABEL IN ARIAL 9 PT OR LARGER   | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1115(2)          | MOTOR ASSISTED SCOOTER - AGE RESTRICTION                            | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1115(3)          | UNDER 8 YRS NOT TO OPERATE MOTOR ASSISTED SCOOTER W/MOTOR ON        | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1115(4)          | MOTOR ASSISTED SCOOTER - GEN RESTRICTION                            | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1115(6)          | AUTHORIZE MOTOR ASSISTED SCOOTER                                    | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1116(2)          | MOTORIZED MOBILITY DEVICE - AGE RESTRICTION                         | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1116(3)          | MOTORIZED MOBILITY DEVICE - GENERAL RESTRICTIONS                    | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1116(4)          | MOTORIZED MOBILITY DEVICE - OWNER RESPONSIBILITY                    | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1117(1)          | MINI-MOTORCYCLE ON PUBLIC PROPERTY                                  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1117(2)          | OWNER MAY NOT AUTHORIZE MINI-MOTORCYCLE                             | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1119             | PERSONAL DELIVERY DEVICE VIOLATION                                  | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | N              | C         |                               |
|        | 41-6A-1120             | UNLAWFULLY OPERATING A MOBILE CARRIER DEVICE                        | IN               | N                    | \$235          | \$0         | N                  | 35%       | N              | N              | C         |                               |
|        | 41-6A-1201             | DRIVE ON RR TRACKS  | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |                               |
|        | 41-6A-1202             | DRIVE THROUGH SAFETY ZONE - RR TRACKS                               | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |                               |
|        | 41-6A-1203             | RAILROAD GATE CROSSING  | IN               | Y                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |                               |
|        | 41-6A-1203(2)          | FAILURE TO STOP OR REMAIN STOPPED AT RR CROSSING                    | IN               | Y                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |                               |
|        | 41-6A-1203(4)          | VEHICLE RAILROAD CROSSING VIOLATION                                 | IN               | Y                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |                               |
|        | 41-6A-1203(4)(A)       | DRIVE VEHICLE THROUGH, AROUND, OR UNDER A RR CROSSING GATE          | IN               | Y                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |                               |
|        | 41-6A-1203(4)(B)       | CAUSE A NON-RAIL VEHICLE TO PASS THROUGH, AROUND RR BARRIER         | IN               | Y                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |                               |
|        | 41-6A-1203(4)(C)       | CAUSE A NON-RAIL VEHICLE TO PASS THROUGH, UNDER RR RAIL             | IN               | Y                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |                               |
|        | 41-6A-1204             | OPERATE TRAIN IN MANNER TO PREVENT VEHICLE USE OF ROAD              | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | N              | C         |                               |
|        | 41-6A-1205             | BUS/TRUCK NO STOP AT RR CROSSING                                    | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |                               |
|        | 41-6A-1205(1)          | CMV FAIL TO SLOW DOWN AND CHECK CLEARING                            | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |                               |
|        | 41-6A-1205(1)(B)       | CMV STOP WITHIN 50 FT OF RR CROSSING                                | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |                               |
|        | 41-6A-1205(1)(C)       | CMV FAIL TO OBEY TRAFFIC DEVICE OR OFFICER AT RR CROSSING           | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |                               |
|        | 41-6A-1205(1)(D)(I)    | CMV FAIL TO OBEY RR SPACE VEHICLE TOO LA                            | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |                               |
|        | 41-6A-1205(1)(D)(II)   | CMV RR CLEARANCE TOO LOW TO CLEAR TRACKS                            | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |                               |
|        | 41-6A-1205(2)(A)       | CMV FAILURE TO STOP BEFORE CROSSING RR/HWY                          | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |                               |
|        | 41-6A-1206             | ILLEGAL VEHICLE CROSSING RR TRACKS                                  | IN               | N                    | \$120          | \$0         | N                  | 35%       | N              | N              | C         |                               |
|        | 41-6A-1301             | SCHOOL BUS TO DISPLAY LIGHTING AND SPECIAL WARNING DEVICES          | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |                               |
|        | 41-6A-1302(2)          | FAILURE TO OBSERVE FLASHING AMBER / RED LIGHTS ON SCHOOL BUS        | MC               | Y                    | \$260          | \$0         | N                  | 35%       | Y              | N              | C         | 10 hours compensatory service |
|        | 41-6A-1302(2)(A)       | FAILURE TO OBSERVE FLASHING AMBER LIGHTS ON SCHOOL BUS              | MC               | Y                    | \$260          | \$0         | N                  | 35%       | Y              | N              | C         | 10 hours compensatory service |
|        | 41-6A-1302(2)(B)       | FAILURE TO OBSERVE FLASHING RED LIGHTS ON SCHOOL BUS                | MC               | Y                    | \$260          | \$0         | N                  | 35%       | Y              | N              | C         | 10 hours compensatory service |
|        | 41-6A-1302(4)(A)       | SCHOOL BUS OPERATOR FAILING TO USE FLASHING RED LIGHTS              | IN               | N                    | \$100          | \$0         | Y                  | 0%        | Y              | N              | C         |                               |
|        | 41-6A-1302(5)          | OPERATOR OF SCHOOL BUS TO HAVE HEADLIGHTS ON                        | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |                               |
|        | 41-6A-1302(2)          | FAILURE TO STOP FOR SCHOOL BUS 2ND OFFENSE                          | MC               | Y                    | \$500          | \$0         | N                  | 35%       | Y              | N              | C         | 20 hours compensatory service |
|        | 41-6A-1302(3)          | FAIL TO STOP FOR SCHOOL BUS 3 OR MORE OFFENSES W/IN 3 YRS           | MC               | Y                    | \$1,000        | \$0         | N                  | 35%       | Y              | N              | C         | 40 hours compensatory service |
|        | 41-6A-1307(4)          | SCHOOL BUS PARKING ZONE VIOLATION                                   | IN               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1401             | PARKING, STOP OR STAND ON SIDEWALK OR WITHIN CROSSWALK              | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
| EDIT   | 41-6A-1401(1)(A)       | STAND/PARK VEHICLE EDGE/CURB OR STREET                              | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
| ADD    | 41-6A-1401(1)(A)(I)    | PARK ON ROADWAY SIDE OF VEHICLE PARKED AT STREET CURB               | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
| ADD    | 41-6A-1401(1)(A)(II)   | STAND/PARK VEHICLE ON SIDEWALK                                      | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
| ADD    | 41-6A-1401(1)(A)(III)  | STAND OR PARK VEHICLE WITHIN AN INTERSECTION                        | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
| ADD    | 41-6A-1401(1)(A)(IV)   | STAND/PARK VEH-CROSSWALK  | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
| ADD    | 41-6A-1401(1)(A)(IX)   | STANDING OR PARKING VEHICLE ON CONTROLLED-ACCESS HIGHWAY            | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
| ADD    | 41-6A-1401(1)(A)(V)    | STANDING/PARKING VEHICLES BETWEEN SAFETY ZONE/ADJACENT CURB         | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
| ADD    | 41-6A-1401(1)(A)(VI)   | STAND OR PARK VEHICLE TO OBSTRUCT TRAFFIC                           | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
| ADD    | 41-6A-1401(1)(A)(VII)  | STAND OR PARK VEHICLE ON BRIDGE OR ELEVATED STRUCTURE ON HWY        | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
| ADD    | 41-6A-1401(1)(A)(VIII) | STANDING OR PARKING VEHICLES ON ANY RAILROAD TRACKS                 | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
| ADD    | 41-6A-1401(1)(A)(XI)   | STOP, STAND, PARK VEHICLE WHERE TRAFFIC CTRL DEV PROHIBITS          | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1401(1)(B)       | STAND OR PARK VEHICLE   | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1401(1)(B)(I)    | STANDING OR PARKING VEHICLE ON PUBLIC/PRIVATE DRIVEWAY              | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1401(1)(B)(II)   | PARK WITHIN 15 FT OF FIRE HYDRANT                                   | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1401(1)(B)(III)  | PARK WITHIN 20 FEET OF A CROSSWALK                                  | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1401(1)(B)(IV)   | PARK WITHIN 30 FEET UPON APPROACH TO TRAFFIC SIGN, SIGNAL           | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1401(1)(B)(V)    | PARK WITHIN 20 FT OF FIRE STATION DRIVEWAY OR 75 FT OPPOSITE        | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |
|        | 41-6A-1401(1)(B)(VI)   | PARK, STAND ANY PLACE WHERE TRAFFIC-CONTROL DEVICE PROHIBITS        | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                               |

| Action | Violation Code          | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments |
|--------|-------------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|----------|
|        | 41-6A-1401(1)(B)(VII)   | PARKING IN RESERVED SPACE AT CAPITOL HILL COMPLEX                        | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1402              | STOP/PARK ON ROADWAYS  | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1402(1)           | FAIL TO PARK ON ROADWAY WITH RIGHT HAND WHEELS IN POSITION               | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1402(2)           | FAIL TO PARK PROPERLY ON 1-WAY STREET                                    | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1402(3)(B)        | ANGLE PARKING ON FEDERAL-AID OR STATE HWY NOT PERMITTED                  | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1402(4)(B)        | STOP, STAND OR PARK VEHICLE ON HWY WHERE PROHIBITED                      | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1403              | FAIL TO SECURE PARKED VEHICLE  | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1404              | STOP/PARK OUTSIDE BUSINESS OR RESIDENTIAL DISTRICT                       | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |          |
| EDIT   | 41-6A-1407              | REMOVAL OF UNATTEND VEHICLE W/O AUTHORIZATION                            | IN               | N                    | \$280          | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1408              | ABANDON VEHICLE, VESSEL, OUTDOOR MOTOR ON HWY OR STATE WATER             | IN               | N                    | \$60           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1409(2)           | IMPROPER BOOTING IN MOBILE HOME PARK OR MULTI-FAMILY DWELLING            | IN               | N                    | \$280          | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1409(3)           | IMPROPER BOOTING-IMPROPER NOTICE   | IN               | N                    | \$280          | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1409(4)           | IMPROPER BOOTING - FEE FOR REMOVAL                                       | IN               | N                    | \$340          | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1501              | MOTORCYCLE VIOLATION   | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |          |
|        | 41-6A-1502              | MOTORCYCLES OR ATV TYPE I VEH - OPERATE ON PUBLIC HIGHWAY                | IN               | Y                    | \$350          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|        | 41-6A-1502(3)           | MOTORCYCLE NOT TO TRAVEL BETWEEN LANES OR ROWS OF VEHICLES               | IN               | N                    | \$120          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|        | 41-6A-1502(4)           | MOTORCYCLE/MOTOR-DRIVEN CYCLE NOT TO OPERATE 2 ABREAST IN LN             | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1503              | MOTORCYCLE ATTACHED TO ANOTHER VEHICLE                                   | IN               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |          |
|        | 41-6A-1504              | IMPROPER MOTORCYCLE PEGS AND HANDLEBARS                                  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1504(2)           | OPERATING MOTORCYCLE WITH HANDLEBARS ABOVE SHOULDER HEIGHT               | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1505              | < 21 W/O PROTECTIVE HEADGEAR ON MOTORCYCLE OR MOTOR DRIVEN CYCLE         | IN               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1505(1)           | < 21 OPERATE/RIDE MOTORCYCLE/ MOTOR DRIVEN CYCLE W/O PROTECTIVE HEADGEAR | IN               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1505(1)(A)        | < 21 OPERATE OR RIDE MOTORCYCLE W/O PROTECTIVE HEADGEAR                  | IN               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1505(1)(B)        | < 21 OPERATE OR RIDE MOTOR DRIVEN CYCLE W/O PROTECTIVE HEADGEAR          | IN               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1505(1)(C)        | < 21 OPERATING ELECTRIC ASSISTED BIKE W/O PROTECTIVE HEADGEAR            | IN               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1505(1)(D)        | < 21 OPERATING AUTOCYCLE NOT FULLY ENCLOSED W/O PROTECTIVE HEADGEAR      | IN               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1506              | MOTORCYCLE EQUIPMENT REQUIRED  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1506(2)           | AUTOCYCLE EQUIPMENT REQUIRED   | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1508(2)           | FAIL TO COMPLY W/FED SAFETY STANDARDS FOR LOW SPEED VEHICLES             | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1508(3)           | LOW SPEED VEHICLE STRUCTURALLY ALTERED                                   | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1508(5)           | LOW SPEED VEHICLE NOT TO OPERATE WHERE LIMIT EXCEEDS 35 MPH              | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1508(6)           | FAIL TO DISPLAY SLOW SPEED VEHICLE ON REAR OF VEHICLE                    | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1509              | OPERATE STREET-LEGAL ATV ON NON-DESIGNATED HWY/STREET                    | IN               | N                    | \$280          | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1509(3)(A)        | STREET LEGAL ATV/UTILITY VEHICLE EQUIPMENT VIOLATION                     | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(A)(I)     | STREET LEGAL ATV/UTILITY VEHICLE HEADLAMP VIOLATION                      | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(A)(II)    | STREET LEGAL ATV/UTILITY VEHICLE NO TAIL LAMP VIOLATION                  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(A)(III)   | STREET LEGAL ATV/UTILITY VEH ILLUMINATED REGISTRAT VIOLATION             | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(A)(IV)    | STREET LEGAL ATV/UTILITY VEH RED REAR REFLECTOR VIOLATION                | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(A)(IX)    | STREET LEGAL ATV/UTILITY VEHICLE MUFFLER VIOLATION                       | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(A)(V)     | STREET LEGAL ATV/UTILITY VEHICLE REAR STOP LAMP VIOLATION                | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(A)(VI)    | STREET LEGAL ATV/UTILITY VEHICLE TURN SIGNALS VIOLATION                  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(A)(VII)   | STREET LEGAL ATV/UTILITY VEHICLE BRAKING SYSTEM VIOLATION                | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(A)(VIII)  | STREET LEGAL ATV/UTILITY VEHICLE NOT EQUIPPED W/HORN                     | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(A)(X)     | STREET LEGAL ATV/UTILITY VEH NOT EQUIP W/REARVIEW MIRRORS                | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(A)(XI)    | STREET LEGAL ATV/UTILITY VEH NOT EQUIP W/WINDSHIELD                      | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(A)(XII)   | STREET LEGAL ATV/UTILITY VEH NOT EQUIPPED W/SPEEDOMETER                  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(A)(XIII)  | STREET LEGAL ATV/UTILITY VEHICLE PASSENGER VIOLATION                     | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(A)(XIV)   | STREET LEGAL ATV/UTILITY VEHICLE SEATBELT VIOLATION                      | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(A)(XV)    | STREET LEGAL ATV/UTILITY VEHICLE TIRE VIOLATION                          | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(A)(XV)(A) | STREET LEGAL ATV/UTILITY VEH TIRES LARGER THAN MANUFACTURER              | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|        | 41-6A-1509(3)(A)(XV)(B) | STREET LEGAL ATV/UTILITY VEH TIRE TREAD < 2/32 IN                        | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(B)        | FULL SIZE STREET LEGAL ATV EQUIPMENT VIOLATION                           | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(B)(I)     | FULL SIZE STREET LEGAL ATV HEADLAMP VIOLATION                            | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(B)(II)    | FULL SIZE STREET LEGAL ATV TAIL LAMP VIOLATION                           | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(B)(III)   | FULL SIZE STREET LEGAL ATV ILLUMINATED REGISTRATION VIOLATION            | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(B)(IV)    | FULL SIZE STREET LEGAL ATV RED REAR REFLECTOR VIOLATION                  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(B)(IX)    | FULL SIZE STREET LEGAL ATV MUFFLER VIOLATION                             | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(B)(V)     | FULL SIZE STREET LEGAL ATV REAR STOP LAMP VIOLATION                      | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(B)(VI)    | FULL SIZE STREET LEGAL ATV TURN SIGNALS VIOLATION                        | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(B)(VII)   | FULL SIZE STREET LEGAL ATV BRAKING SYSTEM VIOLATION                      | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(B)(VIII)  | FULL SIZE STREET LEGAL ATV NOT EQUIPPED W/HORN                           | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(B)(X)     | FULL SIZE STREET LEGAL ATV NOT EQUIP W/REARVIEW MIRRORS                  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(B)(XI)    | FULL SIZE STREET LEGAL ATV NOT EQUIP W/WINDSHIELD                        | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(B)(XII)   | FULL SIZE STREET LEGAL ATV NOT EQUIPPED W/SPEEDOMETER                    | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(B)(XIII)  | FULL SIZE STREET LEGAL ATV PASSENGER VIOLATION                           | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(B)(XIV)   | FULL SIZE STREET LEGAL ATV SEATBELT VIOLATION                            | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(B)(XV)    | FULL SIZE STREET LEGAL ATV TIRE VIOLATION                                | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(B)(XV)(A) | FULL SIZE STREET LEGAL ATV TIRES EXCEED 44 IN                            | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |
|        | 41-6A-1509(3)(B)(XV)(B) | FULL SIZE STREET LEGAL ATV TIRE TREAD < 2/32 IN                          | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |          |

| Action | Violation Code            | Description   | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments  |
|--------|---------------------------|---|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---|
|        | 41-6A-1509(4)(A)          | FULL SIZE STREET LEGAL ATV SPEED VIOLATION                      | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|        | 41-6A-1509(4)(A)(I)       | FULL SIZE STREET LEGAL ATV SPEED VIOLATION - POSTED SPEED       | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|        | 41-6A-1509(4)(A)(II)      | FULL SIZE STREET LEGAL ATV SPEED VIOLATION - > 50 MPH           | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|        | 41-6A-1509(4)(B)          | FULL SIZE ST LEGAL ATV TO OPER ON EXTREME RIGHT W/REFLECTORS    | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|        | 41-6A-1509(4)(B)(I)       | FULL SIZE STREET LEGAL ATV TO OPERATE ON EXTREME RIGHT OF RD    | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|        | 41-6A-1509(4)(B)(II)      | FULL SIZE STREET LEGAL ATV REFLECTOR TAPE REQ FRONT & REAR      | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|        | 41-6A-1601                | UNSAFE VEHICLE/FAULTY EQUIPMENT                                 | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1601(1)             | OPERATE UNSAFE, IMPROPER EQUIPPED VEHICLE ON PUBLIC HWY         | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1601(1)(A)          | OPERATE/MOVE UNSAFE VEHICLE                                     | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1602                | PERMIT REQUIRED TO OPERATE VEHICLE VIOLATING EQUIPMENT REGS     | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
| EDIT   | 41-6A-1603                | <u>VIOLATION OF DUTY TO DISPLAY HEADLIGHTS</u>                  | IN               | N                    | \$50           | \$0         | N                  | 0%        | Y              | N              | C         |   |
|        | 41-6A-1604                | MOTOR VEHICLE LAMP VIOLATION                                    | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1604(1)             | HEAD LAMP VIOLATION   | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1604(2)(A)          | TAIL LIGHT VIOLATION  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1604(2)(B)          | BRAKE LIGHTS/REFLECTORS TO DISPLAY OR REFLECT RED COLOR         | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1604(2)(B)(II)      | TURN SIGNAL OR HAZARD WARNING LIGHT YELLOW OR RED               | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days.  |
| EDIT   | 41-6A-1604(2)(C)          | <u>FAIL TO ILLUMINATE REAR REGISTRATION PLATE</u>               | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1604(3)             | STOP LAMPS - TURN SIGNALS                                       | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1604(3)(A)          | VEHICLE/TRAILER/SEMI/POLE TO HAVE 2 STOP LAMPS/TURN SIGNALS     | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1604(4)(A)          | EACH LAMP/REFLECTOR TO COMPLY WITH REQUIREMENTS & LIMITATION    | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1604(4)(B)          | NEED MORE LAMPS/REFLECTORS                                      | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1606                | FAILURE TO DISPLAY LIGHTS -- LARGE LOAD                         | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1607                | FAIL TO EQUIP VEHICLE WITH ONE OR MORE PARKING LIGHTS           | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1608                | FARM TRACTORS & EQUIP/LAMPS & REFLECTORS                        | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1608(6)             | SLOW MOVING VEHICLE EMBLEM REQUIREMENT                          | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1609                | LAMPS & REFLECTORS/ANIMAL DRAWN VEH, ETC                        | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1610                | IMPROPER USE OF SPOTLIGHT                                       | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1611                | HAZARD-WARNING LIGHT VIOLATION                                  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1612                | BACKUP LIGHTS VIOLATION   | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1613                | LAMP REQ/OPERATION OF VEH ON HWY/SHOULDER-DIMMING LIGHTS        | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|        | 41-6A-1613(1)(A)          | HIGH/LOW BEAM LIGHT REQ ON HWY/SHOULDER ADJACENT TO HWY         | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|        | 41-6A-1613(1)(C)          | FAILURE TO DIM HEADLIGHTS                                       | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|        | 41-6A-1613(2)(A)(I)       | HEADLIGHTS-PROPER ADJUSTMENT TO GROUND                          | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days.  |
| EDIT   | 41-6A-1616                | <u>INTENSE BEAMS, RED/BLUE LIGHTS, FLASH LIGHTS</u>             | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1616(1)(C)          | LIGHTS - NO MORE THAN 4 FACING FORWARD                          | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
| EDIT   | 41-6A-1616(2)(A)          | <u>OPERATE VEHICLE ON HWY W/UNAUTH RED LIGHT</u>                | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
| EDIT   | 41-6A-1616(2)(B)          | <u>OPERATE VEHICLE ON HWY W/UNAUTH BLUE LIGHT</u>               | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1616(3)             | FLASHING LIGHTS ON VEHICLE PROHIBITED                           | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1616(3)(G)          | CONTINUOUS FLASHING LIGHTS REPEATED ON BRAKE APPLICATION        | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-6A-1616(4)             | ROTATING LIGHT ON VEHICLE PROHIBITED                            | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1618                | SALE OR USE OF UNAPPROVED LIGHTING EQUIPMENT OR DEVICES         | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1618(1)             | SALE OR USE OF UNAPPROVED LIGHTING EQUIPMENT OR DEVICE          | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1618(3)             | IMPROPER USE OF LAMP MOUNTED ON VEHICLE                         | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1619                | SALE OF UNAPPROVED MOTOR VEHICLE EQUIPMENT                      | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|        | 41-6A-1622                | SELL SUBSTANDARD EQUIPMENT                                      | IN               | N                    | \$340          | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1623                | BAD/NO BRAKES   | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1623(2)             | PARKING BRAKE REQUIRED  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1624                | FAILURE TO REPAIR DAMAGED/DEPLOYED AIRBAG                       | MC               | N                    | \$340          | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1625                | ILLEGAL USE OF HORNS AND WARNING DEVICES                        | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1625(1)             | UNLAWFUL USE OF HORN  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1625(2)             | SIREN, WHISTLE OR BELL ON VEHICLE PROHIBITED                    | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1625(4)             | UNLAWFUL USE OF HORN (EMERGENCY VEHICLE)                        | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1626                | MUFFLER VIOLATION   | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1626(1)             | NO WORKING MUFFLER  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1626(1)(A)          | MUFFLER MUST BE INSTALLED                                       | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1626(1)(B)          | MUFFLER MUST BE FUNCTIONING PROPERLY                            | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1626(1)(C)          | IMPROPER MUFFLER/NOISE SUPPRESSING SYSTEM                       | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1626(2)             | VEHICLE EMITTING VISIBLE CONTAMINANTS                           | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1626(2)(A)          | EXCESSIVE FUMES/SMOKE - ENGINE/POWER SYS                        | IN               | N                    | \$100          | \$0         | Y                  | 0%        | N              | N              | C         | Minimum of \$50 for 1st violation; \$100 for second or subsequent violation within 3 years. |
|        | 41-6A-1626(2)(B)          | DIESEL MANUFACTURED >=1/1/08 MAY NOT EMIT VISIBLE CONTAMINANT   | IN               | N                    | \$100          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-6A-1626(2)(B)(II)      | DIESEL MFR ON OR AFTER 1/1/08 MAY NOT EMIT VISIBLE CONTAMINANTS | IN               | N                    | \$100          | \$0         | Y                  | 0%        | N              | N              | C         | Minimum fine of \$100. Dismissed on proof of compliance in 14 days.                         |
|        | 41-6A-1626(2)(B)(III)     | DIESEL MFR BEFORE 1/1/08 MAY NOT EMIT VISIBLE CONTAMINANTS      | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-6A-1626(2)(B)(III){2N} | DIESEL MFR BEFORE 1/1/08 MAY NOT EMIT VISIBLE CONTAMINANTS      | IN               | N                    | \$100          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 41-6A-1626(2)(D)(II)      | DIESEL ENGINE MAY NOT EMIT VISIBLE CONTAMINANTS 2ND+VIOLATION   | IN               | Y                    | \$500          | \$0         | Y                  | 0%        | N              | N              | C         | Minimum fine of \$500   |
|        | 41-6A-1626(2){2NDOFF}     | VEHICLE EMITTING VISIBLE CONTAMINANTS                           | IN               | N                    | \$100          | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1626(3)(A)          | AIR POLLUTION DEV SHALL BE MAINTAINED IN GOOD WORKING ORDER     | IN               | N                    | \$100          | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1626(3)(C)          | RENDER INOPERABLE AN AIR POLLUTION CONTROL DEVICE               | IN               | N                    | \$100          | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |
|        | 41-6A-1627                | VEHICLE MIRRORS MISSING/INADEQUATE                              | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days.  |

| Action | Violation Code       | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments                                     |
|--------|----------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|--|
|        | 41-6A-1627(1)        | VEHICLE MIRROR NOT ON LEFT OF VEHICLE OR REFLECTING REAR     | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1627(1)(A)     | VEHICLE MIRROR - NO LEFT MIRROR                              | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1628(1)        | SEAT BELT MUST BE INSTALLED                                  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1628(2)        | SELLING UNAPPROVED SEAT BELTS                                | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1630           | ALTERED VEHICLE  | IN               | N                    | \$100          | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1631           | ILLEGAL VEHICLE ALTERATIONS                                  | IN               | N                    | \$100          | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1631(2)        | TIRE VIOLATION - WIDTH                                       | IN               | N                    | \$100          | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1632           | DEFECTIVE BUMPER   | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1632(1)        | BUMPER REQUIRED ON VEHICLE                                   | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1633           | NO MUD FLAPS   | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1634           | NO SAFETY CHAIN ON TOWED VEHICLE                             | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
| EDIT   | 41-6A-1635           | EQUIPMENT OBSTRUCT VISIBILITY-WINDSHIELD/WINDOWS             | IN               | N                    | \$60           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1635(1)        | WINDOW TINT VIOLATION  | IN               | N                    | \$60           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1635(1)(D)     | OBSTRUCT REDUCING VISIBILITY-WINDSHIELD                      | IN               | N                    | \$60           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1635(1)(E)     | DEBRIS, FROST, OR OTHER SUBSTANCE OBSTRUCTS OPERATOR VIEW    | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1635(2)        | OBJECT OR DEVICE HANGING/MOUNTED OBSTRUCTING OPERATORS VIEW  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1635(3)        | STICKERS/OTHER NON-TRANSPARENT MATERIAL ON WINDSHIELD        | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1635(5)        | MIRRORS REQUIRED IF REAR BLOCKED OR TINTED                   | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1635(6)        | DEVICE FOR CLEANING RAIN/SNOW/MOISTURE FROM WINDSHIELD REQ   | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1635(7)        | SALE OF VEHICLE WITH EXCESSIVE TINT                          | IN               | N                    | \$150          | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1636           | ILLEGAL TIRES  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1636(1)        | TIRE VIOLATION - CONDITION OF RUBBER ON TIRES                | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1636(5)(A)     | STUDDED SNOW TIRE VIOLATION                                  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1636(7)(A)     | ILLEGAL TIRES  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1636(7)(A)(I)  | ILLEGAL TIRES  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1637           | NO EMERGENCY FLARES/FUSES/LANTERNS                           | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1638           | FAIL TO USE WARNING SIGNALS                                  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1639           | TRANSPORT OF HAZARDOUS/FLAMMABLE COMMODITY                   | IN               | N                    | \$280          | \$0         | N                  | 35%       | Y              | Y              | C         |  |
|        | 41-6A-1639(2)(A)     | DRIVING W/O HAZMAT PLACARDS                                  | IN               | N                    | \$280          | \$0         | N                  | 35%       | Y              | N              | C         |  |
|        | 41-6A-1639(2)(B)     | DRIVING W/O HAZMAT SAFETY EQUIPMENT                          | IN               | N                    | \$280          | \$0         | N                  | 35%       | Y              | N              | C         |  |
|        | 41-6A-1641           | TV PROH IF DRIVER CAN VIEW SCREEN                            | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         | Dismissed on proof of compliance in 14 days. |
|        | 41-6A-1645           | ADVANCED DRIVER ASSIST - REPAIR/CALIBRATION/DISCLOSURE REQ   | IN               | N                    | \$100          | \$0         | Y                  | 0%        |                |                |           |  |
|        | 41-6A-1646           | VIOLATION OF MOTOR VEHICLE GLASS REPAIR REQUIREMENTS         | IN               | N                    | \$100          | \$0         | Y                  | 0%        |                |                |           |  |
|        | 41-6A-1701           | IMPROPER BACKING   | IN               | N                    | \$110          | \$0         | N                  | 35%       | Y              | N              | C         |  |
|        | 41-6A-1701(1)        | BACKING PROHIBITED IF NOT SAFE OR INTERFERING WITH TRAFFIC   | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |  |
|        | 41-6A-1701(2)        | BACKING ON LIMITED ACCESS HIGHWAY                            | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | N              | C         |  |
|        | 41-6A-1702           | DRIVE ON THE SIDEWALK  | IN               | N                    | \$110          | \$0         | N                  | 35%       | Y              | N              | C         |  |
|        | 41-6A-1703           | DRIVE W/PASSENGER IN WRONG PLACE                             | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 41-6A-1704           | IMPROPER OPENING OF VEHICLE DOOR                             | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-6A-1704(1)        | OPENING VEHICLE DOOR TOWARD MOVING TRAFFIC                   | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-6A-1704(2)        | VEHICLE DOOR LEFT OPEN TOWARD MOVING TRAFFIC-EXTENDED TIME   | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-6A-1705           | OBSTRUCTION TO DRIVER'S VIEW                                 | IN               | N                    | \$40           | \$0         | Y                  | 0%        | Y              | N              | C         |  |
|        | 41-6A-1705(1)        | OPERATE VEHICLE < 3 IN FRONT SEAT-OBSTRUCTING VIEW/OPERATION | IN               | N                    | \$40           | \$0         | Y                  | 0%        | Y              | N              | C         |  |
|        | 41-6A-1705(1)(A)     | OBSTRUCT OPERATOR VIEW TO FRONT OR SIDE OF VEHICLE           | IN               | N                    | \$40           | \$0         | Y                  | 0%        | Y              | N              | C         |  |
|        | 41-6A-1705(1)(B)     | INTERFERE W/OPERATORS CONTROL OVER DRIVING MECHANISM OF VEH  | IN               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-6A-1705(2)        | PASSENGER OBST DRIVERS VIEW/UNAUTH PLACE                     | IN               | N                    | \$40           | \$0         | Y                  | 0%        | Y              | N              | C         |  |
|        | 41-6A-1705(2)(A)     | PASSENGER IN VEHICLE INTERFERES WITH OPERATORS VIEW          | IN               | N                    | \$40           | \$0         | Y                  | 0%        | Y              | N              | C         |  |
|        | 41-6A-1705(2)(B)     | PASSENGER IN VEHICLE INTERFERING WITH OPERATORS CONTROL      | IN               | N                    | \$40           | \$0         | Y                  | 0%        | Y              | N              | C         |  |
|        | 41-6A-1706           | OCCUPANCY OF TRAILER/SEMITRAILER WHILE BEING MOVED ON HWY    | IN               | N                    | \$100          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-6A-1706(1)        | OCCUPANCY OF TRAILER/SEMITRAILER WHILE BEING MOVED ON HWY    | IN               | N                    | \$100          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-6A-1707           | ENTER INTERSECTION W/O SUFFICIENT SPACE                      | IN               | N                    | \$110          | \$0         | N                  | 35%       | Y              | N              | C         |  |
|        | 41-6A-1710           | FOLLOWING TOO CLOSE TO ANY AUTHORIZED EMERGENCY VEHICLE      | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
|        | 41-6A-1711           | DRIVE OVER FIREHOSE  | IN               | N                    | \$110          | \$0         | N                  | 35%       | Y              | N              | C         |  |
|        | 41-6A-1712           | LITTERING  | IN               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         | Enhanceable Offense                          |
|        | 41-6A-1712(1)        | ILLEGAL DUMPING  | IN               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |  |
|        | 41-6A-1712(5)        | THROWING LIGHTED MATERIAL FROM VEHICLE                       | IN               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |  |
|        | 41-6A-1712(6)        | FAILURE TO SECURE LOOSE CARGO                                | IN               | N                    | \$280          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 41-6A-1712(2)        | LITTERING - 2ND OR SUBSEQUENT OFFENSE                        | IN               | Y                    | \$580          | \$0         | N                  | 35%       | N              | Y              | C         |  |
|        | 41-6A-1715           | CARELESS DRIVING   | MC               | N                    | \$110          | \$0         | N                  | 35%       | Y              | N              | C         |  |
|        | 41-6A-1715(1)        | CARELESS DRIVING   | MC               | N                    | \$110          | \$0         | N                  | 35%       | Y              | N              | C         |  |
|        | 41-6A-1715(1)(A)     | CARELESS DRIVING >=2 VIOLATIONS IN 3 MILES                   | MC               | N                    | \$110          | \$0         | N                  | 35%       | Y              | N              | C         |  |
|        | 41-6A-1715(1)(B)     | CARELESS DRIVING DISTRACTED BY ACTIVITY OTHER THAN DRIVING   | MC               | N                    | \$110          | \$0         | N                  | 35%       | Y              | N              | C         |  |
|        | 41-6A-1715(1)(B)(I)  | CARELESS DRIVING SEARCHING FOR ITEM IN VEHICLE               | MC               | N                    | \$110          | \$0         | N                  | 35%       | Y              | N              | C         |  |
|        | 41-6A-1715(1)(B)(II) | CARELESS DRIVING ATTENDING TO PERSONAL HYGIENE OR GROOMING   | MC               | N                    | \$110          | \$0         | N                  | 35%       | Y              | N              | C         |  |
|        | 41-6A-1716           | USE HANDHELD DEVICE TO TEXT/EMAIL WHILE OPERATING VEHICLE    | MC               | N                    | \$100          | \$0         | N                  | 35%       | Y              | N              | C         | Enhanceable Offense                          |
|        | 41-6A-1716(2)        | USE HANDHELD DEVICE WHILE OPERATING VEHICLE                  | MC               | N                    | \$100          | \$0         | N                  | 35%       | Y              | N              | C         | Enhanceable Offense                          |
|        | 41-6A-1716(2)(A)     | USE HANDHELD DEVICE TO WRITE/SEND/READ DATA W/OPER VEHICLE   | MC               | N                    | \$100          | \$0         | N                  | 35%       | Y              | N              | C         | Enhanceable Offense                          |
|        | 41-6A-1716(2)(B)     | USE HANDHELD DEVICE TO DIAL WHILE OPERATING VEHICLE          | MC               | N                    | \$100          | \$0         | N                  | 35%       | Y              | N              | C         | Enhanceable Offense                          |
|        | 41-6A-1716(2)(C)     | USE HANDHELD DEVICE TO ACCESS INTERNET W/OPERATING VEHICLE   | MC               | N                    | \$100          | \$0         | N                  | 35%       | Y              | N              | C         | Enhanceable Offense                          |



| Action   | Violation Code        | Description   | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments  |
|----------|-----------------------|---|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---|
|          | 41-6A-1716(2)(D)      | USE HANDHELD DEVICE TO VIEW/RECORD VIDEO WHILE OPERATING VEH          | MC               | N                    | \$100          | \$0         | N                  | 35%       | Y              | N              | C         | Enhanceable Offense   |
|          | 41-6A-1716(4)(A)      | TEXTING OR EMAILING WHILE DRIVING                                     | MC               | Y                    | \$110          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-1716(4)(B)      | TEXT OR EMAIL WHILE DRIVING W/PRIOR OR INJURY                         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | N              | C         |   |
|          | 41-6A-1716(4)(B)(I)   | CAUSE INJURY TO ANOTHER USING HANDHELD DEVICE W/OPERTNG VEH           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | N              | C         |   |
|          | 41-6A-1716(4)(B)(II)  | USE HANDHELD DEVICE W/OPERATING VEHICLE 2ND/SUBS W/IN 3 YRS           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | N              | C         |   |
|          | 41-6A-1717            | SMOKING IN A VEHICLE PROHIBITED WHEN CHILD IS PRESENT                 | IN               | N                    | \$45           | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|          | 41-6A-1803(1)(A)(I)   | FAILURE TO WEAR SEAT BELT OR PROPERLY ADJUST SAFETY BELT              | IN               | N                    | \$45           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|          | 41-6A-1803(1)(A)(II)  | FAILURE TO PROVIDE CHILD RESTRAINT DEVICE < 8 YRS OF AGE              | IN               | N                    | \$45           | \$45        | Y                  | 0%        | Y              | N              | C         | The court shall waive all of the fine for a first violation of Subsection 41-6a-1803(1)(a)(ii) if the person submits proof of acquisition, rental, or purchase of a |
|          | 41-6A-1803(1)(A)(III) | FAILURE TO PROVIDE CHILD RESTRAINT DEVICE 8-16 YRS OF AGE             | IN               | N                    | \$45           | \$45        | Y                  | 0%        | Y              | N              | C         | The court shall waive all of the fine for a first violation of Subsection 41-6a-1803(1)(a)(ii) if the person submits proof of acquisition, rental, or purchase of a |
|          | 41-6A-1803(2)         | FAILURE OF PASSENGER 16 YRS OR OLDER TO WEAR SEAT BELT                | IN               | N                    | \$45           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|          | 41-6A-2003            | UNLAWFUL AUTOMATIC LICENSE PLATE READER USE                           | MB               | Y                    | \$310          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|          | 41-6A-2005            | PRESERVATION OF CAPTURED PLATE DATA VIOLATION                         | MB               | Y                    | \$310          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|          | 41-6A-204             | REQUIRE/KNOWINGLY PERMIT DRIVER TO UNLAWFULLY OPERATE VEHICLE         | IN               | N                    | \$100          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|          | 41-6A-209             | FAILURE TO OBEY OFFICER/FIREFIGHTER/FLAGGER/CROSSING GUARD            | IN               | N                    | \$80           | \$0         | Y                  | 0%        | Y              | Y              | C         |   |
|          | 41-6A-209(1)          | FAILURE TO OBEY OFFICER/FIREFIGHTER/FLAGGER/CROSSING GUARD            | IN               | N                    | \$80           | \$0         | Y                  | 0%        | Y              | Y              | C         |   |
|          | 41-6A-209(1)(A)       | WILLFULLY FAIL OR REFUSE TO OBEY ORDER OF PEACE OFFICER               | IN               | N                    | \$80           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|          | 41-6A-209(1)(B)       | WILLFULLY FAIL OR REFUSE TO OBEY ORDER OF FIREFIGHTER                 | IN               | N                    | \$80           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|          | 41-6A-209(1)(C)       | WILLFULLY FAIL OR REFUSE TO OBEY ORDER OF A FLAGGER                   | IN               | N                    | \$80           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|          | 41-6A-209(1)(D)       | FAIL TO OBEY CROSSING GUARD   | IN               | N                    | \$80           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|          | 41-6A-209(2)(A)       | SPEEDING IN A CONSTRUCTION/MAINTENANCE ZONE                           | IN               | N                    | \$180          | \$0         | N                  | 35%       | Y              | N              | C         | See Speeding Chart for examples of statutory defined adjustments for mph over the limit   |
|          | 41-6A-216             | PROPERTY OWNER TO REMOVE OBSTRUCTIONS                                 | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|          | 41-6A-304             | FAIL TO OBEY TRAFFIC CONTROL DEVICES                                  | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-304(1)          | FAIL TO OBEY TRAFFIC CONTROL DEVICES                                  | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-305             | TRAFFIC CONTROL SIGNAL VIOLATIONS                                     | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-305(2)(A)(II)   | TRAFFIC CONTROL SIGNAL- CIRCULAR GREEN SIGNAL VIOLATION               | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-305(2)(B)       | FAIL TO YIELD TO PEDESTRIAN/TRAFFIC IN CROSSWALK GREEN ARROW          | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-305(4)(A)       | TRAFFIC CONTROL SIGNAL - AT PLACE OTHER THAN INTERSECTION             | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-305(4)(B)       | PEDESTRIAN ENTERING ROADWAY AT STEADY RED SIGNAL                      | IN               | N                    | \$60           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|          | 41-6A-305(4)(C)       | FAILURE TO YIELD - RIGHT TURN ON RED LIGHT                            | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-305(5)          | STOP TO BE MADE AT SIGN/MARKING OR SIGNAL FOR HWY-RAIL LINE           | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-305(6)          | FAIL TO YIELD RIGHT OF WAY @ INOPERABLE SIGNAL                        | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-305(6)(A)       | FAIL TO STOP - ENTERING INTERSECTION @ INOPERABLE SIGNAL              | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-306             | PEDESTRIAN DISOBEYING SEMAPHORE                                       | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|          | 41-6A-307             | OBEDIENCE TO FLASHING SEMAPHORE                                       | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-308             | FAIL TO OBEY LANE USE CONTROL SIGNAL                                  | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-309             | UNAUTHORIZED PLACING OF TRAFFIC CONT DEV                              | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 41-6A-311             | INTERFERE WITH SIGNS/SIGNALS  | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 41-6A-311(1)(A)       | ALTER/DEFACE/KNOCK DOWN/REMOVE TRAFFIC CONTROL DEVICE                 | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 41-6A-311(1)(B)       | ALTER/DEFACE/KNOCK DOWN/REMOVE TRAFFIC MONITORING DEVICE              | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 41-6A-311(1)(C)       | ALTER/DEFACE/KNOCK DOWN/REMOVE RAILROAD TRAFFIC CONTROL DEVICE        | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 41-6A-311(2)(A)       | USE PREEMPTIVE DEVICE TO INTERFERE W/TRAFFIC CONTROL DEVICE           | MC               | N                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 41-6A-311(2)(B)       | OPERATE MOTOR VEHICLE POSSESSING TRAFFIC SIG PREEMPTIVE DEV           | MC               | N                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |   |
| EDIT     | 41-6A-401             | <b>FAIL TO COMPLY DUTIES AT VEHICLE ACCIDENT / PROPERTY DAMAGE</b>    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
| REMOVE   | 41-6A-401.7(1)        | FAIL TO GIVE NAME, ASSISTANCE AT ACCIDENT-INJURY,DEATH,DAMAGE         | MC               | Y                    | \$510          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| REMOVE   | 41-6A-401.7(2)        | FAIL TO REPORT ACCIDENT - INJURY, DEATH, DAMAGE                       | MC               | Y                    | \$510          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| REMOVE   | 41-6A-401.7(3)        | FAILURE TO GIVE NAME/ASST - OWNER INCAPABLE OF GIVING NOTICE          | MC               | Y                    | \$510          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| EDIT     | 41-6A-401.7(4)        | <b>FAIL TO COMPLY ACCIDENT DUTIES - UNATTENDED PROPERTY DAMAGE</b>    | MC               | Y                    | \$510          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| REMOVE   | 41-6A-401(2)          | FAILURE TO REMAIN AT SCENE OF ACCIDENT - DAMAGE ONLY                  | MB               | Y                    | \$610          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
| REMOVE   | 41-6A-401(2)(A)       | ACCIDENT INVOLVING PROPERTY DAMAGE, DUTIES OF OPERATOR - W/ KNOWLEDGE | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
| REMOVE   | 41-6A-401(2)(C)       | DUTY OF OPERATOR AFTER THE LEAVING SCENE - W/ KNOWLEDGE OF ACCIDENT   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
| REMOVE   | 41-6A-401(3)          | FAILURE TO GIVE NAME AND ASSISTANCE AT ACCIDENT -DAMAGE ONLY          | MB               | Y                    | \$610          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| REMOVE   | 41-6A-401(4)          | FAILURE TO REPORT AN ACCIDENT RESULTING IN DAMAGE OF >\$1500          | MB               | Y                    | \$610          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
| REMOVE   | 41-6A-401(5)          | FAIL TO NOTIFY OF ACCIDENT WITH UNATTENDED VEHICLE - DAMAGE           | MB               | Y                    | \$610          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
| EDIT     | 41-6A-402             | <b>FAIL TO MAKE WRITTEN REPORT/ACCIDENT</b>                           | IN               | N                    | \$110          | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|          | 41-6A-403             | PROVIDING FALSE SECURITY INFORMATION TO PEACE OFFICER AT ACC          | MB               | Y                    | \$200          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 41-6A-403(7)          | PROVIDING FALSE SECURITY INFORMATION TO PEACE OFFICER AT ACC          | MB               | Y                    | \$200          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 41-6A-405             | GARAGE KEEPER TO REPORT DAMAGE W/O STICK                              | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 41-6A-407             | ALLOW LIVESTOCK ON HIGHWAY  | IN               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| RENUMBER | 41-6A-502(1)+(2A)     | DRIVING UNDER THE INFLUENCE - 1ST OFFENSE IN 10 YEARS                 | MB               | Y                    | \$1,390        | \$0         | N                  | 90%       | Y              | Y              | S         | See DUI Matrix for sentencing   |
| REMOVE   | 41-6A-502+503(1)      | DRIVING UNDER THE INFLUENCE - 1ST OFFENSE IN 10 YEARS                 | MB               | Y                    | \$1,390        | \$0         | N                  | 90%       | Y              | Y              | S         | See DUI Matrix for sentencing   |
| REMOVE   | 41-6A-502             | DRIVING UNDER THE INFLUENCE OF ALCOHOL/DRUGS                          | MB               | Y                    | \$1,420        | \$0         | N                  | 90%       | Y              | Y              | S         | See DUI Matrix for sentencing   |
|          | 41-6A-502.5           | IMPAIRED DRIVING  | MB               | Y                    | \$1,460        | \$0         | N                  | 90%       | Y              | Y              | S         | See DUI Matrix for sentencing   |
|          | 41-6A-502.5(1)(A)     | IMPAIRED DRIVING  | MB               | Y                    | \$1,460        | \$0         | N                  | 90%       | Y              | Y              | S         | See DUI Matrix for sentencing   |
|          | 41-6A-502.5(1)(B)     | IMPAIRED DRIVING  | MB               | Y                    | \$1,460        | \$0         | N                  | 90%       | Y              | Y              | S         | See DUI Matrix for sentencing   |
|          | 41-6A-502.5(7)        | IMPAIRED DRIVING - DUI COURT  | MB               | Y                    | \$1,460        | \$0         | N                  | 90%       | Y              | Y              | S         | See DUI Matrix for sentencing   |

| Action   | Violation Code         | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments  |
|----------|------------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---|
| REMOVE   | 41-6A-502(1)(A)        | DUI OF ALCOHOL W/BAC AT OR OVER .05                          | MB               | Y                    | \$1,460        | \$0         | N                  | 90%       | Y              | Y              | S         | See DUI Matrix for sentencing   |
| REMOVE   | 41-6A-502(1)(B)        | DUI - ALCOHOL/DRUGS OR COMBO - RENDERS SAFE OPERATION        | MB               | Y                    | \$1,460        | \$0         | N                  | 90%       | Y              | Y              | S         | See DUI Matrix for sentencing   |
| REMOVE   | 41-6A-502(1)(C)        | DUI - BLOOD/BREATH ALCOHOL >= .05 OPERATING OR CONTROL       | MB               | Y                    | \$1,460        | \$0         | N                  | 90%       | Y              | Y              | S         | See DUI Matrix for sentencing   |
| REMOVE   | 41-6A-503(1)           | DRIVING UNDER THE INFLUENCE OF ALCOHOL/DRUGS                 | MB               | Y                    | \$1,390        | \$0         | N                  | 90%       |                | Y              |           |   |
|          | 41-6A-517              | DRIVING WITH MEASURABLE CONTROLLED SUBSTANCE                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | S         |   |
|          | 41-6A-517(2)           | DRIVE WITH MEASURABLE CONTROLLED SUBSTANCE                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | S         |   |
|          | 41-6A-518              | IGNITION INTERLOCK VIOLATION                                 | MC               | Y                    | \$510          | \$0         | N                  | 35%       | Y              | Y              | S         |   |
|          | 41-6A-518.1(2)(A)(I)   | TAMPER WITH IGNITION INTERLOCK DEVICE                        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | S         |   |
|          | 41-6A-518.1(2)(A)(II)  | FURNISH VEHICLE W/OUT IGNITION INTERLOCK TO RESTRICT PERSON  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 41-6A-518.1(2)(A)(III) | BLOW INTO IGNITION INTERLOCK FOR ANOTHER                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | S         |   |
|          | 41-6A-518.1(2)(A)(IV)  | ADVERTISE FOR SALE/OFFER NON CERTIFIED IGNITION INTERLOCK    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 41-6A-518.1(2)(B)(I)   | RENT/LEASE/BORROW VEH W/O IGNITION INTERLOCK                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | S         |   |
|          | 41-6A-518.1(2)(B)(II)  | REQUEST ANOTHER PERSON BLOW INTO IGNITION INTERLOCK SYSTEM   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | S         |   |
|          | 41-6A-518.2            | INTERLOCK RESTRICTED DRIVER OPERATING VEHICLE W/O IL SYSTEM  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | S         |   |
|          | 41-6A-518.2(3)         | INTERLOCK RESTRICTED DRIVER OPERATING VEHICLE W/O IL SYSTEM  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | S         |   |
|          | 41-6A-518(4)(A)        | FAILURE TO INSTALL IGNITION INTERLOCK DEVICE                 | MC               | Y                    | \$510          | \$0         | N                  | 35%       | Y              | Y              | S         |   |
| RENUMBER | 41-6A-520.1(1)+(2A)    | REFUSING A CHEMICAL TEST - 1ST OFFENSE IN 10 YEARS           | MB               | Y                    | \$1,580        | \$0         | N                  | 90%       | Y              | Y              | S         |   |
| REMOVE   | 41-6A-520(7)+503(1)    | REFUSAL - 1ST OFFENSE IN 10 YEARS                            | MB               | Y                    | \$1,490        | \$0         | N                  | 90%       | Y              | Y              | S         |   |
|          | 41-6A-520(8)(B)        | REFUSAL OF CHEMICAL TEST                                     | MB               | Y                    | Statute +\$1   | \$0         | N                  | 90%       | Y              | Y              | S         |   |
|          | 41-6A-526              | OPEN CONTAINER/DRINKING ALCOHOL IN A VEHICLE                 | MC               | N                    | \$110          | \$0         | Y                  | 0%        | N              | Y              | S         |   |
|          | 41-6A-526(2)           | DRINKING ALC IN VEH-DRIVER &/OR PASSENGER                    | MC               | N                    | \$110          | \$0         | Y                  | 0%        | Y              | Y              | S         |   |
|          | 41-6A-526(3)           | OPEN CONTAINER IN VEHICLE ON HIGHWAY                         | MC               | N                    | \$110          | \$0         | Y                  | 0%        | Y              | Y              | S         |   |
|          | 41-6A-528              | RECKLESS DRIVING   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | S         |   |
| EDIT     | 41-6A-530              | ALCOHOL RESTRICTED DRIVER                                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | S         | Recommend credit for treatment and/or probation   |
|          | 41-6A-601              | SPEEDING   | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         | See Speeding Chart for examples of statutory defined adjustments for mph over the limit |
|          | 41-6A-601(3)           | SPEEDING - TOO FAST FOR EXISTING CONDITIONS                  | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-601(4)(A)        | TOO FAST FOR EXISTING CONDITIONS                             | IN               | N                    | \$130          | \$0         | N                  | 35%       |                |                |           |   |
|          | 41-6A-601(4)(B)        | SPEEDING - 100 MILES OR MORE PER HOUR                        | IN               | Y                    | \$315+         | \$0         | N                  | 35%       |                |                |           | See Speeding Chart for examples of statutory defined adjustments for mph over the limit |
|          | 41-6A-604              | SPEEDING IN A SCHOOL ZONE                                    | MC               | Y                    | \$150          | \$0         | N                  | 35%       | Y              | N              | C         | See Speeding Chart for examples of statutory defined adjustments for mph over the limit |
|          | 41-6A-605              | MINIMUM SPEED REGULATIONS VIOLATION                          | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-605(1)           | IMPEDING TRAFFIC   | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-606(3)(A)        | SPEED CONTEST OR EXHIBITION ON HIGHWAY                       | MA               | Y                    | \$750          | \$0         | N                  |           |                |                |           |   |
|          | 41-6A-606(3)(B)        | BARRICADE OR OBSTRUCTION OF HWY                              | MB               | N                    | \$690          | \$0         | N                  | 90%       |                |                |           |   |
| EDIT     | 41-6A-609              | RADAR JAMMING DEV/JAMMING DEVICE PROHIBITED                  | IN               | N                    | \$100          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|          | 41-6A-701              | DRIVE ON WRONG SIDE OF ROADWAY                               | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-701(3)           | OPERATE VEHICLE AT LESS THAN NORMAL SPEED IN RIGHT HAND LANE | IN               | Y                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-702              | LEFT LANE RESTRICTED/VEHICLE OVER 18,000                     | IN               | N                    | \$260          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 41-6A-702(1)(A)        | HIGH OCCUPANCY VEHICLE LANE RESTRICTION                      | IN               | N                    | \$260          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 41-6A-702(1)(B)        | HOV ON AND OFF RAMP LANE VIOLATION                           | IN               | N                    | \$260          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 41-6A-702(2)           | OPERATING RESTRICTED VEHICLES IN LEFT LANE OF FREEWAY        | IN               | N                    | \$260          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 41-6A-703              | IMPROPER PASSING/VEHICLE OPPOSITE DIRECT                     | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-704              | IMPROPER PASSING OF VEHICLE-SAME DIRECTION                   | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-704(1)(A)(I)     | UNLAWFUL PASSING ON LEFT                                     | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-704(1)(A)(II)    | FAILING TO YIELD TO PASSING VEHICLE                          | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-704(2)           | FAIL TO YIELD TO FASTER VEHICLE IN SAME LANE                 | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-704(5)           | UNLAWFUL LANE FILTERING                                      | IN               | N                    | \$130          | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|          | 41-6A-705              | IMPROPER PASSING ON RIGHT OF VEHICLE                         | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-706              | IMPROPER PASSING ON LEFT OF VEHICLE                          | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-706.5            | OPERATE MOTOR VEHICLE NEAR VULNERABLE USER OF A HIGHWAY      | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-706.5(2)(D)      | CAUSE EXCESSIVE EMISSIONS NEAR VULNERABLE USER OF A HIGHWAY  | IN               | Y                    | \$225          | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|          | 41-6A-706.5(3)(B)      | OPERATE MOTOR VEHICLE NEAR VULNERABLE USER OF HWY W/INJURY   | MC               | Y                    | \$500          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-706(1)(B)        | LIMITATION ON PASSING USING ONCOMING TRAFFIC LANE            | IN               | Y                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-707              | DRIVE ON LEFT OF ROAD WHEN PROHIBITED                        | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-707(1)(A)        | LEFT SIDE OF ROAD-PASSING ON HILL OR CURVE                   | IN               | Y                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-708              | FAIL TO OBSERVE NO PASSING ZONE                              | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-709              | WRONG WAY ON ONE WAY STREET                                  | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-710              | IMPROPER USAGE OF LANES                                      | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-710(1)           | FAILURE TO STAY IN ONE LANE                                  | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-710(1)(A)        | FAIL TO OPERATE WITHIN A SINGLE LANE                         | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-710(1)(B)        | IMPROPER LANE CHANGE IN OCCUPIED LANE                        | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-710(2)           | IMPROPER USE OF CENTER LANE                                  | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-710(3)           | FAILURE TO USE DESIGNATED LANE                               | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|          | 41-6A-710(3)(B)        | DISREGARD OF OFFICIAL TRAFFIC CONTROL DEVICE                 | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |   |
| EDIT     | 41-6A-711              | FOLLOWING ANOTHER VEHICLE - CLOSER THAN PRUDENT / SAFE       | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |
| REMOVE   | 41-6A-711(2)           | FOLLOWING ANOTHER VEHICLE - SAFE DISTANCE                    | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              |           |   |
|          | 41-6A-712              | CROSSING HIGHWAY DIVIDER                                     | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |   |

| Action   | Violation Code      | Description   | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments |
|----------|---------------------|---|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|----------|
|          | 41-6A-712(1)        | VEHICLE ON DIVIDED HWY NOT OPERATING IN RIGHT HAND OF ROADWAY | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-712(2)        | CROSSING DIVIDER/BARRIER MEDIAN                               | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-713           | DRIVING OVER GORE OR ISLAND                                   | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-714           | LIMITED ACCESS HIGHWAYS-ENTERING/EXITING                      | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-716           | DRIVE ON TOLLWAY W/O PAYING TOLL                              | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |          |
|          | 41-6A-717           | UNLAWFUL USE OF RUNAWAY RAMP                                  | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
| NEW      | 41-6A-718           | UNLAWFULLY PASSING A SNOWPLOW                                 | IN               | N                    | \$160          | \$0         | N                  | 35%       |                |                | C         |          |
|          | 41-6A-801           | IMPROPER LEFT/RIGHT TURN                                      | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-801(1)        | IMPROPER RIGHT TURN   | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-801(2)        | IMPROPER LEFT TURN  | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-801(3)(A)     | IMPROPER LEFT TURN IN TWO WAY LEFT TURN LANE                  | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-801(3)(B)     | IMPROPER TWO - WAY LEFT TURN                                  | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-801(3)(D)     | IMPROPER TRAVEL IN TWO WAY LEFT TURN LANE                     | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-801(4)        | TURNING IN VIOLATION OF TRAFFIC CONTROL DEVICE                | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-801(4)(B)     | TURNING A VEHICLE IN VIOLATION OF A TRAFFIC-CONTROL DEVICE    | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-802           | IMPROPER U TURN   | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-803           | MOVING A PARKED VEHICLE WHEN UNSAFE                           | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-804           | TURN/STOP/CHANGE LANES W/O SIGNAL                             | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-804(1)        | FAILURE TO SIGNAL   | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-804(1)(A)     | UNSAFE LANE TRAVEL - SIGNAL                                   | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-804(1)(A)(I)  | UNSAFE LANE TRAVEL  | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-804(1)(A)(II) | IMPROPER STOP/TURN SIGNAL                                     | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-804(1)(B)     | FAILURE TO SIGNAL FOR 2 SECONDS                               | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-804(2)        | STOPPING OR SUDDEN DECREASE IN SPEED                          | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-804(4)        | UNLAWFUL SIGNAL FLASHING                                      | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-901           | FAIL TO YIELD RIGHT OF WAY                                    | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-902           | RIGHT OF WAY - STOP OR YIELD SIGN                             | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-902(2)(A)     | RIGHT OF WAY-STOP SIGNS                                       | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-902(2)(B)     | FAILURE TO YIELD AFTER STOP FOR VEHICLE IN INTERSECTION       | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-902(2)(C)     | FAIL TO YIELD TO PEDESTRIAN IN ADJACENT CROSSWALK             | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |          |
|          | 41-6A-902(3)        | RIGHT OF WAY-YIELD SIGNS                                      | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-902(3)(A)     | RIGHT OF WAY-YIELD SIGNS                                      | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-903           | FAIL TO YIELD-VEHICLE TURNING LEFT                            | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-903.1         | RIGHT OF WAY - ZIPPER MERGE                                   | IN               | N                    | \$130          | \$0         | N                  | 35%       |                |                |           |          |
|          | 41-6A-903(1)(A)     | FAIL TO YIELD-VEHICLE TURNING LEFT                            | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-903(1)(B)     | FAIL TO YIELD-ENTER/CROSS HIGHWAY                             | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-903(1)(C)     | FAIL TO YIELD WHEN MERGING                                    | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
| REMOVE   | 41-6A-904           | FAIL TO STOP FOR EMERGENCY VEHICLE/OBEY WARNING LIGHTS        | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |          |
| RENUMBER | 41-6A-904(1)        | FAILURE TO YIELD/STOP FOR APPROACHING EMERGENCY VEHICLE       | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |          |
| REMOVE   | 41-6A-904(1)        | FAIL TO YIELD OR STOP UPON APPROACHING EMERGENCY VEHICLE      | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |          |
| REMOVE   | 41-6A-904(1)(A)     | FAIL TO STOP FOR EMERGENCY VEHICLE                            | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |          |
| RENUMBER | 41-6A-904(2)        | FAIL REDUCE SPEED/MAKE SPACE - STATIONARY EMERGENCY VEHICLE   | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |          |
| REMOVE   | 41-6A-904(2)        | VIOLATE DUTIES OF VEHICLE OPERATOR APPROACHING EMERGENCY VEH  | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |          |
| REMOVE   | 41-6A-904(2)(A)     | FAIL TO REDUCE SPEED WHEN APPROACHING EMERGENCY VEHICLE       | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |          |
| RENUMBER | 41-6A-904(3)        | FAIL REDUCE SPEED/MAKE SPACE - STATIONARY TOW/MAINT VEHICLE   | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |          |
| REMOVE   | 41-6A-904(3)        | FAIL TO REDUCE SPEED WHEN APPR TOW OR HWY MAINTENANCE VEH     | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |          |
| REMOVE   | 41-6A-904(3)(A)     | FAIL TO REDUCE SPEED WHEN APPR HWY MAINTENANCE                | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |          |
| NEW      | 41-6A-904(4)        | FAIL REDUCE SPEED/MAKE SPACE - STATIONARY VEHICLE             | IN               | N                    | \$160          | \$0         | N                  | 35%       |                |                | C         |          |
| RENUMBER | 41-6A-904(5)        | PASS OR FOLLOW/STOP TOO CLOSE TO EMERGENCY VEHICLE            | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |          |
| REMOVE   | 41-6A-904(4)        | FAIL TO CAUTION TO AN AUTHORIZED EMERGENCY VEHICLE            | IN               | N                    | \$160          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-905           | FAIL TO YIELD TO PEDESTRIAN WORKING ON H                      | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-906           | FAIL TO OBEY SIGNS  | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-6A-907           | UNSAFE EMERGENCE FROM ALLEY/DRIVEWAY                          | IN               | N                    | \$130          | \$0         | N                  | 35%       | Y              | N              | C         |          |
|          | 41-8-1              | OPERATING VEHICLE BY PERSONS UNDER 16                         | IN               | N                    | \$120          | \$0         | N                  | 35%       | N              | N              | C         |          |
|          | 41-8-2              | PERSON UNDER 17 OPERATING VEHICLE DURING NIGHT HOURS          | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |          |
|          | 41-8-2(1)           | PERSON UNDER 17 OPERATING VEHICLE BETWEEN 12 AM AND 5 AM      | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |          |
|          | 41-8-3              | OPERATION OF VEHICLE BY PERSON UNDER 16 1/2 YEARS             | IN               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |          |
|          | 41-8-4              | UNDER 18 USING WIRELESS PHONE WHILE OPERATING VEHICLE         | IN               | Y                    | \$25           | \$0         | Y                  | 0%        | N              | N              | C         |          |
|          | 42-3-5              | FARM NAME VIOLATION   | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |          |
|          | 46-1-16(10)(A)      | UNLAWFUL USE OF ELECTRONIC NOTARY SIGNATURE OR SEAL           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 46-1-17(1)          | UNLAWFUL VENDING OF A NOTARY SEAL                             | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 46-1-18(2)(C)       | EMPLOYER W/KNOWLEDGE/CONSENT/PERMIT MISCONDUCT OF NOTARY      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 46-1-18(3)(A)       | UNLAWFUL USE OF NOTARY SEAL                                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 46-1-18(3)(B)       | UNLAWFUL SOLICITATION OF NOTARY BY EMPLOYER                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |          |
|          | 52-3-3              | PUBLIC OFFICER EMPLOYMENT OF RELATIVES PROHIBITED             | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |          |
|          | 52-4-209(8)(B)      | GIVE FALSE IDENTITY DURING ELECTRONIC MEETING                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 53-10-108           | KNOWING, INTENTIONAL ACCESS DISSEMINATE CITS DIVISION RECORD  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 53-10-108(12)(A)    | KNOWING, INTENTIONAL ACCESS DISSEMINATE CITS DIVISION RECORD  | MB               | Y                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |          |
|          | 53-10-111           | REFUSE TO PROVIDE OR FALSE INFORMATION                        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |          |



| Action | Violation Code         | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments  |
|--------|------------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---|
|        | 53-10-406(12)(A)(IV)   | FAIL TO DESTROY/ENSURE DESTRUCTION OF DNA INFORMATION              | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                |           |   |
|        | 53-18-103(6)(A)        | INTERNET POSTING OF PERSONAL INFORMATION OF LAW ENFORCEMENT        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 53-18-103(6)(B)        | INTERNET POSTING OF PERSONAL INFORMATION OF LAW ENFORCEMENT        | MB               | Y                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| ADD    | 53-2D-602.1            | UNLAWFUL USE OF "911" IN NAME                                      | MC               | N                    | \$350          | \$0         | N                  | 35%       |                |                | C         |   |
| ADD    | 53-2D-808              | TAMPERING WITH AED PROHIBITED                                      | MC               | Y                    | \$350          | \$0         | N                  | 35%       |                |                | C         |   |
|        | 53-3-109               | KNOWING, INTENTIONAL ACCESS, DISSEMINATE DLD RECORD UNLAWFUL       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 53-3-202               | NO VALID LICENSE - NEVER OBTAINED LICENSE                          | IN               | Y                    | \$200          | \$0         | Y                  | 0%        | Y              | Y              | C         |   |
|        | 53-3-202(1)            | NO VALID LICENSE - NEVER OBTAINED LICENSE                          | IN               | Y                    | \$200          | \$0         | Y                  | 0%        | Y              | Y              | C         |   |
|        | 53-3-202(1)(A)         | NO VALID LICENSE - EXPIRED   | IN               | N                    | \$50           | \$10        | Y                  | 0%        | Y              | Y              | C         |   |
|        | 53-3-202(1)(F)         | VIOLATION OF LEARNER'S PERMIT                                      | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | Y              | C         |   |
|        | 53-3-202(2)            | DRIVE OR BE IN PHYSICAL CONTROL OF TOWED VEHICLE ON HIGHWAY        | IN               | Y                    | \$200          | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|        | 53-3-202(3)(A)         | DRIVING AS TAXI DRIVER WITHOUT CLASS D ENDORSEMENT                 | IN               | N                    | \$200          | \$0         | Y                  | 0%        | Y              | Y              | C         |   |
|        | 53-3-202(3)(B)(I)      | DRIVE AS PRIVATE PASSENGER CARRIER W/OUT TAXICAB ENDORSEMENT       | IN               | N                    | \$200          | \$0         | Y                  | 0%        | Y              | Y              | C         |   |
|        | 53-3-202(3)(B)(II)     | DRIVING AS PRIVATE PASSENGER CARRIER WITHOUT CDL ENDORSEMENT       | IN               | N                    | \$200          | \$0         | Y                  | 0%        | Y              | Y              | C         |   |
|        | 53-3-202(3)(B)(III)(B) | DRIVING AS PRIVATE PASSENGER CARRIER WITHOUT PASSENGER ENDORSEMENT | IN               | N                    | \$200          | \$0         | Y                  | 0%        | Y              | Y              | C         |   |
|        | 53-3-202(3)(B)(III)(C) | DRIVE AS PRIVATE PASSENGER CARRIER W/O SCHOOL BUS ENDORSEMENT      | IN               | N                    | \$200          | \$0         | Y                  | 0%        | Y              | Y              | C         |   |
|        | 53-3-202(4)            | OPERATE MOTORCYCLE, ATV, OR CYCLE WITHOUT VALID LIC, ENDORSE       | IN               | Y                    | \$260          | \$0         | Y                  | 0%        | Y              | Y              | C         |   |
|        | 53-3-202(4)(A)         | OPERATE MOTORCYCLE, ATV OR CYCLE WITHOUT VALID LIC, ENDORSE        | IN               | Y                    | \$260          | \$0         | Y                  | 0%        | Y              | Y              | C         |   |
|        | 53-3-203               | ALLOWING UNLICENSED PERSON TO DRIVE                                | IN               | N                    | \$90           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 53-3-205               | LICENSE APPLICATION VIOLATION                                      | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|        | 53-3-207               | DRIVE W/ WRONG CLASS OF LICENSE                                    | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 53-3-208               | VIOLATION OF RESTRICTED LICENSE                                    | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|        | 53-3-210.6(3)          | MOTORCYCLE LEARNER PERMIT VIOLATION                                | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|        | 53-3-210.6(3)(A)(I)    | MOTORCYCLE LEARNER PERMIT VIOL - HWY, SPEED, HOURS                 | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|        | 53-3-210.6(3)(A)(II)   | MOTORCYCLE PERMIT VIOLATION - PASSENGER                            | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|        | 53-3-210.6(3)(A)(III)  | MOTORCYCLE PERMIT VIOLATION - HOURS OF DAY                         | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|        | 53-3-213               | DRIVE VEHICLE W/O PROPER CLASS LICENSE                             | IN               | N                    | \$90           | \$10        | Y                  | 0%        | N              | N              | C         | \$10 suspended upon compliance.   |
|        | 53-3-216               | FAIL TO NOTIFY OF ADDRESS CHANGE                                   | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 53-3-216(1)            | CHANGE OF ADDRESS  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|        | 53-3-217               | NO DRIVERS LICENSE IN POSSESSION                                   | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | Y              | C         | May be dismissed upon proof of valid license at time of citation or arrest.                           |
|        | 53-3-217(1)(A)         | NO DRIVER LICENSE IN POSSESSION DRIVING A MOTOR VEHICLE            | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | Y              | C         | May be dismissed upon proof of valid license at time of citation or arrest.                           |
|        | 53-3-217(1)(B)         | FAILURE TO PROVIDE DRIVER LICENSE UPON DEMAND OF AN OFFICER        | IN               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | Y              | C         | May be dismissed upon proof of valid license at time of citation or arrest.                           |
|        | 53-3-227               | DRIVE ON DENIED LICENSE  | MC               | Y                    | \$125          | \$0         | Y                  | 0%        | Y              | Y              | C         | May be dismissed upon proof of valid license at time of citation or arrest.                           |
|        | 53-3-227(1)            | DRIVE ON SUSPENDED OR REVOKE LICENSE                               | MC               | Y                    | \$340          | \$0         | Y                  | 0%        | Y              | Y              | C         | May be dismissed upon proof of valid license at time of citation or arrest.                           |
| EDIT   | 53-3-227(3)(A)         | DRIVE ON SUSP/REVO/DISQ LICENSE FOR QUALIFYING CIRCUMSTANCE        | MB               | Y                    | \$750          | \$0         | Y                  | 0%        | Y              | Y              | C         | May be dismissed upon proof of valid license at time of citation or arrest.                           |
|        | 53-3-229(1)            | PROHIBITED USES OF DRIVER LICENSE CERTIFICATE                      | MC               | Y                    | \$220          | \$0         | N                  | 35%       | Y              | Y              | C         |   |
|        | 53-3-229(1)(A)         | LEND OR PERMIT USE OF OWN LICENSE TO PERSON NOT ENTITLED           | MC               | Y                    | \$220          | \$0         | N                  | 35%       | Y              | N              | C         |   |
|        | 53-3-229(1)(B)         | DISPLAY/REPRESENT LICENSE AS ONE'S OWN NOT ISSUED TO PERSON        | MC               | Y                    | \$220          | \$0         | N                  | 35%       | Y              | Y              | C         |   |
|        | 53-3-229(1)(C)         | REFUSE TO SURRENDER LIC TO DLD OR PEACE OFFICER ON DEMAND          | MC               | Y                    | \$220          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 53-3-229(1)(D)         | FALSIFY OR COMMIT FRAUD IN APPL FOR LIC OR RENEWAL OF LIC          | MC               | Y                    | \$220          | \$0         | N                  | 35%       | Y              | Y              | C         |   |
|        | 53-3-229(1)(F)         | NOT AN AUTHENTIC DRIVER LICENSE                                    | MC               | Y                    | \$220          | \$0         | N                  | 35%       | Y              | Y              | C         |   |
|        | 53-3-229(1)(G)         | ALTER AUTHENTIC LICENSE TO MISREPRESENT ORIGINAL INFORMATION       | MC               | Y                    | \$220          | \$0         | N                  | 35%       | Y              | Y              | C         |   |
| REMOVE | 53-3-231(2)(A)         | PERSON UNDER 21 WITH ALCOHOL IN BODY                               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|        | 53-3-305               | NOTICE TO DL OF IMPAIRED PERSON W/INTENT TO ANNOY, HARASS, ETC.    | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|        | 53-3-305(5)            | NOTIFY OF IMPAIRMENT WITH INTENT TO ANNOY, HARASS SUBJECT          | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|        | 53-3-404               | NO COMMERCIAL DRIVER LICENSE                                       | IN               | N                    | \$310          | \$0         | Y                  | 0%        | Y              | Y              | C         | May be dismissed upon proof of valid CDL or CDIP license certification at time of citation or arrest. |
|        | 53-3-404(1)            | NO COMMERCIAL DRIVER LICENSE ISSUED OR IN POSSESSION               | MC               | N                    | \$310          | \$0         | Y                  | 0%        | Y              | Y              | C         | May be dismissed upon proof of valid CDL or CDIP license certification at time of citation or arrest. |
|        | 53-3-404(2)            | LICENSEE TO DISPLAY A CDL OR CDIP LIC UPON DEMAND OF OFFICER       | MC               | N                    | \$200          | \$0         | Y                  | 0%        | Y              | N              | C         | May be dismissed upon proof of valid CDL or CDIP license certification at time of citation or arrest. |
|        | 53-3-404(3)            | DRIVING ON SUSPENDED, REVOKED, OR CANCELED CDL                     | MC               | Y                    | \$210          | \$0         | Y                  | 0%        | Y              | Y              | C         | May be dismissed upon proof of valid CDL or CDIP license certification at time of citation or arrest. |
|        | 53-3-404(3)(A)         | DRIVING ON SUSPENDED, REVOKED, OR CANCELED CDL                     | MC               | Y                    | \$310          | \$0         | Y                  | 0%        | Y              | Y              | C         | May be dismissed upon proof of valid CDL or CDIP license certification at time of citation or arrest. |
|        | 53-3-404(3)(B)         | DRIVING COMMERCIAL VEHICLE WHILE DISQUALIFIED                      | MC               | N                    | \$310          | \$0         | Y                  | 0%        | Y              | N              | C         | May be dismissed upon proof of valid CDL or CDIP license certification at time of citation or arrest. |
|        | 53-3-404(3)(C)         | DRIVING WHILE OUT-OF-SERVICE ORDER IN EFFECT                       | MC               | N                    | \$200          | \$0         | Y                  | 0%        | Y              | N              | C         | May be dismissed upon proof of valid CDL or CDIP license certification at time of citation or arrest. |
|        | 53-3-404(4)            | DRIVE A CMV WHEN VEHICLE IS SUBJECT TO OUT OF SERVICE ORDER        | MC               | N                    | \$200          | \$0         | Y                  | 0%        | Y              | N              | C         | May be dismissed upon proof of valid CDL or CDIP license certification at time of citation or arrest. |
| EDIT   | 53-3-406               | MORE THAN ONE COMMERCIAL LICENSE                                   | MB               | N                    | \$420          | \$0         | N                  | 90%       | Y              | N              | C         |   |
|        | 53-3-412               | CDL CLASSIFICATION ENDORSEMENT AND RESTRICTION VIOLATION           | IN               | N                    | \$160          | \$0         | Y                  | 0%        | Y              | N              | C         |   |
|        | 53-3-810               | PROHIBITED USES OF IDENTIFICATION CARD                             | MC               | Y                    | \$220          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 53-3-810(1)            | PROHIBITED USES OF IDENTIFICATION CARD                             | MC               | Y                    | \$220          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 53-3-810(3)            | USE FALSE, ALTERED ID TO OBTAIN ALC, ADMITTANCE, OR EMPLOY         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| EDIT   | 53-5-704(15)           | PROVIDES FALSE INFO ON CONCEALED WEAPON PERMIT APPLICATION         | MB               | Y                    | \$680          | \$0         | N                  | 90%       | N              | N              | S         |   |
|        | 53-7-206               | NON-STANDARD FIRE EQUIPMENT VIOLATION                              | MB               | Y                    | \$670          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 53-7-207               | SELLING OR OFFERING NON-STANDARD FIRE EQUIPMENT                    | MB               | Y                    | \$670          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 53-7-216               | SERVICE FIRE EXTINGUISHERS W/O LICENSE                             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |

| Action | Violation Code       | Description   | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments                                      |
|--------|----------------------|---|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---|
|        | 53-7-222             | UNAUTHORIZED SALE/USE OF FIREWORKS                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 53-7-222(1)(A)       | UNLAWFUL POSSESSION, DISCHARGE, SALE OF CLASS C FIREWORKS     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|        | 53-7-222(2)          | UNCLASSIFIED FIREWORKS SOLD OR OFFERED FOR SALE               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|        | 53-7-223             | UNLAW PURCHASE/POSSESSION OF FIREWORKS                        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 53-7-225             | TIMES FOR SALE AND DISCHARGE OF FIREWORKS                     | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | N              | C         |   |
|        | 53-7-225(3)          | TIMES FOR DISCHARGE OF FIREWORKS                              | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | N              | C         |   |
|        | 53-7-226             | UNLAW PURCHASE/POSSESSION OF FIREWORKS                        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 53-7-226(5)          | SALE/STORE/HANDLE FIREWORKS W/O PERMIT                        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|        | 53-7-226(6)          | RETAIL SALE/TRANSPORT/POSSESS/DISCHARGE CLASS C EXPLOSIVE     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 53-7-308             | ENGAGE IN LPG BUSINESS W/O A LICENSE                          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 53-7-312             | FAIL TO OBTAIN REVIEW INSPECTION LPG FAC                      | MB               | N                    | \$1,150        | \$0         | N                  | 90%       | N              | N              | C         |   |
|        | 53-8-205(1)(A)       | SAFETY INSP REQ ON APPLICATION FOR SALVAGE VEHICLE REG        | IN               | N                    | \$50           | \$10        | Y                  | 0%        | N              | N              | C         | \$20 Credit can be given upon proof of safety |
|        | 53-8-205(1)(B)       | SAFETY INSP REQ ON 1ST TIME STREET LEGAL ATV                  | IN               | N                    | \$60           | \$10        | Y                  | 0%        | N              | N              | C         | \$20 Credit can be given upon proof of safety |
|        | 53-8-205(1)(C)       | SAFETY INSP REQ ON COMMERCIAL VEH                             | IN               | N                    | \$50           | \$10        | Y                  | 0%        | N              | N              | C         | \$20 Credit can be given upon proof of safety |
|        | 53-8-206             | SAFETY INSPECTION STATION REQUIREMENTS                        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 53-8-207             | PRETEND TO BE OFFICIAL SAFETY STATION                         | MB               | N                    | \$310          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 53-8-208             | FRAUDULENT INSPECTION   | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 53-8-209             | INSPECTION BY PEACE OFFICER                                   | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 53B-17-304           | USE OF DEAD BODIES FOR SCIENCE VIOLATION                      | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|        | 53B-3-107            | VIOLATE TRAFFIC REGULATIONS AT STATE INSTITUTION/HIGHER ED    | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |   |
|        | 53B-3-108            | FAILURE TO ENFORCE REGULATIONS AT INSTITUTIONS                | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|        | 53C-2-301            | TRESPASSING ON TRUST LANDS                                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 53C-2-301(1)(F)      | TRESPASSING ON TRUST LANDS                                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 53C-2-301(1)(G)      | TRESPASSES UPON, USES, WASTE, DUMPS OR OCCUPIES TRUST LAND    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 53C-2-301(4)(D)      | ILLEGAL ACTIVITIES ON TRUST LAND DAMAGES/LOSS < \$500         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 53E-4-407            | BOARD MEMBER RECEIVING MONEY VIOLATION                        | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 53G-6-202(5)         | PARENT FAILS TO ENROLL SCHOOL AGE MINOR IN SCHOOL             | MB               | Y                    | \$390          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 53G-6-202(6)         | PARENT FAILS TO ACT ON COMPULSORY EDUCATION                   | MB               | Y                    | \$390          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| EDIT   | 53G-8-602            | POSS/CONS ALC BEV AT SCHOOL/SCHOOL ACTIVITY                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 53G-8-603            | CRIMINAL TRESPASS UPON SCHOOL PROPERTY                        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 54-3-21              | FAILURE TO DIVULGE PUBLIC INFORMATION PROPERLY                | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|        | 54-5-4               | USE OF PUBLIC UTILITIES WHILE SUSPENDED                       | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|        | 55-5a-3              | PERMIT VIOLATION TO SELL BLIND-MADE PRODUCTS OR SERVICES      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 56-1-12              | RAILROAD INJURY OF LIVESTOCK NOT REPORTED W/IN 3 DAYS         | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|        | 56-1-14              | LOCOMOTIVE TO SOUND BELL WHILE CROSSING GRADE                 | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|        | 56-1-16              | RAILROAD TO MAINTAIN SCHEDULE                                 | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|        | 56-1-29              | REMOVAL OR IMPROPER USE OF FIRST AID ON LOCOMOTIVE            | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|        | 57-11-5              | LAND SALES VIOLATION  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 58-31B-501           | UNLAWFUL CONDUCT NURSING LICENSE                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 58-37-3.9(3)(C)      | CARDHOLDER SMOKING CANNABIS                                   | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 58-37-6(10)          | MEDICAL RESEARCHER NOT TO PRESCRIBE, DISPENSE CONTROL SUBST   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         | Enhanceable Offense                           |
|        | 58-37-6(7)(I)        | LICENSED PRACTITIONER DISPENSE C/S TO CHILD W/OUT CONSENT     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | Enhanceable Offense                           |
|        | 58-37-6(7)(J)        | LICENSED PRACTITIONER ADMINISTERS C/S IN EXCESS QUANTITY      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | Enhanceable Offense                           |
|        | 58-37-6(7)(K)        | LIC PRACT NOT TO DISPENSE CONTROLLED SUB KNOWING ID IS FALSE  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         | Enhanceable Offense                           |
|        | 58-37-7              | VIOLATION OF LABELING / PACKAGING CONTROLLED SUBSTANCE        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|        | 58-37-7(4)           | ALTER OR REMOVE LABEL OF CONTROLLED SUBSTANCE                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|        | 58-37-7(5)(A)        | MIXED CONTROLLED SUBSTANCE IN A CONTAINER                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|        | 58-37-8(1)(A)(I)     | KNOWINGLY PRODUCE/DISPENSE/MANUFACTURE CONTROLLED SUBSTANCE   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         | Enhanceable Offense                           |
|        | 58-37-8(1)(A)(II)    | DISTRIBUTE/OFFER/ARRANGE DISTRIBUTION OF CONTROLLED SUBSTANCE | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         | Enhanceable Offense                           |
|        | 58-37-8(2)(A)(I)     | POSSESSION OR USE OF A CONTROLLED SUBSTANCE                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         | Enhanceable Offense                           |
|        | 58-37-8(2)(A)(II)    | KNOWINGLY BEING PRESENT WHEN CONTROLLED SUBSTANCE IS USED     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         | Enhanceable Offense                           |
|        | 58-37-8(2)(A)(III)   | POSSESSION OF AN ALTERED OR FORGED RX                         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         | Enhanceable Offense                           |
|        | 58-37-8(2)(D)        | POSSESSION OF CONTROLLED SUBSTANCE MARIJUANA/SPICE            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         | Enhanceable Offense                           |
|        | 58-37-8(2)(E)        | POSSESSION OF C/S WITHIN A CORRECTIONAL FACILITY              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         | Enhanceable Offense                           |
|        | 58-37A-5(1)          | USE OR POSSESSION OF DRUG PARAPHERNALIA                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|        | 58-37A-5(1)(A)       | USE OR POSSESSION OF DRUG PARAPHERNALIA                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|        | 58-37A-5(4)          | UNLAW TO ADVERTISE DRUG PARAPHERNALIA                         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|        | 58-37A-5(4)(A)       | UNLAW TO ADVERTISE DRUG PARAPHERNALIA                         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|        | 58-37B-6             | USE OF IMITATION CONTROLLED SUBSTANCE                         | MC               | Y                    | \$350          | \$0         | N                  | 35%       | Y              | Y              | C         |   |
|        | 58-37C-18            | REC-KEEPING FOR SALE OF CRYSTAL IODINE                        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|        | 58-37C-19.5(5)       | UNLAWFUL SALE OR DISTRIBUTION OF IODINE MATRIX                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 58-37C-19(1)         | UNLAWFUL SALE OF CRYSTAL IODINE BY LICENSED PERSON            | MB               | Y                    | \$1,960        | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 58-37C-20.5(6)       | ILLEGAL RELEASE/MODIFICATION OF PSEUDOEPHEDRINE LOG           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|        | 58-37C-20.5(7)       | PURCHASE EXCESS EPHEDRINE, PSEUDOEPHEDRINE                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|        | 58-37F-601(1)(B)(I)  | NEGLIGENT RELEASE-STATE/FED INFO OPIOID PRESCRIPT DATABASE    | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 58-37F-601(1)(B)(II) | ELECTRONICALLY ACCESS INFO-OPIOID PRESCRIPTION DATABASE       | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 58-3A-501            | UNLAWFUL CONDUCT/ARCHITECT                                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 58-50-4              | PRIVATE PROBATION PROVIDER W/O LICENSE                        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|        | 58-55-301            | CONTRACTING W/O A LICENSE                                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |

| Action   | Violation Code       | Description   | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments                                  |
|----------|----------------------|---|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---|
|          | 58-55-305(2)         | CONSTRUCTION-CONTRACTING W/O A LIC                                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 58-55-501(13)        | THEFT BY CONTRACTOR FOR TAKING MONEY W/OUT PROVIDING SERVICE          | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 58-55-501(16)(A)     | LICENSED CONTRACTOR DELIBERATE DISREGARD OF BLDG/CONST LAWS           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 58-55-501(16)(D)     | LICENSED CONTRACTOR WILLFUL DISREGARD OF WORKERS COMP LAWS            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 58-55-501(8)         | SUBMITTING A BID WITHOUT A LICENSE                                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 58-55-503(2)         | FAILURE TO PAY A SUBCONTRACTOR  | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 58-9-607(4)          | REMOVAL OF ITEMS FROM HUMAN REMAINS                                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 59-13-320            | FUEL TAX VIOLATION - NO SPECIAL FUEL PERMIT                           | MB               | N                    | \$110          | \$20        | Y                  | 0%        | N              | N              | C         | \$20 suspended with proof of valid permit |
|          | 59-14-201(1)         | FAILURE TO OBTAIN LIC TO SELL CIGARETTES                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 59-14-208            | STAMPING AND PACKAGING PROCEDURE VIOLATION                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 59-14-211            | DEAL WITH PROHIB CIGS - PRIV RIGHT OF ACT                             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 59-14-214            | FAIL TO TIMELY FILE REPORT OR FILES FALSE, MISLEADING INFO            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 59-14-407            | FAIL TO TIMELY FILE REPORT OR FILES FALSE, MISLEADING INFO            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 59-14-606            | FAIL TO TIMELY FILE REPORT OR FILES FALSE, MISLEADING INFO            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 59-14-803(1)         | SELL/OFFER/DISTRIBUTE ELECTRONIC CIGARETTE W/OUT LICENSE              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| RENUMBER | 26B-5-321            | ABDUCTION OF MENTAL HEALTH PATIENT                                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| REMOVE   | 62A-15-622           | ABDUCTION OF MENTAL HEALTH PAT  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| RENUMBER | 26B-5-312            | UNLAWFUL DISCLOSURE OF MENTAL HEALTH INFORMATION                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| REMOVE   | 62A-15-643           | UNLAWFUL DISCLOSURE OF MENTAL HEALTH INFORMATION                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| RENUMBER | 26B-6-205(1)         | FAIL TO REPORT SUSPECTED ABUSE/NEGLECT/EXPLOIT OF VULN ADULT          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| REMOVE   | 62A-3-305(1)         | FAIL TO REPORT SUSPECTED ABUSE/NEGLECT/EXPLOIT OF VULN ADULT          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| RENUMBER | 26B-6-205(10)        | INTIMIDATE VULNERABLE ADULT ASSISTING IN INVESTIGATION                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| REMOVE   | 62A-3-305(5)         | INTIMIDATE VULN ADULT OR PERSON COOPERATING IN INVESTIGATION          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| REMOVE   | 62A-4A-206(7)        | TAKE ACTION AGAINST LIC OF FOSTER PARENT OR REMOVE FROM FOST          | IN               | N                    | \$620          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| RENUMBER | 26B-6-805(1)         | INTERFERING WITH THE RIGHTS OF A DISABLED PERSON                      | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| REMOVE   | 62A-5B-106(1)        | INTERFERING WITH THE RIGHTS OF A DISABLED PERSON                      | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| RENUMBER | 26B-6-805(2)         | KNOWINGLY MISREPRESENTING ANIMAL AS A SERVICE ANIMAL                  | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| REMOVE   | 62A-5B-106(2)        | KNOWINGLY MISREPRESENTING ANIMAL AS A SERVICE ANIMAL                  | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
| REMOVE   | 62A-7-106.5(2)       | NON-COMPLIANCE WITH DIVISION STANDARDS                                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 63A-12-105           | MUTIL/DEST/DISPOSE OF RECORD CONTRARY TO GOVT RETENT SCHEDULE         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 63A-5B-1103          | MAKING KEYS TO A PUBLIC, POLITICAL, COLLEGE, OR UNIV W/OUT PERMISSION | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 63C-9-301            | VIOLATION OF A RULE RELATING TO THE USE OF THE CAPITOL HILL           | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 63C-9-403(4)         | CONTRACTOR CIRCUMVENT HEALTH INS COVERAGE REQ                         | IN               | N                    | \$750          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 63G-12-211(4)        | FURNISH FALSE OR FORGED INFORMATION, DOCUMENTS FOR APP                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 63G-2-801(1)         | INTENTIONALLY DISCLOSE PRIVATE, CONTROLLED RECORD                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 63G-2-801(2)         | FALSELY OBTAIN ACCESS TO RECORDS NOT LEGALLY ENTITLED TO              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 63G-2-801(3)(A)      | PUBLIC EMPLOYEE REFUSAL TO RELEASE RECORD REQUIRED BY LAW             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 63G-2-801(3)(C)      | PUBLIC EMPLOYEE REFUSAL TO RELEASE RECORD BY FINAL ORDER              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 63G-24-103           | PROVIDE/DISCLOSE PROTECTED PERSONAL INFORMATION                       | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 63G-6A-2404(4)(D)    | GIVE/OFFER/PROMISE OR RECEIVE A GRATUITY OR KICKBACK OF <\$10         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 63G-6A-408(8)(A)     | KNOWINGLY DIVIDE PROCUREMENT IN ONE/MORE SMALLER PROCUREMENT          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 63G-6A-408(8)(A)(I)  | DIVIDE PROCUREMENT TO QUALIFY AS A SMALL PURCHASE                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 63G-6A-408(8)(A)(II) | DIVIDE PROCUREMENT TO MEET THRESHOLD ESTABLISHED BY RULE              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 63G-6A-408(8)(B)(IV) | DIVIDE PROCUREMENT-VALUE BEFORE IS <\$100,000                         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 63M-7-510(2)         | FRAUDULENT CRIME VICTIM REPARATIONS CLAIM < \$500                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 65A-14-301           | ECONOMIC BENEFITS OF BIOPROSPECTING DENIED (CRIMINAL TRESPASS         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 65A-3-1-C            | TRESPASSING ON STATE LANDS (CAMPING AND MOTORIZED)                    | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 65A-3-1-O            | TRESPASSING ON STATE LANDS (OTHER)                                    | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 65A-3-1(2)           | TRESPASSING ON STATE LANDS  | MB               | Y                    | \$593          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 65A-3-1(2)(A)        | UNAUTHORIZED REMOVE,EXTRACT,USE,CONS OR DESTROYS RESOURCES            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 65A-3-1(2)(B)        | WITHOUT WRITTEN AUTHORIZATION: GRAZE LIVESTOCK ON STATE LAND          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 65A-3-1(2)(C)        | WITHOUT WRITTEN AUTHORIZATION: USES, OCCUPIES, CONSTRUCTS             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 65A-3-1(2)(D)        | USE OCCUPY STATE LANDS FOR MORE THAN 30 DAYS BEYOND CANCEL            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 65A-3-1(2)(E)        | NO WRITTEN AUTH: KNOWING AND WILLFUL USE STATE LAND FOR GAIN          | MB               | Y                    | \$1,080        | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 65A-3-1(2)(F)        | APPROP/DEST HISTORIC, ARCHEO- OR PALEONTOLOGICAL RESOURCES            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 65A-3-1(2)(G)        | START CAMPFIRE/CAMP ON NAVIGABLE LAKE OR RIVER                        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 65A-3-1(2)(H)        | CAMPS ON STATE LANDS OR DESIGNATED AREAS                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 65A-3-1(2)(I)        | CAMPS ON STATE LANDS > 15 DAYS WITHIN 1 MILE                          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 65A-3-1(2)(J)        | CAMPS ON STATE LAND FOR 15 DAYS-RETURN TO LOCATION > 15 DAYS          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 65A-3-1(2)(K)        | FAIL OF PASSENGER 16 YRS OR OLDER, TO WEAR SEAT BELT                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 65A-3-1(2)(L)        | PARK OR OPERATE VEHIC ON NAVIGABLE LAKE OR RIVER BED                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 65A-3-1(3)           | UNLAWFUL VEHICLE USE, CAMPING ON BEAR LAKE EXPOSED LAKE BED           | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 65A-3-1(3)(A)        | UNLAWFUL MOTOR VEHICLE USE, CAMPING, FIREWORKS AT BEAR LAKE           | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 65A-3-1(3)(B)        | UNLAWFUL MOTOR VEHICLE USE, CAMPING, FIREWORKS AT BEAR LAKE           | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 65A-3-2.5            | RECKLESSLY OPERATE UNMANNED AIRCRAFT                                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 65A-3-2(1)           | PROHIBITED ACTS ON STATE LANDS  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 65A-3-2(1)(A)        | THROW/PLACE A GLOWING/FLAMING/LIGHTED ITEM ON HWY/WILDLAND            | MB               | Y                    | \$1,080        | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 65A-3-2(1)(B)        | OBSTRUCT STATE FORESTER OR DEPUTY IN PERFORMING FIRE CONTROL          | MB               | Y                    | \$1,080        | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 65A-3-2(1)(C)        | REFUSE TO ASSIST IN CONTROLLING FIRE WITHOUT GOOD REASON              | MB               | Y                    | \$1,080        | \$0         | N                  | 90%       | N              | N              | C         |   |

| Action   | Violation Code          | Description   | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments  |
|----------|-------------------------|---|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---|
|          | 65A-3-2(1)(D)           | FIRE ANY TRACER OR INCENDIARY AMMUNITION                                | MB               | Y                    | \$1,080        | \$0         | N                  | 90%       | N              | Y              | C         |   |
| REMOVE   | 65A-8-211               | BURNING DURING CLOSED FIRE SEASON                                       | MB               | Y                    | \$1,080        | \$0         | N                  | 90%       | N              | Y              | C         |   |
| REMOVE   | 65A-8-211(2)            | BURN WITHOUT PERMIT   | MB               | Y                    | \$1,080        | \$0         | N                  | 90%       | N              | N              | C         |   |
| RENUMBER | 65A-8-211(3)            | UNLAWFUL BURN DURING CLOSED FIRE SEASON                                 | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| RENUMBER | 65A-8-211(4)            | UNLAWFUL BURN DURING RED FLAG WARNING                                   | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| REMOVE   | 65A-8-211(6)            | FAILURE TO NOTIFY FIRE DEPT OF BURN                                     | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
| RENUMBER | 65A-8-211(8)(B)         | FAILURE TO NOTIFY OF BURN   | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 65A-8-212               | VIOLATION OF FIRE RESTRICTION ORDER                                     | MB               | Y                    | \$590          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 65A-8A-104              | FAILURE TO NOTIFY OF INTENT TO CONDUCT FOREST PRACTICES                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 67-16-4                 | IMPROPER USE OF EMPLOYEES POSITION                                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 67-16-9                 | PUBLIC EMPLOYEE CONFLICT OF INTEREST                                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 7-25-405                | DEPARTMENT OF FINANCIAL INSTITUTIONS LICENSING VIOLATION                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 70C-8-202               | FAIL TO FILE NOTIFICATION W/DEPT FINANCE                                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| REMOVE   | 71-10-3                 | FAILURE TO GIVE VETERANS PREFERENCE                                     | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
| RENUMBER | 71A-2-103               | FAILURE TO GIVE VETERANS PREFERENCE                                     | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 72-10-109(1)(A)         | FAILURE TO OBTAIN CERTIFICATE OF REGISTRATION ON AIRCRAFT               | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 72-10-113               | PILOT'S CERTIFICATE OF COMPETENCY REQUIRED                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 72-10-115               | FAIL TO SHOW PILOT CERTIFICATE  | MB               | Y                    | \$660          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 72-10-127               | TAMPERING WITH AIRCRAFT FORBIDDEN                                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 72-10-128               | TAMPERING WITH AIRPORT OR ITS EQUIPMENT                                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| REMOVE   | 72-10-412               | AIRPORT ZONING VIOLATION  | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |   |
|          | 72-10-501               | FLYING UNDER INFLUENCE OF ALCOHOL AND/OR DRUGS                          | MB               | Y                    | \$1,470        | \$0         | N                  | 90%       | N              | Y              | C         |   |
| RENUMBER | 72-10-1002(8)(C)        | UNLAWFULLY OPERATE UNMANNED AIRCRAFT AFTER WARNING                      | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| RENUMBER | 72-10-1002(8)(D)        | UNLAWFULLY OPERATE UNMANNED AIRCRAFT AFTER WARNING - W/PRIOR            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| RENUMBER | 72-10-902(1)(A)         | FLY UNMANNED AIRCRAFT CARRYING WEAPON W/O CERT OF AUTHORIZAT            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| ADD      | 72-10-903(18)+(2A)      | USE UNMANNED AIRCRAFT - INTERFERE WITH CORRECTION FACILITY              | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |   |
| REMOVE   | 72-14-303(2)(A)         | FLY UNMANNED AIRCRAFT CARRYING WEAPON W/O CERT OF AUTHORIZATION         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| REMOVE   | 72-14-403               | UNLAWFUL OPERATION OF UNMANNED AIRCRAFT                                 | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| REMOVE   | 72-14-403(8)(D)         | UNLAWFUL OPERATION OF UNMANNED AIRCRAFT AFTER INFRACTION CONVICTION     | MB               | Y                    | \$350          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 72-5-118                | UNLAWFUL ROAD CLOSURE   | MC               | N                    | \$210          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 72-6-107.5(4)           | CONTRACTOR CIRCUMVENT HEALTH INS COVERAGE REQ                           | IN               | N                    | \$750          | \$0         | N                  | 35%       |                |                |           |   |
|          | 72-6-114                | FAIL TO OBSERVE BARRICADE, LIGHT SIGN, CONE, OR OBEY FLAGMAN            | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | N              | C         |   |
|          | 72-7-102                | BARRIERS PROHIBITED IN RIGHT OF WAY                                     | MB               | Y                    | \$180          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 72-7-102(2)(B)          | OBJECT PROHIBITED WITHIN RIGHT OF WAY                                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 72-7-106                | GATES ON CLASS B AND D ROADS  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 72-7-203                | FAIL TO OBTAIN JUNKYARD LICENSE   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 72-7-301                | DAMAGE TO HIGHWAY   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 72-7-302(1)             | DAMAGE TO SIGNS, WARNINGS, OR BARRIERS                                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 72-7-303                | OBSTRUCTING HIGHWAY WITH SNOW OR WATER                                  | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 72-7-304                | INJURY TO TREES ON HIGHWAY  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 72-7-402                | OVERSIZED VEHICLE VIOLATION   | MC               | N                    | \$310          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|          | 72-7-403                | TOWING REQUIREMENTS   | IN               | N                    | \$240          | \$0         | N                  | 35%       | N              | N              | C         | If weight is specified, use overload schedule       |
|          | 72-7-403(2)             | TOWING REQUIREMENTS - WHIPS/SWERVES                                     | IN               | N                    | \$240          | \$0         | N                  | 35%       | N              | N              | C         | If weight is specified, use overload schedule       |
|          | 72-7-404(1)(B)          | TIRE LOAD RATING VIOLATION  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|          | 72-7-404(2)(A)          | AXLE LIMITATION VIOLATION   | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|          | 72-7-404(2)(B)          | VEHICLE GROSS WEIGHT VIOLATION  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|          | 72-7-404(3)(A)          | BRIDGE VIOLATION  | IN               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |   |
|          | 72-7-405(4)             | REFUSAL TO SUBMIT TO MEASURE OR WEIGHT                                  | IN               | Y                    | \$290          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 72-7-406                | VIOLATION OF OVERWEIGHT/OVERSIZE PERMIT                                 | IN               | N                    | \$310          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|          | 72-7-407                | IMPLEMENTS OF HUSBANDRY - ESCORT VEHICLE REQ                            | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 72-7-408                | RESTRICTIONS ON HIGHWAY USE BECAUSE OF CLIMATIC CONDITIONS              | IN               | N                    | \$210          | \$0         | N                  | 35%       | N              | Y              | C         | If weight is specified, use bail for UCA 41-1a-1304 |
|          | 72-7-409(6)(B)(I)       | FAILURE TO SECURE LOAD ON VEHICLE CREATING HAZARD                       | IN               | N                    | \$260          | \$0         | N                  | 35%       | N              | N              | C         | minimum of \$200 fine or \$500 for 2nd+ offense     |
|          | 72-7-409(6)(B)(I){2ND}  | FAILURE TO SECURE LOAD ON VEHICLE - 2ND/SUBSEQUENT W/IN 6 YEARS         | IN               | Y                    | \$510          | \$0         | N                  | 35%       | N              | N              | C         | minimum of \$200 fine or \$500 for 2nd+ offense     |
|          | 72-7-409(6)(B)(II)      | FAILURE TO SECURE LOAD ON VEHICLE LEADING TO ACCIDENT                   | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 72-7-409(6)(B)(II){2ND} | FAILURE TO SECURE LOAD - ACCIDENT - 2ND/SUBSEQUENT W/IN 6 YRS           | MB               | Y                    | \$760          | \$0         | N                  | 90%       | N              | N              | C         | minimum of \$200 fine or \$500 for 2nd+ offense     |
|          | 72-7-409(6)(D)(I)       | COMMERCIAL VEHICLE FAILURE TO SECURE LOAD CREATING HAZARD               | IN               | N                    | \$510          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 72-7-409(6)(D)(I){2ND}  | COMMERCIAL VEHICLE FAILURE TO SECURE LOAD ON VEHICLE - 2ND/SUBSEQUENT V | IN               | Y                    | \$1,010        | \$0         | N                  | 35%       | N              | N              | C         | minimum of \$500 fine or \$1,000 for 2nd+ offense   |
|          | 72-7-503                | ADVERTISING ON HIGHWAY  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 72-7-504                | PROHIBITED ADVERTISING NEAR INTERSTATE OR PRIMARY SYSTEM                | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 72-9-105                | INFORMATION LETTERED ON VEHICLE   | MB               | N                    | \$200          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 72-9-502                | FAIL TO STOP AT PORT OF ENTRY   | MB               | N                    | \$260          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|          | 72-9-601                | TOW TRUCK BUSINESS VIOLATION  | MB               | N                    | \$210          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 72-9-602                | TOW TRUCK EQUIPMENT VIOLATION   | MB               | N                    | \$210          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 72-9-603(1)(B)          | TOWING NOTICE VIOLATION   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 72-9-701                | MOTOR CARRIER UNLAWFUL CONDUCT  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 73-1-14                 | INTERFERE WITH WATERWORKS OR APPORTIONMENT                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 73-1-15                 | OBSTRUCTING CANALS OR WATERCOURSES                                      | MB               | Y                    | \$660          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 73-18-10(1)             | FAILURE TO KEEP RECORDS BY A BOAT LIVERY                                | MC               | Y                    | \$310          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 73-18-10(2)             | FAIL TO EQUIP VESSEL W/SAFETY EQUIPMENT/NOTIFY OF LIVERY RULE           | MC               | Y                    | \$310          | \$0         | N                  | 35%       | N              | N              | C         |   |

| Action | Violation Code     | Description   | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments                           |
|--------|--------------------|---|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|------------------------------------|
|        | 73-18-12           | RECKLESS OPERATION OF NON-MOTOR VESSEL/MANIPULATE WATER SKI   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |                                    |
|        | 73-18-13.1(2)      | ACCIDENT INVOLVING PROPERTY DAMAGE                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |                                    |
|        | 73-18-13(1)        | FAIL TO GIVE ASSISTANCE (BOATING)                             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                                    |
|        | 73-18-13(2)        | FAILURE TO GIVE NAME AND ASSISTANCE AT AN ACCIDENT            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                                    |
|        | 73-18-13(4)        | FALSE INFORMATION AT ACCIDENT (BOATING)                       | MB               | Y                    | \$1,960        | \$0         | N                  | 90%       | N              | Y              | C         |                                    |
|        | 73-18-13(6)        | GIVE FALSE WRITTEN INFORMATION (BOATING)                      | MB               | Y                    | \$1,960        | \$0         | N                  | 90%       | N              | Y              | C         |                                    |
|        | 73-18-15.1         | VESSEL NAVIGATION & STEERING LAWS                             | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.1(1)      | FAILURE TO MAINTAIN PROPER LOOKOUT                            | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.1(10)     | FAILURE TO OBEY SPEED AND PROXIMITY                           | MC               | N                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.1(11)     | DAMAGE OR INJURY CAUSED BY WAKE CREATED BY OPERATORS VESSEL   | MC               | N                    | \$280          | \$0         | N                  | 35%       | N              | Y              | C         |                                    |
|        | 73-18-15.1(12)     | PERSON RIDING ON UNAUTHORIZED PORTION OF VESSEL               | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.1(13)     | PERSON ON BOW NOT STRADDLING STANCHION OR BLOCKING VIEW       | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.1(14)(A)  | NO OBSERVER OR OBSERVER NOT OVER 8 YEARS OF AGE               | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.1(14)(B)  | TOWED PERSON BETWEEN SUNSET AND SUNRISE                       | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.1(15)     | DISPLAY NAV LIGHTS BETWEEN SUNSET/SUNRISE                     | MC               | N                    | \$160          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.1(2)      | FAILURE TO ALTER COURSE IN MEETING SITUATION                  | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.1(3)      | FAILURE TO YIELD RIGHT OF WAY WHEN CROSSING                   | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.1(4)      | FAILURE TO YIELD RIGHT OF WAY WHEN OVERTAKING                 | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.1(5)      | FAILURE TO STAY OUT OF WAY OF LESS MANEUVERABLE VESSEL        | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.1(7)      | FAILURE TO KEEP RIGHT IN NARROW CHANNELS                      | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.1(8)      | FAILURE TO TAKE ACTION IN AVOIDING ACCIDENT                   | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | Y              | C         |                                    |
|        | 73-18-15.1(9)      | FAILURE TO YIELD SAILBOAT VS SAILBOAT                         | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.2         | OPERATE VESSEL UNDER AGE W/O ADULT                            | IN               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.2(1)      | UNDER 16 OP MOTOR/SAILBOAT W/O ADULT/SINGLE MB/SB SUPERVISED  | IN               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.2(2)(A)   | OPERATE PWC OVER AGE 12 UNDER AGE 16 W/OUT ADULT SUPERVISION  | IN               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.2(2)(B)   | OPERATE PWC OVER AGE 12 UNDER AGE 16 W/O EDUCATION CERTIF     | IN               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.2(2)(C)   | OPERATE PWC AGE 12 TO AGE 16 W/O CERTIFICATE IN POSSESSION    | IN               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.2(3)(A)   | OPERATE PWC OVER AGE 16 UNDER 18 W/O EDUCATION CERTIFICATE    | IN               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.2(3)(B)   | OPERATE PWC AGE 16 - 18 W/O EDUCATION CERTIF IN POSSESSION    | IN               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.2(5)      | GAVE PERMISSION FOR UNDERAGE OPERATION                        | IN               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.3         | OPERATION OF PERSONAL WATERCRAFT PROHIBITED SUNSET - SUNRISE  | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-15.5         | AUTHORIZING A DUI OR RECKLESS OPERATION                       | MC               | Y                    | \$360          | \$0         | N                  | 35%       | N              | Y              | C         |                                    |
|        | 73-18-16           | HELD A MARINE EVENT WITHOUT PROPER AUTHORIZATION              | IN               | Y                    | \$310          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-20.4         | DUTY TO REPORT FALSIFIED VESSEL OR MOTOR NUMBER               | MB               | Y                    | \$400          | \$0         | N                  | 90%       | N              | N              | C         |                                    |
|        | 73-18-20(2)        | FAIL TO COMPLY W/POLICE (BOATING)                             | MB               | N                    | \$250          | \$0         | N                  | 90%       | N              | Y              | C         |                                    |
|        | 73-18-6(1)         | FAILURE TO DISPLAY BOW NUMBERS                                | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-7            | BOATING REGISTRATION VIOLATION                                | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-7.2(2)       | USE OF REGISTRATION/DECAL BELONGING TO ANOTHER VESSEL         | MC               | Y                    | \$210          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-7(1)         | EXPIRED, IMPROPER OR NO CURRENT REGISTRATION                  | IN               | N                    | \$150          | \$10        | N                  | 35%       | N              | Y              | C         |                                    |
|        | 73-18-7(15)        | UNASSIGNED NUMBER DISPLAYED ON BOAT                           | IN               | Y                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-7(3)         | NO REG IN VEHICLE WHILE OPERATING (BOAT)                      | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-7(3)(B)      | NO REGISTRATION CARD ON VESSEL                                | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-7(4)(A)      | IMPROPER LOCATION / ATTACHMENT OF BOW NUMBERS                 | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         | Dismissed upon proof of proper bow |
|        | 73-18-7(4)(B)      | BOW NUMBERS/PLAIN VERTICAL BLOCK CHARACTERS AT LEAST 3" HIGH  | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         | Dismissed upon proof of proper bow |
|        | 73-18-7(4)(C)      | BOW NUMBERS CONTRAST W/COLOR BACKGROUND/VISIBLE & LEGIBLE     | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         | Dismissed upon proof of proper bow |
|        | 73-18-7(4)(D)      | NO SPACES OR HYPHENS BETWEEN GROUPINGS OF BOW NUMBER          | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         | Dismissed upon proof of proper bow |
|        | 73-18-7(4)(E)      | BOW NUMBERS NOT READ FROM LEFT TO RIGHT                       | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         | Dismissed upon proof of proper bow |
|        | 73-18-7(6)         | NONRES OWNER OPERATING BOAT IN EXCESS OF RECIPROCITY PERIOD   | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-8            | SAFETY EQUIPMENT REQUIRED TO BE ON BOARD VESSELS              | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-8.1(1)       | NO CAPACITY/CERTIFICATION LABEL (BOAT)                        | IN               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-8.1(2)       | OPERATE/PERMISSION TO OPERATE/OVERLOADED/OVERPOWERED VESSEL   | IN               | N                    | \$210          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-8.1(3)       | ALTERED/DEFACED/REMOVED CAPACITY/CERTIFICATION LABEL          | IN               | Y                    | \$310          | \$0         | N                  | 35%       | N              | Y              | C         |                                    |
|        | 73-18-8.1(4)       | OPERATE/PERMISSION TO OP VESSEL W/CAPACITY LABEL ALTER/DEFACE | IN               | Y                    | \$310          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-8(1)(A)      | INSUFFICIENT APPROVED PFD'S                                   | IN               | N                    | \$180          | \$0         | N                  | 35%       | N              | Y              | C         |                                    |
|        | 73-18-8(1)(B)(I)   | PFD IN UNSERVICEABLE CONDITION                                | IN               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-8(1)(B)(II)  | NO USCG APPROVAL ON PFD                                       | IN               | N                    | \$180          | \$0         | N                  | 35%       | N              | Y              | C         |                                    |
|        | 73-18-8(1)(B)(III) | INAPPROPRIATE SIZE PFD  | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-8(1)(E)      | FAILURE TO HAVE TYPE IV PFD ON BOARD                          | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-8(2)         | FAIL TO DISPLAY NAVIGATION LIGHTS BETWEEN SUNSET & SUNRISE    | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-8(3)         | IMPROPER VENTILATION  | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | Y              | C         |                                    |
|        | 73-18-8(4)         | NON-APPROVED OR INADEQUATE NUMBER OF FIRE EXTINGUISHERS       | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-8(5)         | NON-APPROVED OR INADEQUATE BACKFIRE FLAME CONTROL DEVICE      | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18-8(7)         | GAVE PERMISSION TO OPERATE WITHOUT PROPER SAFETY EQUIPMENT    | IN               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |                                    |
|        | 73-18A-2           | LITTER/POLLUTE WATER/LANDS PROH-BOATING                       | MB               | Y                    | \$350          | \$0         | N                  | 90%       | N              | Y              | C         |                                    |
|        | 73-18A-2(1)        | LITTER/DEPOSIT WASTE ETC IN WATERS OF STATE OR LAND ADJACENT  | MB               | Y                    | \$400          | \$0         | N                  | 90%       | N              | Y              | C         |                                    |
|        | 73-18A-3           | UNLAWFUL USE OF MARINE TOILET                                 | MB               | Y                    | \$1,080        | \$0         | N                  | 90%       | N              | N              | C         |                                    |
|        | 73-18A-3(1)        | ALLOW MARINE TOILET RELEASE/UNTREATED BODY WASTE IN WATER     | MB               | Y                    | \$1,080        | \$0         | N                  | 90%       | N              | Y              | C         |                                    |
|        | 73-18A-4(1)        | MARINE TOILET WITHOUT APPROVED POLLUTION CONTROL DEVICE       | MB               | Y                    | \$400          | \$0         | N                  | 90%       | N              | Y              | C         |                                    |
|        | 73-18C-302         | OPERATE MOTORBOAT W/O OWNER/PROPERTY SECURITY (INSURANCE)     | MC               | Y                    | \$390          | \$0         | N                  | 35%       | N              | N              | C         |                                    |

| Action   | Violation Code      | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments  |
|----------|---------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---|
|          | 73-18C-304          | NO EVIDENCE OWNER/OPERATOR SECURITY (INSURANCE) ON VESSEL    | MC               | N                    | \$390          | \$0         | N                  | 35%       | N              | N              | C         | Dismissed upon proof of valid insurance at the time |
|          | 73-18C-308(1)       | PROVIDING FALSE EVIDENCE OWNERSHIP, INSURANCE                | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 73-2-20(2)          | REMOVAL, INJURY OF MARKS AND MONUMENTS                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| ADD      | 73-2-27(2)(C)       | OBSTRUCTING OR CHANGE OF WATER FACILITIES                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |   |
|          | 73-3-26             | OPERATING AS A WELL DRILLER W/O LICENSE                      | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 73-3-29             | RELOCATION OF NATURAL STREAMS                                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 73-5-9              | FAILURE TO COMPLY WITH STATE ENGINEER REQ'S                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |   |
|          | 76-10-1002          | FORGING OR COUNTERFEITING TRADEMARK                          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-1003          | SELL GOODS W/ COUNTERFEIT TRADEMARK                          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-1004          | SALES IN CONTAINERS W/REG TRADEMARK OF SUBSTITUTE ARTICLES   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-1006          | SALES/DEALS WITH ARTICLES BEARING REG TRADEMARK VIOLATIONS   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-1007          | USE OF REGISTERED TRADEMARK W/O CONSENT                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-102           | VIOLATING AD RESTRICTIONS CIGARETTES/TOBACCO/SMOKLSS TOBACCO | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-103           | PERMIT MINORS TO USE TOBACCO                                 | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
| RENUMBER | 76-10-104(2)(A)     | PROVIDE MINOR TOBACCO / E-CIG / NICOTINE PROD - 1ST OFFENSE  | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| REMOVE   | 76-10-104           | ADULT SELLING TOBACCO TO ADOLESCENT                          | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| ADD      | 76-10-104(2)(B)     | PROVIDE MINOR TOBACCO / E-CIG / NICOTINE PROD - 2ND OFFENSE  | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |   |
| REMOVE   | 76-10-104(1)        | PROVIDING CIGAR, CIGARETTE, E-CIGARETTE OR TOBACCO TO MINOR  | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 76-10-104.1(2)      | PROVIDE TOBACCO PARAPHERNALIA TO A MINOR                     | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 76-10-105           | PURCHASE OR POSSESSION OF TOBACCO BY A MINOR                 | IN               | Y                    | \$70           | \$0         | N                  | 35%       | N              | Y              | C         | Minimum 300 fine and participate in court-approved  |
|          | 76-10-105.1(2)      | UNLAWFUL NON-FACE-TO-FACE TOBACCO SALES                      | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         | Minimum 300 fine and participate in court-approved  |
|          | 76-10-105.1(3)      | MINOR PURCHASE OF TOBACCO MAIL BY ORDER/VENDING MACHINE      | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         | Minimum 300 fine and participate in court-approved  |
|          | 76-10-105.1(5)      | PERMIT UNDERAGE TOBACCO POSSESSION, BY PARENT                | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         | Minimum 300 fine and participate in court-approved  |
|          | 76-10-105.1(5)(A)   | PARENT/GUARDIAN PERMITTING MINOR PURCHASE OF TOBACCO         | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         | Minimum 300 fine and participate in court-approved  |
|          | 76-10-105.1(6)(B)   | PARENT/GUARDIAN PERMITTING MINOR PURCHASE OF TOBACCO 2ND OFF | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Minimum 300 fine and participate in court-approved  |
|          | 76-10-105.3         | PROHIBITED SALE/GIFT CLOVE CIGARETTES                        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Minimum 300 fine and participate in court-approved  |
|          | 76-10-107           | ABUSE OF PSYCHOTOXIC CHEMICAL SOLVENTS                       | MB               | Y                    | \$490          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-107(1)(A)     | USE OR POSSESS PSYCHOTOXIC CHEMICALS                         | MB               | Y                    | \$490          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-107(1)(A)(I)  | SMELL OR INHALE FUMES OF ANY PSYCHOTOXIC CHEMICAL SOLVENT    | MB               | Y                    | \$490          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-107(1)(A)(II) | POSSESS/PURCHASE/ATTEMPT PURCHASE PSYCHOTOXIC CHEM SOLVENT   | MB               | Y                    | \$490          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-107(1)(B)     | OFFER OR SELL PSYCHOTOXIC CHEMICALS                          | MC               | Y                    | \$450          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 76-10-1102(1)       | GAMBLING OR ALLOWING GAMBLING                                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense                                 |
|          | 76-10-1109          | THEFT BY CONFIDENCE GAME                                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-111(3)(A)     | GIFT/DIST FOR FREE SMOKELESS TOBACCO/E-CIG                   | MC               | N                    | \$340          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 76-10-111(3)(B)     | GIFT/DIST FOR FREE SMOKELESS TOBACCO/E-CIG SUBSQ OFF         | MB               | N                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |   |
|          | 76-10-112           | FREE CIGARETTE DISTRIBUTION                                  | MC               | N                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         | Enhanceable Offense                                 |
|          | 76-10-112(2)        | FREE CIGARETTE DISTRIBUTION 2ND OR SUBSEQUENT OFFENSE        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | S         |   |
| ADD      | 76-10-113(2)(A)     | DISTRIBUTION OF A FLAVORED ELECTRONIC CIGARETTE              | MC               | N                    | \$350          | \$0         | N                  | 35%       |                |                | C         | Enhanceable Offense                                 |
| ADD      | 76-10-113(2)(B)     | DISTRIBUTION OF FLAVORED ELECTRONIC CIGARETTE SUBSQ OFFENSE  | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |   |
|          | 76-10-114(3)(A)     | UNLAWFUL SALE OF TOBACCO/ELECTRONIC CIGARETTE PRODUCT        | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              |           |   |
|          | 76-10-114(3)(B)     | UNLAWFUL SALE OF TOBACCO/ELECTRONIC CIGARETTE PRODUCT SUBSQ  | MC               | N                    | \$700          | \$0         | N                  | 35%       | N              | N              |           |   |
|          | 76-10-1204.5(2)(B)  | FAILURE OF COMPUTER TECH TO REPORT PORNOGRAPHIC IMAGE        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-1206(2)(D)    | DEALING IN MATERIAL HARMFUL TO MINOR BY PERSON UNDER 16 YOA  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-1223          | DISTRIBUTION OF FILM FOR EXHIBITION WITHOUT BEING QUALIFIED  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-1231(1)       | DATA SRV CO FAIL TO PROVIDE FILTER MATERIAL HARMFUL TO MINOR | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-1235          | ACCESS PORNOGRAPHIC OR INDECENT MATERIAL ON SCHOOL PROPERTY  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-1302(1)       | PROSTITUTION   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
| ADD      | 76-10-1313(3)(A)    | SEX SOLICIT - OFFER/AGREE/MEET/LOITER/EXPOSE - 1ST/2ND OFFEN | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |   |
|          | 76-10-1506          | THREATENING BREACH OF PEACE ON A BUS                         | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 76-10-1506(1)(A)    | DISORDERLY CONDUCT, PROFANE, OBSCENE LANGUAGE                | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 76-10-1506(1)(B)    | ON MASS TRANSIT WHILE UNDER THE INFLUENCE OF ALCOHOL/DRUGS   | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 76-10-1506(1)(C)    | FAIL TO OBEY REASONABLE REQUEST/ORDER OF TRANSIT OPERATOR    | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 76-10-1506(1)(D)    | INGEST CONT SUBSTANCE/DRINK INTOXICATING LIQUID IN ANY BUS   | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 76-10-1506(1)(E)    | SMOKING TOBACCO OR OTHER PRODUCTS WHILE ON TRANSIT SYSTEM    | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 76-10-1507(1)       | REFUSAL TO COMPLY W/ RQST OF BUS COMPY, DENY ADMISS TO TERM  | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 76-10-1509          | OBSTRUCTING OPERATION OF BUS                                 | MC               | N                    | \$280          | \$0         | Y                  | 0%        | N              | N              | C         |   |
|          | 76-10-1801(1)(A)    | COMMUNICATIONS FRAUD   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-1802          | CALLER ID/TEXT MSG SVC TRANSMITS FALSE/INACCURATE MSG ID     | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 76-10-1802(2)       | CALLER ID/TEXT MSG SVC TRANSMITS FALSE/INACCURATE MSG ID     | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 76-10-1802(5)(B)    | CALLER ID/TEXT MSG SVC TRANSMITS FALSE/INACCURATE MSG ID 2ND | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-1906          | MONEY LAUNDERING (FAILURE TO REPORT)                         | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 76-10-1906(1)(C)(I) | MONEY LAUNDERING   | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|          | 76-10-201           | INTERFR W/CONTROL OF WATER COMMISSIONER                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-202           | TAKE WATER OUT OF TURN/EXCESS AMT/DAMAGE                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-203           | OBSTRUCTING WATER GATES, DIVERTING WATER                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|          | 76-10-2101          | RECYCLING BIN MISUSE   | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | N              | C         |   |
|          | 76-10-2201          | UNLAWFUL BODY PIERCING/TATTOO OF MINOR                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | S         |   |
|          | 76-10-2201(2)       | UNLAWFUL BODY PIERCING OF A MINOR                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | S         |   |
|          | 76-10-2201(3)       | UNLAWFUL TATTOOING OF A MINOR                                | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | N              | S         |   |



| Action   | Violation Code        | Description   | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments            |
|----------|-----------------------|---|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---------------------|
|          | 76-10-2202            | LEAVING A CHILD UNATTENDED IN A MOTOR VEHICLE                       | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|          | 76-10-2203            | POSSESSION, SALE OR USE OF AN ADULTERANT OR SYNTHETIC URINE         | IN               | Y                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|          | 76-10-2204            | FAILURE TO REPORT DRUG DIVERSION                                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
|          | 76-10-2301            | CONTRIBUTING TO THE DELINQUENCY OF MINOR                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-2501(2)(A)      | USE OF LASER POINTER AT MOVING VEH OR OC                            | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
| EDIT     | 76-10-2501(2)(B)      | USE OF LASER POINTER AT LAW ENFORCEMENT OFFICER                     | MC               | N                    | \$580          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|          | 76-10-2601            | FAILURE TO FENCE SHAFTS, WELLS                                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | S         |                     |
|          | 76-10-2701            | DESTRUCTIVE OR INJURIOUS LITTERING ON PUBLIC OR PRIVATE LAND        | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|          | 76-10-2701            | DESTRUCTIVE OR INJURIOUS LITTERING ON PUBLIC OR PRIVATE LAND        | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|          | 76-10-3001            | FRAUDULENT PRACTICES TO AFFECT MARKET PRICE                         | MB               | Y                    | \$670          | \$0         | N                  | 90%       | N              | N              | S         |                     |
|          | 76-10-3005            | UNFAIR DISCRIMINATION BY BUYER OF MILK, CREAM OR BUTTERFAT          | MB               | Y                    | \$670          | \$0         | N                  | 90%       | N              | N              | S         |                     |
|          | 76-10-302             | MARKING OF EXPLOSIVES CONTAINERS                                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-303             | UNSAFE DISTANCE OF POWDER HOUSE                                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | S         |                     |
|          | 76-10-504             | CARRYING A CONCEALED FIREARM  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-504(1)          | CARRYING A CONCEALED FIREARM  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-505.5(3)(A)     | POSSESS DANGEROUS WEAPON ON SCHOOL PREMISES                         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-505(1)          | LOADED FIREARM IN VEHICLE ON STREET OR IN PROHIBITED AREA           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-505(3)          | LOADED RIFLE, SHOTGUN, OR MUZZLE-LOADING RIFLE IN VEHICLE           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-508             | DISCHARGE OF FIREARMS   | MB               | Y                    | \$300          | \$0         | N                  | 90%       | Y              | Y              | S         | Enhanceable Offense |
|          | 76-10-508(1)(A)(I)    | DISCHARGING OF FIREARMS FROM A VEHICLE                              | MB               | Y                    | \$300          | \$0         | N                  | 90%       | Y              | Y              | S         | Enhanceable Offense |
|          | 76-10-508(1)(A)(II)   | DISCHARGE FIREARM FROM, UPON, OR ACROSS ANY HIGHWAY                 | MB               | Y                    | \$300          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
|          | 76-10-508(1)(A)(III)  | DISCHARGE OF FIREARM FROM VEHICLE AT ANY ROAD SIGNS ON HWY          | MB               | Y                    | \$300          | \$0         | N                  | 90%       | Y              | Y              | S         | Enhanceable Offense |
|          | 76-10-508(1)(A)(IV)   | DISCHARGE FIREARM FROM VEHICLE AT PUBLIC UTILITY/FACILITIES         | MB               | Y                    | \$300          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
|          | 76-10-508(1)(A)(V)    | DISCHARGE OF FIREARM AT RR EQUIPMENT/FACILITY OR SIGNS              | MB               | Y                    | \$300          | \$0         | N                  | 90%       | Y              | Y              | S         | Enhanceable Offense |
|          | 76-10-508(1)(A)(VI)   | DISCHARGE FIREARM W/OUT WRITTEN PERMISSION OF PROPERTY OWNER        | MB               | Y                    | \$300          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
|          | 76-10-508(1)(A)(VII)  | DISCHARGE FIREARM W/O PERMISSION W/IN 600 FT OF DWELLING/BLD        | MB               | Y                    | \$300          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
|          | 76-10-508(2)          | ILLEGAL DISCHARGE OF A FIREARM                                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
|          | 76-10-509             | POSSESSION OF DANGEROUS WEAPON BY MINOR                             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-509.4           | POSSESSION OF CERTAIN WEAPONS BY MINORS                             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-509.4(1)        | MINOR IN POSSESSION OF A HANDGUN                                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-509.5           | PROVIDING WEAPONS TO MINORS   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
|          | 76-10-509.7           | ALLOWING A MINOR TO POSSESS A DEADLY WEAPON                         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-528             | CARRYING A DANGEROUS WEAPON WHILE UNDER THE INFLUENCE ALCOHOL/DRUGS | MB               | Y                    | \$910          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-529(2)(A)(II)   | POSSESS DANGEROUS WEAPON, OR FIREARMS IN AIRPORT SECURE AREA        | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|          | 76-10-530             | TRESPASS W/FIREARM IN HOUSE OF WORSHIP/PRIVATE RESIDENCE            | IN               | Y                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|          | 76-10-602             | USE PERSONS NAME WITHOUT CONSENT FOR SOLICITING CONTRIBUTION        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-603             | USE OF NAME WITHOUT CONSENT - CHARITY                               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-705             | CONCURRENCE IN VOTE OR ACT BY DIRECTOR                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-708             | REFUSING INSPECTION OF CORPORATE BOOKS                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-801             | PUBLIC NUISANCE   | MB               | Y                    | \$300          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-801(2)          | ANY PERSON CREATING, AIDING OR CONTRIBUTING TO A NUISANCE           | MB               | Y                    | \$300          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-802             | BEFOULING WATERS  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-804             | MAINTAINING/COMMITTING A PUBLIC NUISANCE                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-805             | DISPOSAL OF CARCASS OR OFFAL AT UNLAWFUL DIST FROM CITY             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-10-807             | VIOLATION OF ORDER ENJOINING A PUBLIC NUISANCE                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-3-203.10(2)        | VIOLENT OFFENSE COMMITTED IN PRESENCE OF A CHILD                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-4-201              | CRIMINAL CONSPIRACY   | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
| RENUMBER | 76-4-401(2)+(4D)      | ENTICING A MINOR - CLASS A MISDEMEANOR SEXUAL ACTIVITY              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | S         |                     |
| REMOVE   | 76-4-401(4)(D)        | ENTICE A MINOR BY INTERNET OR TEXT (DEPENDING ON AGE)               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | S         |                     |
| RENUMBER | 76-4-401(2)+(4E)      | ENTICING A MINOR - CLASS B MISDEMEANOR SEXUAL ACTIVITY              | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | S         |                     |
| REMOVE   | 76-4-401(4)(E)        | ENTICE A MINOR BY INTERNET OR TEXT (DEPENDING ON AGE)               | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | S         |                     |
| RENUMBER | 76-5-102.9(2)+(3A)    | PROPELLING A BODILY SUBSTANCE                                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-5-102.9(3)(A)      | PROPELLING A BODILY SUBSTANCE                                       | MB               | Y                    | \$670          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-5-102(2)+(3A)      | ASSAULT   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-5-102(2)           | ASSAULT   | MB               | Y                    | \$1,080        | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| REMOVE   | 76-5-102              | ASSAULT   | MB               | Y                    | \$1,080        | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| REMOVE   | 76-5-106.5(2)         | STALKING  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| RENUMBER | 76-5-106              | HARASSMENT  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| REMOVE   | 76-5-106(3)           | HARASSMENT  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| RENUMBER | 76-5-107.1(2BII)      | THREAT AGAINST SCHOOL - PREVENT / INTERRUPT OCCUPANCY               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-5-107.1(3)(A)(II)  | THREAT AGAINST SCHOOLS - PREVENT/INTERRUPT OCCUPANCY                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-5-107.1(2C)        | THREAT AGAINST SCHOOL - CAUSE EMERGENCY AGENCY TO ACT               | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
| REMOVE   | 76-5-107.1(3)(A)(III) | THREAT AGAINST SCHOOLS - CAUSE OFFICIAL/VOLUNTEER TO TAKE AC        | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | S         |                     |
| RENUMBER | 76-5-107.3(2AII)      | THREAT OF TERRORISM - CAUSE EMERGENCY AGENCY TO ACT                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-5-107.3(1)(B)(III) | THREAT OF TERRORISM CAUSING OFFICIAL OR VOLUNTEER ACTION            | MB               | Y                    | \$670          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-5-107.5(2)+(3A)    | HAZING AGAINST ANOTHER  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-5-107              | THREAT OF VIOLENCE  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-5-107(3)(A)        | THREAT OF VIOLENCE  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-5-109(2)+(3B)      | CHILD ABUSE INJURY/RECKLESS   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |

| Action   | Violation Code        | Description   | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments            |
|----------|-----------------------|---|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---------------------|
| RENUMBER | 76-5-109(2)+(3C)      | INFLECT PHYS INJURY ON A CHLD W/ CRIM NEG                     | MC               | Y                    | \$350          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-5-111.1(4)         | FAILURE TO REPORT ABUSE/DISABLED OR ELDERLY ADULT             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-5-111.1(5)         | THREATEN, INTIMIDATE DISABLED/ELDER ADULT WITNESS             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-5-111.3(2)+(3AII)  | RECKLESS PERSONAL DIGNITY EXPLOITATION OF VULNERABLE ADULT    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-5-111.3(3)(A)III   | RECKLESS PERSONAL DIGNITY EXPLOITATION OF VULNERABLE ADULT    | MB               | Y                    | \$1,960        | \$0         | N                  | 90%       |                |                |           |                     |
| RENUMBER | 76-5-111.4(2)+(3D)    | FINANCIAL EXPLOITATION VULNERABLE ADULT - CRIM NEGLIGENCE     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-5-111.4(3)(D)      | FINANCIAL EXPLOITATION VULNERABLE ADULT - CRIM NEGLIGENCE     | MB               | Y                    | \$1,960        | \$0         | N                  | 90%       |                |                |           |                     |
| RENUMBER | 76-5-111(2)+(3AII)    | RECKLESS ABUSE OR NEGLECT OF VULNERABLE ADULT                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-5-111(2)+(3AIII)   | ABUSE OR NEGLECT OF VULNERABLE ADULT BY CRIMINAL NEGLIGENCE   | MC               | Y                    | \$350          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| RENUMBER | 76-5-114(2C)          | DOMESTIC VIOLENCE IN THE PRESENCE OF A CHILD                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| REMOVE   | 76-5-114(3)(B)        | DOMESTIC VIOLENCE IN THE PRESENCE OF A CHILD                  | MB               | Y                    | \$1,960        | \$0         | N                  | 90%       | N              | Y              |           | Enhanceable Offense |
| RENUMBER | 76-5-303(2)+(3A)      | CUSTODIAL INTERFERENCE  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-5-303(3)(A)        | CUSTODIAL INTERFERENCE  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-5-304(2A)          | UNLAWFUL DETENTION  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-5-304(2B)          | UNLAWFUL DETENTION OF A MINOR                                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-5-304(3)           | UNLAWFUL DETENTION AND UNLAWFUL DETENTION OF A MINOR          | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                |           |                     |
| RENUMBER | 76-5-401.3(2)+(3)(E)  | UNLAWFUL ADOLESCENT SEXUAL ACTIVITY - 17Y WITH 14Y            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-5-401(2)+(3B)      | UNLAWFUL SEXUAL ACTIVITY WITH A MINOR - DEF < 4 YEARS OLDER   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-5-401(3)(A)        | UNLAWFUL SEXUAL ACTIVITY WITH A MINOR                         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              |           | Enhanceable Offense |
| REMOVE   | 76-5-506.2(4)(A)      | KNOWINGLY INDENT TO DEFRAUD MAKE APPLICATION FALSE ID         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-6-1002(2)+(3IV)    | DAMAGE TO MAIL RECEPTACLE - LOSS < \$500                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-1002             | DAMAGE TO MAIL RECEPTACLE                                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-6-102(2B)+(3E)     | ARSON - PROPERTY OF ANOTHER - DAMAGES < \$500                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-102(6)           | ARSON - PROPERTY OF ANOTHER LESS THAN \$500                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| REMOVE   | 76-6-104              | RECKLESS BURN   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | Enhanceable Offense |
| REMOVE   | 76-6-104.5            | ABANDONED FIRE  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| RENUMBER | 76-6-104.5(2)+(3A)    | ABANDONED FIRE - NO DAMAGE                                    | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-104.5(3)(A)      | ABANDONED FIRE - NO DAMAGE                                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| RENUMBER | 76-6-104.5(2)+(3B)    | ABANDONED FIRE - PROPERTY DAMAGE < \$1000                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-104.5(3)(B)      | ABANDONED FIRE - PROPERTY DAMAGE < \$1000                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | S         |                     |
| RENUMBER | 76-6-104(2C)+(3B)     | RECKLESS BURNING - FAIL TO REMOVE NEARBY FLAMMABLE MATERIAL   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-104(1)(C)        | RECKLESS BURN-FAIL TO REMOVE FLAMMABLE MATERIAL AROUND FIRE   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| ADD      | 76-6-104(2D)+(3CII)   | RECKLESS BURNING - PROPERTY DAMAGE \$500-\$1499               | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |                     |
| ADD      | 76-6-104(2D)+(3CIII)  | RECKLESS BURNING - PROPERTY DAMAGE \$150-\$499                | MC               | Y                    | \$350          | \$0         | N                  | 35%       |                |                | C         |                     |
| RENUMBER | 76-6-104(2D)+(3D)     | RECKLESS BURNING  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-104(1)(D)        | RECKLESS BURNING  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| REMOVE   | 76-6-106              | CRIMINAL MISCHIEF   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| REMOVE   | 76-6-106(1)(C)        | CRIMINAL MISCHIEF - TRANSPORTATION FOR PERSONS OR PROPERTY    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| RENUMBER | 76-6-106(2AIB)+(3B)   | CRIMINAL MISCHIEF - RECKLESSLY ENDANGER HUMAN HEALTH/SAFETY   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-106(2)(B)(I)(B)  | CRIMINAL MISCHIEF - HUMAN HEALTH OR SAFETY                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| REMOVE   | 76-6-106(2)(C)        | CRIMINAL MISCHIEF: INTENTIONAL DAMAGE,DEFACE,DESTROY PROPERTY | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| REMOVE   | 76-6-106(2)(D)        | CRIMINAL MISCHIEF - RECKLESSLY SHOOT OR PROPEL MISSILE        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| RENUMBER | 76-6-106(2B)+(3DIV)   | CRIMINAL MISCHIEF - LOSS < \$500                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-106(3)(B)(IV)    | CRIMINAL MISCHIEF - LOSS < \$500                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                |           |                     |
| ADD      | 76-6-106.1(2B)+(3BIV) | PROPERTY DAMAGE/DESTRUCTION - LOSS < \$500                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |                     |
| REMOVE   | 76-6-107              | GRAFFITI VIOLATIONS   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| RENUMBER | 76-6-107.5(2)+(3A)    | VANDALISM OF PUBLIC LANDS                                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | Enhanceable Offense |
| REMOVE   | 76-6-107.5            | VANDALISM OF PUBLIC LANDS                                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-107(2)           | GRAFFITI VIOLATIONS   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| REMOVE   | 76-6-107(2)(D)        | GRAFFITI VIOLATIONS LESS THAN \$300                           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| RENUMBER | 76-6-107(2)+(3D)      | GRAFFITI - DAMAGE < \$300                                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| EDIT     | 76-6-108              | DAMAGE / INTERRUPT COMMUNICATION DEVICE                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-108(2)           | DAMAGE/INTERRUPT/PROHIBIT USE OF A COMMUNICATION DEVICE       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| REMOVE   | 76-6-108(2)(A)        | INTERRUPTION/INTERFERENCE OF A COMMUNICATIONS DEVICE          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| REMOVE   | 76-6-108(2)(B)        | INTERRUPTION/INTERFERENCE OF A COMMUNICATION DEVICE           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
|          | 76-6-109              | OFFENSE AGAINST TIMBER/MINING/AGRICULTUR                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-6-111(2)+(3A)      | WANTON DESTRUCTION OF LIVESTOCK - VALUE <= \$250              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-111(4)(A)        | WANTON DESTRUCTION OF LIVESTOCK <= \$250                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-6-112(2B)+(3B)     | AGRICULTURE OP INTERFERENCE - ACCESS UNDER FALSE PRETENSES    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |                     |
| ADD      | 76-6-112(2C)+(3B)     | AGRICULTURE OP INTERFERENCE - EMPLOYMENT W/INTENT TO RECORD   | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |                     |
| ADD      | 76-6-112(2D)+(3B)     | AGRICULTURE OP INTERFERENCE - RECORDS WHILE CRIM TRESPASS     | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |                     |
| REMOVE   | 76-6-112(4)           | AGRICULTURAL OPERATION INTERFERENCE                           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | S         |                     |
| ADD      | 76-6-1403.1(2)+(3AI)  | METAL DEALER - RECORD OF SALE/PURCHASE VIOLATION              | MC               | Y                    | \$1,073        | \$0         | N                  | 35%       |                |                | C         |                     |
| ADD      | 76-6-1404.1(2)+(3AI)  | METAL DEALER - FAILURE TO MAINTAIN SELLER NOTICE              | MC               | Y                    | \$1,073        | \$0         | N                  | 35%       |                |                | C         |                     |
| ADD      | 76-6-1405.1(2)+(3AI)  | METAL DEALER - SELLER QUALIFICATION VIOLATION                 | MC               | Y                    | \$1,073        | \$0         | N                  | 35%       |                |                | C         |                     |
| ADD      | 76-6-1406.1(2)+(3AI)  | METAL DEALER - REGULATED METAL PURCHASE VIOLATION             | MC               | Y                    | \$1,073        | \$0         | N                  | 35%       |                |                | C         |                     |
| REMOVE   | 76-6-1403             | FAILURE TO KEEP RECORDS - JUNK DEALER                         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-1407(1)(A)       | VIOLATION OF SCRAP METAL DEALER REQUIREMENTS                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |                     |
| REMOVE   | 76-6-1408             | FALSIFICATION OF METAL SELLERS STATEMENT TO DEALER            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |



| Action   | Violation Code        | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments   |
|----------|-----------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|--|
| RENUMBER | 76-6-1408(2)+(3A)     | METAL SELLER - FALSE STATEMENT TO METAL DEALER                       | MB               | Y                    | \$1,960        | \$0         | N                  | 90%       | N              | Y              | C         |  |
| REMOVE   | 76-6-1408(1)          | METAL SELLER FALSE STATEMENT   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| ADD      | 76-6-1409.1(2)+(3A)   | METAL DEALER - REGULATED METAL HOLD VIOLATION                        | MC               | Y                    | \$1,073        | \$0         | N                  | 35%       |                |                | C         |  |
|          | 76-6-205              | MANUFACTURE/POSSESS BURGLARY TOOLS                                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| REMOVE   | 76-6-206              | CRIMINAL TRESPASS  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense  |
| RENUMBER | 76-6-206.1(2A)+(3A)   | CRIMINAL TRESPASS OF ABANDONED OR INACTIVE MINE                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| REMOVE   | 76-6-206.1(2)(A)      | CRIMINAL TRESPASS OF ABANDONED OR INACTIVE MINE                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
|          | 76-6-206.2            | CRIMINAL TRESPASS ON STATE PARK LANDS                                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| RENUMBER | 76-6-206.3            | CRIMINAL TRESPASS ON AGRICULTURAL OR RANGE LANDS                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| REMOVE   | 76-6-206.3(2)         | CRIMINAL TRESPASS ON AGRICULTURAL OR RANGE LAND                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| RENUMBER | 76-6-206.5            | CUT/DESTROY/RENDER INEFFECTIVE FENCE AGRICULTURAL/RANGE LAND         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | EDITOR NOTES: This offense was recodified in HB0046 as a new statute carved out of the existing 76-6-206.3(3). Because HB0208 also created a new offense with this same statutory number, this offense |
| REMOVE   | 76-6-206.3(3)         | CUTTING, DESTROYING, OR RENDERING INEFFECTIVE THE FENCING OF         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
|          | 76-6-206.4(2)         | CRIMINAL TRESPASS BY LONG-TERM GUEST TO A RESIDENCE                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| NEW      | 76-6-206.5            | CRIMINAL TRESPASS FOR RECREATIONAL PURPOSE                           | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         | EDITOR NOTES: This new offense created by HB0208 may be subject to renumbering by OLRGC on May 3 to coordinate with unrelated recodification changes   |
| RENUMBER | 76-6-206(2A)+(3A)     | CRIM TRESPASS - INTEND ANNOY/INJURY/CRIME/FEAR                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| REMOVE   | 76-6-206(2)(A)        | CRIMINAL TRESPASS ENTER OR REMAIN BY PERSON OR UNMANNED AIRCRAFT     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense  |
| REMOVE   | 76-6-206(2)(A)(I)     | CRIMINAL TRESPASS WITH INTENT TO ANNOY OR CAUSE INJURY               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense  |
| REMOVE   | 76-6-206(2)(A)(II)    | CRIMINAL TRESPASS W/INTENT TO COMMIT CRIME OTHER THAN THEFT/FELONY   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense  |
| REMOVE   | 76-6-206(2)(A)(III)   | CRIMINAL TRESPASS RECKLESS UNMANNED AIRCRAFT CAUSING FEAR/SAFETY     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense  |
| RENUMBER | 76-6-206(2B)+(3A)     | CRIM TRESPASS - NOTICE AGAINST ENTRY                                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| REMOVE   | 76-6-206(2)(B)        | CRIMINAL TRESPASS KNOWING ENTRY UNLAWFUL PERSON OR UNMANNED AIRCRAFT | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense  |
| REMOVE   | 76-6-206(2)(B)(I)     | CRIMINAL TRESPASS  |                  |                      |                |             |                    |           |                |                |           |  |
| RENUMBER | 76-6-206(2C)+(3C)     | CRIMINAL TRESPASS - ENTER CONDOMINIUM UNIT                           | IN               | N                    | \$250          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| REMOVE   | 76-6-206(2)(C)        | CRIMINAL TRESPASS - CONDO UNIT                                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| ADD      | 76-6-404(2)+(3D)      | THEFT - VALUE < \$500  | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |  |
| REMOVE   | 76-6-404              | THEFT  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| ADD      | 76-6-404.5(2)+(3C)    | UNAUTHORIZED POSSESSION - VALUE \$500-\$1499                         | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |  |
| ADD      | 76-6-404.5(2)+(3CII)  | UNAUTHORIZED POSSESS - VALUE <\$500 FROM PROHIBITED MERCHANT         | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |  |
| ADD      | 76-6-404.5(2)+(3CIII) | UNAUTHORIZED POSSESS - 2 QUALIFYING PRIORS IN 10Y                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |  |
| ADD      | 76-6-404.5(2)+(3D)    | UNAUTHORIZED POSSESSION - VALUE < \$500                              | MC               | Y                    | \$350          | \$0         | N                  | 35%       |                |                | C         |  |
| REMOVE   | 76-6-404.5            | WRONGFUL APPROPRIATION   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| REMOVE   | 76-6-404.5(3)(C)      | WRONGFUL APPROPRIATION - MB  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| REMOVE   | 76-6-404.5(3)(D)      | WRONGFUL APPROPRIATION - MC  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| ADD      | 76-6-404.7(2)+(3D)    | FUEL THEFT - VALUE < \$500   | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |  |
| REMOVE   | 76-6-404.7            | THEFT OF MOTOR VEHICLE FUEL  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | S         |  |
| ADD      | 76-6-405(2)+(3D)      | THEFT/DECEPTION - VALUE < \$500                                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |  |
| REMOVE   | 76-6-405              | THEFT BY DECEPTION   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| ADD      | 76-6-406(2)+(3D)      | THEFT/EXTORTION - VALUE < \$500                                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |  |
| REMOVE   | 76-6-406              | THEFT BY EXTORTION   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| ADD      | 76-6-407(2)+(3D)      | THEFT/MISLAID - VALUE < \$500  | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |  |
| REMOVE   | 76-6-407              | THEFT OF MISLAID/LOST/MISTAKEN PROPERTY                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| ADD      | 76-6-408(2)+(3D)      | THEFT/RECEIVING - VALUE < \$500                                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |  |
| REMOVE   | 76-6-408              | THEFT BY RECEIVING STOLEN PROPERTY                                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| REMOVE   | 76-6-408(1)           | THEFT BY RECEIVING STOLEN PROPERTY                                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| ADD      | 76-6-409(2)+(3D)      | THEFT/SERVICES - VALUE < \$500                                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |  |
| REMOVE   | 76-6-409              | THEFT OF SERVICES  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| ADD      | 76-6-409.3(2)+(3AIV)  | THEFT/UTILITIES - VALUE < \$500                                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |  |
| ADD      | 76-6-409.3(2)+(3BIV)  | THEFT/CABLE - VALUE < \$500  | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |  |
| REMOVE   | 76-6-409.3            | THEFT OF UTILITY SERVICES  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| ADD      | 76-6-409.6(2)+(3A)    | USE OF TELECOM DEVICE - VALUE < \$300 OR UNKNOWN                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |  |
| REMOVE   | 76-6-409.6            | UNLAW USE OF TELECOMMUNICATION DEVICE                                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense  |
| RENUMBER | 76-6-409.7(2)+(3A)    | POSSESS UNLAWFUL TELECOM DEVICE                                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| REMOVE   | 76-6-409.7            | POSSESS UNLAWFUL TELECOMMUNICATION DEVICE                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| REMOVE   | 76-6-409.7(1)         | POSSESS UNLAWFUL TELECOMMUNICATION DEVICE                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| ADD      | 76-6-410(2)+(3D)      | THEFT/CUSTODIAN - VALUE < \$500                                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |  |
| REMOVE   | 76-6-410              | THEFT BY RENTAL AGREEMENT  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
|          | 76-6-410.5            | THEFT OF RENTAL VEHICLE  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| REMOVE   | 76-6-410(1)           | THEFT-PERSON HAVING CUSTODY OF PROPERTY-REPAIR/RENT AGREEMENT        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| REMOVE   | 76-6-410(2)           | THEFT PURSUANT TO A RENTAL AGREEMENT                                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| REMOVE   | 76-6-412(1)(D)        | THEFT - VALUE IS < \$500   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| REMOVE   | 76-6-503.2(3)         | KNOWINGLY USE FALSE FINANCIAL TRANS CARD > 500                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| REMOVE   | 76-6-503.2(4)(A)      | KNOWINGLY USE FALSE FINANCIAL TRANS CARD DEFRAUD                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |
| RENUMBER | 76-6-503.7(2)+(3A)    | FILING UCC SECURED TRANSACTION RECORD TO HARASS DEBTOR               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| REMOVE   | 76-6-503.7(2)(A)      | RECORDS FILED WITH INTENT TO HARASS                                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |  |

| Action   | Violation Code       | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments            |
|----------|----------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---------------------|
|          | 76-6-504             | TAMPER WITH RECORDS  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-6-505(2)+(3A)     | ISSUING BAD CHECK OR DRAFT - SUM < \$500 IN 6M                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-505(1)          | ISSUING A BAD CHECK OR DRAFT   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-505(2)          | ISSUE A BAD CHECK AND FAIL TO MAKE GOOD ON PAYMENT                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-6-506.2(2)+(3AI)  | UNLAWFUL USE OF FINANCIAL CARD - VALUE < \$500                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-506.2           | UNLAWFUL USE OF A FINANCIAL TRANSACTION CARD                         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-6-506.9(2)+(3AI)  | USE OF FRAUDULENT FINANCIAL CARD - VALUE < \$500                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-506.2(1)        | KNOWINGLY USE FALSE FINANCIAL TRANS CARD FOR CREDIT/GOODS            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-506.2(2)        | KNOWINGLY USE FALSE FINANCIAL TRANS CARD FOR CREDIT/GOODS            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-506.2(3)        | KNOWINGLY WITH THE INTENT TO DEFRAUD EXCEEDS 500.00                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-6-506.8(2)+(3A)   | FALSE APPLICATION FOR FINANCIAL CARD - VALUE < \$500                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-506.2(4)(A)     | KNOWINGLY INDENT TO DEFRAUD MAKE APPLICATION FALSE ID                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-506.2(5)        | FINANCIAL TRANSACTION - WITH THE INTENT TO DEFRAUD                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-506.2(5)(A)     | KNOWINGLY INTENT TO DEFRAUD- COUNTERFEIT OR FICTITIOUS               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-506.2(5)(B)     | KNOWINGLY INTENT TO DEFRAUD - SALES EVIDENCE BY CC                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-506.2(5)(C)     | FINANCIAL TRANS - PURPORTED SALE NOT AUTHORIZED                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-6-506.6(2)+(3AI)  | UNAUTHORIZED FACTORING OF CREDIT CARD - VALUE < \$500                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | C         |                     |
| REMOVE   | 76-6-506.6           | UNAUTHORIZED FACTORING OF CREDIT CARD SALES DRAFTS                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | S         |                     |
|          | 76-6-507             | DECEPTIVE BUSINESS PRACTICE  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-6-513(2A)+(3AIV)  | UNLAWFUL FIDUCIARY DEALING - VALUE < \$500                           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-513(2)          | UNLAWFUL DEALING WITH PROPERTY BY FIDUCIARY                          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-6-513(2B)+(3BIV)  | UNLAWFUL FIDUCIARY ACT - VALUE < \$500                               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-513(3)          | UNLAWFUL DEALING OF PROPERTY BY FIDUCIARY                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-6-515             | USING/MAKING SLUGS   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-6-518(2)+(3A)     | CRIMINAL SIMULATION - VALUE < \$500                                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-518             | CRIMINAL SIMULATION  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| ADD      | 76-6-521(2)+(3BI)    | INSURANCE FRAUD - VALUE < \$500                                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |                     |
| REMOVE   | 76-6-521             | FALSE/FRAUDULENT INSURANCE CLAIM                                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-521(1)(B)       | FALSE OR FRAUDULENT INSURANCE CLAIM                                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-521(1)(B)(I)(A) | FALSE OR FRAUDULENT INSURANCE CLAIM                                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-521(1)(C)       | ACCEPTING BENEFITS FROM FALSE OR FRAUDULENT INSURANCE CLAIM          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-521(1)(D)       | FALSE OR FRAUDULENT SCHEME TO OBTAIN FEES OR SERVICES                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-521(1)(E)       | FRAUDULENT INSURANCE ACT (RUNNER VIOLATION)                          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-521(1)(F)       | FRAUDULENT INSURANCE ACT WITH ANOTHER                                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-521(1)(G)       | FRAUDULENT INSURANCE INFORMATION                                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-6-524             | FALSIFYING INFORMATION FOR PRECONSTRUCTION SERVICE LIEN              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-6-602(2)+(3D)     | RETAIL THEFT - VALUE < \$500   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-602             | RETAIL THEFT (SHOPLIFTING)   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-602(2)          | THEFT BY PRICE SWITCHING (SHOPLIFTING)                               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-608             | RETAIL THEFT DETECTION SHIELDING DEVICES > 500                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-608(1)(A)       | RETAIL THEFT-DEVICE USED TO SHIELD FROM ALARM SENSOR                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-6-608(2D)+(3BI)   | THEFT DETECTION SHIELDING DEVICE REMOVAL - VALUE < \$500             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-608(2)(B)(I)    | RETAIL THEFT DETECTION - REMOVE A THEFT DETECTION DEVICE             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| ADD      | 76-6-703(2)+(3AI)    | UNLAWFUL COMPUTER ACCESS/ACTION/ATTACK - LOSS < \$500                | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |                     |
| ADD      | 76-6-703(2)+(3AII)   | UNLAWFUL COMPUTER ACCESS/ACTION/ATTACK - NOCONFIDENTIAL INFO         | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |                     |
| REMOVE   | 76-6-703(1)          | COMPUTER CRIMES INTERFERING WITH CRITICAL INFRASTRUCTURE             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-703(1)(A)       | COMPUTER CRIMES< \$500 ECONOMIC LOSS-DAMAGE OR BENEFIT OBTAINED      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| REMOVE   | 76-6-703(3)(A)       | COMPUTER CRIMES - DISCLOSE/DISSEMINATE ANOTHERS IDENTITY             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-6-703.1(2)+(3AI)  | UNLAWFUL DISCLOSURE ADULT PERSONAL INFO - NO PRIORS                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-703(3)(B)       | COMPUTER CRIMES-DISCLOSE/DISSEMINATE AN ADULTS IDENTITY W/HARASSMENT | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| ADD      | 76-6-703.3(2)+(3A)   | UNLAWFUL USE OF TECHNOLOGY TO DEFRAUD - VALUE < \$500                | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |                     |
| ADD      | 76-6-703.7           | UNLAWFUL COMPUTER ACCESS   | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |                     |
| RENUMBER | 76-6-801(2)+(3D)     | LIBRARY THEFT - VALUE < \$500  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-801             | LIBRARY THEFT  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| RENUMBER | 76-6-803(2)+(3D)     | DAMAGE LIBRARY MATERIAL - VALUE < \$500                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6-803             | MUTILATE/DAMAGE LIBRARY MATERIALS                                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
| ADD      | 76-6-803.30(2)+(3D)  | FAIL TO RETURN LIBR MAT - VALUE < \$500                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |                     |
| RENUMBER | 76-6-902(2)+(3A)     | ANTIQUITIES ALTER/REMOVE/INJURE/DESTRUCT                             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | Enhanceable Offense |
| REMOVE   | 76-6-902             | CULTURAL SITE PROTECTION   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| REMOVE   | 76-6-902(1)          | CULTURAL SITE ALTER, REMOVE, INJURE, OR DESTROY ANTIQUITIES          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| RENUMBER | 76-6-902.1(2)+(3A)   | REPRODUCTION ANTIQUITIES CREATE/LABEL/SELL                           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | Enhanceable Offense |
| REMOVE   | 76-6-902(2)          | CULTURAL SITE REPRODUCE, REWORK, OR FORGE ANY ANTIQUITIES            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense |
| ADD      | 76-6-902.2(2)+(3A)   | UNLAWFUL SALE/EXCHANGE OF ANTIQUITIES                                | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |                     |
| RENUMBER | 76-6A-103            | PARTICIPATING IN PYRAMID SCHEME                                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         |                     |
| REMOVE   | 76-6A-4(2)           | PARTICIP IN PYRAMID SCHEME RECV COMP FOR INTROD PERSONS INTO         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-7-101(1)          | BIGAMY   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              |           |                     |
|          | 76-7-104             | FORNICATION  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|          | 76-7-202(1)          | ENGAGE IN UNREGULATED CUSTODY TRANSFER                               | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                |           |                     |
| NEW      | 76-7-332             | UNLAWFULLY PRESCRIBE ABORTION-RELATED DRUG                           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |

| Action | Violation Code      | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments            |
|--------|---------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---------------------|
|        | 76-8-106            | RECEIVE BRIBE/BRIBERY- ENDORSEMENT OF PERSON AS PUBLIC SERV              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-110            | PEACE OFFICER ACTING AS COLLECTION AGENT FOR CREDITOR                    | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|        | 76-8-1101           | TAX EVASION  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-1101(1)(B)     | OPERATE WITHOUT LICENSE OR PERMIT FROM STATE TAX COMMISSION              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | S         |                     |
|        | 76-8-1203           | PUBLIC ASSISTANCE FRAUD  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-1203(2)        | PUBLIC ASSISTANCE FRAUD (APPLICATION)                                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-1203(3)        | PUBLIC ASSISTANCE DISCLOSURE REQUIRED                                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-1205           | PUBLIC ASSISTANCE FRAUD  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-1301           | FRAUDULENTLY OBTAIN UNEMPLOYMENT COMPENSATION                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-1301(1)(A)     | UNEMPLOYMENT COMPENSATION - FALSE STATEMENT                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-1402           | DISRUPTION OF ACTIVITIES IN OR NEAR SCHOOL BLDG                          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-201            | OFFICIAL MISCONDUCT  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-203            | UNOFFICIAL MISCONDUCT/ PUBLIC OFFICE                                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-301            | INTERFERE W/ PUBLIC SERVANT  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-301.5          | FAILURE TO DISCLOSE IDENTITY   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-301(1)(A)      | USES FORCE/VIOLENCE/INTIMIDATION TO INTERFERE W/PUBLIC SERVANT           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-301(1)(B)      | OBSTRUCT, HINDER, CONCEAL, PREVENT LAWFUL SERVICE BY AUTHORIZED PERSON   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-301(1)(C)      | INTERFERE W/ PUBLIC SERVANT ON STATE PROPERTY                            | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|        | 76-8-302            | PICKETING OR PARADING IN OR NEAR COURT                                   | MB               | N                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-305            | INTERFERENCE WITH ARRESTING OFFICER                                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-307            | FAIL TO AID PEACE OFFICER  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-311.3          | ITEMS PROHIBITED IN CORRECTIONAL & MENTAL HEALTH FACILITIES              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-311.3(5)(F)    | FACILITATES POSSESSION OF CONTRABAND BY OFFENDER IN CORR                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-312            | BAIL-JUMPING   | IN               | Y                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|        | 76-8-313            | THREATEN ELECTED OFFICIALS   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-317            | REFUSE TO COMPLY W/EVACUATE ORDER IN LOCAL/STATE EMERGENCY               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-405            | FAIL TO PAY OVER FINE OR FEE   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-406            | OBSTRUCTING COLLECTION OF REVENUE  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-410            | DOING BUSINESS WITHOUT A LICENSE   | MB               | N                    | \$300          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-416            | TAKING TOLL OR MAINTAINING RD/BRIDGE/FERRY W/OUT AUTHORIZATION           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-417            | TAMPERING W/OFFICIAL NOTICE OR PUBLICATION                               | IN               | Y                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |                     |
|        | 76-8-420            | REMOVING OR DAMAGING ROAD SIGNS  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-503            | FALSE/INCONSISTENT STATEMENT   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-503(1)(A)      | FALSE STATEMENT UNDER OATH   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-503(1)(A)(I)   | FALSE STMT IN OFFICIAL PROCEEDING OR MISLEAD PUBLIC SERVANT              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-503(1)(A)(II)  | FALSE STMT AUTHORIZED BY LAW TO BE SWORN/AFFIRMED BY NOTARY              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-503(1)(B)      | INCONSISTENT STMT UNDER OATH OR AFFIRMATION                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-504.6          | PROVIDING FALSE/MISLEADING INFORMATION                                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-504.6(1)(A)    | PROVIDE FALSE/MISLEADING INFO TO COURT OFFICER                           | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-504.6(1)(B)    | PROVIDE FALSE/MISLEADING INFO TO BCI                                     | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-504(2)(A)      | WRITTEN FALSE STATEMENT  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              |           |                     |
|        | 76-8-506            | FALSE INFO LAW ENFORCEMENT/GOVT AGENCIES/SPECIFIED PROFESS               | MB               | Y                    | \$490          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-507(1)         | GIVING FALSE PERSONAL IDENTITY TO PEACE OFFICE                           | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|        | 76-8-511            | FALSIFY/ALTER GOVERNMENT RECORDS   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-512            | IMPERSONATION OF OFFICER   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-513            | FALSE JUDICIAL/OFFICIAL NOTICE   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-601            | WRONGFUL COMMENCEMENT OF ACTION IN JUSTICE COURT                         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-602            | ASSUMING LIABILITY FOR CONFERRING JURISDICTION ON JUSTICE CT             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-703            | CRIMINAL TRESPASS UPON INSTITUTION OF HIGHER LEARNING                    | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|        | 76-8-703(1)(B)      | CRIMINAL TRESPASS-INSTITUTION OF HIGHER LEARNING-2ND SUBSEQUENT          | MB               | Y                    | \$670          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-8-705            | WILLFUL INTERFERENCE W/LAWFUL ACTIVITIES OF STUDENTS/FACULTY             | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|        | 76-8-904            | PERMIT USE OF PROPERTY/ASSEMBLY ADVOCATE CRIMINAL SYNDICALISM            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | N              | S         |                     |
|        | 76-9-101            | RIOT   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-9-101(1)         | RIOT   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-9-101(2)         | RIOT - REFUSES TO COMPLY WITH LAWFUL ORDER                               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-9-102            | DISORDERLY CONDUCT   | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         | Enhanceable Offense |
|        | 76-9-102(1)         | DISORDERLY CONDUCT   | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         | Enhanceable Offense |
|        | 76-9-102(1)(A)      | DISORDERLY CONDUCT - REFUSE TO COMPLY WITH POLICE ORDER                  | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         | Enhanceable Offense |
|        | 76-9-102(1)(B)(I)   | DISORDERLY CONDUCT FIGHTING/VIOLENT, TUMULTUOUS BEHAVIOR                 | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         | Enhanceable Offense |
|        | 76-9-102(1)(B)(II)  | DISORDERLY CONDUCT - UNREASONABLE NOISES IN PUBLIC PLACE                 | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         | Enhanceable Offense |
|        | 76-9-102(1)(B)(III) | DISORDERLY CONDUCT - NOISES IN PRIVATE HEARD IN PUBLIC                   | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         | Enhanceable Offense |
|        | 76-9-102(1)(B)(IV)  | DISORDERLY CONDUCT - OBSTRUCTS VEHICLE OR PEDESTRIAN TRAFFIC             | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         | Enhanceable Offense |
|        | 76-9-102(4)         | DISORDERLY CONDUCT AFTER REQUEST TO STOP                                 | MC               | Y                    | \$360          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|        | 76-9-102(4)(A)      | DISORDERLY CONDUCT   | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         | Enhanceable Offense |
|        | 76-9-102(4)(B)      | DISORDERLY CONDUCT AFTER BEING ASKED TO CEASE                            | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         | Enhanceable Offense |
|        | 76-9-102(4)(C)      | DISORDERLY CONDUCT AFTER BEING ASKED TO CEASE AND SUBS VIOL W/IN 5 YEARS | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | C         | Enhanceable Offense |
|        | 76-9-103            | DISRUPTING A MEETING/PROCESSION  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |                     |
|        | 76-9-104            | FAIL TO DISPERSE   | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |                     |
|        | 76-9-105(1)         | MAKING A FALSE ALARM - WARN OF FIRE, BOMB, OTHER CRIME                   | MB               | Y                    | \$490          | \$0         | N                  | 90%       | N              | Y              | S         |                     |

| Action | Violation Code   | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments                                |
|--------|------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---|
|        | 76-9-106         | DISRUPTING OPERATION OF A SCHOOL                               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-107(2)      | UNAUTHORIZED ENTRY ON SCHOOL BUS                               | MB               | N                    | \$260          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-108         | DISRUPTING A FUNERAL OR MEMORIAL SERVICE                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-109(4)      | DISCLOSE ADDRESS W/INTENT TO CAUSE TARGETED RESIDENT PICKETING | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              |                |           |   |
|        | 76-9-109(5)      | TARGETED RESIDENTIAL PICKETING                                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              |                |           |   |
|        | 76-9-201         | ELECTRONIC COMMUNICATION HARASSMENT                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense                     |
|        | 76-9-201(2)      | ELECTRONIC COMMUNICATION HARASSMENT                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense                     |
|        | 76-9-201(3)      | ELECTRONIC COMMUNICATION HARASSMENT                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense                     |
|        | 76-9-201(4)(A)   | ELECTRONIC COMMUNICATION HARASSMENT                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense                     |
|        | 76-9-202         | EMERGENCY REPORTING ABUSE                                      | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 76-9-202(2)      | EMERGENCY REPORTING ABUSE                                      | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 76-9-202(2)(A)   | EMERGENCY REPORTING ABUSE - REFUSE TO YIELD PHONE FOR REPORT   | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 76-9-202(2)(B)   | EMERGENCY REPORTING ABUSE - PHONE RQST BASED ON EMERGENCY      | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 76-9-202(2)(C)   | REPORT OR CAUSE RPT OF EMERGENCY TO FIRE, POLICE, MED FALSELY  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-301.1       | DOG FIGHTING-TRAINING DOGS FOR FIGHTING                        | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 76-9-301.1(4)    | ATTENDING DOG FIGHT  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-301.3       | GAME FOWL FIGHTING   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-301.3(2)    | GAME FOWL FIGHTING   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-301.3(2)(A) | INTENTIONALLY CAUSE A GAME FOWL TO FIGHT OR ATTACK             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-301.3(2)(B) | PROMOTE ANY ACTIVITY THAT INVOLVES GAME FOWL FIGHTING          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-301.5       | ATTENDANCE @ ANIMAL FIGHTS PROHIBITED                          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-301.8       | BESTIALITY   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-301(2)      | CRUELTY TO AN ANIMAL   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-301(3)(A)   | CRUELTY TO ANIMALS INTENTIONAL/KNOWINGLY                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-301(3)(B)   | CRUELTY TO ANIMALS RECKLESSLY OR WITH CRIMINAL NEGLIGENCE      | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 76-9-301(4)      | AGGRAVATED CRUELTY TO AN ANIMAL                                | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 76-9-301(5)(B)   | AGGRAVATED CRUELTY TO ANIMALS RECKLESSLY                       | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-301(5)(C)   | AGGRAVATED CRUELTY TO ANIMAL CRIMINAL NEGLIGENCE               | MC               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 76-9-304         | ALLOW VICIOUS ANIMAL TO GO AT LARGE                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-307         | INJURY TO SERVICE ANIMALS                                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-308(2)(A)   | HARASSMENT OF LIVESTOCK BY MOTORIZED VEHICLE OR ATV            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-308(2)(B)   | HARASSMENT OF LIVESTOCK BY DOG                                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-308(2)(C)   | HARASSMENT OF LIVESTOCK BY AN UNMANNED AIRCRAFT                | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-402         | PRIVACY VIOLATION  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-403         | COMMUNICATIONS ABUSE   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-404         | CRIMINAL DEFAMATION  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-407(2)      | CRIME OF ABUSE OF PERSONAL IDENTITY                            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-509         | CONVEYING FALSE OR LIBELOUS MATERIAL TO MEDIA                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-601         | ABUSE OF A FLAG  | MB               | N                    | \$420          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-701         | INTOXICATION   | MC               | Y                    | \$230          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 76-9-701(1)      | INTOXICATION   | MC               | Y                    | \$230          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 76-9-702         | LEWDNESS   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-702.3       | PUBLIC URINATION   | IN               | Y                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 76-9-702.7(4)    | VOYEURISM  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Enhanceable Offense                     |
|        | 76-9-702(1)      | LEWDNESS - FIRST OR SECOND OFFENSE                             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-704(2)(A)   | FAILURE TO REPORT THE FINDING OF A DEAD HUMAN BODY             | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-706         | FALSE REPRESENTATION OF MILITARY AWARD                         | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|        | 76-9-706(2)      | FALSE REPRESENTATION REGARDING AWARD OF MILITARY SERVICE       | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|        | 76-9-706(3)      | PURCHASE, POSSESS, SELL FALSE REPRESENTATION MILITARY AWARD    | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|        | 76-9-706(4)      | WEARING/USE MILITARY AWARD UNLAWFULLY                          | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | N              | C         |   |
|        | 76-9-706(5)      | FALSE REPRESENTATION OF MILITARY NAME/TITLE/INSIGNIA/RITUAL    | IN               | N                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |   |
|        | 76-9-803(1)      | RECRUITING A MINOR TO JOIN A CRIMINAL STREET GANG              | MB               | Y                    | \$1,080        | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-803(1)(A)   | CRIM STREET GANGS SOLICIT/RECRUIT/INTIMIDATE MINOR TO JOIN     | MB               | Y                    | \$1,080        | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-803(1)(B)   | CONSPIRE WITH INTENT TO ENTICE MINOR TO JOIN CRIMINAL GANG     | MB               | Y                    | \$1,080        | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-803(1)(C)   | USING INTIMIDATION TO PREVENT MINOR LEAVING CRIMINAL GANG      | MB               | Y                    | \$1,080        | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 76-9-903         | FAILURE TO DISPERSE  | MB               | Y                    | \$1,150        | \$0         | N                  | 90%       | N              | N              | S         |   |
|        | 76-9-903(1)      | FAILURE TO DISPERSE  | MB               | Y                    | \$1,150        | \$0         | N                  | 90%       | N              | N              | S         |   |
|        | 76-9-904(2)(A)   | SUBSEQUENT FAILURE TO DISPERSE                                 | MB               | Y                    | \$1,150        | \$0         | N                  | 90%       | N              | N              | S         |   |
|        | 77-23-105        | FAIL TO STOP - ADMIN TRAFFIC CHECKPOINT                        | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 77-23A-4         | WIRETAPPING OR INTERCEPTING ELECTRONIC COMMUNICATIONS          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 77-23A-4(1)      | INTERCEPTING ELECTRONIC COMMUNICATIONS                         | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 77-32-202(6)(D)  | FALSE STATEMENT IN AFFIDAVIT OF INDIGENCY                      | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 77-36-1.1(3)(A)  | PENALTY ENHANCEMENT FOR A DOMESTIC VIOLENCE OFFENSE            | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         | Underlying offense establishes the bail |
|        | 77-37-4(5)       | DISTRIBUTION, RELEASE, OR DISPLAY OF CHILD VICTIM INTERVIEW    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 77-37-4(7)       | DISTRIBUTE, RELEASE OR DISPLAY CHILD VICTIM INTERVIEW          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 77-38-609(5)     | OBTAIN/DISCLOSE CONFIDENTIAL VICTIM ADDRESS                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              |                |           |   |
|        | 77-41-112        | INTENTIONALLY PROVIDE FALSE INFO ON APPLICATION CERTIFICATE    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |
|        | 77-7-24          | FAIL TO SIGN A PROMISE TO APPEAR                               | MC               | N                    | \$60           | \$0         | Y                  | 0%        | N              | Y              | C         |   |
|        | 77-7-26          | DISPOSING OF/CANCELING NOTICE TO APPEAR OR TRAFFIC CITATION    | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |   |

| Action   | Violation Code      | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments |
|----------|---------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|----------|
|          | 78A-2-229           | DIST/RELEASE DOCS PROVIDED TO PRO SE LITIGANT (AFTER DISPO)          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |          |
|          | 78A-2-411           | COURT REPORTER OR TRANSCRIPT VIOLATION                               | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |          |
|          | 78A-6-1001          | OFFENSES AGAINST A MINOR   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |          |
|          | 78A-6-105           | INDIVIDUAL/ENTITY KNOWINGLY ENGAGING IN UNREGULATED CUSTODY TRANSFER | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |          |
|          | 78A-6-1101(3)(A)    | VIOLATION OF COURT ORDER/JUV. COURT                                  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |          |
|          | 78A-6-111(2)        | PARENT / GUARDIAN FAILURE TO APPEAR IN COURT W/ MINOR                | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |          |
|          | 78A-6-111(2)(B)     | EMPLOYER FAILURE TO ALLOW PARENT LEAVE FOR MINOR CT                  | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |          |
|          | 78B-1-115(3)        | MISREPRESENT MATERIAL FACTS REGARDING JURY DUTY                      | IN               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
|          | 78B-1-126           | JUROR OR WITNESS PURCHASE OF CERTIFICATE VIOLATION                   | MB               | N                    | \$690          | \$0         | N                  | 90%       | Y              | Y              | C         |          |
|          | 78B-1-132           | MAY NOT FIRE EMPL FOR RESPONSE TO SUBPPO                             | MB               | Y                    | \$630          | \$0         | N                  | 90%       | N              | Y              | S         |          |
|          | 78B-24-203          | PROHIBITED CUSTODY TRANSFER  | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                |           |          |
|          | 78B-24-205          | PROHIBITED SOLICITING OR ADVERTISING - TRANSFER OF CUSTODY           | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                |           |          |
|          | 78B-5-705(1)        | FALSE WRITTEN STATEMENT  | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |          |
|          | 78B-6-1102.5        | VIOLATION OF ORDER ENJOINING A NUISANCE                              | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |          |
|          | 78B-7-407(2)        | VIOLATION OF DATING VIOLENCE PROTECTIVE ORDER                        | MB               | Y                    | \$670          | \$0         | N                  | 90%       | N              | Y              | S         |          |
|          | 78B-7-806(1)(A)     | VIOLATION OF A JRA/JAIL RELEASE COURT ORDER                          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              |           |          |
|          | 78B-7-806(1)(A)     | STATE OF UTAH CONTACT DOMESTIC VIOLENCE VICTIM FROM CUSTODY          | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                |           |          |
|          | 78B-8-304(2)        | BILL FALSELY FOR PROCESS SERVICE                                     | IN               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              | C         |          |
|          | 78B-8-403           | BREACH OF CONFIDENTIALITY REQUIREMENTS                               | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |          |
|          | 78B-8-602           | NO PROOF OF OWNERSHIP TO HARVEST, TRANSPORT FOREST PRODUCTS          | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | Y              | S         |          |
|          | 78B-8-603           | TRANSPORT NATIVE FOREST PRODUCTS VEGETATION                          | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |          |
|          | 79-2-404(4)         | NATURAL RES CONTRACTOR CIRCUMVENT HEALTH INS COVERAGE REQ            | IN               | N                    | \$750          | \$0         | N                  | 35%       |                |                |           |          |
|          | 80-2-1005(7)(A)(I)  | RELEASE OF CONFIDENTIAL DCFS INFO                                    | MC               | Y                    | \$350          | \$0         | N                  | 35%       | N              | Y              |           |          |
|          | 80-2-1005(7)(A)(II) | REQUEST ANOTHER PERSON TO RELEASE CONFIDENTIAL DCFS INFO             | MC               | Y                    | \$350          | \$0         | N                  | 35%       |                |                |           |          |
|          | 80-2-1007(5)        | UNLAWFUL REMOVAL OF DIVISION SERVICES PAYMENT RECORDS                | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                |           |          |
|          | 80-2-609(2)(A)      | FAILURE TO REPORT ABUSE OF A CHILD                                   | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              |           |          |
|          | 80-2-609(3)         | THREATEN/INTIMIDATE SUBJECT OF CHILD ABUSE REPORT                    | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                |           |          |
|          | 80-5-601            | HARBORING A RUNAWAY  | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                |           |          |
| ADD      | 80-6-204            | VIOLATE DETENTION / CONFINEMENT OF A MINOR REQUIREMENTS              | MB               | Y                    | \$690          | \$0         | N                  | 90%       |                |                | C         |          |
|          | 9-4-612             | FRAUDULENTLY OBTAIN HOUSING BENEFITS                                 | MB               | Y                    | \$690          | \$0         | N                  | 90%       | N              | Y              | S         |          |
| RENUMBER | 9-8A-305            | EXCAVATE/REMOVE ARCHEOLOGICAL RESOURCE W/O PERMIT                    | MB               | Y                    | \$1,950        | \$0         | N                  | 90%       | N              | Y              | C         |          |
| REMOVE   | 9-8-305             | EXCAVATE/REMOVE ARCHEOLOGICAL RESOURCE W/O PERMIT                    | MB               | Y                    | \$1,950        | \$0         | N                  | 90%       | N              | Y              | S         |          |
|          | 9-7-214             | INTENT DEFACE/DESTROY/REFUSE TO RETURN STATE LIBRARY PROPERTY        | MB               | N                    | \$680          | \$0         | N                  | 90%       | Y              | Y              | C         |          |
|          | 9-9-211             | HUNTING, TRAPPING OR FISHING ON RESERVATION                          | MB               | N                    | \$680          | \$0         | N                  | 90%       | Y              | Y              | C         |          |

## WILDLIFE RESOURCES RULE ENTRIES

Current as of May 3, 2023

| Violation Code         | Description   | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments                                   |
|------------------------|---|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|--|
| <a href="#">WR1050</a> | HARVEST BRINE SHRIMP W/O VALID COR 23-19-1                        | MB               | N                    | \$1,950        | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR1100</a> | FISHING W/O VALID LICENSE 23-19-1                                 | MB               | N                    | \$160          | \$60        | N                  | 90%       | N              | Y              | C         | \$60 suspended upon proof of valid license |
| <a href="#">WR1150</a> | HUNT/TRAP W/O LICENSE - INCLUDES LENDING 23-19-1                  | MB               | N                    | \$210          | \$60        | N                  | 90%       | N              | Y              | C         | \$60 suspended upon proof of valid license |
| <a href="#">WR1200</a> | HUNT/TRAP W/O LICENSE - INCLUDES LENDING 23-19-1                  | MB               | N                    | \$210          | \$60        | N                  | 90%       | N              | Y              | C         | \$60 suspended upon proof of valid license |
| <a href="#">WR1250</a> | NON-RES HUNT BIG GAME/BEAR/COUGAR W/O LICENSE 23-19-4             | MB               | N                    | \$1,560        | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR1300</a> | HUNT ON A CWMU W/O PERMIT 23-23-10                                | MB               | N                    | \$170          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR1350</a> | HUNT BIG GAME ON CWMU W/O PERMIT 23-23-10                         | MB               | N                    | \$290          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR1400</a> | PURCHASE OF FURBEARER LICENSE W/O EDUCATION 23-19-11.5            | MB               | N                    | \$210          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR1450</a> | WILLFUL UNLAWFUL SALE OF A LICENSE 23-19-15                       | MB               | N                    | \$290          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR1500</a> | UNLAWFUL SALE OF A LICENSE W/O HUNTER SAFETY CERT 23-19-15        | MB               | N                    | \$210          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR1550</a> | UNLAWFUL PURCHASE OF LICENSE WITH FTA 23-19-15                    | MB               | N                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR1600</a> | UNLAWFUL PURCHASE BY MISREPRESENTATION 23-19-5                    | MB               | N                    | \$290          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR1700</a> | UNLAWFUL PURCHASE OF LICENSE WHILE ON REVOCATION 23-19-9          | MB               | Y                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR1750</a> | UNLAWFUL ALTERATION OF A LICENSE/PERMIT/TAG/COR 23-20-27          | MB               | N                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR1800</a> | DEALING IN FURS W/O A VALID REGISTRATION 23-18-5                  | MB               | N                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2000</a> | TAKING/POSSESSION OF PROTECTED WILDLIFE-FISH 23-20-3              | MB               | N                    | \$190          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2001</a> | TAKING/POSS OF PROT WILDLIFE OVERLIMIT-FISH 23-20-3               | MB               | Y                    | \$190          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2060</a> | POSSESSION OF CORN/BAIT WHILE FISHING 23-20-3                     | MB               | N                    | \$150          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2100</a> | TAKING/POSSESSION OF PROTECTED WILDLIFE-FISH 23-20-3              | MB               | Y                    | \$290          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2200</a> | TAKING/POSSESSION-PROTECTED WILDLIFE-SMALL GAME 23-20-3           | MB               | Y                    | \$190          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2201</a> | TAKING/POSSESSION OF PROTECTED WILDLIFE-OVERLIMIT 23-20-3         | MB               | Y                    | \$190          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2202</a> | TAKE/POSS PROT WILDLIFE-EFORE/AFT LGL HRS SM GAME 23-20-3         | MB               | Y                    | \$190          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2300</a> | TAKING/POSSESSION-PROTECTED WILDLIFE SMALL GAME 23-20-3           | MB               | Y                    | \$190          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2301</a> | TAKE/POSS PROT WILDLIFE -BEF/AFT LGL HRS MIGR BIRDS 23-20-3       | MB               | Y                    | \$190          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2302</a> | HUNTING MIGRATORY BIRDS W/O VALID STAMP 23-20-3                   | MB               | N                    | \$140          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2370</a> | UNLAWFUL POSSESSION OF TOXIC SHOT 23-20-3                         | MB               | N                    | \$140          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2400</a> | TAKING/POSSESSION OF PROTECTED WILDLIFE 23-20-3                   | MB               | Y                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2401</a> | TAKING/POSSESSION OF PROTECTED WILDLIFE-ANTLERS 23-20-3           | MB               | Y                    | \$290          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2460</a> | POSSESSION OF FIREARM BY PURSUIT ONLY PERMIT HLDR 23-20-3         | MB               | Y                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2461</a> | POSSESSION OF FIREARM BY ARCHER/MUZZLELOADER PERMIT HLDR 23-20-3  | MB               | N                    | \$240          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2462</a> | SHOOTING IN A RESTRICTED OR CLOSED AREA 23-20-3                   | MB               | N                    | \$240          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2463</a> | UNLAWFUL BAITING OR METHODS OF BAITING BEAR 23-20-3               | MB               | N                    | \$240          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2470</a> | UNLAWFUL METHODS OF TRAPPING 23-20-3                              | MB               | N                    | \$240          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2500</a> | TAKING/POSSESSION PROTECTED WILDLIFE-BRINE SHRIMP 23-20-3         | MB               | Y                    | \$1,950        | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2521</a> | FAILURE TO HAVE COR AT HARVEST LOCATION 23-20-3                   | MB               | N                    | \$800          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2522</a> | FAILURE TO HAVE HELPER CARD ON PERSON 23-20-3                     | MB               | N                    | \$800          | \$0         | N                  | 90%       | N              | N              | C         |  |
| <a href="#">WR2523</a> | NO SEINER/ALTERNATIVE SEINER AT HARVEST LOCATION 23-20-3          | MB               | N                    | \$800          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2524</a> | FAILURE TO PROVIDE ACCURATE HARVEST RECORDS 23-20-3               | MB               | N                    | \$800          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2525</a> | FAILURE TO SUBMIT ANNUAL REPORT 23-20-3                           | MB               | N                    | \$800          | \$0         | N                  | 90%       | N              | N              | C         |  |
| <a href="#">WR2561</a> | INTERFERE W/HARVESTING-DISTURBING STREAK OF EGGS 23-20-3          | MB               | N                    | \$800          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2562</a> | INTERFERE/REMOVING EGGS W/O PERMISSION 23-20-3                    | MB               | N                    | \$800          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2563</a> | INTERFERENCE - INCROACHMENT WITHIN 300 YDS 23-20-3                | MB               | N                    | \$300          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2564</a> | LEAVING A BOOM UNATTENDED 23-20-3                                 | MB               | N                    | \$800          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2565</a> | FAILURE TO DISPLAY COR MARKER AT HARVEST LOCATION 23-20-3         | MB               | N                    | \$800          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2566</a> | FAILURE TO HAVE ID NUMBERS/LETTERS ON EQUIPMENT 23-20-3           | MB               | N                    | \$800          | \$0         | N                  | 90%       | N              | N              | C         |  |
| <a href="#">WR2567</a> | 23-20-3 FAILURE TO HAVE CORRECT SIZE LETTERS/NUMBERS ON EQUIPMENT | MB               | N                    | \$800          | \$0         | N                  | 90%       | N              | N              | C         |  |
| <a href="#">WR2568</a> | FAILURE TO PROPERLY TAG BRINE SHRIMP CONTAINERS 23-20-3           | MB               | N                    | \$800          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2570</a> | UNLAWFUL RETURN-BRINE SHRIMP EGGS TO GREAT SALT LAKE 23-20-3      | MB               | N                    | \$800          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2600</a> | UNLAWFUL TAKING OF PROTECTED WILDLIFE WHILE TRESPASSING 23-20-3.5 | MB               | Y                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2640</a> | ALLOW A DOG TO TAKE PROTECTED WILDLIFE 23-20-3                    | MB               | Y                    | \$680          | \$0         | N                  | 90%       | N              | N              | C         |  |
| <a href="#">WR2650</a> | UNLAWFUL CAPTURE/POSSESS/USE OF BIRDS IN FALCONRY 23-20-3         | MB               | Y                    | \$290          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2660</a> | UNLAWFUL TAKING OF PROTECTED WILDLIFE FROM VEHICLE 23-20-3        | MB               | Y                    | \$240          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2661</a> | UNLAWFUL TAKING WHILE SPOTLIGHTING 23-20-3                        | MB               | Y                    | \$240          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2662</a> | UNLAWFUL USE OF CONCEALMENT TO TAKE PROTECTED WILDLIFE 23-20-3    | MB               | Y                    | \$240          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2663</a> | UNLAWFUL USE OF ATTRACTANT TO TAKE PROTECTED WILDLIFE 23-20-3     | MB               | Y                    | \$240          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2664</a> | UNLAWFUL USE DECOYS/CALLS TO TAKE PROTECTED WILDLIFE 23-20-3      | MB               | Y                    | \$240          | \$0         | N                  | 90%       | N              | Y              | C         |  |
| <a href="#">WR2710</a> | FAILURE TO HAVE WILDLIFE CHECK/SEALED IN SPECIFIC TIME 23-20-3    | MB               | N                    | \$240          | \$0         | N                  | 90%       | N              | Y              | C         |  |

| Violation Code          | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments                                  |
|-------------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---|
| <a href="#">WR2720</a>  | UNLAWFULLY OPERATING OR USE OF A CWMU 23-20-3                          | MB               | Y                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR2725</a>  | UNLAWFULLY OPERATING OR USE OF COMMERCIAL HUNTING 23-20-3              | MB               | N                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR2730</a>  | UNLAWFULLY HOLDING CONTEST W/PROTECTED WILDLIFE 23-20-3                | MB               | Y                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR2740</a>  | UNLAWFULLY HOLD IN CAPTIVITY PROTECTED WILDLIFE 23-20-3                | MB               | N                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR3000</a>  | WANTON DESTRUCTION OF PROTECTED WILDLIFE 23-20-4                       | MB               | Y                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR3003</a>  | WANTON DESTRUCTION OF PROTECTED WILDLIFE 23-20-4                       | MB               | Y                    | \$1,950        | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR3006</a>  | WANTON DESTRUCTION OF PROTECTED WILDLIFE-FISH/MB 23-20-4               | MB               | Y                    | \$400          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR3009</a>  | WANTON DESTRUCTION OF PROTECTED WILDLIFE-FISH/MB 23-20-4               | MB               | Y                    | \$400          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR3012</a>  | WANTON DESTRUCTION OF PROTECTED WILDLIFE-SMALL GAME/MB 23-20-4         | MB               | Y                    | \$400          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR3015</a>  | WANTON DESTRUCTION OF PROTECTED WILDLIFE-SMALL GAME-MB 23-20-4         | MB               | Y                    | \$400          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR3109</a>  | WANTON DESTR PROT WILDLIFE - OVERLIMIT/OUT OF SEASON-MB 23-20-4        | MB               | Y                    | \$400          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR3115</a>  | WANTON DESTR PROTECTED WILDLIFE BEF/AFT LGL HRS-MB 23-20-4             | MB               | N                    | \$400          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR3209</a>  | WANTON DESTR PROTECTED WILDLIFE BEF/AFT LGL HRS-MB 23-20-4             | MB               | Y                    | \$400          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR3215</a>  | WANTON DESTR PROTECTED WILDLIFE - OVERLIMIT-MB 23-20-4                 | MB               | Y                    | \$400          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4103</a>  | UNLAWFUL IMPORT/EXPORT OF PROTECTED WILDLIFE 23-13-5                   | MB               | N                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4104</a>  | ALLOW PROT WILDLIFE TO WASTE/SPOIL ALL BUT BIG GAME 23-20-8            | MB               | N                    | \$290          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4105</a>  | ALLOW PROT WILDLIFE TO WASTE/SPOIL - BIG GAME 23-20-8                  | MB               | N                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4106</a>  | UNLAWFUL DONATION OF PROTECTED WILDLIFE 23-20-9                        | MB               | N                    | \$290          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4107</a>  | ADMINISTER/ATTEMPT TO ADMIN SUBSTANCE TO PROTECTED WILDLIFE 23-13-19   | MB               | Y                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4200</a>  | UNLAWFUL COMMERCIALIZATION OF WILDLIFE 23-13-13                        | MB               | N                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4201</a>  | UNLAWFUL RECPT OF PROT WL BY BUTCHER/LOCKER/STORAGE PLANT 23-20-10     | MB               | N                    | \$290          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4300</a>  | UNLAWFUL DIVERSION/DRAIN OF PUBLIC WATER-PERSON 23-15-3                | MB               | Y                    | \$290          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4301</a>  | UNLAWFUL DIVERSION/DRAIN OF PUBL WATER-MUNIC/CORP 23-15-3              | MB               | Y                    | \$290          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4302</a>  | POLLUTION OF PUBLIC WATERS - INDIVIDUAL 23-15-6                        | MB               | Y                    | \$290          | \$0         | N                  | 90%       | N              | N              | C         |   |
| <a href="#">WR4303</a>  | POLLUTION OF PUBLIC WATERS - MUNICIPAL/CORPORATE 23-15-6               | MB               | Y                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR 4304</a> | FAILURE TO REPORT EXISTENCE OF AQUATIC INVASIVE SPECIES                | MA               | Y                    | \$1,950        | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4305</a>  | INVASIVE SPECIES PROHIBITED (POSSESSION) 23-27-201                     | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">WR4306</a>  | INVASIVE SPECIES PROHIBITED (RELEASE) 23-27-201                        | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">WR4307</a>  | INVASIVE SPECIES TRANSP/CONVEY W/O DECONTAMINATION 23-27-201           | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">WR 4308</a> | POSSESSION OF AQUATIC INVASIVE SPECIES                                 | MA               | Y                    | \$1,950        | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">WR 4309</a> | RELEASE OF AQUATIC INVASIVE SPECIES                                    | MA               | Y                    | \$1,950        | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">WR 4310</a> | TRANSPORT CONVEYANCE W/O DECONTAMINATION (AIS)                         | MA               | Y                    | \$1,950        | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">WR4311</a>  | PASS/TRAVEL TO STATION/CHECKPNT W/O PRESENTING CONVEYANCE 23-27-201(4) | MB               | Y                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         | May reduce to \$160 for the first offense |
| <a href="#">WR4400</a>  | SEINING OF PROTECTED AQUATIC WILDLIFE 23-15-8                          | MB               | N                    | \$290          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4401</a>  | POSSESS/TRANSPORT LIVE PROTECTED AQUATIC WILDLIFE 23-15-9              | MB               | Y                    | \$290          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4501</a>  | FAILURE TO PRODUCE LICENSE/DEVICE/WILDLIFE UPON DEMAND 23-20-25        | MB               | N                    | \$210          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4502</a>  | INTERFERE W/CONSERVATION OFFICER/DEPUTY 23-20-18                       | MB               | N                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4503</a>  | INTERFERE W/LEGAL HUNTERS/HUNTING ACTIVITY 23-20-29                    | MB               | N                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4504</a>  | FAIL TO STOP AT DWR ROADBLOCK OR CHECKING STATION 23-20-19             | MB               | Y                    | \$360          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4600</a>  | JUVENILE HUNTING W/O PROPER ADULT SUPERVISION 23-20-20                 | MB               | N                    | \$170          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4601</a>  | FAILURE TO WEAR HUNTER ORANGE 23-20-31                                 | MB               | N                    | \$170          | \$0         | N                  | 90%       | N              | N              | C         |   |
| <a href="#">WR4700</a>  | IMPROPER TAG-BIG GAME/BEAR/COUGAR/FURBEARER 23-20-30                   | MB               | N                    | \$290          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4701</a>  | IMPROPER TAG - MIGRATORY BIRDS, SMALL GAME 23-20-30                    | MB               | N                    | \$170          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4702</a>  | FAILURE TO TAG-BIG GAME/BEAR/COUGAR 23-20-30                           | MB               | N                    | \$680          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4703</a>  | FAILURE TO TAG - MIGRATORY BIRDS, SMALL GAME 23-20-30                  | MB               | N                    | \$180          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4800</a>  | DESTROYING DWR SIGNS/PROPERTY  | MB               | N                    | \$480          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4801</a>  | TRESPASSING DURING WILDLIFE RELATED ACTIVITY 23-20-14                  | MB               | N                    | \$360          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4802</a>  | UNLAWFUL POSTING OF PUBLIC LANDS 23-20-14                              | MB               | N                    | \$680          | \$0         | N                  | 90%       | N              | N              | C         |   |
| <a href="#">WR4803</a>  | DESTRUCTION OF PRIVATE PROPERTY 23-20-15                               | MB               | N                    | \$480          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4820</a>  | UNLAWFUL USE, ACTIVITY OF/ON DWR LANDS 23-21-7                         | MB               | N                    | \$210          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4821</a>  | UNLAWFUL USE/ACTIVITY OF/ON DWR LANDS (GRAZING) 23-21-7                | MB               | N                    | \$210          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4822</a>  | UNLAWFUL USE ON DWR LANDS (CAMPING MORE THAN 14 DAYS) 23-21-7          | MB               | N                    | \$210          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4823</a>  | UNLAWFUL USE/ACTIVITY OF/ON DWR LANDS (TRESPASS) 23-21-7               | MB               | N                    | \$210          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4824</a>  | UNLAWFUL USE/ACTIVITY OF/ON DWR LANDS (FOR COMMERCIAL GAIN) 23-21-7    | MB               | Y                    | \$210          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4850</a>  | FAILURE TO POST CO-OP WILDLIFE MANAGEMENT UNIT BOUNDARIES 23-23-7      | MB               | N                    | \$150          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR4851</a>  | FAILURE TO PROVIDE HUNTERS WITH GUIDELINES 23-23-7                     | MB               | N                    | \$150          | \$0         | N                  | 90%       | N              | Y              | C         |   |
| <a href="#">WR5000</a>  | AQUATIC INVASIVE SPECIES RULE VIOLATIONS R657-60                       | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">WR5001</a>  | FAILURE TO CERTIFY DECONTAMINATION R657-60-6                           | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">WR5002</a>  | FAILURE TO DISPLAY CERTIFICATION R657-60-6                             | IN               | N                    | \$160          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">WR5100</a>  | BRINE SHRIMP RULE VIOLATION R657 -52                                   | IN               | N                    | \$730          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">WR5104</a>  | INTERFERENCE - ENCROACHMENT WITHIN 300 YDS R657-52-17                  | IN               | N                    | \$750          | \$0         | N                  | 35%       | N              | Y              | C         |   |



| Violation Code         | Description   | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments                                   |
|------------------------|---|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|--|
| <a href="#">WR5200</a> | BIG GAME RULE VIOLATION R657-5  | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5201</a> | UNLAWFUL METHODS OF SPOTLIGHTING W/WEAPON IN POSSESSION R657-5-13       | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5202</a> | NO REQUIRED EVIDENCE OF SEX/SPECIES/AGE ATTACHED TO CARCASS R657-5-17   | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5203</a> | FAIL TO HAVE WILDLIFE CHECK/SEALED IN SPECIFIED AMT OF TIME R657-5      | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5204</a> | AERIAL LOCATE WILDLIFE W/IN 48 HRS OF BIG GAME HUNT R657-5-14           | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5207</a> | UNLAWFUL PURCHASE OF A LICENSE-WAITING PERIOD VIOLATION R657-5-3        | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5208</a> | UNLAWFUL PURCHASE OF MORE THAN ONE PERMIT R657-5-3                      | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5209</a> | POSSESS UNQUIVERED ARROWS IN A VEHICLE R657-5-11                        | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5210</a> | HUNTING BIG GAME WITH A USED OR DETACHED TAG R657-5-17                  | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5211</a> | SHOOTING IN A RESTRICTED OR CLOSED AREA R657-5-17                       | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5300</a> | BEAR RULE VIOLATION R657-33   | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5304</a> | UNLAWFUL PURCHASE OF A LICENSE-WAITING PERIOD VIOLATION R657-33-3       | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5307</a> | UNLAWFUL METHODS OF SPOTLIGHTING W/WEAPON IN POSSESSION R657-33-10      | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5350</a> | COUGAR RULE VIOLATION R657-10   | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5352</a> | FAIL TO LEAVE EVIDENCE OF SEX/SPECIES ATTACHED TO CARCASS R657-10-14    | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5354</a> | UNLAWFUL PURCHASE OF LICENSE/TAG-WAITING PERIOD VIOLATION R657-10-13    | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5355</a> | UNLAWFUL METHODS OF SPOTLIGHTING W/WEAPON IN POSSESSION R657-10-10      | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5400</a> | FISH/CRAYFISH RULE VIOLATION R657-13                                    | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5401</a> | UNLAWFUL POSSESSION OF CORN/BAIT WHILE FISHING R657-13-12               | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5402</a> | FAIL TO LEAVE EVID OF SEX/SPECIES ATTACHED TO CARCASS -FISH R657-13-18  | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5500</a> | FURBEARER RULE VIOLATION R657-11  | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5503</a> | DESTROYING, REMOVING, OR POSSESSING ANOTHERS TRAPS R657-11-10           | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5504</a> | UNLAWFUL METHODS OF SPOTLIGHTING W/WEAPON IN POSSESSION R657-11-14      | IN               | N                    | \$190          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5600</a> | TURKEY RULE VIOLATION R657-54   | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5601</a> | FAILURE TO LEAVE EVIDENCE OF SEX/SPECIES ATTACHED TO CARCASS R657-54-12 | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5602</a> | UNLAWFUL METHOD OF SPOTLIGHTING WITH WEAPON IN POSSESSION R657-54-16    | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5603</a> | HUNTING TURKEY WITH A USED OR DETACHED TAG R657-54-11                   | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5650</a> | UPLAND RULE VIOLATION R657-6  | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5651</a> | FAILURE TO LEAVE EVIDENCE OF SEX/SPECIES ATTACHED TO CARCASS R657-6-17  | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5652</a> | HUNTING MIGRATORY BIRDS W/O HIP REGISTRATION R657-6-3                   | IN               | N                    | \$100          | \$0         | N                  | 35%       | N              | Y              | C         | Dismissed upon proof of prior registration |
| <a href="#">WR5653</a> | UNLAWFUL METHODS OF SPOTLIGHTING WITH WEAPON IN POSSESSION R657-6-24    | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5675</a> | GAME BIRDS AND DOG TRAINING RULE VIOLATION R657-46                      | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5700</a> | WATERFOWL RULE VIOLATION R657-9   | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5701</a> | FAILURE TO LEAVE EVIDENCE OF SEX/SPECIES ATTACHED TO CARCASS R657-9-24  | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5702</a> | HUNTING MIGRATORY BIRDS W/O HIP REGISTRATION R657-9-33                  | IN               | N                    | \$100          | \$0         | N                  | 35%       | N              | Y              | C         | Dismissed upon proof of prior registration |
| <a href="#">WR5703</a> | FAIL TO RETRIEVE MIGRATORY WATERFOWL R657-9-19                          | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5704</a> | UNLAWFUL DISCHARGE OF FIREARM ON WATERFOWL MGMT AREA R657-9-9           | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5705</a> | HUNTING MIGRATORY BIRDS WITH AN UNSIGNED STAMP R657-9-3                 | IN               | N                    | \$110          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5708</a> | HUNTING WATERFOWL WITH A USED OR DETACHED TAG R657-9-5                  | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5709</a> | SHOOTING IN A RESTRICTED OR CLOSED AREA R657-9-30                       | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5800</a> | FALCONRY RULE VIOLATIONS R657-20  | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5900</a> | ZOOLOGICAL ANIMAL COLLECTION/IMPOR/POSSESS RULE VIOLATION R657-3        | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5901</a> | AMPHIBIAN/REPTILE COLLECTION/POSSESSION RULE VIOLATION R657-53          | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5940</a> | WALK-IN-ACCESS RULE VIOLATIONS R657-56                                  | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">WR5950</a> | UNLAWFUL USE/ACTIVITY OF/ON DIVISION OF WILDLIFE LANDS R657-28          | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |



**PARKS AND RECREATION – UTAH ADMINISTRATIVE CODE ENTRIES**

Utah Code § 63G-3-701 states: "The [Utah Administrative Code] shall be received by all the judges, public officers, commissions, and departments of the state government as evidence of the administrative law of the state of Utah and as an authorized compilation of the administrative law of Utah. All courts shall take judicial notice of the code and its provisions." *Current as of May 3, 2023*

| Violation Code                    | Description   | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments |
|-----------------------------------|---|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|----------|
| <a href="#">R651-203-4</a>        | APPROACHED WITHIN 150 FT OF A DIVERS FLAG                     | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-203-5</a>        | FAILURE TO OBEY WATERWAY MARKERS                              | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-204-1</a>        | PLACED A WATERWAY MARKER WITHOUT WRITTEN AUTHORIZATION        | MC               | Y                    | \$480          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-204-2</a>        | PLACE PERMANENT OR ANCHORED OBJECT W/O WRITTEN AUTHORIZATION  | MC               | Y                    | \$480          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-204-3</a>        | REMOVED DESTROYED OR DAMAGED AN AUTHORIZED WATERWAY MARKER    | MC               | Y                    | \$480          | \$0         | N                  | 35%       | N              | Y              | C         |          |
| <a href="#">R651-205-1</a>        | FAILURE TO OBEY ZONED WATERS                                  | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-205-2</a>        | DEER CREEK NO ACTIVITY AT 1500 FT OF DAM/NO SKIING WALLSBERG  | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | Y              | C         |          |
| <a href="#">R651-205-3</a>        | GREEN RIVER MOTORS PROHIBITED FROM FLAMING GORGE TO RED CRK   | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-205-4</a>        | STANSBURY PK LAKE VESSELS OVER 20 FT LONG/MOTORS PROHIBITED   | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-205-5</a>        | LOWER PROVO RIVER UT LAKE TO PIPELINE WAKELESS/MOTORS PROHIB  | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-205-6</a>        | DECKER LAKE THE USE OF MOTORS IS PROHIBITED                   | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-205-7</a>        | PALISADE LAKE MOTORS EXCEPT ELECTRIC TROLLING PROHIBITED      | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-205-8</a>        | IVINS RESERVOIR MOTORS AT OR ABOVE 10 HP PROHIBITED           | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-205-9</a>        | JORDAN RIVER MOTOR EXCEEDING 10 HP RESTRICTED EXCEPT UT CNTY  | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-205-10</a>       | KENS LAKE MOTOR USE PROHIBITED ELECTRIC TROLLING ONLY         | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-205-11</a>       | PINEVIEW RESERVOIR ELECTRIC MOTORS ONLY IN DESIGNATED AREAS   | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-205-12</a>       | JORDANELLE RESERVOIR NO MOTOR/SAILBOATS AT HAILSTONE BEACH    | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-205-13</a>       | LITTLE DELL RESERVOIR USE OF MOTORS IS PROHIBITED             | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-205-14</a>       | BEAR LAKE VESSELS PROHIBITED 7/1 - LABOR DAY MARKED W/BUOYS   | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-205-15</a>       | LOST CREEK RESERVOIR VESSELS NOT TO EXCEED WAKELESS SPEED     | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-205-16</a>       | HUNTINGTON RESERVOIR MOTORS EXCEEDING 10 HP PROHIBITED        | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-205-17</a>       | CUTLER RESERVOIR NO MOTOR OVER 35 HP / WAKELESS LOCATIONS     | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-205-18</a>       | NEWTON RESERVOIR WAKELESS VIOLATION                           | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-1(2)</a>     | NO PASSENGER PERMIT - PARKS AND REC                           | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-1(9)</a>     | CARRY PASSENGERS IN UNFAMILIAR VESSEL                         | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-1(10)</a>    | CARRY PASSENGERS IN UNFAMILIAR VESSEL                         | MC               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-2(1)</a>     | FAILURE OF OUTFITTING COMPANY TO REGISTER                     | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | Y              | C         |          |
| <a href="#">R651-206-2(2)</a>     | CARRY PASSENGERS WITHOUT GUIDE PERMIT - PARKS AND REC         | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-2(6)</a>     | OUTFITTING CO FAIL TO MAINTAIN TRIP LOG FOR EACH LEADER/GUIDE | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-2(7)</a>     | OUTFITTING CO FAILS TO MAINTAIN TRIP MANIFEST FOR EACH TRIP   | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-2(8)</a>     | OUTFITTING CO FAIL TO MAINTAIN DAILY TRIP LOG ON EACH VESSEL  | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-2(9)</a>     | OUTFITTING CO FAIL TO ENSURE VESSEL OPERATOR CHECKS VESSEL    | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-2(10)</a>    | OUTFITTING CO FAILS TO PROVIDE SAFETY EQUIPMENT               | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-2(11)</a>    | OUTFITTING CO FAILS TO PROVIDE SAFETY EQUIPMENT               | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-2(12)</a>    | VESSEL OWNER W/PASSENGERS FAILS TO CARRY LIABILITY INSURANCE  | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-2(12)(A)</a> | OUTFITTING CO FAILS TO ENSURE VESSEL MEETS MAINT/INSPECTION   | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-2(12)(B)</a> | OUTFITTING CO FAILS TO MAINTAIN FILE OF INSPECTION ON VESSEL  | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-2(13)</a>    | CARRY PASSENGERS ON UNFAMILIAR RIVER                          | MC               | N                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-2(15)</a>    | RIVER GUIDE LOG NOT ON FILE/CURRENT PARKS AND REC             | MC               | N                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-2(16)</a>    | RIVER GUIDE LACKS REQUIRED EXPERIENCE                         | MC               | N                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-3(1)</a>     | CARRY PASSENGERS FOR HIRE ON STATE WATERS W/O VALID LICENSE   | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-3(2)(A)</a>  | FAILED TO HAVE CURRENT FIRSTAID/CPR CERT W/PASSENGERS 4HIRE   | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | Y              | C         |          |
| <a href="#">R651-206-3(3)</a>     | TRIP LEADER ON TRIP WITHOUT TRIP MANIFEST                     | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-3(4)</a>     | TRIP LEADER LACKS REQUIRED EXPERIENCE ON LAKE AND RESERVOIR   | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-3(5)</a>     | TRIP LEADER LACKS REQUIRED EXPERIENCE ON WHITEWATER RIVER     | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-3(6)</a>     | TRIP LEADER LACKS REQUIRED EXPERIENCE ON FLAT WATER RIVER     | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-3(7)</a>     | GUIDE LACKS REQUIRED EXPERIENCE ON LAKE AND RESERVOIR         | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-3(8)</a>     | GUIDE LACKS REQUIRED EXPERIENCE ON WHITEWATER RIVER           | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-3(9)</a>     | GUIDE LACKS REQUIRED EXPERIENCE ON FLAT WATER RIVER           | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-3(10)</a>    | OUTFITTING CO FAILS TO MAINTAIN TRIP LOG FOR LEADER OR GUIDE  | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-3(11)</a>    | CARRYING PASSENGERS ON UNFAMILIAR VESSEL OR WATERWAY          | MC               | Y                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-3(11)(A)</a> | CARRY MORE THAN 49 PASSENGERS W/O PERMIT OR LICENSE HOLDERS   | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-3(11)(B)</a> | MORE THAN 24 PASSENGERS/OPERATING 1 MI FROM SHORE W/O PERMIT  | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-3(11)(C)</a> | FAIL TO HAVE 1 LICENSE/PERMIT HOLDER ON EACH DECK OF VESSEL   | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-3(12)(A)</a> | 1 LICENSE/PERMIT HOLDER FOR EACH 4 LOW CAP VESSELS ON RIVER   | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-3(12)(B)</a> | 1 LICENSE/PRMT HOLDER FOR EACH 6 LOW CAP VESSEL LAKE/RESERV   | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |
| <a href="#">R651-206-3(13)</a>    | LICENSE/PERMIT HOLDER OP VESSEL W/PASSENGERS 4HIRE > 12 HRS   | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |          |

| Violation Code                        | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments                               |
|---------------------------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|--|
| <a href="#">R651-206-4(1)</a>         | CARRYING PASSENGERS WITH INSUFFICIENT AMOUNT OF PFDS                     | MC               | N                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-4(3)</a>         | WEARABLE PFDS LABELED FOR COMMERCIAL USE                                 | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-4(5)</a>         | PFDS NOT LABELED WITH OUTFITTING COMPANY NAME                            | MC               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-4(6)</a>         | INSUFFICIENT THROWABLE PFDS ON BOARD                                     | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-4(7)</a>         | WEAR PFD ON VESSEL IN HAZARDOUS CONDITIONS                               | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-4(10)</a>        | PASSENGERS/CREW TO WEAR PFD ON VESSEL IN HAZARD CONDITIONS               | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-4(11)</a>        | LICENSE/PERMIT HOLDER RESPONSIBLE FOR PASSENGERS TO WEAR PFD             | MC               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-5(1)</a>         | MOTORBOAT W/PASSENGERS 4 HIRE REQ MIN 1/TYPE B-1 FIRE EXTINGUISHER       | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-5(2)</a>         | MOTORBOAT W/ >6 PASS4HIRE W/OUT USCG FIRE EXTINGUISHER SYSTEM            | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-5(4)</a>         | VESSEL W/PASS 4 HIRE W/OUT REQUIRED SMOKE DETECTOR                       | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-6(1)</a>         | VESSEL W/ PASS 4 HIRE W/OUT REQUIRED COMMUNICATIONS EQUIPMENT            | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-6(2)</a>         | VESSEL W/ PASS 4 HIRE W/OUT REQUIRED CARBON MONOXIDE DETECTOR            | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-6(3)(A)</a>      | VESSEL W/ >6 PASS 4 HIRE W/OUT REQUIRED RAFTS                            | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-6(3)(B)</a>      | VESSEL W/ >6 PASS 4 HIRE 1 MI FROM SHORE WITHOUT VISUAL DISTRESS SIGNALS | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-6(5)</a>         | VESSEL W/ PASS 4 HIRE WITHOUT REQUIRED NAVIGATION EQUIPMENT              | MC               | Y                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-6(6)(A)</a>      | VESSEL W/ PASS 4 HIRE W/OUT REQUIRED LINES, STRAPS, ANCHORAGE            | MC               | Y                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-6(6)(B)</a>      | FAILURE TO HAVE APPROPRIATE ANCHORAGE SYSTEM ON RIVER                    | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-6(6)(C)</a>      | INSUFFICIENT LINES/STRAPS USED ANCHORING/MOORING/STRUCTURAL              | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-6(7)</a>         | VESSEL W/ PASS 4 HIRE W/OUT REQUIRED PORTABLE LIGHTING                   | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-6(8)</a>         | VESSEL W/ PASS 4 HIRE W/OUT REQUIRED FIRST AID KIT                       | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-6(9)</a>         | VESSEL W/ PASS 4 HIRE W/OUT OUTFITTING CO NAME                           | MC               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-6(10)(A)</a>     | VESSEL W/ PASS 4 HIRE W/OUT MINIMUM MARINE TOILET/SANITARY FACILITIES    | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-6(10)(B)</a>     | INAPPROPRIATE MARINE TOILET AND WASHBASIN FACILITIES                     | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-206-6(10)(D)</a>     | MARINE TOILET/WASHBASIN NOT MAINTAINED SERVICEABLE/SANITARY              | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-206-6(10)(E)</a>     | VESSEL W/49 PASSENGERS TO HAVE 2 MARINE TOILETS/WASHBASINS               | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(1)</a>         | FAILURE OF TOWING VESSEL FOR HIRE TO REGISTER                            | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(2)</a>         | TOWING VESSEL 4 HIRE TO MEET MAINTENANCE/INSPECTION REQUIRE              | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(7)</a>         | TOW COMPANY W/OUT LIABILITY INSURANCE                                    | MC               | Y                    | \$370          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(9)</a>         | TOWING VESSEL FOR HIRE TO HAVE 1 LICENSE HOLDER ON BOARD                 | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(10)</a>        | TOW COMPANY TRIP LEADER, GUIDE AND PASSENGERS TO WEAR PFD                | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(11)</a>        | TOW CO FAILS TO MAINTAIN LOG OF EACH TOW OR VESSEL ASSIST                | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(12)(A)</a>     | TOW CO W/ INSUFFICIENT AMOUNT OF WEARABLE AND THROWABLE PFDS             | MC               | N                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(12)(B)</a>     | TOW CO VESSEL W/OUT DEPTH FINDER   | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(12)(C)</a>     | TOW CO VESSEL W/OUT APPROPRIATE TOW LINE                                 | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(12)(D)</a>     | TOW CO VESSEL W/OUT DEWATERING PUMP W/ 25 G/MIN CAPACITY                 | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(12)(E)</a>     | TOW CO VESSEL W/OUT APPROPRIATE SPOTLIGHT                                | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(12)(F)</a>     | TOW CO VESSEL W/OUT APPROPRIATE VESSEL2VESSEL COMMUNICATION EQUIP        | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(12)(G)</a>     | TOW CO VESSEL W/OUT APPROPRIATE ADDITIONAL EQUIPMENT                     | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(13)(A)(I)</a>  | TOWING VESSEL FOR HIRE TO CARRY TYPE 1 PDF FOR PERSONS TOWED             | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(13)(A)(II)</a> | TOWING VESSEL FOR HIRE TO CARRY TWO TYPE IV PDFS                         | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(13)(B)</a>     | TOWING VESSEL FOR HIRE TO HAVE DEPTH FINDER                              | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(13)(C)(I)</a>  | TOWING VESSEL FOR HIRE TO CARRY APPROPRIATE TOW LINE                     | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(13)(C)(II)</a> | TOWING VESSEL FOR HIRE TO CARRY TOWING POST/REINFORCED CLEAT             | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(13)(D)</a>     | TOWING VESSEL FOR HIRE TO HAVE APPROPRIATE DEWATERING PUMP               | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(13)(E)(I)</a>  | TOWING VESSEL FOR HIRE TO HAVE SPOLIGHT SUNSET TO SUNRISE                | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(13)(F)</a>     | TOWING VESSEL FOR HIRE TO HAVE COMMUNICATION EQUIPMENT                   | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-7(13)(G)</a>     | TOWING VESSEL FOR HIRE CARRY ADDITIONAL REQUIRED EQUIPMENT               | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-206-8(1)</a>         | OUTFITTING CO W/OUT CURRENT MAINT/INSP PROGRAM FOR CPFH                  | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-212-1</a>            | FAILURE TO DISPLAY YEARLY REGISTRATION DECAL                             | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         | Dismissed upon proof of proper display |
| <a href="#">R651-212-2</a>            | FAILURE TO DISPLAY MONTHLY REGISTRATION DECAL                            | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         | Dismissed upon proof of proper display |
| <a href="#">R651-213-1(4)</a>         | DEALER REGISTRATION IMPROPERLY USED                                      | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-213-1(6)</a>         | DEALER REGISTRATION/NUMBERS USED ON A RENTAL VESSEL                      | MC               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-213-1(7)</a>         | DEALER REGISTRATION/NUMBERS PERMANENTLY ATTACHED                         | MC               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-214-1(2)</a>         | TEMPORARY REGISTRATION EXPIRED   | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-215-2(2)</a>         | INSUFFICIENT TYPE IV PDFS ON BOARD VESSEL 16 FT OR GREATER               | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-215-5</a>            | PFD NOT IMMEDIATELY AVAILABLE OR ACCESSIBLE                              | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-215-6</a>            | CARRIED TYPE V PFD NOT APPROVED FOR THE ACTIVITY ENGAGED IN              | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-215-7</a>            | ON A WHITEWATER RIVER WITHOUT THE PROPER PFD TYPE                        | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-215-9(1)</a>         | INFLATABLE PFD NOT ALLOWED BY AGE OR ACTIVITY                            | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-215-9(2)</a>         | FAILURE TO WEAR A PFD WHILE ON PWC                                       | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-215-9(3)</a>         | PERSON 12 OR UNDER NOT WEARING PFD                                       | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |

| Violation Code                | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments   |
|-------------------------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|--|
| <a href="#">R651-215-9(4)</a> | FAILURE TO WEAR PFD ON WHITE WATER                           | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-215-10</a>   | CARRY PASSENGERS W/O PROPER PFD > 26 FT                      | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-215-11</a>   | PFD NOT USED ACCORDING TO LABELING                           | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-216-8</a>    | IMPROPERLY USED NON-NAVIGATION LIGHTS                        | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-219-1</a>    | INSUFFICIENT SOUND PRODUCING DEVICE                          | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-219-2</a>    | FAILURE TO HAVE A BAILING DEVICE ON BOARD                    | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-219-3</a>    | FAILURE TO HAVE A SPARE PROPULSION ON BOARD                  | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-219-4</a>    | VIOLATION OF AIRBOAT EQUIPMENT REQUIREMENT                   | IN               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-219-5</a>    | FAILURE TO PROVIDE SAFETY EQUIPMENT IN GOOD SERVICEABLE COND | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-219-6</a>    | NON-LAW ENFORCEMENT VESSEL WITH LIGHTS AND SIREN             | IN               | Y                    | \$280          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-221-1(1)</a> | FAILURE TO REGISTER LIVERY WITH THE DIVISION                 | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-221-1(2)</a> | FAILURE TO DISPLAY COMPANY NAME ON VESSEL                    | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-221-1(3)</a> | FAILURE TO PROVIDE RENTAL AGREEMENT TO RENTER BY LIVERY      | MC               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-222</a>      | INADEQUATE MUFFLING OF EXHAUST - PARKS AND REC               | MC               | N                    | \$170          | \$20        | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-222-1</a>    | INSUFFICIENT OR NO MUFFLER                                   | MC               | N                    | \$170          | \$20        | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-222-3</a>    | EXCEEDS J2005 DB(A) LEVEL                                    | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-222-4</a>    | EXCEEDS J1970 DB(A) LEVEL                                    | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-222-5</a>    | MUFFLER BYPASS SYSTEM-BOTH SYSTEMS ABOVE DB(A) LEVEL         | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-222-7(1)</a> | MANUFACTURE/SELL/OFFER FOR SALE A NON COMPLIANT VESSEL       | MC               | Y                    | \$300          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-223-1</a>    | FAILURE TO REPORT ACCIDENT IMMEDIATELY                       | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-223-3</a>    | FAIL TO SUBMIT WRITTEN REPORT W/IN 10 DAYS OF REPORTABLE ACC | MC               | Y                    | \$280          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-224-1</a>    | FAILURE TO MAINTAIN SAFE COURSE                              | MC               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-224-2</a>    | UNLAWFUL METHODS OF TOWING                                   | MC               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-224-3</a>    | FAILURE TO DISPLAY OR PROPERLY DISPLAY A WATER SKI FLAG      | MC               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-224-4</a>    | FAILURE TO WEAR A PFD ON TOWED DEVICE                        | MC               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         | + \$10 for each additional deficiency                      |
| <a href="#">R651-224-5</a>    | TOWED PERSON EXCEEDING VESSEL CAPACITY                       | MC               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-224-6</a>    | TOWING IN A MARINA PROHIBITED                                | MC               | N                    | \$140          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-405-2</a>    | FAILURE TO DISPLAY OHV IMPLEMENT STICKER                     | IN               | N                    | \$90           | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-411-2</a>    | OHV USE RESTRICTIONS   | IN               | N                    | \$100          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-602-1</a>    | LANDING/TAKING OFF OF AIRCRAFT WITHIN STATE PARK PROHIBITED  | IN               | Y                    | \$610          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-602-2</a>    | AIR DELIVERY/PICKUP OF PERSON/THING IN STATE PK W/O PERMISS  | IN               | Y                    | \$610          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-602-3</a>    | POWERLESS FLIGHT LAUNCHING/LANDING W/IN STATE PK W/O PERMIT  | IN               | Y                    | \$330          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-602-5</a>    | AIRCRAFT VIOLATING SPECIFIED TIME AND DISTANCE REQUIREMENT   | IN               | Y                    | \$330          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-602-6</a>    | AIRCRAFT VIOLATING PROPULSION AND DISTANCE REQ (W/IN 500 FT) | IN               | Y                    | \$330          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-603-1(1)</a> | PET NOT ON LEASH OR CONFINED                                 | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-603-1(2)</a> | FAILURE TO CLEAN UP PET FECAL MATTER                         | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-603-2</a>    | ANIMAL IN PROHIBITED AREA                                    | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-603-3</a>    | LEAVING ANIMAL UNATTENDED WITHOUT PERMIT                     | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-603-4</a>    | VICIOUS DANGEROUS OR NOISY ANIMAL                            | IN               | N                    | \$240          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-603-5</a>    | FEED/TOUCH/TEASE/MOLEST-INTENTIONALLY DISTURBING WILDLIFE    | IN               | N                    | \$330          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-603-6</a>    | HITCHING/TYING ANIMAL RESULTING IN DAMAGE OR BLOCKED TRAFFIC | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-603-7</a>    | HORSE ON RESTRICTED TRAIL                                    | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-603-8</a>    | HORSE USE IN NONDESIGNATED AREA                              | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-604-1</a>    | OPERATION OR USE OF AUDIO OR NOISE PRODUCING DEVICES         | IN               | N                    | \$180          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-604-2</a>    | OPERATION OR USE OF A PUBLIC ADDRESS SYSTEM                  | IN               | N                    | \$180          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-605-1</a>    | BEGGING PROHIBITED   | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-605-2</a>    | SOLICITING WITHOUT A PERMIT                                  | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-606-1</a>    | CAMPING IN AN UNDEVELOPED AREA WITHOUT A PERMIT              | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-606-2</a>    | OCCUPYING A RESERVED CAMPSITE                                | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-606-3</a>    | EXCEEDING MAXIMUM OCCUPANCY                                  | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-606-4</a>    | FAILURE TO PAY CAMPING FEES                                  | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         | Dismissed upon proof of camping fee paid prior to citation |
| <a href="#">R651-606-5</a>    | EXCEEDING LENGTH OF STAY IN CAMPGROUND                       | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-606-6</a>    | UNAUTHORIZED USE OF SHOWERS                                  | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-606-7</a>    | PARKING OR CAMPING IN AN UNDESIGNATED AREA                   | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-606-8</a>    | EXCEED 2:00 PM CHECKOUT TIME                                 | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-606-9</a>    | LITTERING IN CAMPSITE  | IN               | N                    | \$330          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-606-10</a>   | QUIET HOURS VIOLATION  | IN               | N                    | \$200          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-607-2</a>    | PARTICIPATING IN A POSTED RESTRICTED ACTIVITY                | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-608-2</a>    | UNAUTHORIZED EVENT   | IN               | Y                    | \$610          | \$0         | N                  | 35%       | N              | Y              | C         |  |
| <a href="#">R651-609-1</a>    | USE OR POSSESSION OF FIREWORK OR EXPLOSIVE W/OUT PERMIT      | IN               | N                    | \$150          | \$0         | N                  | 35%       | N              | N              | C         |  |
| <a href="#">R651-610-1</a>    | EXPULSED FROM PARK FOR 48 HOURS                              | IN               | N                    | \$200          | \$0         | N                  | 35%       | N              | Y              | C         |  |

| Violation Code                   | Description   | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments  |
|----------------------------------|---|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|---|
| <a href="#">R651-611-1</a>       | FAIL TO PAY PARK FEE  | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         | <a href="#">Suspended upon proof of paying park fee prior to, or immediately after the use.</a> |
| <a href="#">R651-613-1</a>       | LIGHTING OR MAINTAINING A FIRE IN PROHIBITED AREA             | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-613-2</a>       | UNATTENDED FIRE   | IN               | N                    | \$200          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-613-3</a>       | THROWING/DROPPING BURNING MATERIAL                            | IN               | Y                    | \$330          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-613-4</a>       | SMOKING OR LIGHTING FIRES WHEN PROHIBITED                     | IN               | Y                    | \$330          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-614-2</a>       | FISHING IN A PROHIBITED PARK AREA                             | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-614-3</a>       | ICE FISHING IN A POSTED CLOSED AREA PARK                      | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-614-4</a>       | HUNTING WILDLIFE IN A PROHIBITED PARK AREA                    | IN               | Y                    | \$330          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-614-6</a>       | TRAPPING IN A PARK AREA WITHOUT A PERMIT                      | IN               | Y                    | \$610          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-615-2</a>       | BLOCKING TRAFFIC PROHIBITED                                   | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-615-3</a>       | PARKING IN AN UNDESIGNATED AREA                               | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-615-4</a>       | MOTOR VEHICLE OPERATION IN A DEVELOPED PARK AREA              | IN               | N                    | \$240          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-615-5</a>       | MOTOR VEHICLE OPERATION IN A CLOSED PARK AREA                 | IN               | N                    | \$240          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-615-6</a>       | OPERATE OHV IN A CLOSED PARK AREA                             | IN               | N                    | \$240          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-616-1</a>       | ORGANIZED SPORTS IN AN UNDESIGNATED PARK AREA                 | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-617-1</a>       | VIOLATION OF PERMIT TERMS AND CONDITIONS                      | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-618-1</a>       | PICNICKING IN AN UNDESIGNATED AREA                            | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-619-2</a>       | POSSESS/CONSUME ALCOHOL AT PARK/VISITOR CTR/MUSEUM W/O PERMIT | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-620-2(1)(A)</a> | CONSTRUCTING FENCE/ROAD/UTILITY LINE/TOWER, ETC W/O PERMIT    | IN               | Y                    | \$610          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-620-2(1)(B)</a> | REMOVE/EXTRACT/USE/CONSUME/POSSESS/DESTRUCT NATURAL RESOURCE  | IN               | Y                    | \$610          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-620-2(1)(C)</a> | GRAZING OF LIVESTOCK WITHOUT AUTHORIZATION                    | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-620-2(1)(D)</a> | OCCUPY PARK PROPERTY <30 DAYS AFTER PERMIT/LEASE EXPIRES      | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-620-2(1)(E)</a> | ANY USE OR OCCUPATION IN VIOLATION OF DIVISION RULES          | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-620-3</a>       | TOSS/THROW/ROLL ROCKS - MATERIAL INTO VALLEY/CANYON/MTN/HILL  | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-620-4</a>       | COLLECTING FIREWOOD WITHOUT A PERMIT                          | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-620-5</a>       | GLASS CONTAINERS IN PROHIBITED PARK AREA                      | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-620-6</a>       | METAL DETECTING WITHOUT A PERMIT                              | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-621-1</a>       | FAIL TO REPORT PERSONAL INJURY/PROPERTY DAMAGE TO PARK REPS   | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-622-1</a>       | TECHNICAL ROCK CLIMBING WITHOUT A PERMIT                      | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-622-2</a>       | INSTALL/REMOVE PERMANENT ROCK CLIMBING EQUIPMENT W/O PERMIT   | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-623-1</a>       | POSTING OR DISTRIBUTING PRINTED MATERIAL WITHOUT PERMIT       | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-624-1</a>       | UNLAWFUL DISPOSING OF GARBAGE                                 | IN               | Y                    | \$330          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-624-2</a>       | UNLAWFUL DRAINING OR DUMPING OF GRAY WATER                    | IN               | Y                    | \$330          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-624-3</a>       | UNLAWFUL CLEANING AND WASHING AT CAMPGROUND HYDRANTS          | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-624-4</a>       | UNLAWFUL CLEANING/DISPOSAL OF FISH/UNDESIGNATED PARK FACILITY | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-625-1</a>       | SHIRT/SHOES REQUIRED IN MUSEUMS/VISITOR CTR AND ADMIN OFFICE  | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-626-1</a>       | ROLLER SKATE/SKATEBOARD/MOTOR TRANSPORT IN UNDESIGNATED AREA  | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-627-1</a>       | SWIMMING IN PROHIBITED AREA                                   | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-627-2</a>       | SCUBA DIVING IN PROHIBITED AREA                               | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-627-3</a>       | SWIMMING IN VIOLATION OF PUBLIC HEALTH CLOSURE                | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-628-1</a>       | RIDING BICYCLES OR OTHER VEHICLES IN AN UNDESIGNATED AREA     | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-628-2</a>       | BLOCKING NORMAL USE OF A TRAIL AND WALKWAY IS PROHIBITED      | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-628-3</a>       | FAILURE TO STAY ON WALKS AND DESIGNATED TRAILS                | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-630-1</a>       | UNSUPERVISED CHILDREN UNDER 16 YEARS OF AGE                   | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-631-1</a>       | WINTER SPORTS IN AN UNDESIGNATED AREA                         | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-633-1</a>       | ENTER CLOSED AREA/RESTRICTED ACTIVITIES - EMERGENCY CLOSURE   | IN               | N                    | \$240          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-633-2(1)</a>    | CORAL PINK SAND DUNES: MOTOR VEHICLE USE PROHIBITED           | IN               | N                    | \$240          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-633-2(2)</a>    | DEAD HORSE POINT: HANG GLIDE/PARA GLIDE/BASE JUMP PROHIBITED  | IN               | Y                    | \$610          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-633-2(3)</a>    | DEER CREEK PARK: DOGS PROHIBITED BELOW WATERLINE & RESERVOIR  | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-633-2(4)</a>    | JORDANELLE STATE PARK: ALLOWING DOGS IN PROHIBITED PARK AREA  | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-633-2(5)</a>    | PALISADE STATE PARK-CLIFF DIVING IS PROHIBITED                | IN               | N                    | \$330          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-633-2(6)</a>    | RED FLEET STATE PARK-CLIFF DIVING IS PROHIBITED               | IN               | N                    | \$330          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-633-2(7)(A)</a> | SNOW CANYON-HIKING/WALKING IN DESIGNATED AREAS ONLY           | MC               | N                    | \$140          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-633-2(7)(B)</a> | SNOW CANYON-JENNYS CANYON TRAIL CLOSED MAR 15 TO JUNE 1       | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-633-2(7)(C)</a> | SNOW CANYON-JOHNSON ARCH CLOSED 3/15-6/1 PERMIT/GUIDE W/OPEN  | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-633-2(7)(D)</a> | SNOW CANYON-BLACK ROCK CANYON CLOSED MARCH 15 TO JUNE 30      | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-633-2(7)(E)</a> | SNOW CANYON-WEST CANYON CLIMBING RTE CLOSED FEB 1 TO JUNE 1   | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-633-2(7)(F)</a> | SNOW CANYON-DOG PROHIBITED UNLESS POSTED                      | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-633-2(7)(G)</a> | SNOW CANYON-HANG GLIDE/PARA GLIDE/BASE JUMPING PROHIBITED     | IN               | Y                    | \$610          | \$0         | N                  | 35%       | N              | Y              | C         |   |
| <a href="#">R651-634-1</a>       | NON-RESIDENT OHV USER PERMITS AND FEES                        | IN               | N                    | \$100          | \$0         | N                  | 35%       | N              | N              | C         |   |
| <a href="#">R651-634-1(A)</a>    | FAILURE TO DISPLAY NON RESIDENT USER FEE DECAL                | IN               | N                    | \$100          | \$5         | N                  | 35%       | N              | N              | C         | \$5 suspended upon compliance   |

| Violation Code                  | Description   | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments             |
|---------------------------------|---|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|----------------------|
| <a href="#">R651-634-1(B)</a>   | FAILURE TO HAVE NON RESIDENT RECEIPT ON OHV                 | IN               | N                    | \$100          | \$50        | N                  | 35%       | N              | N              | C         | Dismissed upon proof |
| <a href="#">R651-635-1</a>      | CONDUCTING COMMERCIAL ACTIVITY IN PARK W/OUT AUTHORIZATION  | IN               | Y                    | \$610          | \$0         | N                  | 35%       | N              | Y              | C         |                      |
| <a href="#">R651-801-1</a>      | SWIMMING IN A PROHIBITED AREA                               | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | Y              | C         |                      |
| <a href="#">R651-802-1(1)</a>   | FAILURE TO DISPLAY A SCUBA DIVERS FLAG WHILE SCUBA DIVING   | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |                      |
| <a href="#">R651-802-1(2)</a>   | LEAVING SCUBA DIVERS FLAG DISPLAYED WHEN NOT DIVING         | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |                      |
| <a href="#">R651-802-1(3)</a>   | FAIL TO HAVE LIGHTED SCUBA FLAG AFTER SUNSET/BEFORE SUNRISE | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |                      |
| <a href="#">R651-802-1(4)</a>   | PLACED A SCUBA FLAG IN AREA THE UNDULY RESTRICTED BOATING   | IN               | N                    | \$130          | \$0         | N                  | 35%       | N              | N              | C         |                      |
| <a href="#">R651-802-1(5)</a>   | SCUBA DIVING IN PROHIBITED AREA                             | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | Y              | C         |                      |
| <a href="#">R651-802-1(6)</a>   | SCUBA DIVING WITHOUT PROPER CERTIFICATE                     | IN               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |                      |
| <a href="#">R652-70-1900</a>    | OPERATED MOTOR VEHICLES ON SOVEREIGN LANDS                  | MB               | N                    | \$250          | \$0         | N                  | 90%       | N              | Y              | C         |                      |
| <a href="#">R652-70-1900(2)</a> | CAMPING IN UNDESIGNATED AREA ON SOVEREIGN LANDS             | MB               | N                    | \$250          | \$0         | N                  | 90%       | N              | Y              | C         |                      |

## FEDERAL MOTOR CARRIER RULE ENTRIES

Utah Code 72-9-103(1)(a) states: "The [Department of Transportation] make rules adopting by reference in whole or in part the Federal Motor Carrier Safety Regulations including minimum security requirements for motor carriers."

Current as of May 3, 2023

| Action | Violation Code  | Description  | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments   |
|--------|-----------------|--|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|--|
|        | 171.2(B)        | FAIL TO COMPLY WITH HAZARDOUS MATERIALS REQUIREMENTS         | MB               | N                    | \$260          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 173.21          | FORBIDDEN ITEMS (ALL CARRIERS)                               | MB               | Y                    | \$510          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 173.301         | GASES: PREPARATION AND PACKAGING VIOLATION                   | MB               | Y                    | \$570          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
| EDIT   | 173.441         | <u>RADIOACTIVE MATERIAL/EXCEEDING ALLOWED LIMITS</u>         | MB               | Y                    | \$570          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 177.817         | GENERAL SHIPPING PAPERS VIOLATION                            | MB               | N                    | \$210          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 177.817(A)      | SHIPPING PAPERS REQUIRED FOR HAZARDOUS MATERIAL              | MB               | Y                    | \$510          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 177.817A        | NO BILL OF LADING FOR FLAMMABLE MATERIAL                     | MB               | Y                    | \$510          | \$0         | Y                  | 0%        | N              | Y              | C         |  |
|        | 177.823         | VEHICLE HAULING HAZMAT CANNOT BE MOVED WITHOUT PLACARD       | MB               | Y                    | \$260          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 177.834         | LOADING & SECUREMENT VIOLATION                               | MB               | N                    | \$260          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 383.21          | OPERATING COMMERCIAL VEHICLE WITH MORE THAN ONE LICENSE      | MB               | N                    | \$510          | \$0         | Y                  | 0%        | N              | N              | C         |  |
| EDIT   | 383.23          | <u>COMMERCIAL DRIVER'S LICENSE (CDL) VIOLATION</u>           | MB               | N                    | \$110          | \$0         | Y                  | 0%        | Y              | N              | C         |  |
|        | 383.51          | DISQUALIFIED DRIVER  | MB               | N                    | \$570          | \$0         | Y                  | 0%        | Y              | N              | C         |  |
|        | 390.21          | NO COMPANY NAME/USDOT NUMBER DISPLAYED                       | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 391.11          | UNQUALIFIED DRIVER VIOLATION                                 | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 391.15          | DRIVING WHILE DISQUALIFIED                                   | MB               | N                    | \$570          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 391.41          | PHYSICAL QUALIFICATION FOR DRIVERS - NO/EXPIRED MEDICAL CARD | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 391.41(A)(1)(I) | NO MEDICAL CARD OR EXPIRED CARD IF REQUIRED                  | MB               | N                    | \$110          | \$20        | Y                  | 0%        | N              | N              | C         | \$20 suspended upon compliance proof of valid card |
|        | 391.45          | PERSONS/MEDICALLY EXAMINED & CERTIFIED                       | MB               | N                    | \$190          | \$0         | N                  | 90%       | N              | N              | C         |  |
|        | 391.49          | NO WAIVER WHEN REQUIRED                                      | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 392.11          | CMV FAIL TO SLOW DOWN AND CHECK CLEARING                     | MB               | Y                    | \$170          | \$0         | N                  | 90%       | Y              | N              | C         |  |
|        | 392.16          | SEATBELT VIOLATION - COMMERCIAL VEHICLE                      | IN               | N                    | \$60           | \$0         | Y                  | 0%        | N              | N              | C         |  |
| ADD    | 392.2UCR        | <u>FAILURE TO PAY UCR FEE</u>                                | IN               | N                    | \$110          | \$0         | Y                  | 0%        |                |                | C         |  |
|        | 392.22          | STOPPED CMV FAILURE TO USE WARNING LIGHTS/SIGNALS            | MB               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 392.24          | ATTACH LIGHTED FUSEE/OTHER FLAME-PRODUCING EMERGENCY SIGNAL  | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 392.3           | ILL OR FATIGUED DRIVER                                       | MB               | Y                    | \$680          | \$0         | N                  | 90%       | Y              | Y              | C         |  |
|        | 392.4           | POSSESS, BE UNDER INFLUENCE OF, OR USE DRUGS ON DUTY         | MB               | Y                    | \$720          | \$0         | N                  | 90%       | Y              | Y              | C         |  |
|        | 392.5(A)(2)     | MEASURABLE AMOUNT OR DETECTABLE PRESENCE OF ALCOHOL          | MB               | Y                    | \$1,460        | \$0         | N                  | 90%       | N              | Y              | C         |  |
|        | 392.5(A)(3)     | ON DUTY OR OPERATING COMM VEHICLE WHILE IN POSSESSION OF ALC | MB               | Y                    | \$1,460        | \$0         | N                  | 90%       | N              | Y              | C         |  |
|        | 392.60          | CARRY UNAUTHORIZED PERSON                                    | MB               | N                    | \$70           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 392.71(A)       | USE/POSSESSION OF RADAR DETECTORS PROHIB USE IN CMV          | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 392.71(B)       | REQUIRE OR PERMIT DRIVER TO USE RADAR DETECTOR               | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 392.80          | TEXTING WHILE DRIVING  | MB               | Y                    | \$360          | \$0         | N                  | 90%       | Y              | N              | C         |  |
|        | 392.82          | USING A HAND-HELD MOBILE TELEPHONE - CMV VIOLATION           | MB               | Y                    | \$360          | \$0         | N                  | 90%       | Y              | N              | C         |  |
|        | 392.82(A)(1)    | USING A HAND-HELD MOBILE TELEPHONE WHILE DRIVING A CMV       | MB               | Y                    | \$360          | \$0         | N                  | 90%       | Y              | N              | C         |  |
|        | 392.9           | INSPECTION OF CARGO, SECUREMENT DEV/SYST                     | MC               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 392.9(A)        | UNAUTHORIZED DRIVER  | MB               | N                    | \$110          | \$0         | Y                  | 0%        | Y              | N              | C         |  |
|        | 392.9A          | OPERATING AUTHORITY  | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.100         | SHIFTING/FALLING CARGO VIOLATION                             | MC               | N                    | \$320          | \$0         | N                  | 35%       | N              | N              | C         |  |
|        | 393.102         | INADEQUATE SECUREMENT OF CARGO                               | MB               | N                    | \$280          | \$0         | Y                  | 0%        | N              | N              | C         | Use UCA 72-7-409                                   |
|        | 393.106         | REQUIREMENTS TO SECURE ARTICLES OF CARGO                     | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.11          | LIGHTING DEVICES VIOLATIONS                                  | MB               | N                    | \$110          | \$0         | Y                  | 0%        | Y              | N              | C         |  |
|        | 393.128         | SECUREMENT OF AUTOMOBILES, LIGHT TRUCKS AND VANS             | MB               | N                    | \$280          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.13          | NO REFLECTOR TAPE ON SEMI TRAILER                            | MC               | N                    | \$60           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.13(A)       | RETROFLECTIVE TAPE NOT AFFIXED AS REQUIRED                   | MC               | N                    | \$60           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.130         | SECUREMENT OF HEAVY VEHICLES, EQUIPMENT OR MACHINERY         | MB               | N                    | \$280          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.201         | CRACKED, BROKEN, DISPLACED FRAME/TRACTOR                     | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.205         | WHEEL & RIM VIOLATION  | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.207         | SUSPENSION SYSTEM VIOLATION                                  | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.209         | STEERING MECHANISM VIOLATION                                 | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.22          | PROHIBITED COMBINATION OF LIGHTING DEVICES AND REFLECTORS    | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.24(C)       | IMPROPER HEADLAMP MOUNTING                                   | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.25(F)       | STOP LAMP OPERATION  | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.41          | PARKING BRAKE REQUIRED                                       | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.42          | MISSING BRAKE  | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.43          | INOPERABLE BREAKAWAY SYSTEM                                  | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.45          | BRAKE TUBING/HOSE VIOLATION                                  | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.47          | BRAKE LININGS OR PADS VIOLATION                              | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.48          | BRAKES TO BE OPERATIVE - CMV                                 | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.48(A)       | DEFECTIVE BRAKING ACTION                                     | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.50          | AIR RESERVOIR SECURITY                                       | MB               | N                    | \$60           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.51          | LOW PRESSURE WARNING DEVICE                                  | MC               | N                    | \$120          | \$0         | N                  | 35%       | N              | N              | C         |  |
|        | 393.60          | GLAZING AND WINDOW CONSTRUCTION VIOLATION                    | MB               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.60(D)       | CMV - WINDOW TINT VIOLATION                                  | MB               | N                    | \$50           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.65          | FUEL TANK MOUNTING VIOLATION                                 | MB               | N                    | \$60           | \$0         | Y                  | 0%        | N              | N              | C         |  |
|        | 393.67          | LIQUID FUEL TANK VIOLATION                                   | MB               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |  |

| Action | Violation Code    | Description   | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments           |
|--------|-------------------|---|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|--------------------|
|        | 393.70            | COUPLING DEVICE/TOWING METHOD VIOLATION                     | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 393.71            | COUPLING DEVICE/TOWING METHOD VIOLATION                     | MC               | N                    | \$170          | \$0         | N                  | 35%       | N              | N              | C         |                    |
|        | 393.75            | TIRE VIOLATION  | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 393.75(A)         | MISCELLANEOUS TIRE VIOLATION                                | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 393.75(B)         | STEERING AXLE VIOLATION                                     | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 393.78            | WINDSHIELD WIPER VIOLATION                                  | MB               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 393.81            | HORN/WARNING SIGNAL   | MB               | N                    | \$50           | \$0         | Y                  | 0%        | Y              | N              | C         |                    |
|        | 393.83            | EXHAUST SYSTEM VIOLATION                                    | MB               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 393.86            | REAR IMPACT GUARD REQUIRED                                  | MB               | N                    | \$570          | \$0         | Y                  | 0%        | Y              | N              | C         |                    |
|        | 393.87            | WARNING FLAGS ON PROJECTED LOADS                            | MB               | N                    | \$110          | \$0         | Y                  | 0%        | Y              | N              | C         |                    |
|        | 393.88            | TELEVISION SCREEN NOT TO BE VISIBLE TO DRIVER WHILE DRIVING | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 393.93            | SEATS/SEAT BELT VIOLATION                                   | MB               | N                    | \$60           | \$0         | Y                  | 0%        | Y              | N              | C         |                    |
|        | 393.95            | EMERGENCY EQUIPMENT ON ALL POWER UNITS                      | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 393.95(A)         | FIRE EXTINGUISHER REQUIRED                                  | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 393.95(F)         | WARNING DEVICES REQUIRED FOR STOPPED VEHICLES               | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 395.13(B2)        | NO LOG BOOK IN DRIVER'S POSSESSION REQUIRED                 | MB               | N                    | \$310          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 395.13(D)         | DRIVING WHEN DECLARED OUT OF SERVICE                        | MB               | N                    | \$1,090        | \$0         | N                  | 90%       | Y              | N              | C         |                    |
|        | 395.22(H)(4)      | VIOLATION OF REGISTERED ELD REQUIREMENTS IN-VEHICLE         | MB               | Y                    | \$600          | \$0         | Y                  | 0%        | N              | N              |           |                    |
| ADD    | 395.24(C)(2)(III) | VIOLATION OF DRIVER RESPONSIBILITY                          | IN               | N                    | \$150          | \$0         | N                  | 0%        |                |                | C         |                    |
|        | 395.26(B)         | VIOLATION OF ELD DATA AUTOMATICALLY RECORDED                | MB               | Y                    | \$600          | \$0         | Y                  | 0%        | N              | N              |           |                    |
|        | 395.3(A)(1)       | EXCESS OF 10 HOUR RULE                                      | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 395.3(A)(2)       | EXCESS OF 14 HOUR RULE                                      | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 395.3(A)(3)       | CMV - EXCESS OF THE 11 HOUR RULE                            | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 395.3(A)(3)(II)   | CMV - EXCESS DRIVING 8 HOURS SINCE LAST OFF DUTY            | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 395.3(B)          | DRIVING AFTER 60/70   | MB               | N                    | \$200          | \$0         | N                  | 90%       | N              | N              | C         |                    |
| EDIT   | 395.3(B1)         | EXCESS OF 60 HOUR RULE                                      | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
| EDIT   | 395.3(B2)         | EXCESS OF 70 HOUR RULE                                      | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 395.32(B)         | VIOLATION OF NON-AUTHENTICATED DRIVERS LOG                  | MB               | Y                    | \$680          | \$0         | N                  | 90%       | N              | N              |           |                    |
|        | 395.34            | ELECTRONIC LOG BOOK MALFUNCTION                             | IN               | N                    | \$110          | \$0         | N                  | 35%       |                |                |           |                    |
|        | 395.5(A)          | EXCESS OF 10/15 HOUR RULE - PASSENGER CARRYING VEHICLES     | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 395.5(B)          | DRIVING AFTER 60/70 HOUR RULE - PASSENGER CARRYING VEHICLE  | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 395.8(A)          | NO RECORD OF DUTY STATUS (LOG BOOK)                         | MB               | N                    | \$310          | \$0         | Y                  | 0%        | N              | N              | C         | Shut Down 10 Hours |
|        | 395.8(E)          | FALSE LOG BOOK  | MB               | N                    | \$510          | \$0         | Y                  | 0%        | N              | N              | C         | Shut Down 10 Hours |
|        | 395.8(F)(1)       | LOG BOOK ENTRIES MUST BE CURRENT                            | MB               | N                    | \$210          | \$0         | Y                  | 0%        | N              | N              | C         | Shut Down 10 Hours |
|        | 395.8(K)(2)       | NO RECORD OF DUTY STATUS(PREV 7 DAYS)                       | MB               | N                    | \$210          | \$0         | Y                  | 0%        | N              | N              | C         | Shut Down 10 Hours |
|        | 396.11            | DRIVER VEHICLE INSPECTION REPORT                            | MB               | N                    | \$40           | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 396.17(C)         | NO PROOF OF ANNUAL INSPECTION                               | MB               | N                    | \$570          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 396.3(A)          | INSPECTION REPAIR MAINTENANCE VIOLATION                     | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 396.5             | LUBRICATION   | MB               | N                    | \$110          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 396.7             | UNSAFE OPERATIONS VIOLATION                                 | MB               | N                    | \$110          | \$0         | Y                  | 0%        | Y              | N              | C         |                    |
|        | 396.9(C)(2)       | INSPECTION OF MOTOR VEH OUT OF SERVICE                      | MB               | N                    | \$1,140        | \$0         | N                  | 90%       | N              | N              | C         |                    |
|        | 396.9(D)(2)       | FAILURE TO REPAIR PREVIOUS DEFECT                           | MC               | N                    | \$110          | \$0         | Y                  | 0%        | Y              | N              | C         |                    |
|        | 397.13            | SMOKING   | MB               | N                    | \$160          | \$0         | Y                  | 0%        | N              | N              | C         |                    |
|        | 397.67            | UNLAWFUL ROUTING OF NON-RADIOACTIVE HAZARDOUS MATERIALS     | MB               | N                    | \$300          | \$0         | N                  | 90%       | N              | Y              | C         |                    |

**SPEEDING VIOLATIONS**

The amounts below are provided as examples to illustrate how fine amounts are adjusted based upon the miles per hour (MPH) over the speed limit for the given violation Code.

Current as of May 3, 2023

| Violation Code | Description | Default Severity | Mandatory Appearance | Suggested Fine | Comp Credit | Non-moving Traffic | Surcharge | DLD Reportable | BCI Reportable | Transport | Comments |
|----------------|-------------|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|----------|
|----------------|-------------|------------------|----------------------|----------------|-------------|--------------------|-----------|----------------|----------------|-----------|----------|

**SPEEDING**

|           |                            |    |   |       |     |   |     |   |   |   |                                |
|-----------|----------------------------|----|---|-------|-----|---|-----|---|---|---|--------------------------------|
| 41-6a-601 | 1-10 MPH Over Speed Limit  | IN | N | \$130 | \$0 | N | 35% | Y | N | C |                                |
|           | 11-15 MPH Over Speed Limit | IN | N | \$160 | \$0 | N | 35% | Y | N | C |                                |
|           | 16-20 MPH Over Speed Limit | IN | N | \$210 | \$0 | N | 35% | Y | N | C |                                |
|           | 21-25 MPH Over Speed Limit | IN | N | \$280 | \$0 | N | 35% | Y | N | C |                                |
|           | 26-30 MPH Over Speed Limit | IN | N | \$380 | \$0 | N | 35% | Y | N | C |                                |
|           | 31+ MPH Over Speed Limit   | IN | Y | \$480 | \$0 | N | 35% | Y | N | C | Add \$10 for every mph over 31 |

**SPEEDING — 100+ MPH**

|                 |                            |    |   |         |     |   |     |   |   |   |                                |
|-----------------|----------------------------|----|---|---------|-----|---|-----|---|---|---|--------------------------------|
| 41-6a-601(4)(b) | 16-20 MPH Over Speed Limit | IN | N | \$315 * | \$0 | N | 35% | Y | N | C |                                |
|                 | 21-25 MPH Over Speed Limit | IN | N | \$420 * | \$0 | N | 35% | Y | N | C |                                |
|                 | 26-30 MPH Over Speed Limit | IN | N | \$570 * | \$0 | N | 35% | Y | N | C |                                |
|                 | 31+ MPH Over Speed Limit   | IN | Y | \$720 * | \$0 | N | 35% | Y | N | C | Add \$10 for every MPH over 31 |

\* This is NOT a suggested fine, but is instead a **minimum mandatory** fine, see Utah Code § 41-6a-601(4)(b).

**SPEEDING IN A CONSTRUCTION ZONE**

|                 |                            |    |   |         |     |   |     |   |   |   |                                |
|-----------------|----------------------------|----|---|---------|-----|---|-----|---|---|---|--------------------------------|
| 41-6a-209(2)(a) | 1-10 MPH Over Speed Limit  | IN | N | \$260 * | \$0 | N | 35% | Y | N | C |                                |
|                 | 11-15 MPH Over Speed Limit | IN | N | \$320 * | \$0 | N | 35% | Y | N | C |                                |
|                 | 16-20 MPH Over Speed Limit | IN | N | \$420 * | \$0 | N | 35% | Y | N | C |                                |
|                 | 21-25 MPH Over Speed Limit | IN | N | \$560 * | \$0 | N | 35% | Y | N | C |                                |
|                 | 26-30 MPH Over Speed Limit | IN | N | \$760 * | \$0 | N | 35% | Y | N | C |                                |
|                 | 31+ MPH Over Speed Limit   | IN | Y | \$960 * | \$0 | N | 35% | Y | N | C | Add \$20 for every MPH over 31 |

\* This is NOT a suggested fine, but is instead a **minimum mandatory** fine, see Utah Code § 41-6a-209(2)(a).

**SPEEDING IN A SCHOOL ZONE — 1ST OFFENSE**

|           |                            |    |   |       |     |   |     |   |   |   |  |
|-----------|----------------------------|----|---|-------|-----|---|-----|---|---|---|--|
| 41-6a-604 | 0-9 MPH Over Speed Limit   | MC | Y | \$150 | \$0 | N | 35% | Y | N | C |  |
|           | 10-19 MPH Over Speed Limit | MC | Y | \$250 | \$0 | N | 35% | Y | N | C |  |
|           | 20+ MPH Over Speed Limit   | MC | Y | \$450 | \$0 | N | 35% | Y | N | C |  |

**SPEEDING IN A SCHOOL ZONE — 2ND OR SUBSEQUENT OFFENSE IN THREE YEARS**

|           |                            |    |   |       |     |   |     |   |   |   |  |
|-----------|----------------------------|----|---|-------|-----|---|-----|---|---|---|--|
| 41-6a-604 | 0-9 MPH Over Speed Limit   | MC | Y | \$150 | \$0 | N | 35% | Y | N | C |  |
|           | 10-19 MPH Over Speed Limit | MC | Y | \$380 | \$0 | N | 35% | Y | N | C |  |
|           | 20+ MPH Over Speed Limit   | MC | Y | \$790 | \$0 | N | 35% | Y | N | C |  |



**GROSS VEHICLE WEIGHT ENTRIES**

*Current as of May 3, 2023*

| Weight | Each Axle | Gross  | Weight | Each Axle | Gross  | Weight | Each Axle | Gross  | Weight | Each Axle | Gross  |
|--------|-----------|--------|--------|-----------|--------|--------|-----------|--------|--------|-----------|--------|
| 2001   | 50.00     | 50.00  | 2700   | 158.00    | 185.00 | 3400   | 186.00    | 220.00 | 4100   | 214.00    | 255.00 |
| 2020   | 130.80    | 151.00 | 2720   | 158.80    | 186.00 | 3420   | 186.80    | 221.00 | 4120   | 214.80    | 256.00 |
| 2040   | 131.60    | 152.00 | 2740   | 159.60    | 187.00 | 3140   | 175.60    | 207.00 | 4140   | 215.60    | 257.00 |
| 2060   | 132.40    | 153.00 | 2760   | 160.40    | 188.00 | 3460   | 188.40    | 223.00 | 4160   | 216.40    | 258.00 |
| 2080   | 133.20    | 154.00 | 2780   | 161.20    | 189.00 | 3480   | 189.20    | 224.00 | 4180   | 217.20    | 259.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 2100   | 134.00    | 155.00 | 2800   | 162.00    | 190.00 | 3500   | 190.00    | 225.00 | 4200   | 218.00    | 260.00 |
| 2120   | 134.80    | 156.00 | 2820   | 162.80    | 191.00 | 3520   | 190.80    | 226.00 | 4220   | 218.80    | 261.00 |
| 2140   | 135.60    | 157.00 | 2840   | 163.60    | 192.00 | 2540   | 151.60    | 177.00 | 4240   | 219.60    | 262.00 |
| 2160   | 136.40    | 158.00 | 2860   | 164.40    | 193.00 | 3560   | 192.40    | 228.00 | 4260   | 220.40    | 263.00 |
| 2180   | 137.20    | 159.00 | 2880   | 165.20    | 194.00 | 3580   | 193.20    | 229.00 | 4280   | 221.20    | 264.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 2200   | 138.00    | 160.00 | 2900   | 166.00    | 195.00 | 3600   | 194.00    | 230.00 | 4300   | 222.00    | 265.00 |
| 2220   | 138.80    | 161.00 | 2920   | 166.80    | 196.00 | 3620   | 194.80    | 231.00 | 4320   | 222.80    | 266.00 |
| 2240   | 139.60    | 162.00 | 2940   | 167.60    | 197.00 | 3640   | 195.60    | 232.00 | 4340   | 223.60    | 267.00 |
| 2260   | 140.40    | 163.00 | 2960   | 168.40    | 198.00 | 3560   | 192.40    | 228.00 | 4360   | 224.40    | 268.00 |
| 2280   | 141.20    | 164.00 | 2980   | 169.20    | 199.00 | 3680   | 197.20    | 234.00 | 4380   | 225.20    | 269.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 2300   | 142.00    | 165.00 | 3000   | 170.00    | 200.00 | 3700   | 198.00    | 235.00 | 4400   | 226.00    | 270.00 |
| 2320   | 142.80    | 166.00 | 3020   | 170.80    | 201.00 | 3720   | 198.80    | 236.00 | 4420   | 226.80    | 271.00 |
| 2340   | 143.60    | 167.00 | 3040   | 171.60    | 202.00 | 2740   | 159.60    | 187.00 | 4440   | 227.60    | 272.00 |
| 2360   | 144.40    | 168.00 | 3060   | 172.40    | 203.00 | 3760   | 200.40    | 238.00 | 4460   | 228.40    | 273.00 |
| 2380   | 145.20    | 169.00 | 3080   | 173.20    | 204.00 | 3780   | 201.20    | 239.00 | 4480   | 229.20    | 274.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 2400   | 146.00    | 170.00 | 3100   | 174.00    | 205.00 | 3800   | 202.00    | 240.00 | 4500   | 230.00    | 275.00 |
| 2420   | 146.80    | 171.00 | 3120   | 174.80    | 206.00 | 3820   | 202.80    | 241.00 | 4520   | 230.80    | 276.00 |
| 2440   | 147.60    | 172.00 | 3140   | 175.60    | 207.00 | 3840   | 203.60    | 242.00 | 4540   | 231.60    | 277.00 |
| 2460   | 148.40    | 173.00 | 3160   | 176.40    | 208.00 | 2860   | 164.40    | 193.00 | 4560   | 232.40    | 278.00 |
| 2480   | 149.20    | 174.00 | 3180   | 177.20    | 209.00 | 3880   | 205.20    | 244.00 | 4580   | 233.20    | 279.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 2500   | 150.00    | 175.00 | 3200   | 178.00    | 210.00 | 3900   | 206.00    | 245.00 | 4600   | 234.00    | 280.00 |
| 2520   | 150.80    | 176.00 | 3220   | 178.80    | 211.00 | 3920   | 206.80    | 246.00 | 4620   | 234.80    | 281.00 |
| 2540   | 151.60    | 177.00 | 3240   | 179.60    | 212.00 | 3940   | 207.60    | 247.00 | 4640   | 235.60    | 282.00 |
| 2560   | 152.40    | 178.00 | 3260   | 180.40    | 213.00 | 3960   | 208.40    | 248.00 | 4660   | 236.40    | 283.00 |
| 2580   | 153.20    | 179.00 | 3280   | 181.20    | 214.00 | 3980   | 209.20    | 249.00 | 4680   | 237.20    | 284.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 2600   | 154.00    | 180.00 | 3300   | 182.00    | 215.00 | 4000   | 210.00    | 250.00 | 4700   | 238.00    | 285.00 |
| 2620   | 154.80    | 181.00 | 3320   | 182.80    | 216.00 | 4020   | 210.80    | 251.00 | 4720   | 238.80    | 286.00 |
| 2640   | 155.60    | 182.00 | 3340   | 183.60    | 217.00 | 4040   | 211.60    | 252.00 | 4740   | 239.60    | 287.00 |
| 2660   | 156.40    | 183.00 | 3360   | 184.40    | 218.00 | 4060   | 212.40    | 253.00 | 4760   | 240.40    | 288.00 |
| 2680   | 157.20    | 184.00 | 3380   | 185.20    | 219.00 | 4080   | 213.20    | 254.00 | 4780   | 241.20    | 289.00 |

| Weight | Each Axle | Gross  | Weight | Each Axle | Gross  | Weight | Each Axle | Gross  | Weight | Each Axle | Gross  |
|--------|-----------|--------|--------|-----------|--------|--------|-----------|--------|--------|-----------|--------|
| 4800   | 242.00    | 290.00 | 5500   | 325.00    | 325.00 | 6200   | 360.00    | 360.00 | 6900   | 395.00    | 395.00 |
| 4820   | 242.80    | 291.00 | 5520   | 326.00    | 326.00 | 6220   | 361.00    | 361.00 | 6920   | 396.00    | 396.00 |
| 4840   | 243.60    | 292.00 | 5540   | 327.00    | 327.00 | 6240   | 362.00    | 362.00 | 6940   | 397.00    | 397.00 |
| 4860   | 244.40    | 293.00 | 5560   | 328.00    | 328.00 | 6260   | 363.00    | 363.00 | 6960   | 398.00    | 398.00 |
| 4830   | 243.20    | 291.50 | 5580   | 329.00    | 329.00 | 6280   | 364.00    | 364.00 | 6980   | 399.00    | 399.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 4900   | 246.00    | 295.00 | 5600   | 330.00    | 330.00 | 6300   | 365.00    | 365.00 | 7000   | 400.00    | 400.00 |
| 4920   | 246.80    | 296.00 | 5620   | 331.00    | 331.00 | 6320   | 366.00    | 366.00 | 7020   | 401.00    | 401.00 |
| 4940   | 247.60    | 297.00 | 5540   | 327.00    | 327.00 | 6340   | 367.00    | 367.00 | 7040   | 402.00    | 402.00 |
| 4960   | 248.40    | 298.00 | 5560   | 328.00    | 328.00 | 6360   | 368.00    | 368.00 | 7060   | 403.00    | 403.00 |
| 4980   | 249.20    | 299.00 | 5580   | 329.00    | 329.00 | 6380   | 369.00    | 369.00 | 7080   | 404.00    | 404.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 5000   | 250.00    | 300.00 | 5700   | 335.00    | 335.00 | 6400   | 370.00    | 370.00 | 7100   | 405.00    | 405.00 |
| 5020   | 301.00    | 301.00 | 5720   | 336.00    | 336.00 | 6420   | 371.00    | 371.00 | 7120   | 406.00    | 406.00 |
| 5040   | 302.00    | 302.00 | 5740   | 337.00    | 337.00 | 6440   | 372.00    | 372.00 | 7140   | 407.00    | 407.00 |
| 5060   | 303.00    | 303.00 | 5760   | 338.00    | 338.00 | 6460   | 373.00    | 373.00 | 7160   | 408.00    | 408.00 |
| 5080   | 304.00    | 304.00 | 5780   | 339.00    | 339.00 | 6480   | 374.00    | 374.00 | 7180   | 409.00    | 409.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 5100   | 305.00    | 305.00 | 5800   | 340.00    | 340.00 | 6500   | 375.00    | 375.00 | 7200   | 410.00    | 410.00 |
| 5120   | 306.00    | 306.00 | 5820   | 341.00    | 341.00 | 6520   | 376.00    | 376.00 | 7220   | 411.00    | 411.00 |
| 5140   | 307.00    | 307.00 | 5840   | 342.00    | 342.00 | 6540   | 377.00    | 377.00 | 7240   | 412.00    | 412.00 |
| 5160   | 308.00    | 308.00 | 5860   | 343.00    | 343.00 | 6560   | 378.00    | 378.00 | 7260   | 413.00    | 413.00 |
| 5180   | 309.00    | 309.00 | 5880   | 344.00    | 344.00 | 6580   | 379.00    | 379.00 | 7280   | 414.00    | 414.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 5200   | 310.00    | 310.00 | 5900   | 345.00    | 345.00 | 6600   | 380.00    | 380.00 | 7300   | 415.00    | 415.00 |
| 5220   | 311.00    | 311.00 | 5920   | 346.00    | 346.00 | 6620   | 381.00    | 381.00 | 7320   | 416.00    | 416.00 |
| 5240   | 312.00    | 312.00 | 5940   | 347.00    | 347.00 | 6640   | 382.00    | 382.00 | 7340   | 417.00    | 417.00 |
| 5260   | 313.00    | 313.00 | 5960   | 348.00    | 348.00 | 6660   | 383.00    | 383.00 | 7360   | 418.00    | 418.00 |
| 5280   | 314.00    | 314.00 | 5980   | 349.00    | 349.00 | 6680   | 384.00    | 384.00 | 7380   | 419.00    | 419.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 5300   | 315.00    | 315.00 | 6000   | 350.00    | 350.00 | 6700   | 385.00    | 385.00 | 7400   | 420.00    | 420.00 |
| 5320   | 316.00    | 316.00 | 6020   | 351.00    | 351.00 | 6720   | 386.00    | 386.00 | 7420   | 421.00    | 421.00 |
| 5340   | 317.00    | 317.00 | 6040   | 352.00    | 352.00 | 6740   | 387.00    | 387.00 | 7440   | 422.00    | 422.00 |
| 5360   | 318.00    | 318.00 | 6060   | 353.00    | 353.00 | 6760   | 388.00    | 388.00 | 7460   | 423.00    | 423.00 |
| 5380   | 319.00    | 319.00 | 6080   | 354.00    | 354.00 | 6780   | 389.00    | 389.00 | 7480   | 424.00    | 424.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 5400   | 320.00    | 320.00 | 6100   | 355.00    | 355.00 | 6800   | 390.00    | 390.00 | 7500   | 425.00    | 425.00 |
| 5420   | 321.00    | 321.00 | 6120   | 356.00    | 356.00 | 6820   | 391.00    | 391.00 | 7520   | 426.00    | 426.00 |
| 5440   | 322.00    | 322.00 | 6140   | 357.00    | 357.00 | 6840   | 392.00    | 392.00 | 7540   | 427.00    | 427.00 |
| 5460   | 323.00    | 323.00 | 6160   | 358.00    | 358.00 | 6860   | 393.00    | 393.00 | 7560   | 428.00    | 428.00 |
| 5480   | 324.00    | 324.00 | 6180   | 359.00    | 359.00 | 6880   | 394.00    | 394.00 | 7580   | 429.00    | 429.00 |

| Weight | Each Axle | Gross  | Weight | Each Axle | Gross  | Weight | Each Axle | Gross  | Weight | Each Axle | Gross  |
|--------|-----------|--------|--------|-----------|--------|--------|-----------|--------|--------|-----------|--------|
| 7600   | 430.00    | 430.00 | 8300   | 548.00    | 465.00 | 9000   | 590.00    | 500.00 | 9700   | 632.00    | 535.00 |
| 7620   | 431.00    | 431.00 | 8320   | 549.20    | 466.00 | 9020   | 591.20    | 501.00 | 9720   | 633.20    | 536.00 |
| 7640   | 432.00    | 432.00 | 9340   | 610.40    | 517.00 | 9040   | 592.40    | 502.00 | 9740   | 634.40    | 537.00 |
| 7660   | 433.00    | 433.00 | 8360   | 551.60    | 468.00 | 9060   | 593.60    | 503.00 | 9760   | 635.60    | 538.00 |
| 7680   | 434.00    | 434.00 | 8380   | 552.80    | 469.00 | 9080   | 594.80    | 504.00 | 9780   | 636.80    | 539.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 7700   | 435.00    | 435.00 | 8400   | 554.00    | 470.00 | 9100   | 596.00    | 505.00 | 9800   | 638.00    | 540.00 |
| 7720   | 436.00    | 436.00 | 8420   | 555.20    | 471.00 | 9120   | 597.20    | 506.00 | 9820   | 639.20    | 541.00 |
| 7740   | 437.00    | 437.00 | 8440   | 556.40    | 472.00 | 9140   | 598.40    | 507.00 | 9840   | 640.40    | 542.00 |
| 7760   | 438.00    | 438.00 | 8450   | 557.00    | 472.50 | 9160   | 599.60    | 508.00 | 9860   | 641.60    | 543.00 |
| 7780   | 439.00    | 439.00 | 8480   | 558.80    | 474.00 | 9180   | 600.80    | 509.00 | 9880   | 642.80    | 544.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 7800   | 440.00    | 440.00 | 8500   | 560.00    | 475.00 | 9200   | 602.00    | 510.00 | 9900   | 644.00    | 545.00 |
| 7820   | 441.00    | 441.00 | 8520   | 561.20    | 476.00 | 9220   | 603.20    | 511.00 | 9920   | 645.20    | 546.00 |
| 7840   | 442.00    | 442.00 | 8540   | 562.40    | 477.00 | 9240   | 604.40    | 512.00 | 9940   | 646.40    | 547.00 |
| 7860   | 443.00    | 443.00 | 8560   | 563.60    | 478.00 | 9260   | 605.60    | 513.00 | 9960   | 647.60    | 548.00 |
| 7880   | 444.00    | 444.00 | 8580   | 564.80    | 479.00 | 9280   | 606.80    | 514.00 | 9980   | 648.80    | 549.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 7900   | 445.00    | 445.00 | 8600   | 566.00    | 480.00 | 9300   | 608.00    | 515.00 | 10000  | 650.00    | 550.00 |
| 7920   | 446.00    | 446.00 | 8620   | 567.20    | 481.00 | 9320   | 609.20    | 516.00 | 10020  | 651.20    | 551.00 |
| 7940   | 447.00    | 447.00 | 8640   | 568.40    | 482.00 | 9340   | 610.40    | 517.00 | 10040  | 652.40    | 552.00 |
| 7960   | 448.00    | 448.00 | 8660   | 569.60    | 483.00 | 9360   | 611.60    | 518.00 | 10060  | 653.60    | 553.00 |
| 7980   | 449.00    | 449.00 | 8680   | 570.80    | 484.00 | 9380   | 612.80    | 519.00 | 10080  | 654.80    | 554.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 8000   | 450.00    | 450.00 | 8700   | 572.00    | 485.00 | 9400   | 614.00    | 520.00 | 10100  | 656.00    | 555.00 |
| 8020   | 531.20    | 451.00 | 8720   | 573.20    | 486.00 | 9420   | 615.20    | 521.00 | 10120  | 657.20    | 556.00 |
| 8040   | 532.40    | 452.00 | 8740   | 574.40    | 487.00 | 9440   | 616.40    | 522.00 | 10140  | 658.40    | 557.00 |
| 8060   | 533.60    | 453.00 | 8760   | 575.60    | 488.00 | 9460   | 617.60    | 523.00 | 10160  | 659.60    | 558.00 |
| 8080   | 534.80    | 454.00 | 8780   | 576.80    | 489.00 | 9480   | 618.80    | 524.00 | 10180  | 660.80    | 559.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 8100   | 536.00    | 455.00 | 8800   | 578.00    | 490.00 | 9500   | 620.00    | 525.00 | 10200  | 662.00    | 560.00 |
| 8120   | 537.20    | 456.00 | 8820   | 579.20    | 491.00 | 9520   | 621.20    | 526.00 | 10220  | 663.20    | 561.00 |
| 8140   | 538.40    | 457.00 | 8840   | 580.40    | 492.00 | 9540   | 622.40    | 527.00 | 10240  | 664.40    | 562.00 |
| 8160   | 539.60    | 458.00 | 8860   | 581.60    | 493.00 | 9560   | 623.60    | 528.00 | 10260  | 665.60    | 563.00 |
| 8180   | 540.80    | 459.00 | 8880   | 582.80    | 494.00 | 9580   | 624.80    | 529.00 | 10280  | 666.80    | 564.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 8200   | 542.00    | 460.00 | 8900   | 584.00    | 495.00 | 9600   | 626.00    | 530.00 | 10300  | 668.00    | 565.00 |
| 8220   | 543.20    | 461.00 | 8920   | 585.20    | 496.00 | 9620   | 627.20    | 531.00 | 10320  | 669.20    | 566.00 |
| 8240   | 544.40    | 462.00 | 8940   | 586.40    | 497.00 | 9640   | 628.40    | 532.00 | 10340  | 670.40    | 567.00 |
| 8260   | 545.60    | 463.00 | 8960   | 587.60    | 498.00 | 9660   | 629.60    | 533.00 | 10360  | 671.60    | 568.00 |
| 8280   | 546.80    | 464.00 | 8980   | 588.80    | 499.00 | 9680   | 630.80    | 534.00 | 10380  | 672.80    | 569.00 |

| Weight | Each Axle | Gross  | Weight | Each Axle | Gross  | Weight | Each Axle | Gross  | Weight | Each Axle | Gross  |
|--------|-----------|--------|--------|-----------|--------|--------|-----------|--------|--------|-----------|--------|
| 10400  | 674.00    | 570.00 | 11100  | 716.00    | 605.00 | 11800  | 758.00    | 640.00 | 12500  | 925.00    | 675.00 |
| 10420  | 675.20    | 571.00 | 11120  | 717.20    | 606.00 | 11820  | 759.20    | 641.00 | 12520  | 926.40    | 676.00 |
| 10440  | 676.40    | 572.00 | 11140  | 718.40    | 607.00 | 11840  | 760.40    | 642.00 | 12540  | 927.80    | 677.00 |
| 10460  | 677.60    | 573.00 | 11160  | 719.60    | 608.00 | 11860  | 761.60    | 643.00 | 12560  | 929.20    | 678.00 |
| 10480  | 678.80    | 574.00 | 11180  | 720.80    | 609.00 | 11880  | 762.80    | 644.00 | 12580  | 930.60    | 679.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 10500  | 680.00    | 575.00 | 11200  | 722.00    | 610.00 | 11900  | 764.00    | 645.00 | 12600  | 932.00    | 680.00 |
| 10520  | 681.20    | 576.00 | 11220  | 723.20    | 611.00 | 11320  | 729.20    | 616.00 | 12520  | 926.40    | 676.00 |
| 10540  | 682.40    | 577.00 | 11240  | 724.40    | 612.00 | 11940  | 766.40    | 647.00 | 12640  | 934.80    | 682.00 |
| 10560  | 683.60    | 578.00 | 11260  | 725.60    | 613.00 | 11960  | 767.60    | 648.00 | 12660  | 936.20    | 683.00 |
| 10580  | 684.80    | 579.00 | 11280  | 726.80    | 614.00 | 11980  | 768.80    | 649.00 | 12680  | 937.60    | 684.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 10600  | 686.00    | 580.00 | 11300  | 728.00    | 615.00 | 12000  | 770.00    | 650.00 | 12700  | 939.00    | 685.00 |
| 10620  | 687.20    | 581.00 | 11320  | 729.20    | 616.00 | 12020  | 891.40    | 651.00 | 12720  | 940.40    | 686.00 |
| 10640  | 688.40    | 582.00 | 11340  | 730.40    | 617.00 | 12040  | 892.80    | 652.00 | 12740  | 941.80    | 687.00 |
| 10660  | 689.60    | 583.00 | 11360  | 731.60    | 618.00 | 12060  | 894.20    | 653.00 | 12760  | 943.20    | 688.00 |
| 10680  | 690.80    | 584.00 | 11380  | 732.80    | 619.00 | 12080  | 895.60    | 654.00 | 12780  | 944.60    | 689.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 10700  | 692.00    | 585.00 | 11400  | 734.00    | 620.00 | 12100  | 897.00    | 655.00 | 12800  | 946.00    | 690.00 |
| 10720  | 693.20    | 586.00 | 11420  | 735.20    | 621.00 | 12120  | 898.40    | 656.00 | 12820  | 947.40    | 691.00 |
| 10740  | 694.40    | 587.00 | 11440  | 736.40    | 622.00 | 12140  | 899.80    | 657.00 | 12840  | 948.80    | 692.00 |
| 10760  | 695.60    | 588.00 | 11460  | 737.60    | 623.00 | 12160  | 901.20    | 658.00 | 12860  | 950.20    | 693.00 |
| 10780  | 696.80    | 589.00 | 11480  | 738.80    | 624.00 | 12180  | 902.60    | 659.00 | 12880  | 951.60    | 694.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 10800  | 698.00    | 590.00 | 11500  | 740.00    | 625.00 | 12200  | 904.00    | 660.00 | 12900  | 953.00    | 695.00 |
| 10820  | 699.20    | 591.00 | 11520  | 741.20    | 626.00 | 12220  | 905.40    | 661.00 | 12920  | 954.40    | 696.00 |
| 10840  | 700.40    | 592.00 | 11540  | 742.40    | 627.00 | 12240  | 906.80    | 662.00 | 12940  | 955.80    | 697.00 |
| 10860  | 701.60    | 593.00 | 11560  | 743.60    | 628.00 | 12260  | 908.20    | 663.00 | 12960  | 957.20    | 698.00 |
| 10880  | 702.80    | 594.00 | 11580  | 744.80    | 629.00 | 12280  | 909.60    | 664.00 | 12980  | 958.60    | 699.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 10900  | 704.00    | 595.00 | 11600  | 746.00    | 630.00 | 12300  | 911.00    | 665.00 | 13000  | 960.00    | 700.00 |
| 10920  | 705.20    | 596.00 | 11620  | 747.20    | 631.00 | 12320  | 912.40    | 666.00 | 13020  | 961.40    | 701.00 |
| 10940  | 706.40    | 597.00 | 11640  | 748.40    | 632.00 | 12340  | 913.80    | 667.00 | 13040  | 962.80    | 702.00 |
| 10960  | 707.60    | 598.00 | 11660  | 749.60    | 633.00 | 12360  | 915.20    | 668.00 | 13060  | 964.20    | 703.00 |
| 10980  | 708.80    | 599.00 | 11680  | 750.80    | 634.00 | 12380  | 916.60    | 669.00 | 13080  | 965.60    | 704.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 11000  | 710.00    | 600.00 | 11700  | 752.00    | 635.00 | 12400  | 918.00    | 670.00 | 13100  | 967.00    | 705.00 |
| 11020  | 711.20    | 601.00 | 11720  | 753.20    | 636.00 | 12420  | 919.40    | 671.00 | 13120  | 968.40    | 706.00 |
| 11040  | 712.40    | 602.00 | 11740  | 754.40    | 637.00 | 12440  | 920.80    | 672.00 | 13140  | 969.80    | 707.00 |
| 11060  | 713.60    | 603.00 | 11760  | 755.60    | 638.00 | 12460  | 922.20    | 673.00 | 13160  | 971.20    | 708.00 |
| 11080  | 714.80    | 604.00 | 11780  | 756.80    | 639.00 | 12480  | 923.60    | 674.00 | 13180  | 972.60    | 709.00 |

| Weight | Each Axle | Gross  | Weight | Each Axle | Gross  | Weight | Each Axle | Gross  | Weight | Each Axle | Gross  |
|--------|-----------|--------|--------|-----------|--------|--------|-----------|--------|--------|-----------|--------|
| 13200  | 974.00    | 710.00 | 13900  | 1023.00   | 745.00 | 14600  | 1072.00   | 780.00 | 15300  | 1121.00   | 815.00 |
| 13220  | 975.40    | 711.00 | 13920  | 1024.40   | 746.00 | 14620  | 1073.40   | 781.00 | 15320  | 1122.40   | 816.00 |
| 13240  | 976.80    | 712.00 | 13940  | 1025.80   | 747.00 | 14640  | 1074.80   | 782.00 | 15340  | 1123.80   | 817.00 |
| 13260  | 978.20    | 713.00 | 13960  | 1027.20   | 748.00 | 14660  | 1076.20   | 783.00 | 15360  | 1125.20   | 818.00 |
| 13280  | 979.60    | 714.00 | 13980  | 1028.60   | 749.00 | 14680  | 1077.60   | 784.00 | 15380  | 1126.60   | 819.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 13300  | 981.00    | 715.00 | 14000  | 1030.00   | 750.00 | 14700  | 1079.00   | 785.00 | 15400  | 1128.00   | 820.00 |
| 13320  | 982.40    | 716.00 | 14020  | 1031.40   | 751.00 | 14720  | 1080.40   | 786.00 | 15420  | 1129.40   | 821.00 |
| 12340  | 913.80    | 667.00 | 14040  | 1032.80   | 752.00 | 14740  | 1081.80   | 787.00 | 15440  | 1130.80   | 822.00 |
| 12260  | 908.20    | 663.00 | 14060  | 1034.20   | 753.00 | 14760  | 1083.20   | 788.00 | 15460  | 1132.20   | 823.00 |
| 12380  | 916.60    | 669.00 | 14080  | 1035.60   | 754.00 | 14780  | 1084.60   | 789.00 | 15480  | 1133.60   | 824.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 13400  | 988.00    | 720.00 | 14100  | 1037.00   | 755.00 | 14800  | 1086.00   | 790.00 | 15500  | 1135.00   | 825.00 |
| 13420  | 989.40    | 721.00 | 14120  | 1038.40   | 756.00 | 14820  | 1087.40   | 791.00 | 15520  | 1136.40   | 826.00 |
| 13440  | 990.80    | 722.00 | 14140  | 1039.80   | 757.00 | 14840  | 1088.80   | 792.00 | 15540  | 1137.80   | 827.00 |
| 13460  | 992.20    | 723.00 | 14160  | 1041.20   | 758.00 | 14860  | 1090.20   | 793.00 | 15560  | 1139.20   | 828.00 |
| 13480  | 993.60    | 724.00 | 14180  | 1042.60   | 759.00 | 14880  | 1091.60   | 794.00 | 15580  | 1140.60   | 829.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 13500  | 995.00    | 725.00 | 14200  | 1044.00   | 760.00 | 14900  | 1093.00   | 795.00 | 15600  | 1142.00   | 830.00 |
| 13520  | 996.40    | 726.00 | 14220  | 1045.40   | 761.00 | 14920  | 1094.40   | 796.00 | 15620  | 1143.40   | 831.00 |
| 13540  | 997.80    | 727.00 | 14240  | 1046.80   | 762.00 | 14940  | 1095.80   | 797.00 | 15640  | 1144.80   | 832.00 |
| 13560  | 999.20    | 728.00 | 14260  | 1048.20   | 763.00 | 14960  | 1097.20   | 798.00 | 15660  | 1146.20   | 833.00 |
| 13580  | 1000.60   | 729.00 | 14280  | 1049.60   | 764.00 | 14980  | 1098.60   | 799.00 | 15680  | 1147.60   | 834.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 13600  | 1002.00   | 730.00 | 14300  | 1051.00   | 765.00 | 15000  | 1100.00   | 800.00 | 15700  | 1149.00   | 835.00 |
| 13620  | 1003.40   | 731.00 | 14320  | 1052.40   | 766.00 | 15020  | 1101.40   | 801.00 | 15720  | 1150.40   | 836.00 |
| 13640  | 1004.80   | 732.00 | 14340  | 1053.80   | 767.00 | 15040  | 1102.80   | 802.00 | 15740  | 1151.80   | 837.00 |
| 13660  | 1006.20   | 733.00 | 14360  | 1055.20   | 768.00 | 15060  | 1104.20   | 803.00 | 15760  | 1153.20   | 838.00 |
| 13680  | 1007.60   | 734.00 | 14380  | 1056.60   | 769.00 | 15080  | 1105.60   | 804.00 | 15780  | 1154.60   | 839.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 13700  | 1009.00   | 735.00 | 14400  | 1058.00   | 770.00 | 15100  | 1107.00   | 805.00 | 15800  | 1156.00   | 840.00 |
| 13720  | 1010.40   | 736.00 | 14420  | 1059.40   | 771.00 | 15120  | 1108.40   | 806.00 | 15820  | 1157.40   | 841.00 |
| 13740  | 1011.80   | 737.00 | 14440  | 1060.80   | 772.00 | 15140  | 1109.80   | 807.00 | 15840  | 1158.80   | 842.00 |
| 13760  | 1013.20   | 738.00 | 14460  | 1062.20   | 773.00 | 15160  | 1111.20   | 808.00 | 15860  | 1160.20   | 843.00 |
| 13780  | 1014.60   | 739.00 | 14480  | 1063.60   | 774.00 | 15180  | 1112.60   | 809.00 | 15880  | 1161.60   | 844.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 13800  | 1016.00   | 740.00 | 14500  | 1065.00   | 775.00 | 15200  | 1114.00   | 810.00 | 15900  | 1163.00   | 845.00 |
| 13820  | 1017.40   | 741.00 | 14520  | 1066.40   | 776.00 | 15220  | 1115.40   | 811.00 | 15920  | 1164.40   | 846.00 |
| 13840  | 1018.80   | 742.00 | 14540  | 1067.80   | 777.00 | 15240  | 1116.80   | 812.00 | 15940  | 1165.80   | 847.00 |
| 13860  | 1020.20   | 743.00 | 14560  | 1069.20   | 778.00 | 15260  | 1118.20   | 813.00 | 15960  | 1167.20   | 848.00 |
| 13880  | 1021.60   | 744.00 | 14580  | 1070.60   | 779.00 | 15280  | 1119.60   | 814.00 | 15980  | 1168.60   | 849.00 |

| Weight | Each Axle | Gross  | Weight | Each Axle | Gross  | Weight | Each Axle | Gross  | Weight | Each Axle | Gross  |
|--------|-----------|--------|--------|-----------|--------|--------|-----------|--------|--------|-----------|--------|
| 16000  | 1170.00   | 850.00 | 16700  | 1553.00   | 885.00 | 17400  | 1616.00   | 920.00 | 18100  | 1679.00   | 955.00 |
| 16020  | 1491.80   | 851.00 | 16720  | 1554.80   | 886.00 | 17420  | 1617.80   | 921.00 | 18120  | 1680.80   | 956.00 |
| 16040  | 1493.60   | 852.00 | 16740  | 1556.60   | 887.00 | 17440  | 1619.60   | 922.00 | 18140  | 1682.60   | 957.00 |
| 16060  | 1495.40   | 853.00 | 16760  | 1558.40   | 888.00 | 17460  | 1621.40   | 923.00 | 18160  | 1684.40   | 958.00 |
| 16080  | 1497.20   | 854.00 | 16780  | 1560.20   | 889.00 | 17480  | 1623.20   | 924.00 | 18180  | 1686.20   | 959.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 16100  | 1499.00   | 855.00 | 16800  | 1562.00   | 890.00 | 17500  | 1625.00   | 925.00 | 18200  | 1688.00   | 960.00 |
| 16120  | 1500.80   | 856.00 | 16820  | 1563.80   | 891.00 | 17520  | 1626.80   | 926.00 | 18220  | 1689.80   | 961.00 |
| 16140  | 1502.60   | 857.00 | 16840  | 1565.60   | 892.00 | 17540  | 1628.60   | 927.00 | 18240  | 1691.60   | 962.00 |
| 16160  | 1504.40   | 858.00 | 16860  | 1567.40   | 893.00 | 17560  | 1630.40   | 928.00 | 18260  | 1693.40   | 963.00 |
| 16180  | 1506.20   | 859.00 | 16880  | 1569.20   | 894.00 | 17580  | 1632.20   | 929.00 | 18280  | 1695.20   | 964.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 16200  | 1508.00   | 860.00 | 16900  | 1571.00   | 895.00 | 17600  | 1634.00   | 930.00 | 18300  | 1697.00   | 965.00 |
| 16220  | 1509.80   | 861.00 | 16920  | 1572.80   | 896.00 | 17620  | 1635.80   | 931.00 | 18320  | 1698.80   | 966.00 |
| 16240  | 1511.60   | 862.00 | 16940  | 1574.60   | 897.00 | 17640  | 1637.60   | 932.00 | 18340  | 1700.60   | 967.00 |
| 16260  | 1513.40   | 863.00 | 16960  | 1576.40   | 898.00 | 17660  | 1639.40   | 933.00 | 18360  | 1702.40   | 968.00 |
| 16280  | 1515.20   | 864.00 | 16980  | 1578.20   | 899.00 | 17680  | 1641.20   | 934.00 | 18380  | 1704.20   | 969.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 16300  | 1517.00   | 865.00 | 17000  | 1580.00   | 900.00 | 17700  | 1643.00   | 935.00 | 18400  | 1706.00   | 970.00 |
| 16320  | 1518.80   | 866.00 | 17020  | 1581.80   | 901.00 | 17720  | 1644.80   | 936.00 | 18420  | 1707.80   | 971.00 |
| 16340  | 1520.60   | 867.00 | 17040  | 1583.60   | 902.00 | 17740  | 1646.60   | 937.00 | 18440  | 1709.60   | 972.00 |
| 16360  | 1522.40   | 868.00 | 17060  | 1585.40   | 903.00 | 17760  | 1648.40   | 938.00 | 18460  | 1711.40   | 973.00 |
| 16380  | 1524.20   | 869.00 | 17080  | 1587.20   | 904.00 | 17780  | 1650.20   | 939.00 | 18480  | 1713.20   | 974.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 16400  | 1526.00   | 870.00 | 17100  | 1589.00   | 905.00 | 17800  | 1652.00   | 940.00 | 18500  | 1715.00   | 975.00 |
| 16420  | 1527.80   | 871.00 | 17120  | 1590.80   | 906.00 | 17820  | 1653.80   | 941.00 | 18520  | 1716.80   | 976.00 |
| 16440  | 1529.60   | 872.00 | 17140  | 1592.60   | 907.00 | 17840  | 1655.60   | 942.00 | 18540  | 1718.60   | 977.00 |
| 16460  | 1531.40   | 873.00 | 17160  | 1594.40   | 908.00 | 17860  | 1657.40   | 943.00 | 18560  | 1720.40   | 978.00 |
| 16480  | 1533.20   | 874.00 | 17180  | 1596.20   | 909.00 | 17880  | 1659.20   | 944.00 | 18580  | 1722.20   | 979.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 16500  | 1535.00   | 875.00 | 17200  | 1598.00   | 910.00 | 17900  | 1661.00   | 945.00 | 18600  | 1724.00   | 980.00 |
| 16520  | 1536.80   | 876.00 | 17220  | 1599.80   | 911.00 | 17920  | 1662.80   | 946.00 | 18620  | 1725.80   | 981.00 |
| 16540  | 1538.60   | 877.00 | 17240  | 1601.60   | 912.00 | 17940  | 1664.60   | 947.00 | 18640  | 1727.60   | 982.00 |
| 16560  | 1540.40   | 878.00 | 17260  | 1603.40   | 913.00 | 17960  | 1666.40   | 948.00 | 18660  | 1729.40   | 983.00 |
| 16580  | 1542.20   | 879.00 | 17280  | 1605.20   | 914.00 | 17980  | 1668.20   | 949.00 | 18680  | 1731.20   | 984.00 |
|        |           |        |        |           |        |        |           |        |        |           |        |
| 16600  | 1544.00   | 880.00 | 17300  | 1607.00   | 915.00 | 18000  | 1670.00   | 950.00 | 18700  | 1733.00   | 985.00 |
| 16620  | 1545.80   | 881.00 | 17320  | 1608.80   | 916.00 | 18020  | 1671.80   | 951.00 | 18720  | 1734.80   | 986.00 |
| 16640  | 1547.60   | 882.00 | 17340  | 1610.60   | 917.00 | 18040  | 1673.60   | 952.00 | 18740  | 1736.60   | 987.00 |
| 16660  | 1549.40   | 883.00 | 17360  | 1612.40   | 918.00 | 18060  | 1675.40   | 953.00 | 18760  | 1738.40   | 988.00 |
| 16680  | 1551.20   | 884.00 | 17380  | 1614.20   | 919.00 | 18080  | 1677.20   | 954.00 | 18780  | 1740.20   | 989.00 |

| Weight | Each Axle | Gross   | Weight | Each Axle | Gross   | Weight | Each Axle | Gross   | Weight | Each Axle | Gross   |
|--------|-----------|---------|--------|-----------|---------|--------|-----------|---------|--------|-----------|---------|
| 18800  | 1742.00   | 990.00  | 19500  | 1805.00   | 1025.00 | 20200  | 2272.00   | 1060.00 | 20900  | 2349.00   | 1095.00 |
| 18820  | 1743.80   | 991.00  | 19520  | 1806.80   | 1026.00 | 20220  | 2274.20   | 1061.00 | 20920  | 2351.20   | 1096.00 |
| 18840  | 1745.60   | 992.00  | 19540  | 1808.60   | 1027.00 | 20240  | 2276.40   | 1062.00 | 20940  | 2353.40   | 1097.00 |
| 18860  | 1747.40   | 993.00  | 19560  | 1810.40   | 1028.00 | 20260  | 2278.60   | 1063.00 | 20960  | 2355.60   | 1098.00 |
| 18880  | 1749.20   | 994.00  | 19580  | 1812.20   | 1029.00 | 20280  | 2280.80   | 1064.00 | 20980  | 2357.80   | 1099.00 |
|        |           |         |        |           |         |        |           |         |        |           |         |
| 18900  | 1751.00   | 995.00  | 19600  | 1814.00   | 1030.00 | 20300  | 2283.00   | 1065.00 | 21000  | 2360.00   | 1100.00 |
| 18920  | 1752.80   | 996.00  | 19620  | 1815.80   | 1031.00 | 20320  | 2285.20   | 1066.00 | 21020  | 2362.20   | 1101.00 |
| 18940  | 1754.60   | 997.00  | 19640  | 1817.60   | 1032.00 | 20340  | 2287.40   | 1067.00 | 21040  | 2364.40   | 1102.00 |
| 18960  | 1756.40   | 998.00  | 19660  | 1819.40   | 1033.00 | 20360  | 2289.60   | 1068.00 | 21060  | 2366.60   | 1103.00 |
| 18980  | 1758.20   | 999.00  | 19680  | 1821.20   | 1034.00 | 20380  | 2291.80   | 1069.00 | 21080  | 2368.80   | 1104.00 |
|        |           |         |        |           |         |        |           |         |        |           |         |
| 19000  | 1760.00   | 1000.00 | 19700  | 1823.00   | 1035.00 | 20400  | 2294.00   | 1070.00 | 21100  | 2371.00   | 1105.00 |
| 19020  | 1761.80   | 1001.00 | 19720  | 1824.80   | 1036.00 | 20420  | 2296.20   | 1071.00 | 21120  | 2373.20   | 1106.00 |
| 19040  | 1763.60   | 1002.00 | 19740  | 1826.60   | 1037.00 | 20440  | 2298.40   | 1072.00 | 21140  | 2375.40   | 1107.00 |
| 19060  | 1765.40   | 1003.00 | 19760  | 1828.40   | 1038.00 | 20460  | 2300.60   | 1073.00 | 21160  | 2377.60   | 1108.00 |
| 19080  | 1767.20   | 1004.00 | 19780  | 1830.20   | 1039.00 | 20480  | 2302.80   | 1074.00 | 21180  | 2379.80   | 1109.00 |
|        |           |         |        |           |         |        |           |         |        |           |         |
| 19100  | 1769.00   | 1005.00 | 19800  | 1832.00   | 1040.00 | 20500  | 2305.00   | 1075.00 | 21200  | 2382.00   | 1110.00 |
| 19120  | 1770.80   | 1006.00 | 19820  | 1833.80   | 1041.00 | 20520  | 2307.20   | 1076.00 | 21220  | 2384.20   | 1111.00 |
| 19140  | 1772.60   | 1007.00 | 19840  | 1835.60   | 1042.00 | 20540  | 2309.40   | 1077.00 | 21240  | 2386.40   | 1112.00 |
| 19160  | 1774.40   | 1008.00 | 19860  | 1837.40   | 1043.00 | 20560  | 2311.60   | 1078.00 | 21260  | 2388.60   | 1113.00 |
| 19180  | 1776.20   | 1009.00 | 19880  | 1839.20   | 1044.00 | 20580  | 2313.80   | 1079.00 | 21280  | 2390.80   | 1114.00 |
|        |           |         |        |           |         |        |           |         |        |           |         |
| 19200  | 1778.00   | 1010.00 | 19900  | 1841.00   | 1045.00 | 20600  | 2316.00   | 1080.00 | 21300  | 2393.00   | 1115.00 |
| 19220  | 1779.80   | 1011.00 | 19920  | 1842.80   | 1046.00 | 20620  | 2318.20   | 1081.00 | 21320  | 2395.20   | 1116.00 |
| 19240  | 1781.60   | 1012.00 | 19940  | 1844.60   | 1047.00 | 20640  | 2320.40   | 1082.00 | 21340  | 2397.40   | 1117.00 |
| 19260  | 1783.40   | 1013.00 | 19960  | 1846.40   | 1048.00 | 20660  | 2322.60   | 1083.00 | 21360  | 2399.60   | 1118.00 |
| 19280  | 1785.20   | 1014.00 | 19980  | 1848.20   | 1049.00 | 20680  | 2324.80   | 1084.00 | 21380  | 2401.80   | 1119.00 |
|        |           |         |        |           |         |        |           |         |        |           |         |
| 19300  | 1787.00   | 1015.00 | 20000  | 1850.00   | 1050.00 | 20700  | 2327.00   | 1085.00 | 21400  | 2404.00   | 1120.00 |
| 19320  | 1788.80   | 1016.00 | 20020  | 2252.20   | 1051.00 | 20720  | 2329.20   | 1086.00 | 21420  | 2406.20   | 1121.00 |
| 19340  | 1790.60   | 1017.00 | 20040  | 2254.40   | 1052.00 | 20740  | 2331.40   | 1087.00 | 21440  | 2408.40   | 1122.00 |
| 19360  | 1792.40   | 1018.00 | 20060  | 2256.60   | 1053.00 | 20760  | 2333.60   | 1088.00 | 21460  | 2410.60   | 1123.00 |
| 19380  | 1794.20   | 1019.00 | 20080  | 2258.80   | 1054.00 | 20780  | 2335.80   | 1089.00 | 21480  | 2412.80   | 1124.00 |
|        |           |         |        |           |         |        |           |         |        |           |         |
| 19400  | 1796.00   | 1020.00 | 20100  | 2261.00   | 1055.00 | 20800  | 2338.00   | 1090.00 | 21500  | 2415.00   | 1125.00 |
| 19420  | 1797.80   | 1021.00 | 20120  | 2263.20   | 1056.00 | 20820  | 2340.20   | 1091.00 | 21520  | 2417.20   | 1126.00 |
| 19440  | 1799.60   | 1022.00 | 20140  | 2265.40   | 1057.00 | 20840  | 2342.40   | 1092.00 | 21540  | 2419.40   | 1127.00 |
| 19460  | 1801.40   | 1023.00 | 20160  | 2267.60   | 1058.00 | 20860  | 2344.60   | 1093.00 | 21560  | 2421.60   | 1128.00 |
| 19480  | 1803.20   | 1024.00 | 20180  | 2269.80   | 1059.00 | 20880  | 2346.80   | 1094.00 | 21580  | 2423.80   | 1129.00 |

| Weight | Each Axle | Gross   | Weight | Each Axle | Gross   | Weight | Each Axle | Gross   | Weight | Each Axle | Gross   |
|--------|-----------|---------|--------|-----------|---------|--------|-----------|---------|--------|-----------|---------|
| 21600  | 2426.00   | 1130.00 | 22300  | 2503.00   | 1165.00 | 23000  | 2580.00   | 1200.00 | 23700  | 2657.00   | 1235.00 |
| 21620  | 2428.20   | 1131.00 | 22320  | 2505.20   | 1166.00 | 23020  | 2582.20   | 1201.00 | 23720  | 2659.20   | 1236.00 |
| 21640  | 2430.40   | 1132.00 | 22340  | 2507.40   | 1167.00 | 23040  | 2584.40   | 1202.00 | 23740  | 2661.40   | 1237.00 |
| 21660  | 2432.60   | 1133.00 | 22360  | 2509.60   | 1168.00 | 23060  | 2586.60   | 1203.00 | 23760  | 2663.60   | 1238.00 |
| 21680  | 2434.80   | 1134.00 | 22380  | 2511.80   | 1169.00 | 23080  | 2588.80   | 1204.00 | 23780  | 2665.80   | 1239.00 |
|        |           |         |        |           |         |        |           |         |        |           |         |
| 21700  | 2437.00   | 1135.00 | 22400  | 2514.00   | 1170.00 | 23100  | 2591.00   | 1205.00 | 23800  | 2668.00   | 1240.00 |
| 21720  | 2439.20   | 1136.00 | 22420  | 2516.20   | 1171.00 | 23120  | 2593.20   | 1206.00 | 23820  | 2670.20   | 1241.00 |
| 21740  | 2441.40   | 1137.00 | 22440  | 2518.40   | 1172.00 | 23140  | 2595.40   | 1207.00 | 23840  | 2672.40   | 1242.00 |
| 21760  | 2443.60   | 1138.00 | 22460  | 2520.60   | 1173.00 | 23160  | 2597.60   | 1208.00 | 23860  | 2674.60   | 1243.00 |
| 21780  | 2445.80   | 1139.00 | 22480  | 2522.80   | 1174.00 | 23180  | 2599.80   | 1209.00 | 23880  | 2676.80   | 1244.00 |
|        |           |         |        |           |         |        |           |         |        |           |         |
| 21800  | 2448.00   | 1140.00 | 22500  | 2525.00   | 1175.00 | 23200  | 2602.00   | 1210.00 | 23900  | 2679.00   | 1245.00 |
| 21820  | 2450.20   | 1141.00 | 22520  | 2527.20   | 1176.00 | 23220  | 2604.20   | 1211.00 | 23920  | 2681.20   | 1246.00 |
| 21840  | 2452.40   | 1142.00 | 22540  | 2529.40   | 1177.00 | 23240  | 2606.40   | 1212.00 | 23940  | 2683.40   | 1247.00 |
| 21860  | 2454.60   | 1143.00 | 22560  | 2531.60   | 1178.00 | 23250  | 2607.50   | 1212.50 | 23960  | 2685.60   | 1248.00 |
| 21880  | 2456.80   | 1144.00 | 22580  | 2533.80   | 1179.00 | 23280  | 2610.80   | 1214.00 | 23980  | 2687.80   | 1249.00 |
|        |           |         |        |           |         |        |           |         |        |           |         |
| 21900  | 2459.00   | 1145.00 | 22600  | 2536.00   | 1180.00 | 23300  | 2613.00   | 1215.00 | 24000  | 2690.00   | 1250.00 |
| 21320  | 2395.20   | 1116.00 | 22620  | 2538.20   | 1181.00 | 23320  | 2615.20   | 1216.00 | 24020  | 2692.20   | 1251.00 |
| 21940  | 2463.40   | 1147.00 | 22640  | 2540.40   | 1182.00 | 23340  | 2617.40   | 1217.00 | 24040  | 2694.40   | 1252.00 |
| 21960  | 2465.60   | 1148.00 | 22660  | 2542.60   | 1183.00 | 23360  | 2619.60   | 1218.00 | 24060  | 2696.60   | 1253.00 |
| 21980  | 2467.80   | 1149.00 | 22680  | 2544.80   | 1184.00 | 23380  | 2621.80   | 1219.00 | 24080  | 2698.80   | 1254.00 |
|        |           |         |        |           |         |        |           |         |        |           |         |
| 22000  | 2470.00   | 1150.00 | 22700  | 2547.00   | 1185.00 | 23400  | 2624.00   | 1220.00 | 24100  | 2701.00   | 1255.00 |
| 22020  | 2472.20   | 1151.00 | 22720  | 2549.20   | 1186.00 | 23420  | 2626.20   | 1221.00 | 24120  | 2703.20   | 1256.00 |
| 22040  | 2474.40   | 1152.00 | 22740  | 2551.40   | 1187.00 | 23440  | 2628.40   | 1222.00 | 24140  | 2705.40   | 1257.00 |
| 22060  | 2476.60   | 1153.00 | 22760  | 2553.60   | 1188.00 | 23460  | 2630.60   | 1223.00 | 24160  | 2707.60   | 1258.00 |
| 22080  | 2478.80   | 1154.00 | 22780  | 2555.80   | 1189.00 | 23480  | 2632.80   | 1224.00 | 24180  | 2709.80   | 1259.00 |
|        |           |         |        |           |         |        |           |         |        |           |         |
| 22100  | 2481.00   | 1155.00 | 22800  | 2558.00   | 1190.00 | 23500  | 2635.00   | 1225.00 | 24200  | 2712.00   | 1260.00 |
| 22120  | 2483.20   | 1156.00 | 22820  | 2560.20   | 1191.00 | 23520  | 2637.20   | 1226.00 | 24220  | 2714.20   | 1261.00 |
| 22140  | 2485.40   | 1157.00 | 22840  | 2562.40   | 1192.00 | 23540  | 2639.40   | 1227.00 | 24240  | 2716.40   | 1262.00 |
| 22160  | 2487.60   | 1158.00 | 72860  | 8064.60   | 3693.00 | 23560  | 2641.60   | 1228.00 | 24260  | 2718.60   | 1263.00 |
| 22180  | 2489.80   | 1159.00 | 22880  | 2566.80   | 1194.00 | 23580  | 2643.80   | 1229.00 | 24280  | 2720.80   | 1264.00 |
|        |           |         |        |           |         |        |           |         |        |           |         |
| 22200  | 2492.00   | 1160.00 | 22900  | 2569.00   | 1195.00 | 23600  | 2646.00   | 1230.00 | 24300  | 2723.00   | 1265.00 |
| 22220  | 2494.20   | 1161.00 | 22320  | 2505.20   | 1166.00 | 23620  | 2648.20   | 1231.00 | 24320  | 2725.20   | 1266.00 |
| 22240  | 2496.40   | 1162.00 | 22940  | 2573.40   | 1197.00 | 23640  | 2650.40   | 1232.00 | 24340  | 2727.40   | 1267.00 |
| 22260  | 2498.60   | 1163.00 | 22960  | 2575.60   | 1198.00 | 23660  | 2652.60   | 1233.00 | 24360  | 2729.60   | 1268.00 |
| 22280  | 2500.80   | 1164.00 | 22380  | 2511.80   | 1169.00 | 23680  | 2654.80   | 1234.00 | 24380  | 2731.80   | 1269.00 |



| Weight | Each Axle | Gross   | Weight | Each Axle | Gross   | Weight | Each Axle | Gross   | Weight | Each Axle | Gross   |
|--------|-----------|---------|--------|-----------|---------|--------|-----------|---------|--------|-----------|---------|
| 24400  | 2734.00   | 1270.00 | 25100  | 3313.00   | 1305.00 | 25800  | 3404.00   | 1340.00 | 26500  | 3495.00   | 1375.00 |
| 24420  | 2736.20   | 1271.00 | 25120  | 3315.60   | 1306.00 | 25820  | 3406.60   | 1341.00 | 26520  | 3497.60   | 1376.00 |
| 24440  | 2738.40   | 1272.00 | 25140  | 3318.20   | 1307.00 | 25840  | 3409.20   | 1342.00 | 26540  | 3500.20   | 1377.00 |
| 24460  | 2740.60   | 1273.00 | 25160  | 3320.80   | 1308.00 | 25860  | 3411.80   | 1343.00 | 26560  | 3502.80   | 1378.00 |
| 24480  | 2742.80   | 1274.00 | 25180  | 3323.40   | 1309.00 | 25880  | 3414.40   | 1344.00 | 26580  | 3505.40   | 1379.00 |
|        |           |         |        |           |         |        |           |         |        |           |         |
| 24500  | 2745.00   | 1275.00 | 25200  | 3326.00   | 1310.00 | 25900  | 3417.00   | 1345.00 | 26600  | 3508.00   | 1380.00 |
| 24520  | 2747.20   | 1276.00 | 25220  | 3328.60   | 1311.00 | 25920  | 3419.60   | 1346.00 | 26620  | 3510.60   | 1381.00 |
| 24540  | 2749.40   | 1277.00 | 25240  | 3331.20   | 1312.00 | 25940  | 3422.20   | 1347.00 | 26640  | 3513.20   | 1382.00 |
| 24560  | 2751.60   | 1278.00 | 25260  | 3333.80   | 1313.00 | 25960  | 3424.80   | 1348.00 | 26660  | 3515.80   | 1383.00 |
| 24580  | 2753.80   | 1279.00 | 25280  | 3336.40   | 1314.00 | 25980  | 3427.40   | 1349.00 | 26680  | 3518.40   | 1384.00 |
|        |           |         |        |           |         |        |           |         |        |           |         |
| 24600  | 2756.00   | 1280.00 | 25300  | 3339.00   | 1315.00 | 26000  | 3430.00   | 1350.00 | 26700  | 3521.00   | 1385.00 |
| 24620  | 2758.20   | 1281.00 | 25320  | 3341.60   | 1316.00 | 26020  | 3432.60   | 1351.00 | 26720  | 3523.60   | 1386.00 |
| 24640  | 2760.40   | 1282.00 | 25340  | 3344.20   | 1317.00 | 26040  | 3435.20   | 1352.00 | 26740  | 3526.20   | 1387.00 |
| 24660  | 2762.60   | 1283.00 | 25360  | 3346.80   | 1318.00 | 26060  | 3437.80   | 1353.00 | 26760  | 3528.80   | 1388.00 |
| 24680  | 2764.80   | 1284.00 | 25380  | 3349.40   | 1319.00 | 26080  | 3440.40   | 1354.00 | 26780  | 3531.40   | 1389.00 |
|        |           |         |        |           |         |        |           |         |        |           |         |
| 24700  | 2767.00   | 1285.00 | 25400  | 3352.00   | 1320.00 | 26100  | 3443.00   | 1355.00 | 26800  | 3534.00   | 1390.00 |
| 24720  | 2769.20   | 1286.00 | 25420  | 3354.60   | 1321.00 | 26120  | 3445.60   | 1356.00 | 26820  | 3536.60   | 1391.00 |
| 24740  | 2771.40   | 1287.00 | 25440  | 3357.20   | 1322.00 | 26140  | 3448.20   | 1357.00 | 26840  | 3539.20   | 1392.00 |
| 24760  | 2773.60   | 1288.00 | 25460  | 3359.80   | 1323.00 | 26160  | 3450.80   | 1358.00 | 26860  | 3541.80   | 1393.00 |
| 24780  | 2775.80   | 1289.00 | 25480  | 3362.40   | 1324.00 | 26180  | 3453.40   | 1359.00 | 26880  | 3544.40   | 1394.00 |
|        |           |         |        |           |         |        |           |         |        |           |         |
| 24800  | 2778.00   | 1290.00 | 25500  | 3365.00   | 1325.00 | 26200  | 3456.00   | 1360.00 | 26900  | 3547.00   | 1395.00 |
| 24820  | 2780.20   | 1291.00 | 25520  | 3367.60   | 1326.00 | 26220  | 3458.60   | 1361.00 | 26920  | 3549.60   | 1396.00 |
| 24840  | 2782.40   | 1292.00 | 25540  | 3370.20   | 1327.00 | 26240  | 3461.20   | 1362.00 | 26940  | 3552.20   | 1397.00 |
| 24860  | 2784.60   | 1293.00 | 25560  | 3372.80   | 1328.00 | 26260  | 3463.80   | 1363.00 | 26960  | 3554.80   | 1398.00 |
| 24880  | 2786.80   | 1294.00 | 25580  | 3375.40   | 1329.00 | 26280  | 3466.40   | 1364.00 | 26980  | 3557.40   | 1399.00 |
|        |           |         |        |           |         |        |           |         |        |           |         |
| 24900  | 2789.00   | 1295.00 | 25600  | 3378.00   | 1330.00 | 26300  | 3469.00   | 1365.00 | 27000  | 3560.00   | 1400.00 |
| 24920  | 2791.20   | 1296.00 | 25620  | 3380.60   | 1331.00 | 26320  | 3471.60   | 1366.00 | 27020  | 3562.60   | 1401.00 |
| 24940  | 2793.40   | 1297.00 | 25640  | 3383.20   | 1332.00 | 26340  | 3474.20   | 1367.00 | 27040  | 3565.20   | 1402.00 |
| 24960  | 2795.60   | 1298.00 | 25660  | 3385.80   | 1333.00 | 25360  | 3346.80   | 1318.00 | 27060  | 3567.80   | 1403.00 |
| 24980  | 2797.80   | 1299.00 | 25680  | 3388.40   | 1334.00 | 26380  | 3479.40   | 1369.00 | 27080  | 3570.40   | 1404.00 |
|        |           |         |        |           |         |        |           |         |        |           |         |
| 25000  | 2800.00   | 1300.00 | 25700  | 3391.00   | 1335.00 | 26400  | 3482.00   | 1370.00 | 27100  | 3573.00   | 1405.00 |
| 25020  | 3302.60   | 1301.00 | 25720  | 3393.60   | 1336.00 | 26420  | 3484.60   | 1371.00 | 27120  | 3575.60   | 1406.00 |
| 25040  | 3305.20   | 1302.00 | 25740  | 3396.20   | 1337.00 | 26440  | 3487.20   | 1372.00 | 27140  | 3578.20   | 1407.00 |
| 25060  | 3307.80   | 1303.00 | 25760  | 3398.80   | 1338.00 | 26460  | 3489.80   | 1373.00 | 27160  | 3580.80   | 1408.00 |
| 25080  | 3310.40   | 1304.00 | 25780  | 3401.40   | 1339.00 | 26480  | 3492.40   | 1374.00 | 27180  | 3583.40   | 1409.00 |

# Tab 6



## Administrative Office of the Courts

Chief Justice Matthew B. Durrant  
Utah Supreme Court  
Chair, Utah Judicial Council

April 12, 2023

Ronald B. Gordon, Jr.  
State Court Administrator  
Neira Siaperas  
Deputy State Court Administrator

### MEMORANDUM

**TO: Management Committee / Judicial Council**  
**FROM: Keisa Williams**  
**RE: Rule for Expedited Approval and Public Comment Period**

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The Policy, Planning, and Technology Committee (PP&T) recommends that the following rule be approved on an expedited basis with an April 25, 2023 effective date, followed by a 45-day public comment period.

#### **CJA 4-202.02. Records classification**

The proposed amendments update statutory references (lines 176, 206, 211, 241, and 425) and make three substantive changes:

##### Court Commissioner Complaint records (lines 308-309):

Under [CJA 3-201.02](#), the Court Commissioner Conduct Committee reviews and investigates conduct complaints against court commissioners. The process mirrors the Judicial Conduct Commission process, with the Judicial Council acting in a similar role to the Supreme Court. In practice, CCCC records are treated as confidential, but subsection (1)(E) refers to rule 4-202.02. Currently, CCCC records are not expressly classified in 4-202.02, making them public by default under 4-202.02(1). The proposed amendments would classify CCCC records as “private,” except for public censures by the Judicial Council.

##### Safeguarded records (lines 434-436):

A petitioner in a protective order or stalking injunction case may request that their contact information be safeguarded under paragraph (8)(A). Currently, the only way for those petitioners to also safeguard their contact information in domestic cases is by filing a motion under [CJA 4-202.04](#). The proposed amendments would safeguard contact information in domestic cases “upon request,” if the individual’s contact information has been safeguarded in a protective order or stalking injunction action or in the cases listed under (8)(B).

Proposed amendments to the safeguarded request form (attached) would allow petitioners to submit their (8)(C) requests using the same method as (8)(A) and (8)(B) requests, avoiding confusion and the expense of filing a 4-202.04 motion.

**The mission of the Utah judiciary is to provide an open, fair,  
efficient, and independent system for the advancement of justice under the law.**

Name / sex designation changes (lines 191-197 and 260-261):

The proposed amendments are in response to [S.B. 93](#) (effective March 23, 2023), a bill creating new statutory provisions to govern the process for changing a sex designation on a birth certificate, with special provisions for minors (beginning at line 175 in the bill). Currently, court records associated with sex designation changes are public, unless otherwise ordered. Most often, sex designation changes are made in conjunction with a name change. Name change records are public, with notice and “open court” provisions found in Utah Code Section [42-1-2](#) (unchanged in S.B. 93). The bill specifies that the court must “close the hearing on a petition for a sex designation change” (for an unemancipated child at least 15 and 1/2 years old), but does not mention the classification of other records in those cases.

The proposed amendments would classify:

1. sex designation records for both minors and adults as “private;”
2. name change records for both minors and adults as “public,” and
3. records in cases involving both a name change and a sex designation change, for minors and adults, as “private” (with a few exceptions).

Vulnerable populations face a significant risk of harm should their sex designation change be made public. CJA rule [4-202](#) recognizes the delicate balance courts must strike by providing a list of interests served by both open and closed court records. Under rule [4-202.04\(6\)](#), in deciding whether to classify a record as non-public, judges may consider “any relevant factor, interest, or policy, including but not limited to the interests described in rule 4-202...” Individuals denied access to private records may file a motion to access the records under rule 4-202.04.

**The mission of the Utah judiciary is to provide an open, fair,  
efficient, and independent system for the advancement of justice under the law.**

**Rule 4-202.02. Records Classification.****Intent:**

To classify court records as public or non-public.

**Applicability:**

This rule applies to the judicial branch.

**Statement of the Rule:**

(1) **Presumption of Public Court Records.** Court records are public unless otherwise classified by this rule.

(2) **Public Court Records.** Public court records include but are not limited to:

(2)(A) abstract of a citation that redacts all non-public information;

(2)(B) aggregate records without non-public information and without personal identifying information;

(2)(C) appellate filings, including briefs;

(2)(D) arrest warrants, but a court may restrict access before service;

(2)(E) audit reports;

(2)(F) case files;

(2)(G) committee reports after release by the Judicial Council or the court that requested the study;

(2)(H) contracts entered into by the judicial branch and records of compliance with the terms of a contract;

(2)(I) drafts that were never finalized but were relied upon in carrying out an action or policy;

(2)(J) exhibits, but the judge may regulate or deny access to ensure the integrity of the exhibit, a fair trial or interests favoring closure;

(2)(K) financial records;

(2)(L) indexes approved by the Management Committee of the Judicial Council, including the following, in courts other than the juvenile court; an index may contain any other index information:

(2)(L)(i) amount in controversy;

(2)(L)(ii) attorney name;

(2)(L)(iii) licensed paralegal practitioner name;

(2)(L)(iv) case number;

(2)(L)(v) case status;

(2)(L)(vi) civil case type or criminal violation;

(2)(L)(vii) civil judgment or criminal disposition;

(2)(L)(viii) daily calendar;

(2)(L)(ix) file date;

(2)(L)(x) party name;

(2)(M) name, business address, business telephone number, and business email address of an adult person or business entity other than a party or a victim or witness of a crime;

(2)(N) name, address, telephone number, email address, date of birth, and last four digits of the following: driver's license number; social security number; or account number of a party;

(2)(O) name, business address, business telephone number, and business email address of a lawyer or licensed paralegal practitioner appearing in a case;

(2)(P) name, business address, business telephone number, and business email address of court personnel other than judges;

(2)(Q) name, business address, and business telephone number of judges;

(2)(R) name, gender, gross salary and benefits, job title and description, number of hours worked per pay period, dates of employment, and relevant qualifications of a current or former court personnel;

(2)(S) unless classified by the judge as private or safeguarded to protect the personal safety of the juror or the juror's family, the name of a juror empaneled to try a case, but only 10 days after the jury is discharged;

(2)(T) opinions, including concurring and dissenting opinions, and orders entered in open hearings;

(2)(U) order or decision classifying a record as not public;

(2)(V) private record if the subject of the record has given written permission to make the record public;

(2)(W) probation progress/violation reports;

(2)(X) publications of the administrative office of the courts;

(2)(Y) record in which the judicial branch determines or states an opinion on the rights of the state, a political subdivision, the public, or a person;

(2)(Z) record of the receipt or expenditure of public funds;

(2)(AA) record or minutes of an open meeting or hearing and the transcript of them;

(2)(BB) record of formal discipline of current or former court personnel or of a person regulated by the judicial branch if the disciplinary action has been completed, and all time periods for administrative appeal have expired, and the disciplinary action was sustained;

(2)(CC) record of a request for a record;

(2)(DD) reports used by the judiciary if all of the data in the report is public or the Judicial Council designates the report as a public record;

(2)(EE) rules of the Supreme Court and Judicial Council;

(2)(FF) search warrants, the application and all affidavits or other recorded testimony on which a warrant is based are public after they are unsealed under Utah Rule of Criminal Procedure 40;

(2)(GG) statistical data derived from public and non-public records but that disclose only public data; and

(2)(HH) notwithstanding subsections (6) and (7), if a petition, indictment, or information is filed charging a person 14 years of age or older with a felony or an offense that would be a felony if committed by an adult, the petition, indictment or information, the adjudication order, the disposition order, and the delinquency history summary of the person are public records. The delinquency history summary shall contain the name of the person, a listing of the offenses for which the person was adjudged to be within the jurisdiction of the juvenile court, and the disposition of the court in each of those offenses.

**(3) Sealed Court Records.** The following court records are sealed:

(3)(A) records in the following actions:

(3)(A)(i) Title 78B, Chapter 6, Part 1 – Utah Adoption Act six months after the conclusion of proceedings, which are private until sealed;

(3)(A)(ii) Title 78B, Chapter 15, Part 8 – Gestational Agreement, six months after the conclusion of proceedings, which are private until sealed;

(3)(A)(iii) Section 76-7-304.5 – Consent required for abortions performed on minors; and

(3)(A)(iv) Section 78B-8-402 – Actions for disease testing;

(3)(B) expunged records;

(3)(C) orders authorizing installation of pen register or trap and trace device under Utah Code Section 77-23a-15;

(3)(D) records showing the identity of a confidential informant;

(3)(E) records relating to the possession of a financial institution by the commissioner of financial institutions under Utah Code Section 7-2-6;

(3)(F) wills deposited for safe keeping under Utah Code Section 75-2-901;

(3)(G) records designated as sealed by rule of the Supreme Court;

(3)(H) record of a Children's Justice Center investigative interview after the conclusion of any legal proceedings;

(3)(I) on appeal, any record previously designated as sealed by another court; and

(3)(J) other records as ordered by the court under Rule 4-202.04.

**(4) Private Court Records.** The following court records are private:

(4)(A) records in the following actions:

(4)(A)(i) Section ~~62A-15-631~~26B-5-332, Involuntary commitment under court order;

(4)(A)(ii) Section 76-10-532, Removal from the National Instant Check System database;

(4)(A)(iii) Title 78B, Chapter 6, Part 1, Utah Adoption Act, until the records are sealed;

(4)(A)(iv) Title 78B, Chapter 15, Part 8, Gestational Agreement, until the records are sealed; ~~and~~

(4)(A)(v) cases initiated in the district court by filing an abstract of a juvenile court restitution judgment; ~~and-~~

(4)(A)(vi) Section 26B-8-111, Sex designation changes, and name changes combined with sex designation changes for both minors and adults, except that:

(4)(A)(vi)(a) the case history is public for minors; and

(4)(A)(vi)(b) the case history and record of public hearings are public for adults.

(4)(B) records in the following actions, except that the case history, judgments, orders, decrees, letters of appointment, and the record of public hearings are public records:



(4)(B)(i) Title 30, Husband and Wife, including qualified domestic relations orders, except that an action for consortium due to personal injury under Section 30-2-11 is public;

~~(4)(B)(ii) Title 77, Chapter 3a, Stalking Injunctions;~~

(4)(B)(iii) Title 75, Chapter 5, Protection of Persons Under Disability and their Property;

(4)(B)(~~iii~~iv) Title 78B, Chapter 7, Protective Orders and Stalking Injunctions;

(4)(B)(~~i~~iv) Title 78B, Chapter 12, Utah Child Support Act;

(4)(B)(~~vi~~) Title 78B, Chapter 13, Utah Uniform Child Custody Jurisdiction and Enforcement Act;

(4)(B)(~~vii~~) Title 78B, Chapter 14, Uniform Interstate Family Support Act;

(4)(B)(~~viii~~) Title 78B, Chapter 15, Utah Uniform Parentage Act; and

(4)(B)(~~viii~~x) an action to modify or enforce a judgment in any of the actions in this subparagraph (B);

(4)(C) records related to determinations of indigency;

(4)(D) an affidavit supporting a motion to waive fees;

(4)(E) aggregate records other than public aggregate records under subsection (2);

(4)(F) alternative dispute resolution records;

(4)(G) applications for accommodation under the Americans with Disabilities Act;

(4)(H) jail booking sheets;

(4)(I) citation, but an abstract of a citation that redacts all non-public information is public;

(4)(J) judgment information statement;

(4)(K) judicial review of final agency action under Utah Code Section ~~62A-4a-1009~~80-2-707;

(4)(L) the following personal identifying information about a party: driver's license number, social security number, account description and number, password, identification number, maiden name and mother's maiden name, and similar personal identifying information;

(4)(M) the following personal identifying information about a person other than a party or a victim or witness of a crime: residential address, personal email address, personal telephone number; date of birth, driver's license number, social security number,

account description and number, password, identification number, maiden name, mother's maiden name, and similar personal identifying information;

(4)(N) medical, psychiatric, or psychological records;

(4)(O) name of a minor, except that the name of a minor party is public in the following district and justice court proceedings:

(4)(O)(i) name change of a minor, unless the name change is combined with a sex designation change;

(4)(O)(ii) guardianship or conservatorship for a minor;

(4)(O)(iii) felony, misdemeanor, or infraction when the minor is a party;

(4)(O)(iv) protective orders and stalking injunctions; and

(4)(O)(v) custody orders and decrees;

(4)(P) nonresident violator notice of noncompliance;

(4)(Q) personnel file of a current or former court personnel or applicant for employment;

(4)(R) photograph, film, or video of a crime victim;

(4)(S) record of a court hearing closed to the public or of a child's testimony taken under URCrP 15.5:

(4)(S)(i) permanently if the hearing is not traditionally open to the public and public access does not play a significant positive role in the process; or

(4)(S)(ii) if the hearing is traditionally open to the public, until the judge determines it is possible to release the record without prejudice to the interests that justified the closure;

(4)(T) record submitted by a senior judge or court commissioner regarding performance evaluation and certification;

(4)(U) record submitted for in camera review until its public availability is determined;

(4)(V) reports of investigations by Child Protective Services;

(4)(W) statement in support of petition to determine competency;

(4)(X) victim impact statements;

(4)(Y) name of a prospective juror summoned to attend court, unless classified by the judge as safeguarded to protect the personal safety of the prospective juror or the prospective juror's family;

(4)(Z) records filed pursuant to Rules 52 - 59 of the Utah Rules of Appellate Procedure, except briefs filed pursuant to court order;

(4)(AA) records in a proceeding under Rule 60 of the Utah Rules of Appellate Procedure; ~~and~~

(4)(BB) records related to Court Commissioner Conduct Committee and Council actions under Rule 3-201.02, other than a public censure by the Council, and

(4)(~~CCBB~~) other records as ordered by the court under Rule 4-202.04.

**(5) Protected Court Records.** The following court records are protected:

(5)(A) attorney's work product, including the mental impressions or legal theories of an attorney or other representative of the courts concerning litigation, privileged communication between the courts and an attorney representing, retained, or employed by the courts, and records prepared solely in anticipation of litigation or a judicial, quasi-judicial, or administrative proceeding;

(5)(B) records that are subject to the attorney client privilege;

(5)(C) bids or proposals until the deadline for submitting them has closed;

(5)(D) budget analyses, revenue estimates, and fiscal notes of proposed legislation before issuance of the final recommendations in these areas;

(5)(E) budget recommendations, legislative proposals, and policy statements, that if disclosed would reveal the court's contemplated policies or contemplated courses of action;

(5)(F) court security plans;

(5)(G) investigation and analysis of loss covered by the risk management fund;

(5)(H) memorandum prepared by staff for a member of any body charged by law with performing a judicial function and used in the decision-making process;

(5)(I) confidential business records under Utah Code Section 63G-2-309;

(5)(J) record created or maintained for civil, criminal, or administrative enforcement purposes, audit or discipline purposes, or licensing, certification or registration purposes, if the record reasonably could be expected to:

(5)(J)(i) interfere with an investigation;

(5)(J)(ii) interfere with a fair hearing or trial;

(5)(J)(iii) disclose the identity of a confidential source; or

(5)(J)(iv) concern the security of a court facility;

(5)(K) record identifying property under consideration for sale or acquisition by the court or its appraised or estimated value unless the information has been disclosed to someone not under a duty of confidentiality to the courts;

(5)(L) record that would reveal the contents of settlement negotiations other than the final settlement agreement;

(5)(M) record the disclosure of which would impair governmental procurement or give an unfair advantage to any person;

(5)(N) record the disclosure of which would interfere with supervision of an offender's incarceration, probation, or parole;

(5)(O) record the disclosure of which would jeopardize life, safety, or property;

(5)(P) strategy about collective bargaining or pending litigation;

(5)(Q) test questions and answers;

(5)(R) trade secrets as defined in Utah Code Section 13-24-2;

(5)(S) record of a Children's Justice Center investigative interview before the conclusion of any legal proceedings;

(5)(T) presentence investigation report;

(5)(U) except for those filed with the court, records maintained and prepared by juvenile probation; and

(5)(V) other records as ordered by the court under Rule 4-202.04.

**(6) Juvenile Court Social Records.** The following are juvenile court social records:

(6)(A) correspondence relating to juvenile social records;

(6)(B) custody evaluations, parent-time evaluations, parental fitness evaluations, substance abuse evaluations, domestic violence evaluations;

(6)(C) medical, psychological, psychiatric evaluations;

(6)(D) pre-disposition and social summary reports;

(6)(E) probation agency and institutional reports or evaluations;

(6)(F) referral reports;

(6)(G) report of preliminary inquiries; and

(6)(H) treatment or service plans.

**(7) Juvenile Court Legal Records.** The following are juvenile court legal records:

(7)(A) accounting records;

(7)(B) discovery filed with the court;

(7)(C) pleadings, summonses, subpoenas, motions, affidavits, calendars, minutes, findings, orders, decrees;

(7)(D) name of a party or minor;

(7)(E) record of a court hearing;

(7)(F) referral and offense histories

(7)(G) and any other juvenile court record regarding a minor that is not designated as a social record.

**(8) Safeguarded Court Records.** The following court records are safeguarded:

(8)(A) upon request, location information, contact information, and identity information, other than the name of a petitioner and other persons to be protected, in an action filed under Title 78B, Chapter 7, Protective Orders and Stalking Injunctions;

(8)(B) upon request, location information, contact information and identity information, other than the name of a party or the party's child, after showing by affidavit that the health, safety, or liberty of the party or child would be jeopardized by disclosure in a proceeding under Title 78B, Chapter 13, Utah Uniform Child Custody Jurisdiction and Enforcement Act or Title 78B, Chapter 14, Uniform Interstate Family Support Act or Title 78B, Chapter 15, Utah Uniform Parentage Act;

(8)(C) upon request, if the information has been safeguarded under paragraph (8)(A) or (8)(B), location information, contact information and identity information, other than the name of a party or the party's child, in a proceeding under Title 30, Husband and Wife.

(8)(~~DC~~) location information, contact information, and identity information of prospective jurors on the master jury list or the qualified jury list;

(8)(~~ED~~) location information, contact information, and identity information other than name of a prospective juror summoned to attend court;

(8)(~~FE~~) the following information about a victim or witness of a crime:

(8)(~~FE~~)(i) business and personal address, email address, telephone number, and similar information from which the person can be located or contacted;

(8)(~~FE~~)(ii) date of birth, driver's license number, social security number, account description and number, password, identification number, maiden name, mother's maiden name, and similar personal identifying information.

*Effective: November 21, 2023*

**This is a Safeguarded record.  
This means the other parties in your  
case cannot see this document.**

**Non-Public Information --  
Safeguarded Contact Information**

**Case Number** \_\_\_\_\_

Utah Code of Judicial Administration Rule 4-202.02

**Instructions:**

If your case is one of the types listed below and you want to safeguard your contact information (or that of your child) from the other party, write the information on this form and omit the information from the other documents you file in this case. File this form with the court, but **do not** serve this form on the other party.

- Safeguard means information such as addresses, telephone numbers and email addresses will not be available to the other parties in your case. Documents classified as Safeguarded cannot be viewed by anyone electronically.
- Your case is already considered Private, which means that no one other than the case parties can view documents and other information. This includes minors' names, addresses and other contact information. With identity verification, documents classified as Private can be viewed electronically only by the parties.

Keep the following contact information safeguarded. Do not provide the contact information to the other party because (Choose all that apply.):

☒ I have a court order or agency order authorizing me to safeguard my contact information.

☒ This proceeding is about:

- A protective order (Utah Code 78B-7-109).
- A stalking injunction (Utah Code 78B-7-701).

☒ My contact information is safeguarded in another case.

- Case Nos. \_\_\_\_\_

☒ This proceeding is about one of the following cases, and contact information needs to be Safeguarded because the health, safety or liberty of me or my child would be jeopardized by disclosure:

- A parentage order (Utah Code 62A-11-304.4).
- A custody order (UCCJEA, Utah Code 78B-13-209).
- A support order (UIFSA, Utah Code 78B-14-312).

|                     |  |
|---------------------|--|
| Name                |  |
| Residential Address |  |
| City, State, ZIP    |  |

|   |  |  |  |
|---|--|--|--|
| Phone                                       |  | Email address  |  |
| Reason for safeguarding contact information |  | <input type="checkbox"/> court or agency order <input type="checkbox"/> parentage order<br><input type="checkbox"/> protective order <input type="checkbox"/> custody order<br><input type="checkbox"/> stalking injunction <input type="checkbox"/> support order<br><input type="checkbox"/> safeguarded in another case |  |

|   |  |  |  |
|---|--|--|--|
| Name  |  |  |  |
| Residential Address                         |  |  |  |
| City, State, ZIP                            |  |  |  |
| Phone                                       |  | Email address  |  |
| Reason for safeguarding contact information |  | <input type="checkbox"/> court or agency order <input type="checkbox"/> parentage order<br><input type="checkbox"/> protective order <input type="checkbox"/> custody order<br><input type="checkbox"/> stalking injunction <input type="checkbox"/> support order<br><input type="checkbox"/> safeguarded in another case |  |

|   |  |  |  |
|---|--|--|--|
| Name  |  |  |  |
| Residential Address                         |  |  |  |
| City, State, ZIP                            |  |  |  |
| Phone                                       |  | Email address  |  |
| Reason for safeguarding contact information |  | <input type="checkbox"/> court or agency order <input type="checkbox"/> parentage order<br><input type="checkbox"/> protective order <input type="checkbox"/> custody order<br><input type="checkbox"/> stalking injunction <input type="checkbox"/> support order<br><input type="checkbox"/> safeguarded in another case |  |

### Plaintiff/Petitioner or Defendant/Respondent

I declare under criminal penalty under the law of Utah that everything stated in this document is true:

Signed at \_\_\_\_\_ (city, and state or country).

\_\_\_\_\_  
Date

Signature ⇨ \_\_\_\_\_

Printed Name \_\_\_\_\_

**Attorney or Licensed Paralegal Practitioner of record** (if applicable)

\_\_\_\_\_ Signature ⇨ \_\_\_\_\_

Printed Name \_\_\_\_\_



# Tab 7

# Agenda

## **Budget and Grants Agenda for the April 24, 2023 Judicial Council Meeting**

1. Turnover Savings / ARPA Update ..... Melissa Taitano  
(Tab 1 - Discussion)
2. Year End Spending Request ..... Alisha Johnson  
(Tab 2 – Action)

### **Year End Spending Request Presented for Judicial Council Approval**

21. Matheson Public Electronic Directories..... Chris Talbot
3. Annual Setting of Commissioner Salary ..... Ron Gordon and Alisha Johnson  
(Tab 3 – Action)
4. Grants Update ..... Jordan Murray
5. Innovation Office – Letter Regarding ARPA Pass-Through Funds ..... Nick Stiles  
(Tab 4 – Action)

# Tab 1



## FY 2023 One Time Turnover Savings

Updated as of Pay Period Ending 03/17/2023 (1,488 out of 2,088 hours)

|   |   |                         | Actual              |
|---|---|-------------------------|---------------------|
| #                                       |   | Funding Type            | Amount              |
| 1                                       | One Time Turnover Savings (from actual payroll data versus budget as of PPE 03/17/2023) | Internal Savings        | 2,745,855.59        |
| 2                                       | YTD Amount Anticipated to be Reimbursed through ARPA Funding (as of PPE 03/17/2023)     | Reimbursements          | 695,780.93          |
| 3                                       | Est. One Time Savings for 600 remaining pay hours (\$2,000 / pay hour)                  | Internal Savings (Est.) | 1,200,000.00        |
| <b>Total Potential One Time Savings</b> |   |                         | <b>4,641,636.52</b> |

Prior Report Totals (as of PPE 02/03/23) \$ 4,777,160.99

- \* Actual per hour turnover savings for the last 4 pay periods (oldest to newest) are \$2,160.09, \$1,503.38, \$1395.79, and \$2,401.15. The average per hour turnover savings YTD was \$2,312.93. These numbers do include ARPA reimbursements.



### FY 2023 Ongoing Turnover Savings as of 03/30/2023

| #   |  | Funding Type     | Actual            | Forecasted        |
|---|--|------------------|-------------------|-------------------|
|   |  |                  | Amount YTD        | Amount @ YE       |
| 1   | Carried over Ongoing Savings (from FY 2022, includes unallocated ongoing appropriation)  | Internal Savings | 250,392           | 250,392           |
| 2   | Ongoing Turnover Savings FY 2023   | Internal Savings | 619,736           | 769,736           |
| 3   | TOTAL SAVINGS  |                  | 870,128           | 1,020,128         |
|   | 2023 Hot Spot Raises   |                  | (181,498)         | (200,000)         |
|   | 2023 Authorized Ongoing for Performance Based Raises (will be used at the end of the FY) |                  | -                 | (450,000)         |
| 4   | TOTAL USES before YE Requests  |                  | (181,498)         | (650,000)         |
| <b>Actual Turnover Savings for FY 2023 as of 03/30/2023</b> |  |                  | <b>\$ 688,631</b> | <b>\$ 370,128</b> |

Prior Report Totals (dated 03/01/23) \$ 632,958 \$ 345,960

- \* Ongoing turnover savings only happens when a vacant position is filled at a lower rate and / or with lower benefits.
  - \* There are currently 19 positions that have turned over within the past 90 days that are currently listed as having unknown benefits. As those employees select their benefits, if they select lower benefits, there will be additional savings.
  - \* Currently, 59.1 FTE are vacant.
- 1 Line 1 includes the previously allocated \$150,000 set aside for performance raises and the \$82,000 set aside for hot-spot (listed in the uses section)
  - 2 We are currently estimating \$50,000 of ongoing savings a month for the remainder of the fiscal year.
  - 3 When the carried over and appropriated amount (line 1) with the YE forecast (line 2), the grand total for YE 2023 increases to ~ \$1,020,128
  - 4 With all hot spot and performance raises money is expended (a total of \$650,000), the YE available ongoing OTS is reduced to ~ \$370,128

## Ongoing Turnover Savings Analysis by Fiscal Period

|  | July     | August    | September | October   | November  | December | January    | February | March     |           |
|--|----------|-----------|-----------|-----------|-----------|----------|------------|----------|-----------|-----------|
|  | Period 1 | Period 2  | Period 3  | Period 4  | Period 5  | Period 6 | Period 7   | Period 8 | Period 9  | TOTAL     |
| Total TOS Amount                             | \$94,157 | \$117,331 | \$137,089 | \$102,318 | \$22,489  | \$61,202 | -\$73,069  | \$93,833 | \$64,386  | \$619,736 |
| Cumulative TOS                               | 94,157   | 211,487   | 348,576   | 450,894   | 473,383   | 534,586  | 461,517    | 555,350  | 619,736   |           |
| Headcount Turned Over                        | 32       | 37        | 22        | 23        | 14        | 25       | 40         | 21       | 59        | 273       |
| TOS Amount/Headcount                         | \$2,942  | \$3,171   | \$6,231   | \$4,449   | \$1,606   | \$2,448  | -\$1,827   | \$4,468  | \$1,091   | \$2,270   |
| Total for Period (check)                     | \$94,157 | \$117,331 | \$137,089 | \$102,318 | \$22,489  | \$61,202 | -\$73,069  | \$93,833 | \$64,386  | \$619,736 |
| Above / (Below) Amount                       | \$44,157 | \$67,331  | \$87,089  | \$52,318  | -\$27,511 | \$11,202 | -\$123,069 | \$43,833 | \$14,386  |           |
| Above / (Below) Count                        | 7        | 12        | -3        | -2        | -11       | 0        | 15         | -4       | 34        |           |
| Above / (Below) Amount / Count               | \$942    | \$1,171   | \$4,231   | \$2,449   | -\$394    | \$448    | -\$3,827   | \$2,468  | -\$909    |           |
| Target \$ TOS Amount/Mo.                     | \$50,000 | \$50,000  | \$50,000  | \$50,000  | \$50,000  | \$50,000 | \$50,000   | \$50,000 | \$50,000  |           |
| Target Headcount Filled                      | 25       | 25        | 25        | 25        | 25        | 25       | 25         | 25       | 25        |           |
| Target TOS Amount / Headcount                | \$2,000  | \$2,000   | \$2,000   | \$2,000   | \$2,000   | \$2,000  | \$2,000    | \$2,000  | \$2,000   |           |
| Volume Variance (Headcount)                  |          |           |           |           |           |          |            |          |           |           |
| Target                                       | 25       | 25        | 25        | 25        | 25        | 25       | 25         | 25       | 25        |           |
| Actual                                       | 32       | 37        | 22        | 23        | 14        | 25       | 40         | 21       | 59        |           |
| Variance                                     | 7        | 12        | -3        | -2        | -11       | 0        | 15         | -4       | 34        |           |
| x Target TOS Amount                          | \$2,000  | \$2,000   | \$2,000   | \$2,000   | \$2,000   | \$2,000  | \$2,000    | \$2,000  | \$2,000   |           |
| = Volume Variance                            | \$14,000 | \$24,000  | -\$6,000  | -\$4,000  | -\$22,000 | \$0      | \$30,000   | -\$8,000 | \$68,000  |           |
| Rate Variance (\$ TOS/Headcount)             |          |           |           |           |           |          |            |          |           |           |
| Target Savings/Headcount                     | \$2,000  | \$2,000   | \$2,000   | \$2,000   | \$2,000   | \$2,000  | \$2,000    | \$2,000  | \$2,000   |           |
| Actual TOS/Headcount                         | \$2,942  | \$3,171   | \$6,231   | \$4,449   | \$1,606   | \$2,448  | -\$1,827   | \$4,468  | \$1,091   |           |
| Variance                                     | \$942    | \$1,171   | \$4,231   | \$2,449   | -\$394    | \$448    | -\$3,827   | \$2,468  | -\$909    |           |
| x Actual Headcount that Turned Over          | 32       | 37        | 22        | 23        | 14        | 25       | 40         | 21       | 59        |           |
| = Rate Variance                              | \$30,157 | \$43,331  | \$93,089  | \$56,318  | -\$5,511  | \$11,202 | -\$153,069 | \$51,833 | -\$53,614 |           |
| Total TOS Variance to Target (Rate + Volume) | \$44,157 | \$67,331  | \$87,089  | \$52,318  | -\$27,511 | \$11,202 | -\$123,069 | \$43,833 | \$14,386  |           |
| Check to Total TOS                           |          |           |           |           |           |          |            |          |           |           |
| Target                                       | \$50,000 | \$50,000  | \$50,000  | \$50,000  | \$50,000  | \$50,000 | \$50,000   | \$50,000 | \$50,000  |           |
| Actual for the Period                        | \$94,157 | \$117,331 | \$137,089 | \$102,318 | \$22,489  | \$61,202 | -\$73,069  | \$93,833 | \$64,386  |           |
| Check (should be 0)                          | \$0      | \$0       | \$0       | \$0       | \$0       | \$0      | \$0        | \$0      | \$0       |           |

The goal for each period is \$50,000 of ongoing savings. This number is calculated by the assumption of 25 turnovers saving, on average, \$2,000 each.

For period 9, we had 59 actions causing a "surplus" of \$68,000. The average savings for those turns was \$1,091 causing a negative variance of \$53,614.



## ARPA Expenses as of 3/30/2023 (prior to the close of period 9)

|                                       | A                                      | B                                       | C                                       | D                                      | E                               |                  |             |
|---------------------------------------|--|---|---|--|---------------------------------|------------------|-------------|
|                                       | Judicial Council<br>Approved<br>Amount | Actual<br>FY 2022<br>Expended<br>Amount | Actual<br>FY 2023<br>Expended<br>Amount | Total<br>Expended<br>Amount<br>(B + C) | Balance<br>Available<br>(A - D) | Activity<br>Code | Description |
| <b>Last Period Total</b>              |  |   |   |  | <b>7,614,581.13</b>             |                  |             |
| IT Access to Justice - Part I + II    | 12,373,400                             | 3,042,467.67                            | 3,289,677.90                            | 6,332,145.57                           | (a) 6,041,254.43                |                  |             |
| Courts Case Backlog - Part I + II     | 2,000,000                              | 707,963.11                              | 720,364.46                              | 1,428,327.57                           | 571,672.43                      |                  |             |
| COVID-19 Supplies                     | 302,100                                | -                                       | -                                       | -                                      | 302,100.00                      |                  |             |
| Legal Sandbox Response to COVID       | 324,500                                | -                                       | 100,218.88                              | 100,218.88                             | 224,281.12                      |                  |             |
| <b>TOTAL</b>                          | <b>15,000,000</b>                      | <b>3,750,430.78</b>                     | <b>4,110,261.24</b>                     | <b>7,860,692.02</b>                    | <b>7,139,307.98</b>             |                  |             |
| Expenditures added since last report: |  |   |   |  | \$ 475,273.15                   |                  |             |

ARPA spending cut off date is 12/31/2024.

### BKLG FY 2023 Details

FY 2023 Expenses Include as of PPE 3/17/2023

|                             |                      |
|-----------------------------|----------------------|
| Personnel Expenses:         | \$ 695,755.36        |
| Mileage Expenses:           | \$ 1,816.08          |
| Sr. Judge Travel Expenses:  | \$ 495.62            |
|                             | \$ 698,067.06        |
| COVID Testing Kit purchase: | \$ 22,297.40         |
|                             | <b>\$ 720,364.46</b> |

### BKLG Run Rate Calculation

#### Usage for Last 3 Pay Periods

|   |               |              |
|---|---------------|--------------|
| 2/17/2023                                 | 3/3/2023      | 3/17/2023    |
| \$ 36,433.44                              | \$ 39,311.10  | \$ 41,474.47 |
| Average last 3 Pay Periods:               | \$ 39,073.00  |              |
| Balance Available (from table above):     | \$ 571,672.43 |              |
| Remaining Pay Periods at Last 3 Average:  | 15            |              |
| Anticipated Last Pay Period End Date:     | 10/13/2023    |              |
| Prior report anticipated last pay period: | 10/13/2023    |              |

### Historical Trends (period 9 not yet closed)

#### IT Access to Justice Use - Last 3 Periods

| Period 7      | Period 8      | Period 9           | Average        |  |
|---------------|---------------|--------------------|----------------|--|
| \$ 463,518.94 | \$ 343,793.94 | \$ 292,724.89      | (b) 366,679.26 | Average Burn Rate                            |
|               |               | (a) 6,041,254.43   |                | Balance Available                            |
|               |               | (a) divided by (b) | 16.5           | Estimated months to Spend Balance Available. |
|               |               |                    |                | 21 months left to 12/31/2024                 |

#### BKLG - Last 3 Periods

| Period 7     | Period 8     | Period 9      |
|--------------|--------------|---------------|
| \$ 76,776.44 | \$ 84,287.16 | \$ 117,542.65 |

#### Legal Sandbox - Last 3 Periods

| Period 7     | Period 8     | Period 9     |
|--------------|--------------|--------------|
| \$ 17,244.10 | \$ 51,440.99 | \$ 31,533.49 |

# Tab 2





## FY 2023 Year End Requests and Forecasted Available One-time Funds - Period 9

| Forecasted Available One-time Funds   |                                   |                    |
|---|-----------------------------------|--------------------|
| Description   | Funding Type                      | Amount             |
| <b>Sources of YE 2023 Funds</b>   |                                   |                    |
| * Turnover Savings as of PPE 3/17/2023 (including anticipated ARPA reimbursement)       | Turnover Savings                  | <b>3,441,637</b>   |
| ** Turnover savings Estimate for the rest of the year (\$2,000 x 600 pay hours)         | Turnover Savings                  | <b>1,200,000</b>   |
| <b>Total Potential One Time Turnover Savings</b>  |                                   | <b>4,641,637</b>   |
| <b>Uses of YE 2023 Funds</b>  |                                   |                    |
| (a) <b>Operational Savings From TCE / AOC Budgets</b>                                   | <b>Internal Operating Savings</b> | <b>757,970</b>     |
| <b>Reserve Balance (balance from FY 2022 Carryforward)</b>                              | <b>Judicial Council Reserve</b>   | <b>500,076</b>     |
| <b>Anticipated Reserve Uses - including previously approved and pending requests</b>    | <b>Jud. Council Reserve Uses</b>  | <b>(152,000)</b>   |
| <b>Carryforward into FY 2024 (Request has been made to Legislature for \$3,200,000)</b> | <b>Historical Carryforward</b>    | <b>(3,200,000)</b> |
| <b>Total Potential One Time Savings = (a) + (b) + (c) less Carryforward</b>             |                                   | <b>2,547,683</b>   |
| <b>Less: Judicial Council Requests Previously Approved</b>                              |                                   | <b>(2,391,577)</b> |
| <b>Less: Judicial Council Current Month Spending Requests</b>                           |                                   | <b>(43,101)</b>    |
| <b>Remaining Forecasted Funds Available for FY 2023 YE Spending Requests</b>            |                                   | <b>113,005</b>     |

Updated 4/3/2023

- \* Actual turnover savings as calculated on a pay period basis through 03/17/2023. Data can be found in the Budget Summary Excel workbook on the Personnel tab.
- \*\* Actual per hour turnover savings for the last 4 pay periods (oldest to newest) are \$2,160.09, \$1,503.38, \$1,395.79, and \$2,401.15. The average per hour turnover savings YTD was \$2,312.93. These numbers do include ARPA reimbursements.
- (a) This amount includes updated forecasts from budget managers (TCEs, AOC Directors, etc) received in January/February/March 2023. Amount has increased \$303,000 in March due primarily to lower spending on FY 2023 carryforward requests.

| #   | One-time Spending Plan Requests                            | Current Requests<br>Amount | Judicial Council<br>Approved<br>Amount |
|---|--|----------------------------|--|
| 1   | Q1 / Q2 Performance Bonus Payments                         |                            | \$ 450,000                             |
| 2   | St. George Courtroom Audio                                 |                            | \$ 141,000                             |
| 3   | Adobe E-Signatures   |                            | \$ 260,000                             |
| 4   | IT Equipment for new JA Clerks                             |                            | \$ 5,872                               |
| 5   | Build-out of Replacement for Courts' Access Revenue System |                            | \$ 40,000                              |
| 6   | Online Water Law Curriculum for Judges                     |                            | \$ 40,000                              |
| 7   | Transcription Training Production                          |                            | \$ 900                                 |
| 8   | Q3 / Q4 Performance Bonus Payments                         |                            | \$ 450,000                             |
| 9   | Out of State Employee Set Up Fees                          |                            | \$ 3,400                               |
| 10  | Supplemental - Secondary Language Skills                   |                            | \$ 27,200                              |
| 11  | Summit Jury Deliberation Room Improvements                 |                            | \$ 150,000                             |
| 12  | American Fork Courthouse Lease Increases (revision)        |                            | \$ 172,905                             |
| 13  | Matheson Carpet Replacement - Phase 3                      |                            | \$ 100,000                             |
| 14  | Mobile AED Kit   |                            | \$ 2,300                               |
| 15  | Education Room A/V Upgrade to Hybrid Use                   |                            | \$ 10,000                              |
| 16  | IT Windows 10 Enterprise Upgrades and Software Assurance   |                            | \$ 135,000                             |
| 17  | IT Google Licensing for Enterprise Plus                    |                            | \$ 148,000                             |
| 18  | IT Adobe Pro Licenses for all Staff                        |                            | \$ 120,000                             |
| 19  | IT Microsoft M365 Additional 630 Licenses                  |                            | \$ 90,000                              |
| 20  | IT Survey Monkey Subscription                              |                            | \$ 45,000                              |
| 21  | Matheson Public Electronic Directory                       | <b>43,101</b>              |  |
| <b>Current Month One-time Spending Requests</b>           |  | <b>43,101</b>              |  |
| <b>Previously Approved 1x FY 2023 YE Spending Request</b> |  |                            | <b>2,391,577</b>                       |

## 21. FY 2023 YE Spending Request – Matheson Public Electronic Directories

The Judicial Branch receives budget funds through the Legislative appropriations process. Funds appropriated for FY 2023 are to be spent between July 1, 2022 and June 30, 2023; however current spending forecasts indicate the Courts will not fully expend our appropriations by June 30, 2023. This is a request to the Budget and Fiscal Management Committee/Judicial Council to allocate the use of some of these anticipated unspent funds for **one-time projects that could be delivered prior to June 30, 2023.**

**Date:** 3/27/2023

**Department or District:** Facilities

**Requested by:** Chris Talbot

**Request title:** Matheson Public Electronic Directories

**Amount requested:** \$ 43,101

**One-time Turnover Savings funds**

**Purpose of funding request:**

To cover the cost of upgrading the existing Courthouse directories. Matheson needs the old signage directories replaced on each floor with an electronic system that will include improved graphics for wayfinding throughout the courthouse and provide additional public information messages to visitors.

**Executive summary (include background/history, expected outcomes, relation to performance measures and court mission). Attach supporting data or documents.**

The Courthouse existing directory signage must be manually changed for every update through an order to a signage vendor. The current graphic floor plans on display also create a security concern by showing secured / non-public areas. These floor plans must be modified to only show public access areas. A vendor provided by Court IT has designed a system that will provide seven (7) total screens consisting of three (3) 55" LCD screens in the main lobby (just past screening) and one (1) 65" LCD screen on each of floors 2 – 5. All screens will be in a protective cabinet that surrounds the equipment. New graphics and directory information will be created for the electronic system that can be downloaded remotely or updated by thumb drive at each monitor location. This request also includes \$4,300 for data cabling by Americom and \$1,900 for DFCM to install power outlets. The new electronic system software will have the ability to scroll images (floor plans, courtroom directories, judges' names, etc.) and provide visitor messages in both English and Spanish.

**Alternative funding sources, if any:**

As detailed in other recent Facilities requests, the Facilities budget is currently projected to be underfunded approximately \$620K by the Court Complex Account, so Facilities is unable to cover this expense.

**If this request is not funded at this time, what are the consequences or is there an alternative strategy?**

This is not an urgent request that has immediate consequences. If this project cannot be funded at this time, it will be delayed and be presented at a later time for consideration.

# Tab 3

## Annual Setting of Court Commissioners' Salary - FY 2024

The Judicial Council approves uses of Ongoing Turnover Savings. **This is a request to the Budget and Fiscal Management Committee and the Judicial Council to set the Court Commissioner salary for FY 2024 as required by CJA Rule 3-201 (9) (A).**

**Date:** 3/29/2023

**Department or District:** District Courts

**Requested by:** Ron Gordon, Shane Bahr, and Bart Olsen

**Request title:** Proposed Court Commissioner FY 2024 Salary

**Proposed New Annual Pay:** \$183,326

**Funded from 5% COLA and 5% JD Required Funding ("Targeted Pay")  
Legislative Appropriations**

**Request:**

Per the Code of Judicial Administration rule 3-201 (9) (A),

"The Council shall annually establish the salary of court commissioners. In determining the salary of the court commissioners, the Council shall consider the effect of any salary increase for judges authorized by the Legislature and other relevant factors. Except as provided in paragraph (6), the salary of a commissioner shall not be reduced during the commissioner's tenure."

Per the 2023 Legislative session S.B. 8, district and juvenile judge salaries are scheduled to increase from \$185,200 to \$203,700 effective July 1, 2023 which is a 10% increase. We are seeking to set the salary for all 10 court commissioners for FY 2024 to also increase 10% from \$166,700 to \$183,326 to maintain the 90% ratio. This request will be entirely funded through legislative appropriations for FY 2024; no use of Court internally-generated ongoing turnover savings (TOS) is needed.

**Executive summary (include background/history, expected outcomes, relation to performance measures and court mission). Attach supporting data or documents.**

Historically, court commissioners pay was 90% of the pay of district/juvenile judges. This percentage is not set in rule or statute. As stated in our funding request to the legislature for the March 2021 legislative session, "between July 2015 and August of 2020, 50% of the sitting commissioners left the bench", which as shown in the chart below was also a period where the 90% relationship was lowered.

| Fiscal Year                 | 2017      | 2018      | 2019      | 2020      | 2021      | 2022      | 2023      | 2024 –<br>Proposed |
|-----------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|--------------------|
| <b>Judge Rate</b>           | \$159,050 | \$162,250 | \$166,300 | \$170,450 | \$170,450 | \$175,550 | \$185,200 | \$203,700          |
| <b>Commissioner Rate</b>    | \$134,555 | \$137,238 | \$140,670 | \$144,186 | \$144,186 | \$157,997 | \$166,700 | \$183,326          |
| <b>Commissioner / Judge</b> | 84.6%     | 84.6%     | 84.6%     | 84.6%     | 84.6%     | 90.0%     | 90.0%     | 90.0%              |

In the 2020 general legislative session, the Courts submitted a request for \$92,500 which would have restored the 90% ratio. The request was approved in that general session and then clawed back as part of the 2020 legislative special session to address the effects of the pandemic. The request was again submitted to the legislature for consideration in the 2021 legislative general session but it was not approved. In June 2021, the Judicial Council approved the use of \$92,500 in Court's ongoing turnover savings to be combined with a 3% COLA to increase pay for the 10 court commissioner positions to 90% of the pay of district/juvenile judges. This ratio was also maintained in FY 2023.

We recommend this ratio be maintained for FY 2024. The annualized pay for Commissioners would be \$183,326. The FY 2024 pay increases inclusive of retirement, taxes and other costs will be 100% funded by the 5% COLA and funding from Targeted Pay – both funds appropriated to the Court by the Legislature.

**Annual Setting of Court Commissioners' Salary - FY 2024****Alternative funding sources, if any:**

None.

**If this request is not funded at this time, what are the consequences or is there an alternative strategy?**

We would expect the high turnover rates for Commissioners and reduction in the number of qualified applicants for vacancies will return.

# Tab 4



# Supreme Court of Utah

450 South State Street  
P.O. Box 140210  
Salt Lake City, Utah 84114-0210  
Telephone 801-578-3834

Nicholas Stiles  
Appellate Court Administrator

## MEMORANDUM

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To: Budget and Fiscal Management Committee, Utah Judicial Council  
From: Nick Stiles  
Re: Notice of intent to pass-through funding to the Utah State Bar  
Date: 3/31/23

In the coming months the Supreme Court will begin the process of transitioning the Office of Legal Services Innovation (Innovation Office) to the Utah State Bar. The Supreme Court intends to transfer any remaining Innovation Office funds from the American Rescue Plan Act (ARPA) to the Utah State Bar.

In September 2021, the Innovation Office requested \$648,000 in ARPA funding. While the funding request was approved by the Legislature, the Utah State Courts did not receive enough funding to cover all approved requests. After the prioritization process the Innovation Office fell just outside the appropriated funding. However, due to higher priority items not spending all of their allotted funding, BFMC and the Judicial Council approved a request from the Innovation Office to access the available funding. The request was approved, and \$324,000 was appropriated to the Innovation Office.

The Innovation Office began using the \$324,000 to fund operations in January of this year. It is anticipated that when the Innovation Office moves to the Utah State Bar there will be funding remaining. The Supreme Court has consulted with the AOC's Finance Department on this issue. [UCJA 3-411 Grant Management](#) provides guidance where the courts are the "pass-through recipient," but not when the courts are the pass-through agency. Additionally, while similar to a grant, the ARPA funding has not traditionally be considered grant funding. Likewise, in consultation with the AOC's Finance Department, and with the lack of guidance in court rule, the Supreme Court wanted to provide the above intent to pass-through the funding and respond to any questions from BFMC or the Judicial Council.

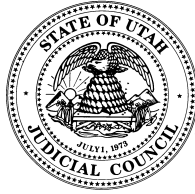
Please let us know if we can provide any additional information.

Respectfully,

Nick Stiles  
Appellate Courts Administrator

# Tab 8





## Administrative Office of the Courts

**Chief Justice Matthew B. Durrant**  
Utah Supreme Court  
Chair, Utah Judicial Council

**Ronald B. Gordon, Jr.**  
State Court Administrator  
**Neira Siaperas**  
Deputy Court Administrator

### MEMORANDUM

TO: Utah Judicial Council

FROM: Jim Peters  
Justice Court Administrator

DATE: April 14, 2023

RE: Expansion of the Holladay Justice Court to Include Millcreek City

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Attached for your consideration is an application by Holladay City to expand the territorial jurisdiction of its justice court. The Judicial Council approved a similar request in May 2007, when Holladay's jurisdiction was expanded to include Cottonwood Heights. Holladay is seeking the Council's approval to do the same for Millcreek.

As Millcreek does not operate its own justice court, its cases are currently adjudicated in the Salt Lake County Justice Court. Last year, Salt Lake County notified the Judicial Council of its intent to dissolve its justice court sometime following the 2025 legislative session. If the legislature approves that request, the Salt Lake County caseload will need to be absorbed by the Third District Court.

In light of the foregoing, Millcreek has three options. It can (i) allow its cases to be heard in the Third District Court with the rest of the county's caseload, (ii) seek Judicial Council approval, pursuant to Section 78A-7-102 of the Utah Code, to create its own justice court, or (iii) contract with another municipality for justice court services.

At this point, Millcreek would prefer to partner with Holladay. Holladay is requesting that it be approved to do so as of July 1, 2023. Thank you for your consideration.

**The mission of the Utah judiciary is to provide an open, fair,  
efficient, and independent system for the advancement of justice under the law.**

## APPLICATION FOR JUSTICE COURT EXPANSION

This application is divided into three sections. Section I asks for background information. Section II contains those requirements that are statutory and are not waivable. Section III contains minimum requirements established by the Judicial Council, and those requirements may be waived pursuant to the procedure set forth in the instructions to applicant included with the application for certification.

### SECTION I

Name of Applicant: City of Holladay

Existing Court Location: 4580 S. 2300 E. Holladay, UT 84117

Judge: Augustus Chin

Anticipated Level of the Expanded Court (Circle one): I II III IV

Case Filings per Month:

Existing Court: 450

In Territory to be Added by Existing Court: 250

Total Anticipated upon Expansion: 700

Daily Court Hours: 8am - 5pm

Number of Full-time Clerks: 4 \*

Hours Worked per Week per Clerk: 40

Number of Part-time Clerks: 0 \*3 current position, with additional position pending expansion

Hours Worked per Week per Clerk: \_\_\_\_\_

Please attach a map which shows the boundaries of the existing Court's jurisdiction and the proposed (expanded) Court's jurisdiction.

State the population within the jurisdiction of the proposed (expanded) Court according to the most recent figures. 128,392

List all law enforcement agencies which will be regularly involved in law enforcement within the jurisdiction of the proposed (expanded) Court.

Unified Police Department

Utah Highway Patrol

Cottonwood Heights Police Dept.

## SECTION II

The following items are statutory and cannot be waived. Approval of the proposed (expanded) Court will not be granted unless each requirement is met.

Please indicate Yes or No to each of the following:

1. Arrangements have been made so that all official court business will be conducted in a public facility. yes
2. Court is open daily. yes
3. The hours of court operation will be posted conspicuously. yes
4. The judge and the clerk will be required to attend court at regularly scheduled times based on the level of the court. yes
5. The judge will be compensated at a fixed rate, within the statutory range. yes
6. The responsible governmental entity will provide and compensate sufficient clerical personnel necessary to conduct the business of the court. yes
7. The responsible governmental entity will assume the expenses of the travel of the judge for purposes of required judicial education. yes
8. The responsible governmental entity will assume the expenses of the travel of each clerk for the purposes of attending training sessions conducted by the Judicial Council. yes
9. The responsible governmental entity will provide the Court with:
  - a. Sufficient prosecutorial support yes
  - b. Funding for attorneys for indigent defendants, as appropriate yes
  - c. Sufficient local law enforcement officers to attend court as provided by statute yes
  - d. Security for the court as provided by statute yes
  - e. Witness and juror fees yes
  - f. Copies of the motor vehicle laws of the State of Utah, appropriate copies of the Utah Code, the Justice Court Manual, state laws affecting local governments, local ordinances and other necessary legal reference materials yes
10. Procedures have been adopted to insure that fines, surcharges and assessments which are payable to the state will be forwarded as required by law. yes

11. Court will be held within the jurisdiction of the court, except as provided by law (78A-7-212). yes
12. All required reports and audits will be filed as required by law or Rule of the Judicial Council. yes
13. A verbatim record of all court proceedings will be maintained by an appropriate audio recording system. yes

### SECTION III

Section III contains minimum requirements established by the Judicial Council, and those requirements may be waived or an extension granted pursuant to the procedure set forth in the instructions to applicant included with this application for certification.

Please indicate YES or NO to each of the following:

1. Arrangements have been made so that court will be open each day as appropriate for the classification of the court. yes
2. Arrangements have been made so that the judge will be available to attend court and to conduct court business as needed. yes
3. Minimum furnishings in the courtroom have been provided, including:
  - a. Desk and chair for the judge yes
  - b. A six inch riser yes
  - c. Desk and chair for the court clerk yes
  - d. Chairs for witnesses yes
  - e. Separate tables and appropriate chairs for plaintiffs and defendants yes
  - f. A Utah State flag yes
  - g. A United States flag yes
  - h. A separate area and chairs for at least four jurors yes
  - i. A separate area with appropriate seating for the public yes
  - j. An appropriate room for jury deliberations yes
  - k. An appropriate area or room for victims and witnesses which is separate from the public yes
  - l. A judicial robe yes
  - m. A gavel yes
  - n. Current bail schedules yes
  - o. A copy of the Code of Judicial Administration yes

- p. Necessary forms and supplies yes
  - q. Office space for the judge yes
  - r. Office space for the court clerk yes
  - s. Secure filing cabinets yes
  - t. Appropriate office supplies yes
  - u. A cash register or secured cash box yes
  - v. At least one computer with internet access yes
  - w. Access to a copy machine yes
4. The appropriate number of clerks will be provided as required by the classification of the court, and will be present during the time court is open each day and as needed during court sessions. yes
  5. Does the applicant have a law enforcement department? No
  6. If the applicant does not have a law enforcement department, identify the law enforcement agency which will provide law enforcement services for the applicant: Unified Police Department
  7. A security plan will be submitted consistent with C.J.A. Rule 3-414. yes
  8. The court has the ability to electronically report to the Driver License Division, the Bureau of Criminal Identification and the Administrative Office of the Courts as required. yes
  9. I am familiar with the minimum operational standards for this court, and except as noted below, those standards are currently in place and available to the court. yes

**REQUEST FOR WAIVER OR EXTENSION**

If waiver or extension of any requirement is requested, please specify each requirement and indicate factors which demonstrate a need for the waiver or extension. For any requested extension, please include the time requested. For each requested waiver, please indicate whether or not this application is conditioned upon receiving a waiver. Remember, those items which are statutory are **not waivable**.

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**SIGNATURE PAGE**

By signing below, I certify that the information contained in this Application for Justice Court Expansion is true and correct to the best of my knowledge.

DATED this 16 day of March, 2023.



[Signature]

Signature

City of Holladay

Applicant

Mayor

Signatory's Title

SUBSCRIBED AND SWORN to before me this 16 day of March, 2023.

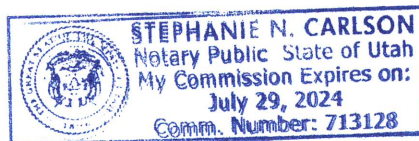
Stephanie N. Carlson

NOTARY PUBLIC

Residing at: Holladay City

My Commission Expires:

7-29-24





**ATTACHMENT A**  
**RESOLUTION**  
**(WITH FORM OF INTERLOCAL AGREEMENT ATTACHED)**

**CITY OF HOLLADAY****RESOLUTION No. 2023-08****A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF HOLLADAY APPROVING  
AN INTERLOCAL AGREEMENT BETWEEN MILLCREEK AND THE CITY OF  
HOLLADAY RELATING TO JUSTICE COURT SERVICES**

**WHEREAS**, the City of Holladay operates the Holladay Justice Court; and

**WHEREAS**, Millcreek desires to contract with the City of Holladay for justice court services; and

**WHEREAS**, the City Council of the City of Holladay has reviewed an Agreement for Court Services with Millcreek proposed by Millcreek and finds it is in the public interest and will promote the public welfare to approve the agreement as proposed;

**NOW, THEREFORE, BE IT RESOLVED** by the City Council of the City of Holladay as follows:

1. Approval of Agreement. The City Council of the City of Holladay hereby approves that certain Agreement for Court Services between Millcreek and the City of Holladay relating to justice court services, attached hereto as Exhibit A and incorporated herein by reference. The Mayor of the City of Holladay is hereby authorized to sign this Agreement on behalf of the City.
2. Severability. If any section, part or provision of this Resolution is held invalid or unenforceable, such invalidity or unenforceability shall not affect any other portion of this Resolution, and all sections, parts and provisions of this Resolution shall be severable.
3. Effective Date. This Resolution shall become effective immediately upon its approval by the City Council.

**PASSED AND APPROVED** this 16<sup>th</sup> day of March, 2023.

**HOLLADAY CITY COUNCIL**

By: \_\_\_\_\_

Robert Dahle, Mayor

[SEAL]

**VOTING:**

|                   |                 |                                |
|-------------------|-----------------|--------------------------------|
| Ty Brewer         | Yea <u>    </u> | Nay <u>    </u> <i>excused</i> |
| Matt Durham       | Yea <u>X</u>    | Nay <u>    </u>                |
| Paul Fotheringham | Yea <u>X</u>    | Nay <u>    </u>                |
| Drew Quinn        | Yea <u>X</u>    | Nay <u>    </u>                |
| Dan Gibbons       | Yea <u>X</u>    | Nay <u>    </u>                |
| Robert Dahle      | Yea <u>X</u>    | Nay <u>    </u>                |

**ATTEST:**

Stephanie N. Carlson, MMC  
City Recorder

**DEPOSITED** in the office of the City Recorder this 16<sup>th</sup> day of March, 2023.

**RECORDED** this 16<sup>th</sup> day of March, 2023.

## Agreement for Court Services

**THIS INTERLOCAL COOPERATIVE AGREEMENT FOR JUSTICE COURT SERVICES** (this “*Agreement*”) is entered into this 16 day of March, 2023, by and between the **MILLCREEK**, a Utah municipality (“*Millcreek*”), and the **CITY OF HOLLADAY**, a Utah municipality (“*Holladay*”). Millcreek and Holladay sometimes are collectively referred to herein as the “*Parties*” and either may be referred to individually as a “*Party*,” all as governed by the context in which such words are used.

### R E C I T A L S:

A. Holladay participates in the operation of a “justice court” pursuant to Utah Code Section 78A-7-101, *et seq.*

B. Millcreek also operates a “justice court” pursuant to an Interlocal Cooperative Agreement with Salt Lake County and Salt Lake County has notified Millcreek that Salt Lake County’s intent to dissolve its justice court.

C. Holladay is willing to expand the territorial jurisdiction of its Court to include the municipal boundaries of Millcreek and provide “justice court” services to Millcreek as specified in this Agreement.

D. Pursuant to the authority granted in, *inter alia*, Utah Code ANN. § 11-13-101, *et seq.* and Utah Code ANN. § 78A-7-102(1)(a)(ii) (collectively, the “*Statutes*”), Holladay and Millcreek desires to expand territorial jurisdiction of the Holladay Justice Court (“*Court*”) to include the municipal boundaries of Millcreek and establish a justice court pursuant to Utah Code Section 11-13- 101 *et seq.*

E. The Parties acknowledge that the court services to be rendered hereunder will be provided on a sharing of court operating cost basis, and the Parties have determined and agreed that such cost sharing is reasonable, fair, and adequate compensation for providing such services.

F. The Parties have determined that it is mutually advantageous to enter into this Agreement.

### A G R E E M E N T:

**NOW, THEREFORE**, in consideration of the promises and in compliance with and pursuant to the terms hereof and the provisions of the Statutes, the Parties hereby agree as follows:

**Section 1. Definitions.** For the purpose of this Agreement, the following definitions shall apply:

(a) *Administrative Panel* means a panel consisting of the Parties’ managers (each a “*Manager*”), or their respective designee(s), who shall meet to discuss Court Services, including administrative and financial matters, and to discuss issues and concerns that may arise regarding the operation of the Court.

(b) *Operating Costs.* Operating costs shall mean and include only the “variable costs” identified as “Shared Court Budget Categories” and “Specific Cost Category” on Exhibit “A” annexed hereto, and no other costs or expenses. Because Holladay would incur a variety of “fixed costs” for the Court (“*Fixed Costs*”) whether or not Millcreek Cases (defined below) were part of the Workload, the Parties intentionally omit all such “fixed costs” from the definition of Operating Costs hereunder.

(c) *Workload* shall be defined as the total number of cases, information’s, citations or actions disposed of by the Court during any one calendar month through the imposition of a fine, the rendering of a final judgment, a bail forfeiture or dismissal.

**Section 2. Scope of Services to be Provided.** Holladay agrees to furnish all court services to Millcreek reasonably necessary to enforce and adjudicate within Millcreek’s boundaries (the “*City Limits*”) Millcreek’s ordinances and all applicable federal and state laws and Salt Lake County ordinances. The court services provided by Holladay (the “*Court Services*”) shall include, without limitation, the following:

- (a) All related court transport and bailiff services;
- (b) A court operation with trained judge(s), prosecutor(s), indigent defender(s), and staff, approved and certified under the Utah Judicial Council standards and policies;
- (c) Daily court operations that satisfy the requirements of Utah Code Section 78A-7-101 *et seq.*, including traffic school (unless Millcreek decides to create and hold its own traffic school);
- (d) Court Referee or similar program to provide simplified resolution of minor traffic offenses;
- (e) To the extent required a secure holding facility for defendants transported from the jail or prison;
- (f) Complete fiscal management, with separate accounting for all revenues arising from cases within Millcreek’s jurisdiction (“*Millcreek Cases*”) as maintained by CORIS case management system (or other acceptable system), including collection rates, identifying revenue receipts specific to individual cases;
- (g) Complete records management, segregated by jurisdiction and otherwise maintained in a manner which will allow, easily and without material cost or delay, separation of all files, information and data concerning Millcreek Cases from all other cases handled by Court and subsequent dissemination (in both printed and electronic formats, as requested by Millcreek) to Millcreek of all such files, information, and data;
- (h) CORIS case management system (or other acceptable system) in compliance with state requirements;
- (i) A qualified, knowledgeable, respectful, and cooperative staff employee to handle questions relating to Millcreek Cases;



(j) Correspondence relating to Millcreek Cases on Millcreek letterhead, if desired by Millcreek;

(k) Identification and statistical segregation of each alcohol-related incident ("*Alcohol-Related Incident*") originating within the City Limits in such manner as Millcreek may direct from time to time if software permits;

(l) Filing with applicable state agencies, on Millcreek's behalf, of required information and reports concerning Millcreek's Alcohol-Related Incidents in such format and manner as such agencies may require to entitle Millcreek to its share of periodic distributions of state-administered liquor tax attributable to, *inter alia*, its Alcohol-Related Incidents;

(m) Timely and complete filings and submittals to offices of the federal or Utah state government required for proper operation of the Court under federal or state law, and, contemporaneously with their filing, provide to Millcreek copies of all filings made with the state of Utah concerning Alcohol-Related Incidents originating within City Limits; and

(n) Representation of Millcreek's interests in any *de novo* appeals of Millcreek Cases to the Third District Court or further appeals of those cases to the Utah Court of Appeals or the Utah Supreme Court. Such representation shall not, however, include defense of civil claims against Millcreek arising from incidents which are the subject of Court prosecutions.

**Section 3. Performance Standards.** Holladay shall provide the Court Services in a professional, helpful, courteous, ethical manner in full compliance with the federal and state constitutions, all laws, and any and all applicable standards of performance. Any substitute judge hearing Millcreek Cases shall be accredited.

(a) *Replacement or Addition of Key Personnel.* Millcreek shall be invited to attend the interviewing process if Holladay (a) replaces the then sitting judge, any prosecutor or court clerk for Millcreek Cases, or (b) if Holladay appoints another judge for the Court, prosecutor, or court clerk for Millcreek Cases. In the event Millcreek agrees to participate, Holladay will consult with Millcreek regarding the selection of such personnel before making any such appointment(s). If Holladay desires to replace any prosecutor, it shall so inform Millcreek in writing.

(b) *Absences.* If (i) any judge of the Court is absent from Court for a calendar week or longer; or (ii) any prosecutor provided by Holladay misses Court so that any Millcreek Cases are delayed or dismissed, Holladay immediately shall so notify Millcreek by an e-mailed or hand-delivered writing which, in the case of an absent judge, provides the identity of any replacement judge.

(c) *Administrative Oversight.* The Administrative Panel will meet on a regular basis to discuss the effectiveness of the Court and its ability to accomplish the goals and objectives of the Parties. The Administrative Panel will work collaboratively together to discuss appropriate measures to resolve conflicts, address workload and performance issues, to evaluate revenue and expense records, resource allocation and other issues relevant to the operation of the Court. In coordination with the chief judge of the Court, the Administrative Panel may also discuss measures to maximize the efficiency and effectiveness of the Court. However, nothing herein shall be construed to require any personnel action or the implementation of policies or practices by the

Court to the extent that such actions, policies or practices are contrary to applicable law or otherwise are reasonably unacceptable to the chief judge of the Court.

(d) *Contracts.* Promptly upon their creation or formation, Holladay shall provide Millcreek copies of any and all contracts and instruments that materially affect operation of the Court from time to time, including, without limitation, copies of contracts affecting the Court's judge(s) and/or prosecutor(s).

Subject to the foregoing, while the Administrative Panel will work cooperatively together to regularly discuss the expenses and revenue to operate the Court, administrative policies and procedures pertinent to those activities, discuss matters of Court efficiencies, etc., the final implementation of all decisions and the administration of those services shall remain with Holladay.

**Section 4. *Conflict Resolution.*** In the event of a dispute between the Parties regarding the Court Services, the Parties agree (without limiting any and all other legal and equitable remedies) that the Managers and the chief judge of the Court shall meet as soon as possible to discuss and attempt to resolve the dispute. If the Parties do not agree, then the dispute shall be resolved pursuant to Section 14 below.

**Section 5. *Equipment and Facilities; Operating Costs Budget.*** In performing the Court Services, Holladay shall furnish and supply, as Fixed Costs paid by Holladay, all necessary courtrooms and related physical facilities, labor, supervision, equipment, communication facilities, constables, bailiffs and other items necessary and incident to a modern, well-equipped court facility; provided, however, that Holladay reserves the right from time to time to identify and to seek Administrative Panel approval of extraordinary expenses which reasonably should be classified as Operating Costs (as defined in Section 1(b) above and allocated between the Parties as provided in Section 11 below) rather than as Fixed Costs (paid by Holladay). Examples of such extraordinary costs are material damage (beyond normal wear and tear), and/or the need for enhanced janitorial services, to the restrooms near the courtroom likely caused by defendants or other attendees of Court proceedings. Appropriate signage shall be located in the Court facility, and the building housing it, to clearly designate the Court as providing justice court services to both Holladay and Millcreek. The cost of such signage shall be an Operating Cost for the year in which it is incurred.

The parties shall cooperate to prepare an annual budget for the Operating Costs of the Court before April 15<sup>th</sup> of each year to facilitate proper budgeting by the Parties for the next fiscal year.

**Section 6. *Reports and Notice of Performance.*** Holladay shall provide the following reports:

(a) *Workload Reports.* On a monthly basis, Holladay shall provide a workload report to Millcreek in such form, and containing such information, as Millcreek reasonably may request from time to time. The workload report shall, at minimum:

(i) Specify the total number of cases handled by the Court during that month and identify by name and case number the Millcreek Cases filed with the Court during that month and cases with a final disposition. The parties shall work together to identify a potential mechanism for tracking the status of cases that have been filed and have not yet been disposed at a reasonable cost.



(ii) Specify the fines, fees, forfeitures, bails, etc. collected by the Court with respect to Millcreek Cases during that month, and identify, by matter, all required payments from such collections to other governmental entities (such as the state of Utah);

(iii) Provided reporting through the CORIS (or other acceptable system) that is available, identify and segregate each Alcohol-Related Incident during that month in such manner as Millcreek reasonably may direct from time to time. Absent direction to the contrary by Millcreek, the monthly summary shall specify (1) the new Alcohol-Related Incidents originating within City Limits filed in the Court since the last monthly summary, and (2) the status of any other Alcohol-Related Incidents originating within City Limits previously filed with the Court, excluding any previously reported to Millcreek as having been finalized;

(iv) Reference the class of offense (e.g.,—class B or class C misdemeanors) for each matter cited; and

(v) Reference the citation number on each of the Millcreek Cases handled by the Court during that month, to allow Millcreek to track the status of all citations issued within its jurisdiction.

(b) *Financial Report.* On a monthly basis, Holladay shall provide a report to Millcreek detailing the Operating Costs incurred in operating the Court during the preceding calendar month. This report shall detail revenue collection, a delinquent payments file, and other information reasonably requested by Millcreek and reasonably available to Holladay.

(c) *Annual Report.* Holladay shall provide an annual report to Millcreek each calendar year summarizing the information from the monthly financial reports and containing an accounting of fines, fees, forfeitures, bails collected, and other monies paid or owed to Millcreek. Each annual report also shall identify and statistically segregate each Alcohol Related Incident in such manner as Millcreek may request from time to time to the extent such reports are reasonably available or can be prepared by Holladay without an unreasonable cost.

(d) *Additional Disclosure and Policy Development.* From time to time, Holladay shall, upon request, provide private, controlled, or protected information, excluding personnel records, under the Government Records Access and Management Act (“GRAMA”) to Millcreek’s Manager concerning operation of the Court or other matters that are pertinent to this Agreement. The Parties shall jointly develop and implement a policy for communicating and safeguarding such information.

(e) *Reports of Complaints and Commendations.* Holladay promptly shall report to Millcreek any and all complaints or commendations concerning operation of the Court and actions of its personnel (including, without limitation, clerks, bailiffs, prosecutors, indigent defenders and judges). Any such reports which affect Millcreek Cases shall, where practicable, be in writing and accompanied by photocopies of any written complaints or commendations mentioned therein. Millcreek shall report to Holladay any and all complaints it receives on the aforementioned personnel or other activities or factors pertaining to the operation of the Court and work with Holladay to resolve those complaints and/or conflicts.



(f) *City Council Reports.* Upon request by Millcreek, but not more than twice each July 1-June 30 fiscal year, Holladay's manager, the prosecutor of the Millcreek Cases, or some other knowledgeable representative of the Court, as reasonably designated by Millcreek, shall attend a meeting of the Millcreek city council in order to report on, review and respond to questions concerning the Court's operations or related matters.

(g) *Monthly Warrants Report.* As outlined in Section 28, Holladay shall provide to Millcreek, at the end of each month a Warrants Report showing all outstanding warrants from the Court.

## **Section 7.     *Employment Status.***

(a) *Official Status.* Notwithstanding Millcreek's input into the operation of the Court through the Administrative Panel or otherwise under this Agreement, Holladay shall have complete control and discretion over the judges and Court personnel and the same shall at all times be and remain employees of Holladay.

(b) *Salary, Wages and Benefits.* Millcreek shall not have any obligation or liability for the payment of any salaries, wages or other compensation to the judges and Court personnel, including, without limitation, any unfunded or underfunded salaries, wages or benefits to Court personnel, except as shown on Exhibit A, attached hereto.

(c) *No Cottonwood Heights Employment Benefits.* The judges and Court personnel shall be Holladay employees, and shall have no right to any Millcreek pension, civil services, or any other Millcreek benefits for the Court Services provided hereunder.

**Section 8.     *Indemnity.*** Millcreek and Holladay are governmental entities under the "Governmental Immunity Act of Utah" (Utah Code Section 63G-7-101, *et seq.*) (the "*Act*"). Consistent with the terms of the Act, and as provided herein, it is mutually agreed that each party is responsible and liable for its own wrongful or negligent acts which are committed by it or by its agents, officials, or employees. Neither party waives any defenses otherwise available under the Act nor does any party waive any limits of liability currently provided by the Act. Holladay shall defend, indemnify, save and hold harmless Millcreek, including its elected and appointed officers, and employees, from and against any and all demands, liabilities, claims, damages, actions, or proceedings, in law or equity, including reasonable attorney's fees and costs of suit, relating to or arising from Holladay providing Court Services to Millcreek, its elected or appointed officers or employees. Similarly, Millcreek shall defend, indemnify, save and hold harmless Holladay, including its elected and appointed officers and employees, from and against demands, claims, actions and/or proceedings, in law or equity, including reasonable attorney's fees and costs of suit, relating to or arising from actions of Millcreek's agents, officers or employees, Holladay's enforcement of Millcreek ordinances that are alleged to be unconstitutional, or improper disclosure by Millcreek of private, controlled, or protected information under the provisions of GRAMA.

**Section 9.     *Term.*** The initial term of this Agreement shall begin on 1 July 2023 and, unless sooner terminated by the Parties' mutual agreement, as set forth in Section 12, below, shall terminate on 30 June 2025. Thereafter, this Agreement may be renewed upon the agreement of the parties for successive one (1) year periods running from July 1<sup>st</sup> through the following June 30<sup>th</sup>.

**Section 10. Termination.** The Parties may terminate this Agreement by mutual consent at any time. Following the initial term described above, and subject to the provisions of Section 12, below, either Party may terminate this Agreement by giving written notice to the other at least four (4) months before the June 30<sup>th</sup> end of the then-current contract year, whereupon this Agreement shall terminate on such June 30<sup>th</sup>. If the reason for termination is the expansion of a Party's caseload beyond the capacity of the Court, or Millcreek's creation of its own justice court, then the Parties shall cooperate to assure that notice of such occurrence is given as far in advance as possible under the circumstances, but never less than four (4) months before Millcreek's departure from the Court. In the event of termination of this Agreement by either party, Holladay shall (a) cause to be separated and prepared for pickup, all files, cases, or records of whatsoever nature regarding and pertaining to Millcreek cases; and (b) safeguard, secure and maintain the confidentiality of all of such files, etc. until they are turned over to Millcreek. Notwithstanding the foregoing, Holladay shall have the right to terminate this Agreement during the initial term if Holladay's city council adopts a resolution declaring its intent to dissolve the Court. In such a circumstance, Holladay shall provide immediate notice of the adoption of such a resolution to Millcreek and shall continue to provide the Services contemplated in this Agreement to Millcreek for the duration of the operation of the Court.

**Section 11. Payment for Court Services.** Millcreek shall pay for the Court Services by paying to Holladay a proportionate amount of the Operating Costs of the Court during the period in question. Such reconciliation shall be based on the Operating Cost reports (described in 6(b) above) and Millcreek's proportionate share of the Workload of the Court during the period in question. Such reconciliations shall occur on a quarterly basis.

By way of example, if the Operating Costs report for July 2023 shows that Operating Costs for the Court for that month was \$15,000, and the Workload report for July 2023 shows that Millcreek Cases constituted 600 out of a total of 1,200 cases on the Court's Workload for July 2023, then Millcreek would owe Holladay the sum of  $600/1200 \times \$15,000 = \$7,500$  for the Court Services provided by Holladay during the month of July 2023, offset by revenues described below.

Within twenty (20) days after the end of each such quarterly reconciliation period, Holladay shall (a) determine the total amount of revenue collected by the Court on Millcreek Cases during such reconciliation period; (b) deduct therefrom the payment due from Millcreek for the Court Services provided by Holladay during such reconciliation period (which Holladay shall retain as full and complete compensation for providing the Court Services during such reconciliation period), determined as explained above in this Section; (c) remit any positive balance to Millcreek, or, in the case of a negative balance arising from a circumstance where the revenue collected by the Court on Millcreek cases is less than its proportionate share of Operating Costs, provide an invoice showing the amount due to Holladay. Each payment or invoice shall be accompanied by a detailed explanation of such calculation, in such form as Millcreek reasonably may specify from time to time.

The Administrative Panel shall meet quarterly, as necessary, and in April each year to review that budget year's actual revenue and expenses to ensure that the actual cost to both Parties is proportional with the Workload. Shortfalls in Court revenue to pay Operating Costs will be borne proportionately by both Parties based on their relative shares of the Workload.

**Section 12. Joint Review.** The parties agree to initiate and conduct a joint review of the costs and revenue from Court operations after the first year of the term of this Agreement. The



review shall be conducted for the purpose of assessing and confirming estimated caseload numbers, the method of measuring caseload, operating costs of the court and any other issues agreed upon by the parties. In the event the first year of term of this Agreement results in a financial loss to Holladay, where the City is required to subsidize the operation of the Court, Holladay may request that the terms of the Agreement be revised to cover operational costs or to terminate this Agreement upon not less than four (4) months' notice to Millcreek.

**Section 13. Remittance.** Holladay shall remit the amount due to Millcreek as described above to Millcreek as follows:

MILLCREEK  
Attn. City Manager  
3330 South 1300 East  
Millcreek, UT 84106

If the date a payment is due and payable is (a) a legal holiday, (b) a Saturday, (c) a Sunday, or (d) another day on which weather or other conditions have made Millcreek' offices inaccessible, then the payment shall be due and payable on the next day which is not one of the aforementioned days. If any payment is not remitted to Millcreek when due, Millcreek shall be entitled to recover interest thereon at the rate of twelve percent (12%) per annum.

**Section 14. Notice.** Any notice required or permitted to be given hereunder shall be deemed sufficient if given by a communication in writing and shall be deemed to have been received (a) upon personal delivery or actual receipt thereof, or (b) within two days after such notice is deposited in the United States Mail, postage prepaid, and certified and addressed to the Parties as set forth below.

Holladay: CITY OF HOLLADAY  
Attn. City Manager  
4580 South 2300 East  
Holladay, UT 84117

With a copy to: Todd J. Godfrey  
HAYES GODFREY BELL, P.C.  
2118 E. 3900 S., Ste. 300  
Holladay, Utah 84124

Millcreek: MILLCREEK  
Attn. City Manager  
3330 South 1300 East  
Millcreek, UT 84106

With a copy to: John Brems  
Attn. City Attorney  
3330 South 1300 East  
Millcreek, UT 84106

**Section 15. Claims and Disputes.** Subject to Section 4 above, claims, disputes and other issues between the Parties arising out of or related to this Agreement shall be decided by litigation in the Third Judicial District Court of Salt Lake County, Utah. Unless otherwise terminated

pursuant to the provisions hereof or otherwise agreed in writing, Holladay shall carry on the Court Services during any such litigation and Holladay shall continue to make payments to Millcreek as provided above.

**Section 16. Titles and Captions.** All section or subsection titles or captions herein are for convenience only. Such titles and captions shall not be deemed part of this Agreement and shall in no way define, limit, augment, extend or describe the scope, content or intent of any part or parts hereof.

**Section 17. Pronouns and Plurals.** Whenever the context may require, any pronoun used herein shall include the corresponding masculine, feminine or neuter forms, and the singular form of nouns, pronouns and verbs shall include the plurals and vice versa.

**Section 18. Applicable Law.** The provisions of this Agreement shall be governed by and construed in accordance with the laws of the state of Utah.

**Section 19. Integration.** This Agreement constitutes the entire agreement between the Parties pertaining to the subject matter hereof and supersedes all prior agreements and understandings concerning the Court Services.

**Section 20. Time.** Time is the essence of this Agreement.

**Section 21. Survival.** All agreements, covenants, representations, and warranties contained herein shall survive the execution of this Agreement and shall continue in full force and effect throughout the term of this Agreement.

**Section 22. Waiver.** No failure by any party to insist upon the strict performance of any covenant, duty, agreement or condition of this Agreement or to exercise any right or remedy consequent upon a breach thereof shall constitute a waiver of any such breach or of such or any other covenant, agreement, term or condition. Any party may, by notice delivered in the manner provided in this Agreement, waive any of its rights or any conditions to its obligations hereunder, or any duty, obligation, or covenant of any other party. No waiver shall affect or alter the remainder of this Agreement but each and every other covenant, agreement, term and condition hereof shall continue in full force and effect with respect to any other then existing or subsequently occurring breach.

**Section 23. Rights and Remedies.** The rights and remedies of the Parties hereto shall not be mutually exclusive, and the exercise of one or more of the provisions of this Agreement shall not preclude the exercise of any other provision(s) hereof.

**Section 24. Severability.** In the event that any condition, covenant or other provision hereof is held to be invalid or void, the same shall be deemed severable from the remainder of this Agreement and shall in no way affect any other covenant or condition herein contained. If such condition, covenant or other provision shall be deemed invalid due to its scope or breadth, such provision shall be deemed valid to the extent of the scope or breadth permitted by law.

**Section 25. Litigation Expenses.** If any action, suit, or proceeding is brought by a Party concerning this Agreement, all costs and expenses of the prevailing Party incident to such proceeding, including reasonable attorneys' fees, shall be paid by the nonprevailing Party.

**Section 26. Counterparts.** This Agreement may be executed in two or more counterparts, each of which shall be deemed an original, and all of which together shall constitute one and the same instrument.

**Section 27. Approval by Attorneys.** This Agreement shall be submitted to the authorized attorneys for Holladay and Millcreek for approval in accordance with Utah Code Section 11-13-202.5.

**Section 28. Warrant Program.** On or before the first business day of each calendar month, Millcreek shall provide to Holladay a list of all warrants served since the date of the last listing, containing such information as Holladay may reasonably request. Within 30 days after Millcreek' written request from time to time, Holladay shall provide to Millcreek a current, updated report listing, by defendant, each warrant shown a Served Warrant List, specifying the defendant(s) that appeared in Court following service of such warrant(s); containing an accounting of all fines, fees, forfeitures, bails collected and other monies paid by such defendants(s) since service of such warrant(s), and other such information that Millcreek may reasonably request. Millcreek agrees to fully assume the expense associated with the creation of this report by Court staff. At the discretion of the chief judge of the Court, outstanding warrants may also be sent to the Office of State Debt Collection.

**IN WITNESS WHEREOF,** Holladay, by resolution of its council, a certified copy of which is attached hereto, caused this Agreement to be signed by its mayor or designee and attested by its clerk, and Millcreek by resolution duly adopted by its council, a certified copy of which is attached hereto, caused this Agreement to be signed by its mayor and attested by its recorder.

**ATTEST:**

Stephanie N. Carlson  
City Recorder

**CITY OF HOLLADAY**

By: Rob Dahle  
Rob Dahle, Mayor



**ATTEST:**

Elsie Sullivan City Recorder

**MILLCREEK**

By: Jeff Silvestrini  
Jeff Silvestrini, Mayor

**APPROVED IN ACCORDANCE WITH UTAH CODE ANN. § 11-13-9:**

**HOLLADAY CITY ATTORNEY**

By: Todd J. Godfrey  
Todd J. Godfrey, City Attorney

**MILLCREEK CITY ATTORNEY**

By: John Brems  
John Brems, City Attorney



## Exhibit A

### Shared Budget Categories:

- Judge Salary, Benefits
- Existing Court Clerk/Judicial Assistant Staff Salaries, Benefits
- Bailiff
- Traffic School Expenses
- Prosecutor
- Public Defender
- Witness Fees
- Office Expenses and Supplies
- Mailing Costs
- Credit Card Service Fees
- Translator Fees
- Liability, Surety Bonds, Workers Comp
- Books, Subscriptions and Memberships
- Jury Expenses
- Any other costs not specifically included

If an extraordinary level of services is required to prosecute or defend any particular case(s) for a Party, then either (a) that Party may voluntarily defray such additional, unusual costs through additional payment(s) under this Agreement, or (b) the Administrative Panel may require that Party to pay such additional unusual cost(s), to the extent appropriate to cause each Party's proportionate share of the Operating Costs hereunder to fairly reflect their relative usage of the Court Services in connection with such case(s).

### Additional Millcreek Cost Categories, Year 1:

- Additional Judicial Assistant Salary, Benefits
- Pro-rated Share of Capital Costs (Estimated to be approx. \$2,000 per year)

## CITY OF HOLLADAY

## RESOLUTION No. 2023-07

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF HOLLADAY NOTIFYING  
THE JUDICIAL COUNCIL OF THE STATE OF UTAH OF THE INTENT TO EXPAND THE  
JURISDICTION OF THE HOLLADAY JUSTICE COURT TO INCLUDE THE CORPORATE  
BOUNDARIES OF MILLCREEK CITY**

**WHEREAS**, Millcreek City and the City of Holladay have discussed the expansion of the territorial jurisdiction of the Holladay Justice Court to include the boundaries of Millcreek pursuant to a proposed Interlocal Cooperation Agreement for Justice Court Services between the City of Holladay and Millcreek; and

**WHEREAS**, pursuant to the provisions of *Utah Code Ann.* §78A-7-102(4), the City Council of the City of Holladay desires to give notice to the State Judicial Council that pursuant to the proposed dissolution of the Salt Lake County Justice Court which has previously handled cases from within the territorial jurisdiction of Millcreek, the Holladay Justice Court desires to expand its territorial jurisdiction to include the boundaries of Millcreek;

**NOW, THEREFORE, BE IT RESOLVED** by the City Council of the City of Holladay as follows:

1. Notice. Notice is hereby given to the State Judicial Council that the City of Holladay desires to expand the territorial jurisdiction of the Holladay Justice Court to include the corporate boundaries of Millcreek. The City of Holladay hereby requests the certification of the expansion of the justice court pursuant to the provisions of *Utah Code Ann.* §78A-7-102.
2. Intended Date of Commencement. The City of Holladay hereby states that the intended date for the commencement of operations of the expanded justice court to include the corporate boundaries of Millcreek is July 1, 2023.
3. Effective Date. This Resolution shall become effective immediately upon its approval by the City Council.

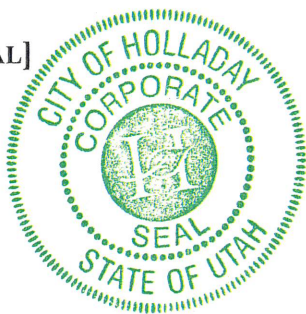
**PASSED AND APPROVED** this 16<sup>th</sup> day of March, 2023.

**HOLLADAY CITY COUNCIL**

By: \_\_\_\_\_

Robert Dahle, Mayor

[SEAL]

**VOTING:**

|                   |     |                                     |     |   |
|-------------------|-----|-------------------------------------|-----|---|
| Ty Brewer         | Yea | <input type="checkbox"/>            | Nay | <input type="checkbox"/> <i>excused</i> |
| Matt Durham       | Yea | <input checked="" type="checkbox"/> | Nay | <input type="checkbox"/>                |
| Paul Fotheringham | Yea | <input checked="" type="checkbox"/> | Nay | <input type="checkbox"/>                |
| Drew Quinn        | Yea | <input checked="" type="checkbox"/> | Nay | <input type="checkbox"/>                |
| Dan Gibbons       | Yea | <input checked="" type="checkbox"/> | Nay | <input type="checkbox"/>                |
| Robert Dahle      | Yea | <input checked="" type="checkbox"/> | Nay | <input type="checkbox"/>                |

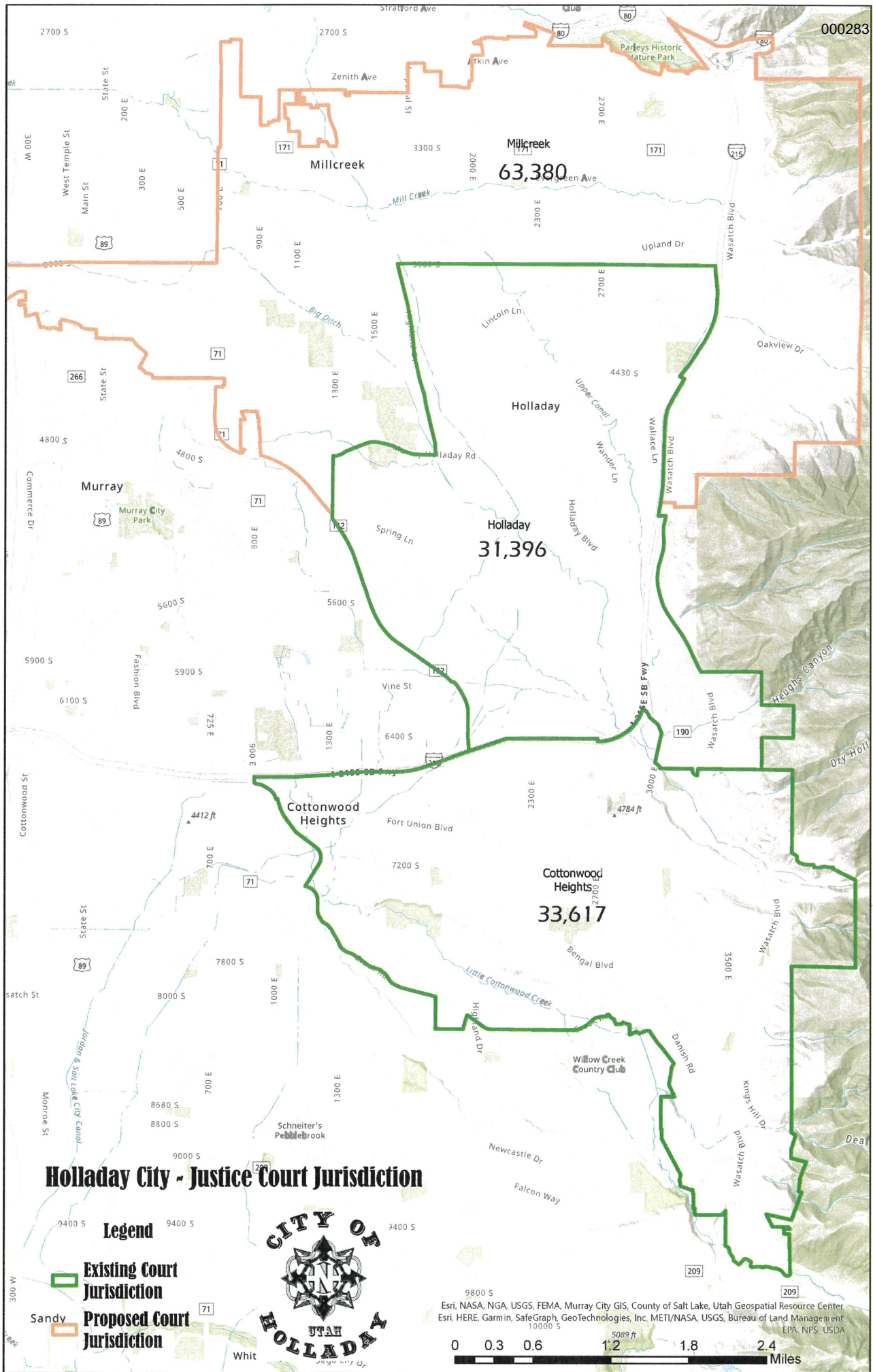
**ATTEST:**

Stephanie N. Carlson, MMC  
City Recorder

**DEPOSITED** in the office of the City Recorder this 16<sup>th</sup> day of March, 2023.

**RECORDED** this 16<sup>th</sup> day of March, 2023.





# Tab 9



**JPEEC**  
UTAH JUDICIAL PERFORMANCE  
EVALUATION COMMISSION

March 7th, 2023

# 2022 Retention Judge Feedback Survey

## Introduction

JPEC evaluates the performance of judges prior to general elections in order to provide voters with information about judicial performance. Retention evaluations are required for all judges when they approach the end of their terms of office and may seek an additional term. Evaluated judges receive their evaluation results from JPEC prior to the deadline by which the judge must file for the retention election. And while JPEC makes individual determinations about judicial performance to voters, voters make the decision whether the judge should be retained in office.

## The Survey

In early 2023, JPEC conducted an electronic survey of judges retained in the 2022 election. The purpose of the survey, the third in JPEC's history, was to solicit feedback about several aspects of JPEC's evaluation process.

The survey utilized online survey software in anonymous mode so that the responses of individual judges could not be identified. Fifty-eight judges received survey invitations by email. After four reminders over eighteen days, the survey was closed with 48 of 58 judges responding, a response rate of 84%.

Since judges receive different types of evaluations based, in part, on their weighted caseloads, some survey questions have larger numbers of total possible responses than others.

Survey questions included scaled items, open-ended items, and one question asking for judges to rank elements of the evaluation for their usefulness to performance improvement.

The survey contained seven main sections:

|                           |   |
|---------------------------|---|
| <i>Introduction</i>       | Respondents indicated whether this evaluation was their first retention evaluation by JPEC.   |
| <i>Communication</i>      | Respondents rated whether they understood the evaluation process and made suggestions if they wanted to receive more information.   |
| <i>Evaluation Results</i> | Respondents evaluated the production of their reports, the helpfulness of the information contained in them, the accuracy of the evaluation, and the usefulness of the feedback |
| <i>Commission Process</i> | Respondents evaluated the Commission's use of blind review during deliberations along with the Voter Information Pamphlet page produced for the election.                       |



|                           |  |
|---------------------------|--|
| <i>JPEC Website</i>       | Respondents evaluated JPEC's website, <a href="https://judges.utah.gov">judges.utah.gov</a> , used for posting evaluation results. |
| <i>Improvements</i>       | Respondents weighed in on other potential sources of judicial performance data for use in evaluations.                             |
| <i>Overall Evaluation</i> | Respondents provided an overall assessment of their satisfaction with the performance evaluation experience.                       |

## Summary Findings

Overall, including the quality, accuracy, and helpfulness of the evaluations, most surveyed judges expressed satisfaction with their performance evaluation experience with JPEC.

Compared to 2020 survey results, judge feedback shows increased negative feedback, especially involving the "Evaluation Results" category. As an example, in 2022 87% of judges found their reports to look professionally produced (100% in 2020), 72% found the report easy to understand (98% in 2020), and 83% said the numeric data was helpful to receive (90% in 2020). But overall satisfaction with the JPEC evaluation process remained similar, 85% in 2022 and 89% in 2020.

The 2022 survey also contained many comments concerned about the amount of negative feedback their evaluation reports contained, especially from survey respondents. While no major changes have been made to JPEC's evaluation report process, the class of 2022 retention judges had a much broader range of evaluation scores compared to the class of 2020.

Newly appointed judges differed slightly from those judges who have gone through more than one retention election. Newer judges tended to express stronger agreement about many aspects of their evaluation, whereas more long-standing judges tended to "agree" rather than "strongly agree."

Survey results are summarized below by survey section. Detailed, question-by-question results follow.

### Introduction

- Approximately 38% of judges who responded indicated this was their first retention evaluation by JPEC. According to JPEC's data, the 2022 general election was the first retention election for 35% of the 63 judges.  
(Q1)
- Newly appointed judges tended to "strongly agree" with statements about their evaluation compared to judges who have experienced more than one retention election. The latter tended to "agree" on questions 6-8, 11, 22, and 24. These statements asked judges to assess the quality and accuracy of JPEC's evaluation reports.



## Communication

- Ninety-four percent of judges reported that they understood the overall JPEC process, and 64% said they would not find it helpful to receive additional information about it. Twenty-eight percent reported being unsure. (Q3-Q4)
- Fourteen comments resulted in two main themes. (Q5)
  - Judges requested to receive updates, such as reminders on the evaluation timeline or immediate feedback on a concerning aspect of their evaluation.
  - Some judges indicated they would like to better understand specific aspects of JPEC's evaluations including their narratives, surveys, and justice court evaluations.

## Evaluation Results

- Eighty-seven percent of judges agreed that JPEC's report looked professionally produced. (Q6)
- According to 72% percent of judges, the report was easy to understand. (Q8)
- Eighty-three percent said the numeric data in the report were helpful to receive. The remaining respondents reported being neutral. (Q9)
- Forty-four percent of judges reported finding written comments more helpful than numeric data. Forty-eight percent neither agreed nor disagreed and 2% disagreed. This result is different from 2021 and 2019 when judges over 50% of judges preferred comments (54% 2019, and 57% 2021 preferred comments). (Q10)
- In terms of courtroom observation: (Q11-12)
  - 71% of judges felt that the courtroom observation summary (CA) provided them with helpful information, and
  - 87% find the individual courtroom observation reports helpful to receive.
- In terms of the accuracy of the assessment provided by JPEC:
  - 75% of judges surveyed agreed the assessment was accurate, with 5% disagreeing with the statement. (Q15)
  - Of the 10 comments, many indicated concern about negative comments, including the role they played in their overall evaluation and the number of them present.
- Eighty-six percent of judges found that the performance evaluation process provided them with useful feedback they can use to improve their performance. Significantly, of the remaining 14%, only 2% disagreed with the statement. (Q18)



### *Commission Process*

- Thirty-five percent of judges were aware that JPEC used a modified blind review process during its deliberations. (Q19)
- Thirty-one percent thought the use of blind review improved the evaluation process, while 67% were unsure whether it improved evaluations, and 2% disagreed. (Q20)
- Most judges who commented found blind review to be a positive addition to deliberations. Two were concerned about bias from survey respondents. (Q21)
- Ninety percent rated the quality of work on their Voter Information Pamphlet page to be of high quality; none found the work to be of low quality. (Q22)

### *JPEC Website*

- Ninety-six percent of judges who looked for their evaluation results page on JPEC's website reported that they found it easily. (Q25)
- Ninety-one percent of judges found the website's summary information to be an accurate representation of their evaluation results. (Q26)

### *Improvements*

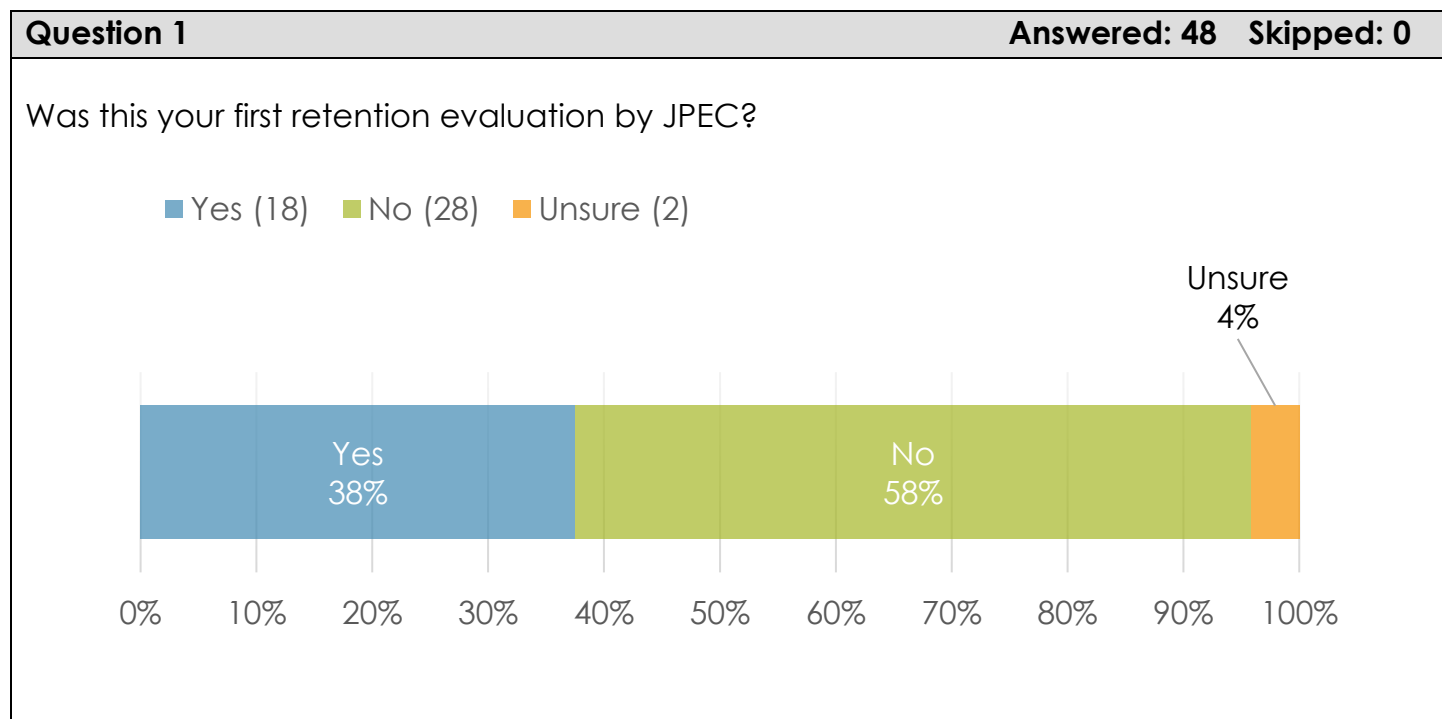
- Ten percent of all evaluated judges agreed that JPEC should consider other sources of information in its review (16% in 2021) (Q28)
- Five judges provided substantial comments. Most suggestions related to wanting additional emphasis on juror feedback. (Q29)

### *Overall Evaluation*

- Eighty-five percent of all evaluated judges expressed satisfaction with their retention evaluation experience with JPEC. Eleven percent of judges expressed neither satisfaction nor dissatisfaction, and 5% were unsatisfied. (Q30)



## Survey Results by Question



| Question 2  | Answered: 20   Skipped: 28                       |
|---|--|
| <p>Please assess any differences between this and your prior evaluation experience.</p> |  |
| Respondent ID   | Comment  |
| <b>17946</b>  | NA   |
| <b>68054</b>  | Little   |
| <b>72136</b>  | none   |
| <b>43685</b>  | Not sure. I don't really remember the first one. |
| <b>31369</b>  | Didn't notice any differences.                   |
| <b>15317</b>  | Very similar                                     |
| <b>39794</b>  | They both had good points                        |





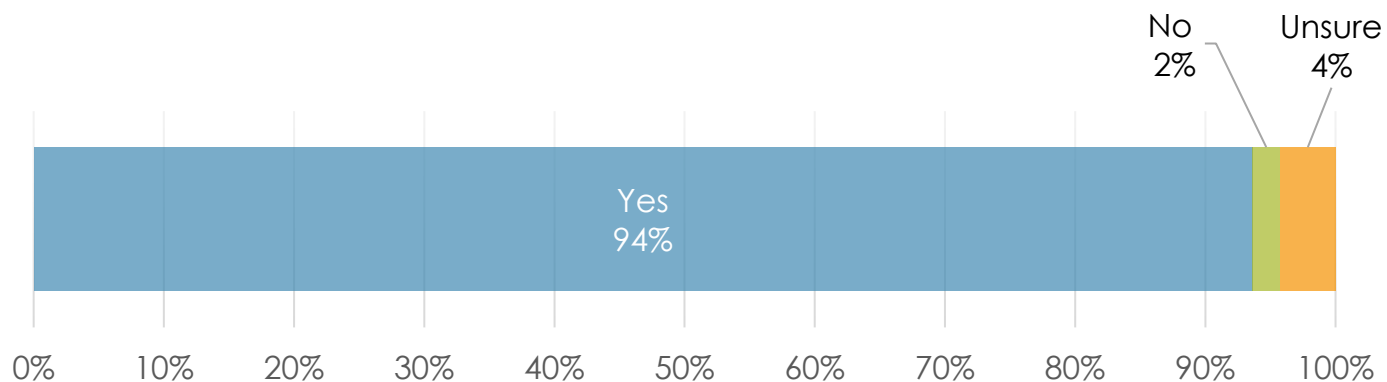
| <b>Question 2 (cont.)</b> |  |
|---------------------------|--|
| <b>Reponsent ID</b>       | <b>Comment</b>   |
| <b>89409</b>              | None   |
| <b>62255</b>              | I am not sure I can point out any specific differences. I have thought the evaluations were detailed and fair  |
| <b>55309</b>              | The volunteers seemed very focused on the inadequacies that the judges were dealing with given the need to have remote hearings on Webex.  |
| <b>83823</b>              | The biggest difference is that this evaluation was conducted through the Webex processess. That is a huge drawback, in my opinion. Though it needed to be done through Webex, due to pandemic restrictions, that process still produces a result much less thorough and sure than an in person evaluation would produce. |
| <b>30283</b>              | need a bigger response from the survey to attys. The results end up meaningless with such a small pool.  |
| <b>19190</b>              | I noticed some formatting difference on the website, but not substantive differences.  |
| <b>19190</b>              | I noticed some formatting difference on the website, but not substantive differences.  |
| <b>92781</b>              | printed evals in the past. and on line evals.  |
| <b>90342</b>              | It was reported to me that because of Covid, I was the only judge in the state that was not observed so they couldn't recommend me for retention.  |
| <b>91033</b>              | There did not appear to be an appreciable difference.  |
| <b>90140</b>              | I don't believe I had anything more than a basic evaluation either time.   |
| <b>86671</b>              | Obsevers tended to only appear on line rather than in person.  |
| <b>94055</b>              | I didn't notice any  |



**Question 3****Answered: 47** **Skipped: 1**

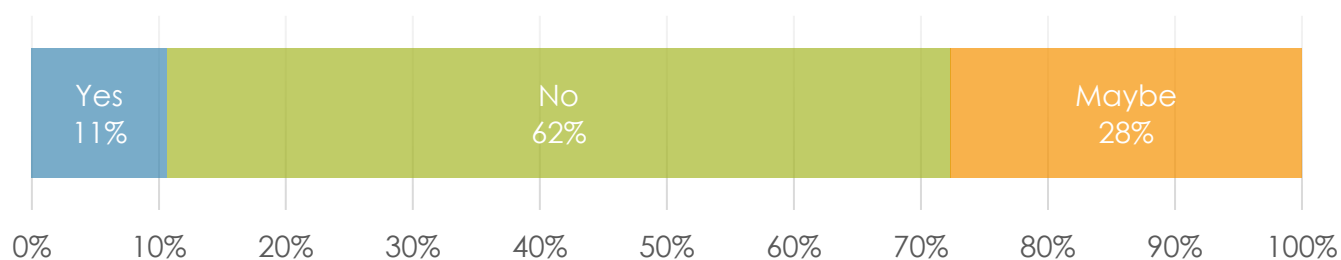
I understood the overall JPEC process.

■ Yes (44) ■ No (1) ■ Unsure (2)

**Question 4****Answered: 47** **Skipped: 1**

Additional information about the overall JPEC process would have been helpful to receive.

■ Yes (5) ■ No (29) ■ Maybe (13)

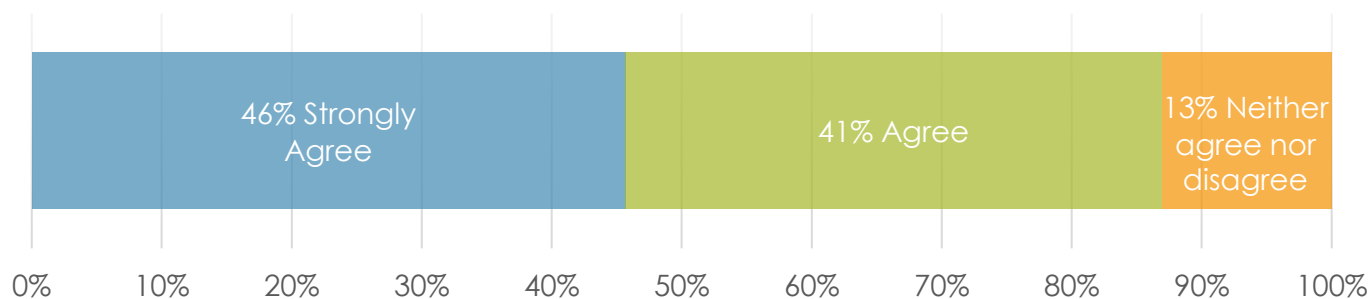
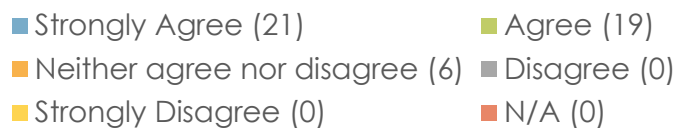


| Question 5  |  | Answered: 14 | Skipped: 34 |
|---|--|--------------|-------------|
| What information would be helpful and how might you want to receive it? |  |              |             |
| Respondent ID   | Comment  |              |             |
| 86973   | Overall process, timelines, evaluations and criteria used in evaluations.  |              |             |
| 87048   | Any deviation from the law or procedure or appearance of fairness. Written and followed by conversation  |              |             |
| 81134   | Are the surveys sent to all judicial assistants? My judicial assistants complain that they never receive the surveys. How are surveys distributed among court personnel?   |              |             |
| 52176   | Information about small courts and would like to receive it by email.  |              |             |
| 43685   | Na   |              |             |
| 39794   | email  |              |             |
| 89409   | Not aware of anything specific.  |              |             |
| 55309   | I can't think of anything off hand.  |              |             |
| 83823   | Perhaps more information about the time window in which the evaluations would be done.   |              |             |
| 72309   | Information on how the summary is written and the decision on what goes into the summary. I would like more information on how the comments are collected.   |              |             |
| 92781   | the mid term is a plus for improvement it needed.  |              |             |
| 90342   | Knowing results while there is time to correct errors  |              |             |
| 92360   | Clear and early notice of when the evaluation period begins and ends.  |              |             |
| 90140   | I think we as judges always worry that the court observers see on an a bad day or pick up on something we don't do regularly. I think it would be helpful if they observed over a longer period of time rather than just a couple times. |              |             |

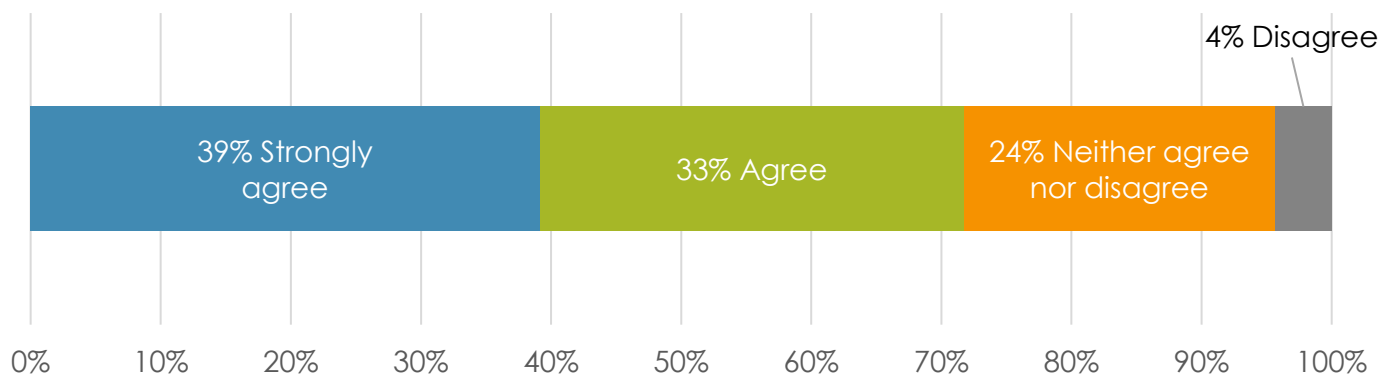


**Question 6****Answered: 46 Skipped: 2**

My JPEC report looked professionally produced.

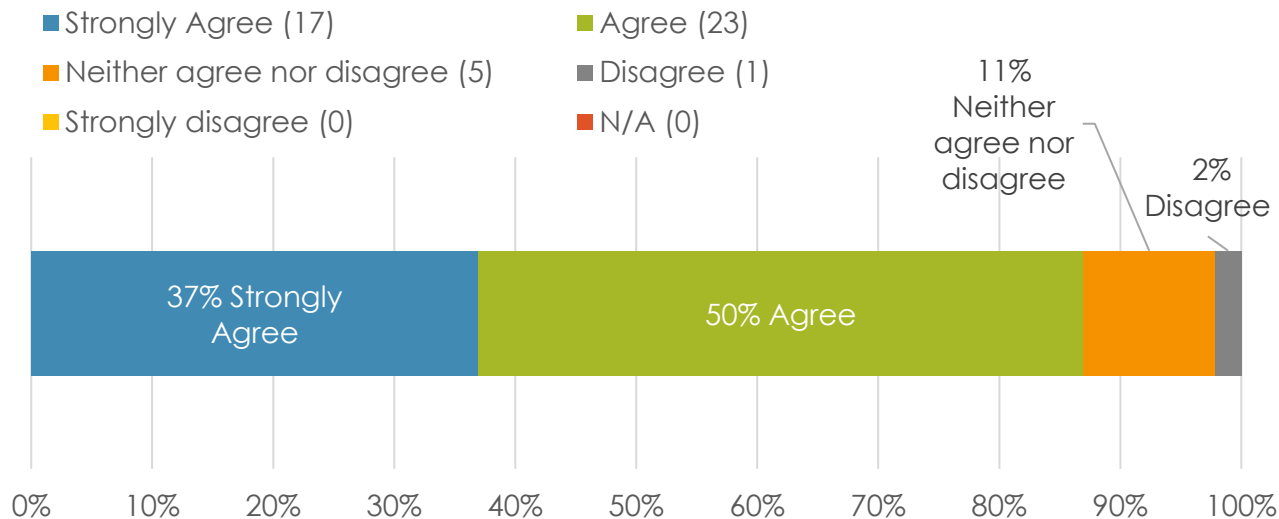
**Question 7****Answered: 46 Skipped: 2**

My JPEC report was error-free.

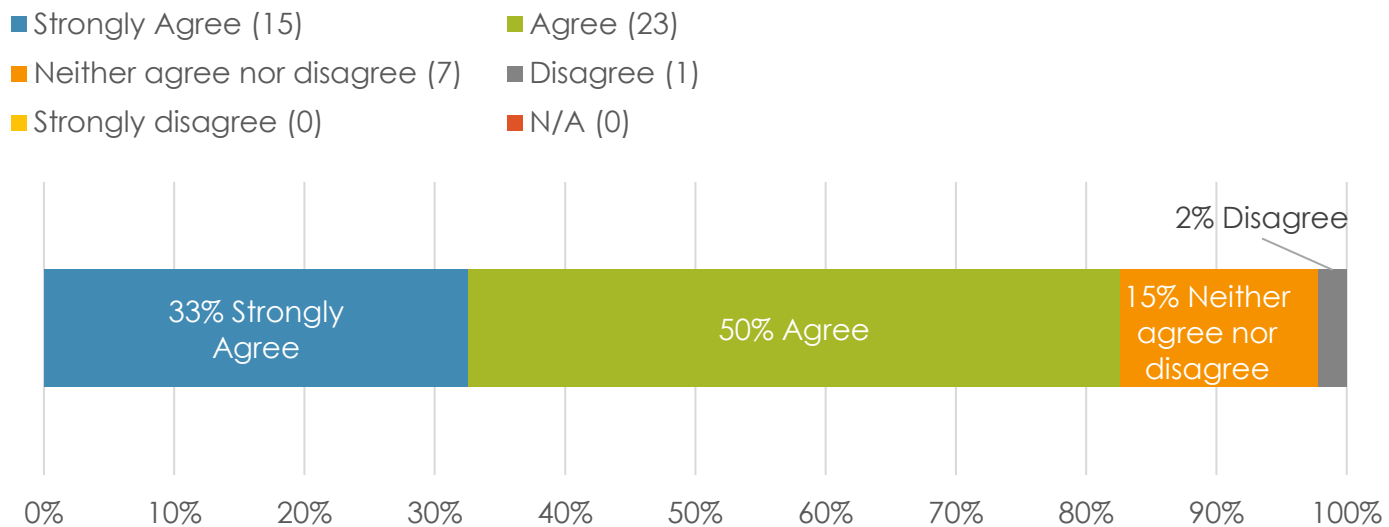


**Question 8****Answered: 46 Skipped: 2**

My JPEC report was easy to understand.

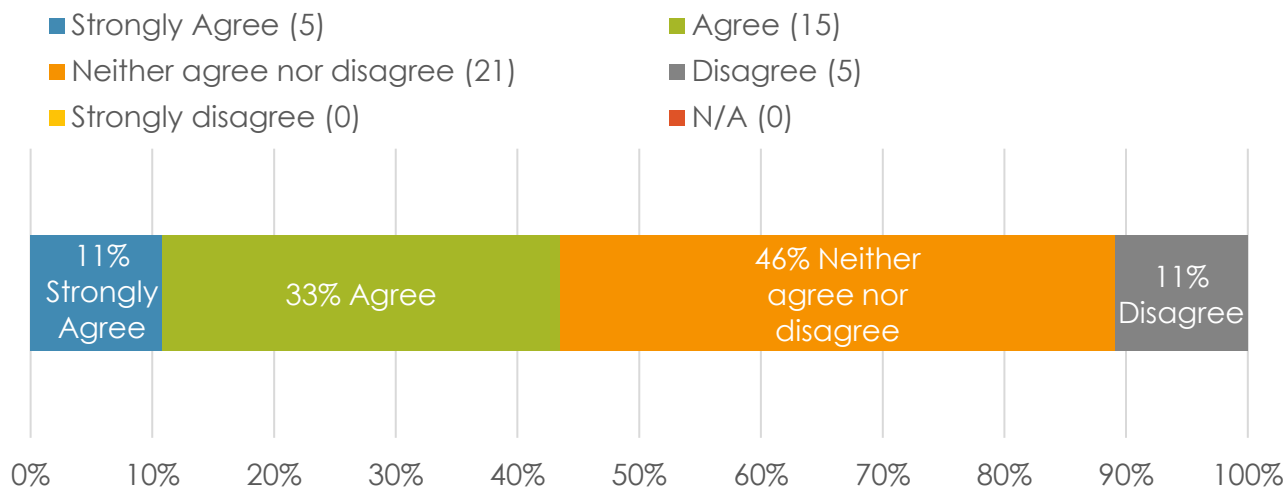
**Question 9****Answered: 46 Skipped: 2**

The numeric data in the report were helpful for me to receive.

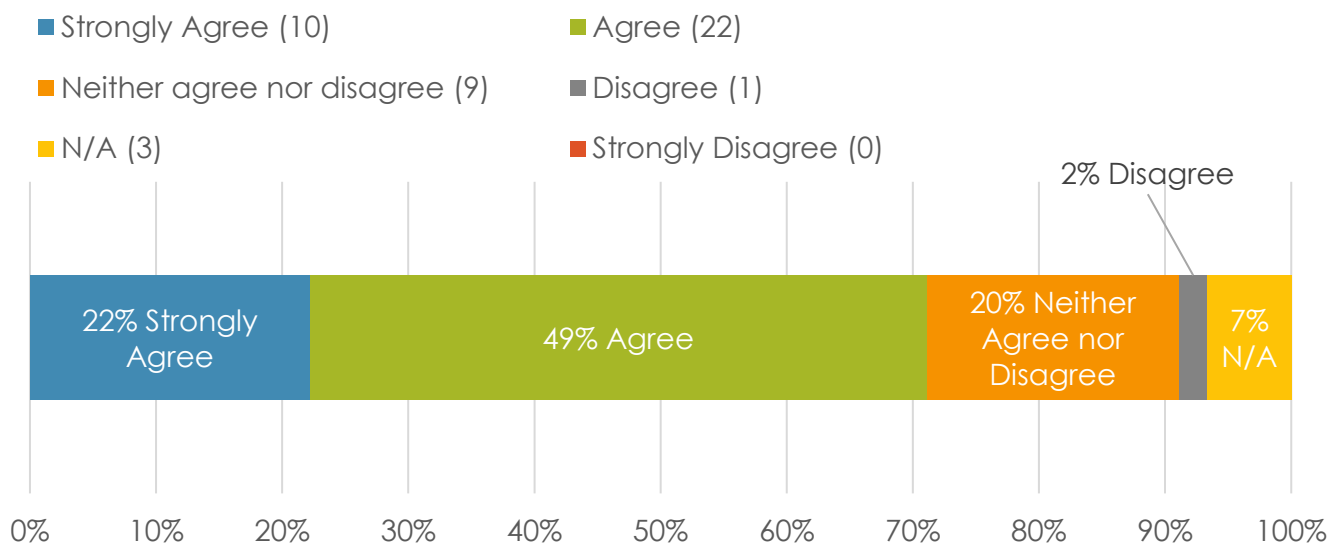


**Question 10****Answered: 46 Skipped: 2**

The written comments were more helpful than the numeric data in the report.

**Question 11****Answered: 45****Skipped: 3**

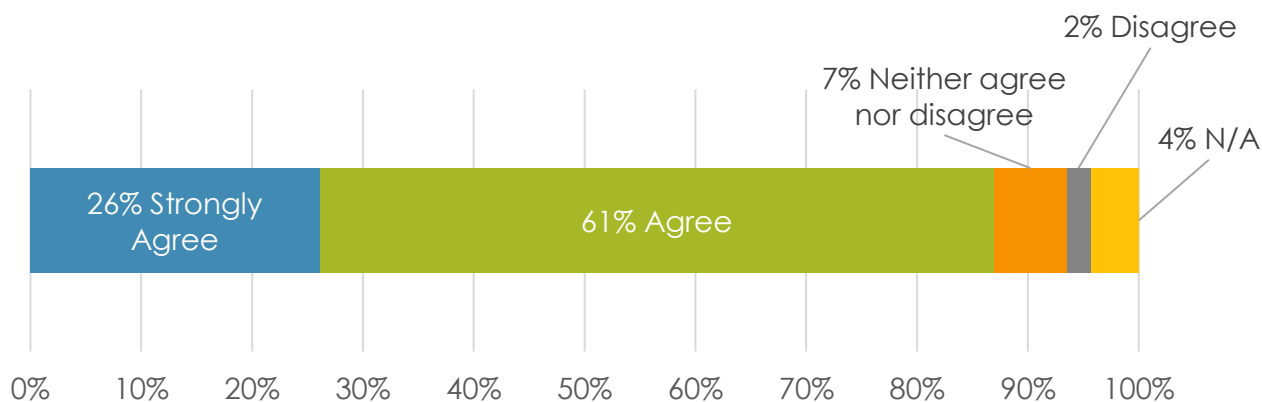
The summary of my courtroom observation results (content analysis) provided me with helpful information.



**Question 12****Answered: 46****Skipped: 2**

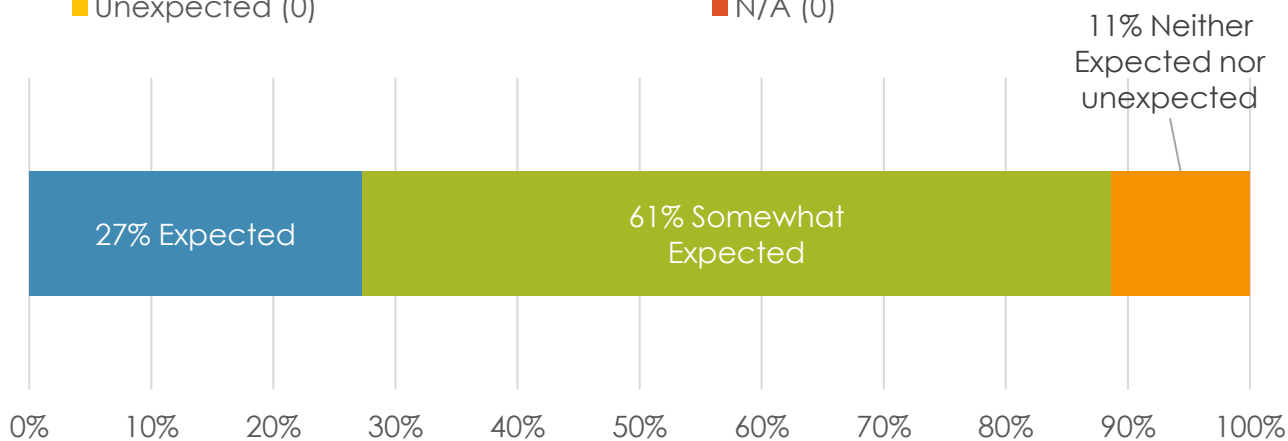
It is helpful to receive the individual courtroom observation reports.

- Strongly Agree (12)
- Agree (28)
- Neither agree nor disagree (3)
- Disagree (1)
- N/A (2)
- Strongly Disagree (0)

**Question 13****Answered: 44****Skipped: 4**

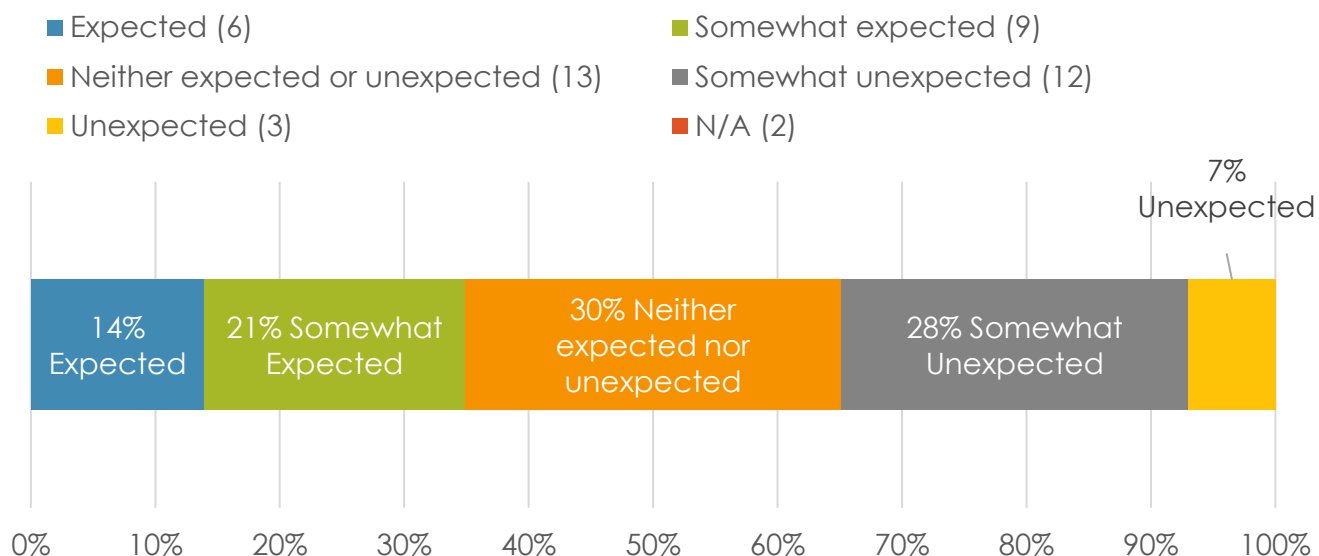
In general, the positive results I received in my report were:

- Expected (12)
- Somewhat expected (27)
- Neither expected or unexpected (5)
- Somewhat unexpected (0)
- Unexpected (0)
- N/A (0)

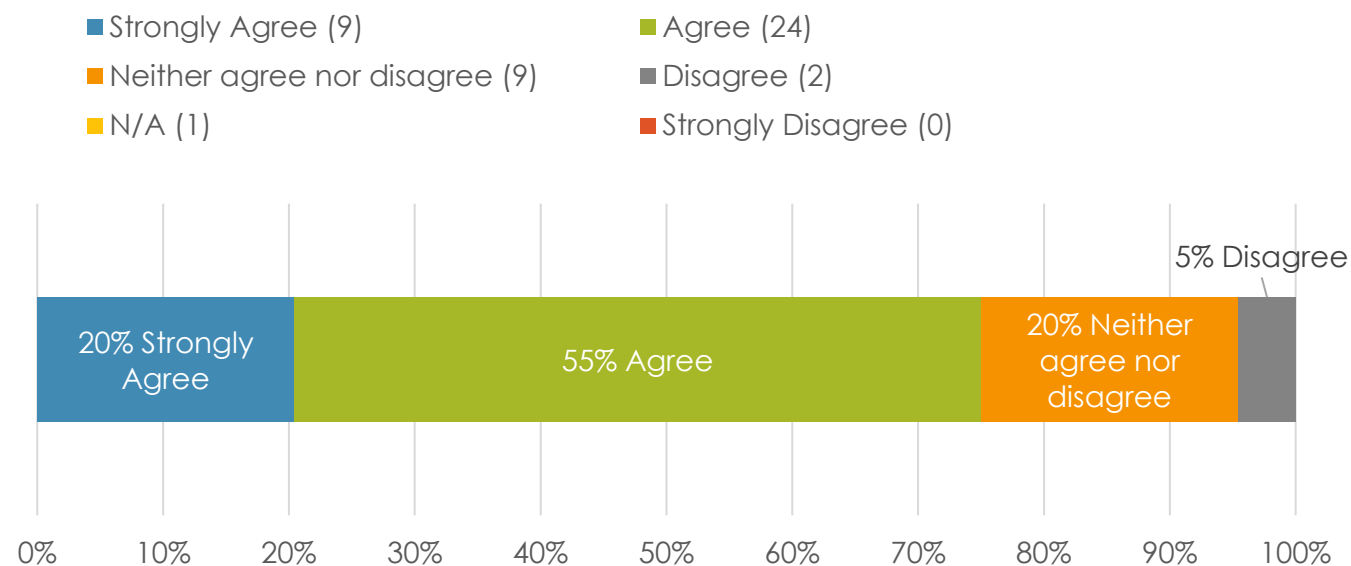


**Question 14****Answered: 45****Skipped: 3**

In general, the critical results (or constructive suggestions) I received in my report were:

**Question 15****Answered: 45****Skipped: 3****Optional comments: 10**

Now, please comment on the accuracy of your report: Overall, I think the evaluation provided an accurate assessment of my judicial performance.





**Question 15 (cont.)**

| <b>Respondent ID</b> | <b>Comment</b>  |
|----------------------|---|
| <b>81134</b>         | I think all of the judges' narratives need work. There appears to be no evidence based matrix for the content contained in the narratives. Some judges' narratives spotlighted an obtuse comment or a singular negative comment. I suggest that JPEC drop the personal narratives. I believe JPEC use the narratives to try to prove to the public that JPEC is not biased. However, I believe the narratives were the most biased portion of the evaluation. The negative comments highlighted in the narratives were not in any way proportional to the positive comments in the surveys results. Further, I do not think that surveys are being distributed to all court personnel appropriately. (I think we would all like to know how surveys are distributed to court personnel. Are they just given to the court administrator to pass out to those the administrator wants to answer the surveys or ?)   |
| <b>43920</b>         | Because of my position, I received a number of negative comments that were not reflective of my judging.  |
| <b>31369</b>         | I expected some negative comments because you can't please everyone all of the time. I think some of the negative comments were constructive and had a basis in reality. The very negative comments (Judge is undoubtedly the most biased judge in the entire state) were less helpful but still interesting.   |
| <b>52342</b>         | I have always believed as an attorney and now as a judge that negative feelings & thoughts tend to be overly represented in the written comment portion of the evaluations. When I did evaluations, I only wrote actual comments when I was angry or somehow aggrieved by a particular judge. I do not know how helpful it is to anyone to have some of the more vitriolic comments from obviously dissatisfied litigants put into the reports. I don't know what the proper solution is, but the current practice of including any and all comments from responses strikes me as somewhat unhelpful. Also, during my evaluation period, I probably had hundreds (maybe even thousands?) of attorneys, their clients, and pro se parties in my courtroom, yet my evaluation was based on around 70 responses. This seems statistically problematic especially when it forms the entire basis of what is presented to the public as this particular judge's "performance" - however that term is defined. Unless a particular judge has been in the news, this is all the average voter sees. As such, making sure we get as many responses as possible seems important. |

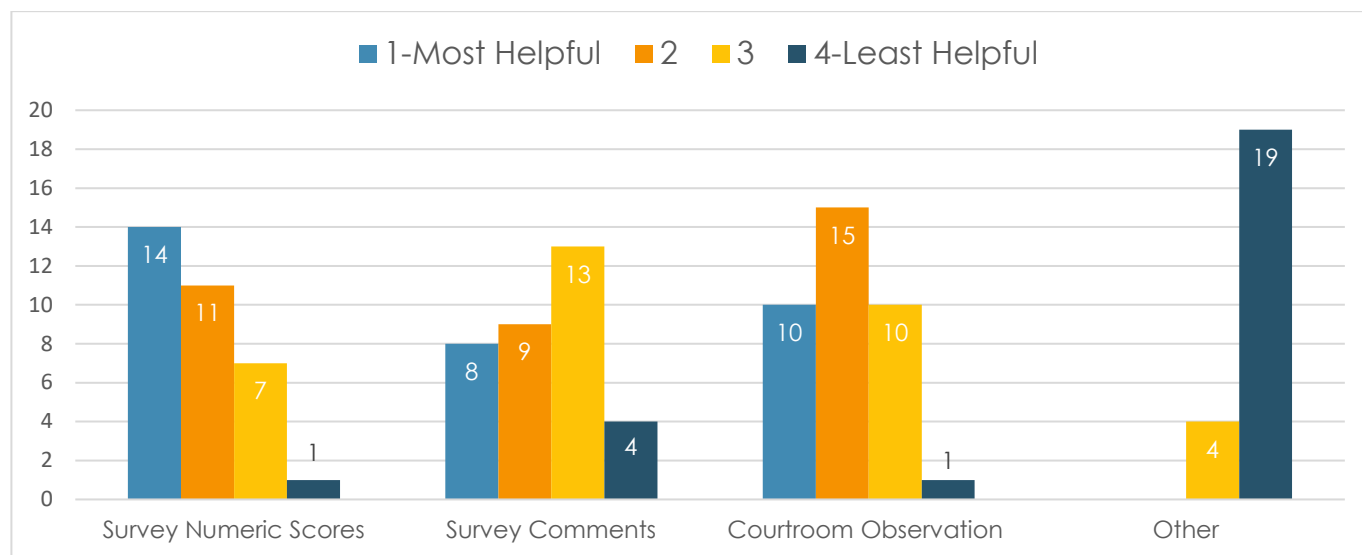


|              |  |
|--------------|--|
| <b>72309</b> | <p>I believe a few of my negative comments were from individuals not truly informed on the role of judges. Someone specifically wished I had discussed the various options and resources for an individual who was experiencing homelessness. It is difficult to address all concerns of individuals specifically on a large calendar. Additionally, a judge isn't the best person to address that concern on a criminal court calendar. It is certainly something the court takes into consideration with respect to warrants and/or sentencing but not something I am equipped to address generally with respect to resources.</p> |
| <b>41299</b> | <p>Agree to a certain extent. There were several statements in the assessments that were clearly written by individuals who disagreed with my rulings as the statements were completely made up. It would be nice to be able to fact check the statements or to remove the worst and best statements as skewed.</p>  |
| <b>90243</b> | <p>At times, the comments focused on matters outside the judge's control. This could be easily remedied by inviting a judge to speak to observers before they begin their work.</p>  |
| <b>30283</b> | <p>mine was fine but it was based on a very small survey response, which is unfortunate.</p>   |
| <b>91033</b> | <p>It is disappointing that lawyers use this process to complain about perceived incorrect rulings by criticizing the judge rather than seeking corrections through the rules.</p>   |
| <b>86671</b> | <p>As usual the comment section from participants and attorneys tended to focus on those upset with a ruling or decision by the Court.</p>   |



**Question 16****Answered: 42****Skipped: 6**

Please rank the following parts of your evaluation report in order from "most helpful" (1) to "least helpful" (4) in improving your performance as a judge.

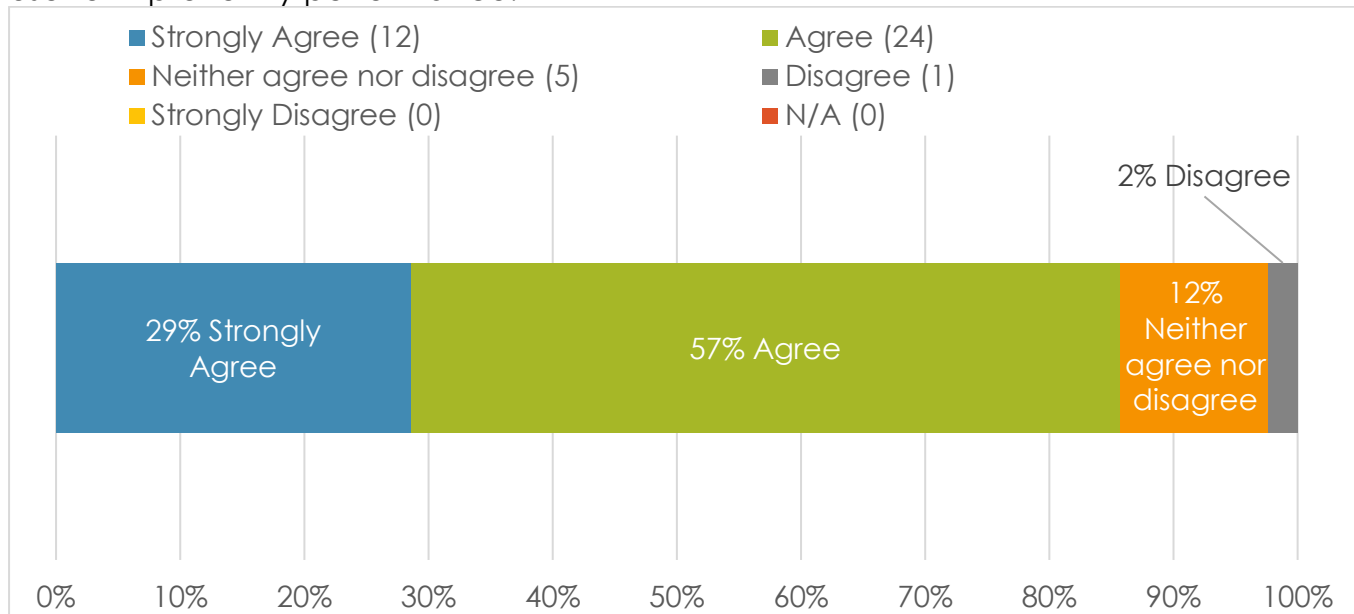


| Question 17  |   | Answered: 10 | Skipped: 38 |
|--|---|--------------|-------------|
| If you ranked "other" as a "1," "2," or "3," please comment and specify what other part of your evaluation was helpful in improving your performance as a judge. |   |              |             |
| Respondent ID  | Comment   |              |             |
| 17946  | NA  |              |             |
| 51786  | NA  |              |             |
| 86973  | NA  |              |             |
| 43685  | Na  |              |             |
| 89409  | N/A   |              |             |
| 41299  | I would just note that the courtroom observations were pointless. It is clear from the evaluations that some of the observers had no concept of how a courtroom runs or the role of the judge.  |              |             |
| 90243  | The early performance evaluations were helpful.   |              |             |
| 19190  | The numerical scores are most helpful in giving you an overall snapshot of your performance, mainly because almost all responders fill out numerical ratings. The comments are very helpful too, but they less useful in giving an overall sense of your performance because many people only fill out the numbers, and the people who take the trouble to write comments tend to be outliers who either like or dislike you enough to write a comment. |              |             |
| 86557  | N/A   |              |             |
| 86671  | N/A   |              |             |

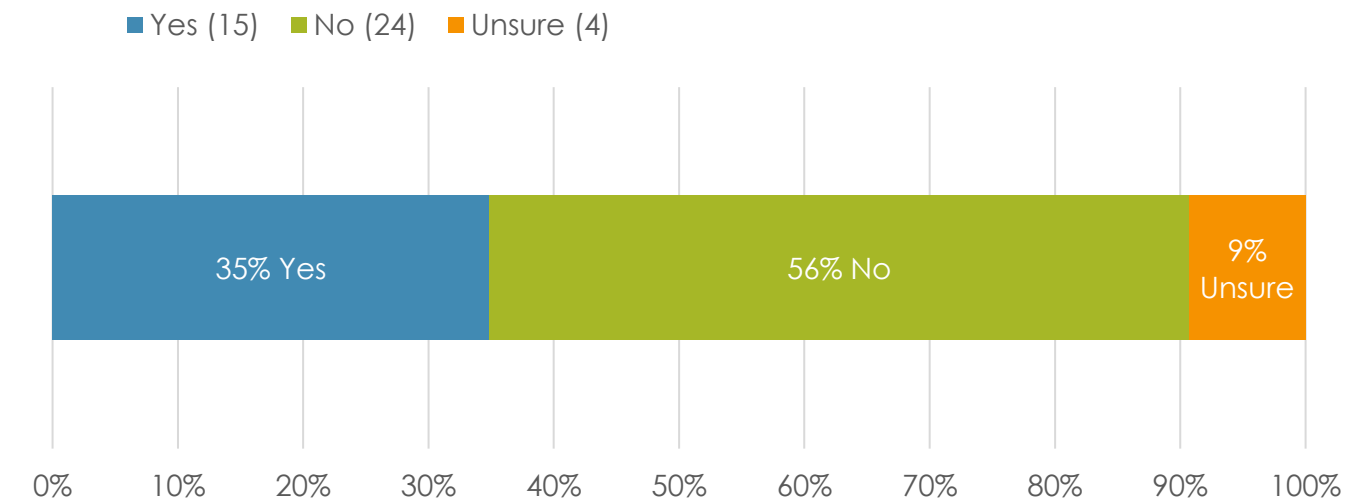


**Question 18****Answered: 42 Skipped: 6**

Overall, the performance evaluation process provided me with useful feedback that I can use to improve my performance.

**Question 19****Answered: 43 Skipped: 5**

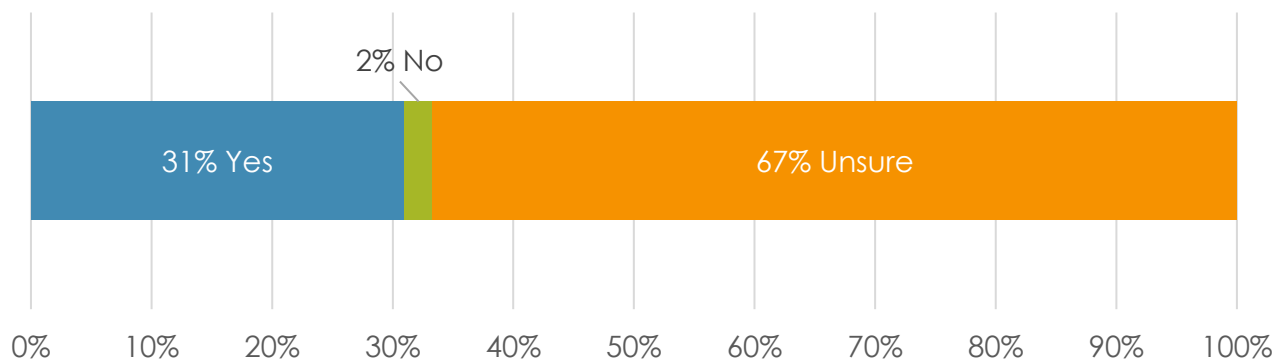
I was aware that JPEC conducted blind review this year.



**Question 20****Answered: 42 Skipped: 6**

Generally, I thought that blind review improved JPEC's evaluation process.

■ Yes (13) ■ No (1) ■ Unsure (28)

**Question 21****Answered: 11 Skipped: 37**

Feel free to share comments or suggestions about blind review (optional).

**Respondent ID**    **Comment**

**51786**            NA

**86973**            NA

**43920**            I don't think personal attacks are constructive, particularly when they pertain to a hiring decision made in my capacity as a presiding judge.

**60128**            Na

**31369**            Sounds like a good idea. Should help eliminate bias and improve the statistical validity of the review.

**89409**            N/A

**90243**            I wasn't aware and yet, blind review seems useful.

**86557**            None

**92781**            facts v. assumptions



**Question 21 (cont.)****Respondent ID    Comment**

**92360**                      There appears to be some implicit--if not explicit--sexism in the numerical ratings of judicial ability. Based on my averaging of scores, female judges score approximately 0.2 points lower on average. There is no reasonable basis for this. I strongly encourage JPEC to consider measures to root out bias in the numerical scoring, and to consider not publishing numerical scores anymore.

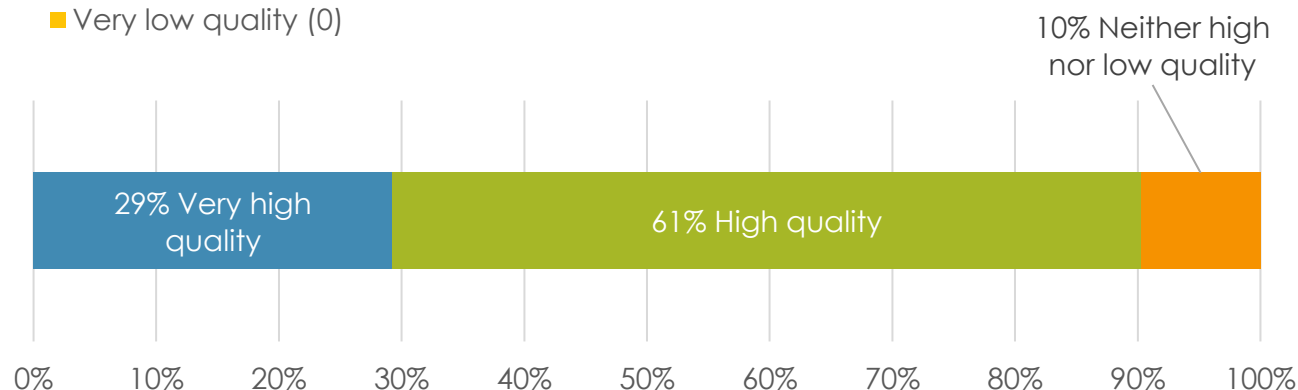
**91033**                      Using a blind review really makes good sense.



**Question 22****Answered: 41****Skipped: 7**

Please rate quality of work provided by JPEC on your Voter Information Pamphlet page.

- Very high quality (12)
- High quality (25)
- Neither high nor low quality (4)
- Low Quality (0)
- Very low quality (0)

**Question 23****Answered: 8****Skipped: 40**

Feel free to make suggestions to improve the Voter Information Pamphlet page (optional).

| Respondent ID | Comment   |
|---------------|---|
| 51786         | NA  |
| 86973         | None  |
| 52342         | Again, the only negative feedback I have is the relatively few number of responses (in comparison to how many people we actually see) all of this is based on |
| 86557         | None  |
| 92781         | the pamphlet has good examples of the items reviewed  |
| 92360         | The phrase "meets minimum expectations" is damning with faint praise. I preferred retention recommendations.  |
| 91033         | It is fine.   |

| Respondent ID | Comment   |
|---------------|---|
| 51786         | NA  |
| 86973         | None  |
| 52342         | Again, the only negative feedback I have is the relatively few number of responses (in comparison to how many people we actually see) all of this is based on |
| 86557         | None  |
| 92781         | the pamphlet has good examples of the items reviewed  |
| 92360         | The phrase "meets minimum expectations" is damning with faint praise. I preferred retention recommendations.  |
| 91033         | It is fine.   |

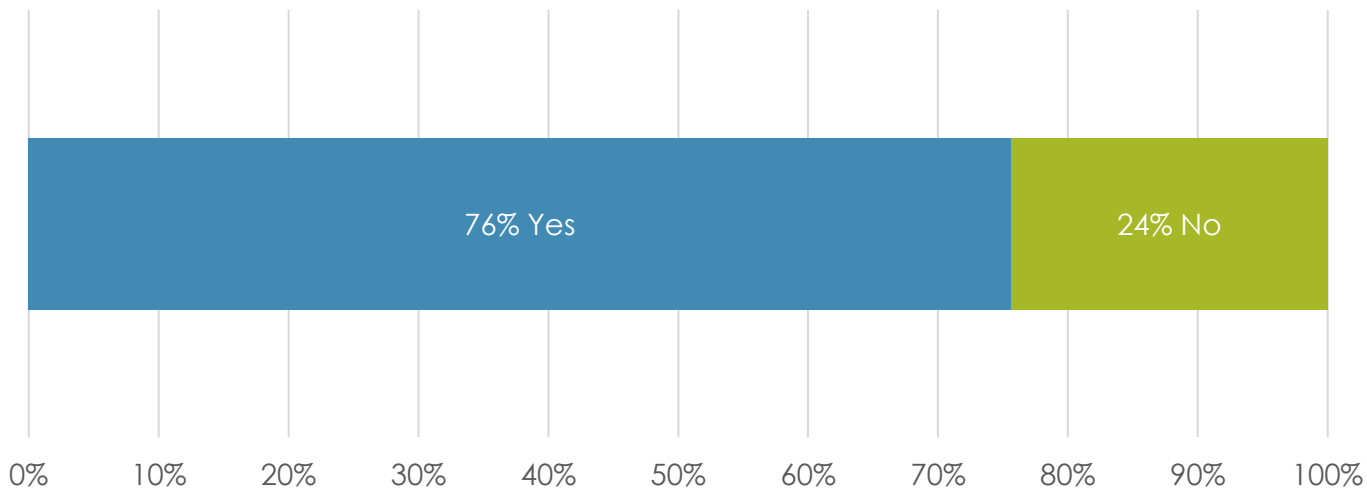




**Question 24****Answered: 41****Skipped: 7**

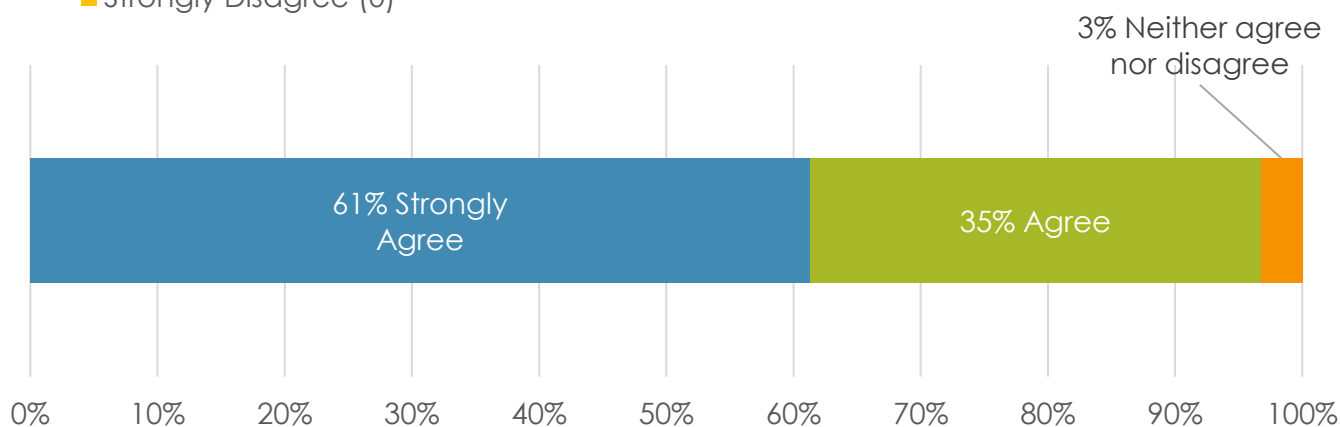
I looked at JPEC's website (judges.utah.gov) during this retention election season.

■ Yes (31) ■ No (10)

**Question 25****Answered: 31****Skipped: 17**

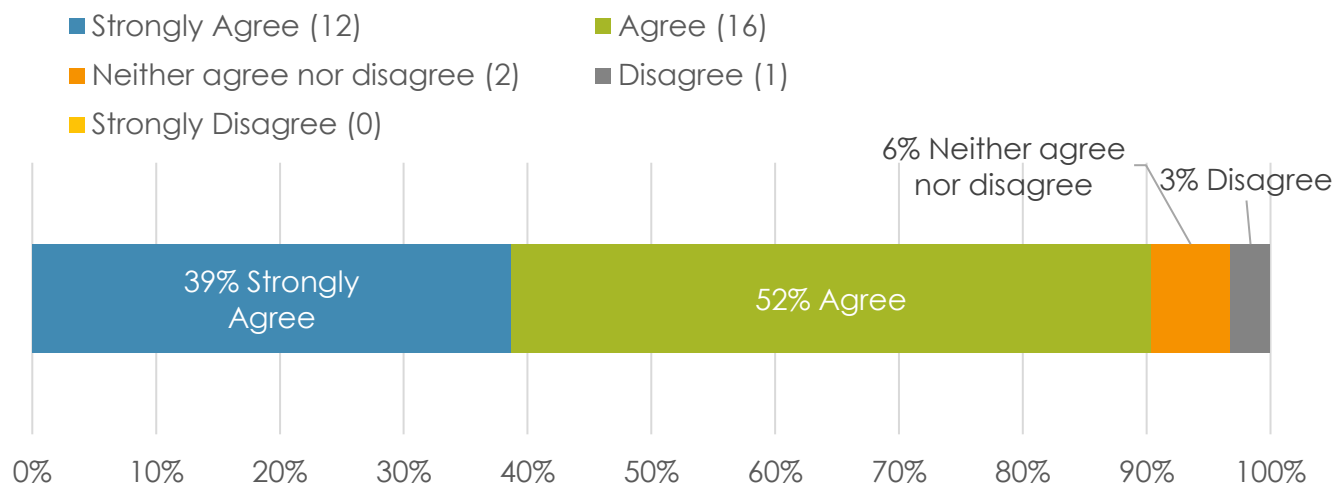
I was able to find my entry on JPEC's website (judges.utah.gov) easily.

■ Strongly Agree (19) ■ Agree (11)  
■ Neither agree nor disagree (1) ■ Disagree (0)  
■ Strongly Disagree (0)



**Question 26****Answered: 31****Skipped: 17**

I think the summary information presented on JPEC's website (judges.utah.gov) is an accurate representation of my evaluation results.

**Question 27****Answered: 6****Skipped: 42**

Feel free to make suggestions for improvements to JPEC's website (optional).

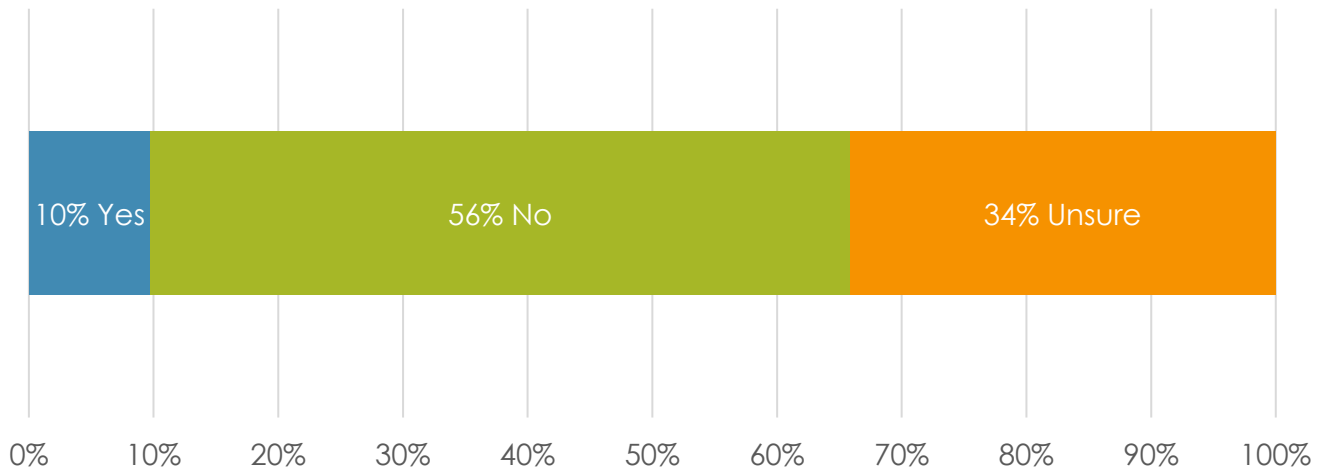
| Respondent ID | Comment  |
|---------------|--|
| 51786         | NA   |
| 86973         | None   |
| 15317         | Stating an observer failed to respond to a question can leave a negative impression. I don't think that is fair when it could have simply been an oversight. |
| 36624         | If negative comments and numbers/percentages are going to be referenced, so should positive comments, numbers and percentages.                               |
| 86557         | N/A  |
| 91033         | It is fine.  |



**Question 28****Answered: 41****Skipped: 7**

Are there other sources of information regarding judicial performance that you feel would be important for the commission to consider in its review?

■ Yes (4) ■ No (23) ■ Unsure (14)

**Question 29****Answered: 7****Skipped: 41**

What other sources of information regarding judicial performance should JPEC consider when conducting its review?

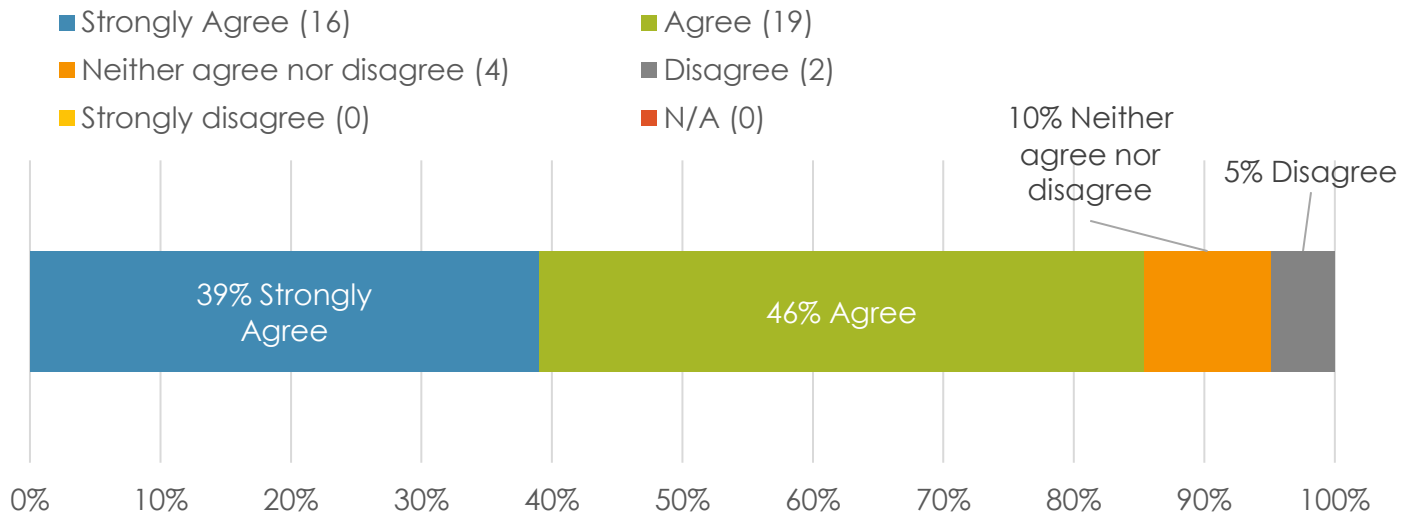
**Respondent ID**    **Comment**

|              |  |
|--------------|--|
| <b>86973</b> | Unsure   |
| <b>43920</b> | Jury and staff reviews.  |
| <b>43685</b> | Na   |
| <b>42289</b> | I don't think JPEC considers the juror surveys that we receive, and I would think that would be helpful.   |
| <b>41299</b> | I realize this is not another source, but the best review is from those attorneys who have appeared a number of times in front of you as they have a better idea of how you are as a judge, not just an isolated incident. It is unfortunate that more attorneys don't submit reviews. It appears as though most reviews come from those who want to complain. |
| <b>90243</b> | juror reviews.   |
| <b>92360</b> | Judges' anonymous reviews of their colleagues.   |



**Question 30****Answered: 41****Skipped: 7**

Overall, I am satisfied with my retention performance evaluation experience with JPEC.

**Question 31****Answered: 7****Skipped: 41**

Please provide any other thoughts or suggestions – on any aspect of the judicial performance evaluation process (optional).

| Respondent ID | Comment  |
|---------------|--|
| 51786         | NA   |
| 86973         | NA   |
| 43685         | Na   |
| 72309         | I received an overall positive review, yet the one comment that was posted on my profile was the one somewhat negative comment. If Jpec were to address an average or an overall comment, why was a positive comment not posted to my paragraph rather than the one somewhat negative comment received? Additionally it was taken out of context and did not accurately reflect the situation of the hearing, yet, that was the commented that appeared in my summary. |



|              |  |
|--------------|--|
| <b>41299</b> | It would be nice to received feedback more often. Instead of a midterm and a final report, maybe a copy the court observer's evaluation immediately after they submit it. Feedback from months or years ago is not as helpful to correct behavior as immediate feedback. |
| <b>86557</b> | N/A  |
| <b>92781</b> | thank you for the work you do in gathering all this information  |



# Equitable Scoring

Addressing scoring discrepancies  
between judges with and without juries

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JUDICIAL PERFORMANCE EVALUATION COMMISSION

1

## The Problem:

Judges with few or no jurors are currently at a scoring disadvantage.

## The Issue:

- Judges without juries score consistently lower than their peers.
- After investigating this phenomenon, it's clear that juries are effectively scoring judges using a different scale than all other survey respondents.

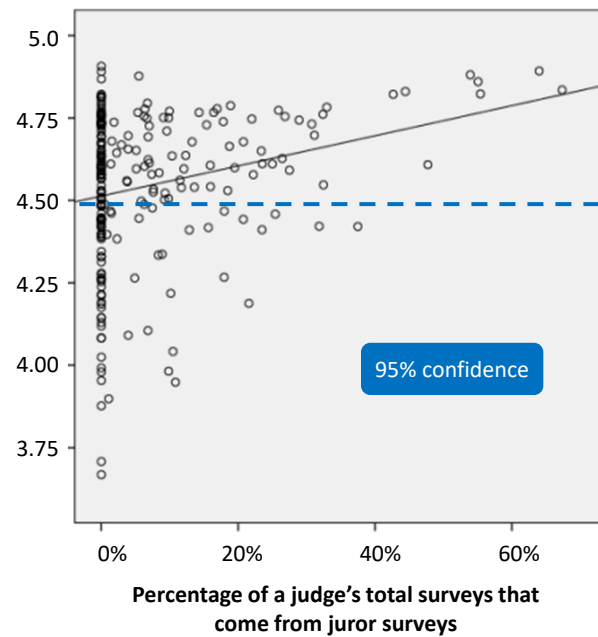
2

## The Issue:

Statistically speaking, the more jurors a judge has the more they will have a scoring advantage over their peers.

Without the advantage of jury scores, we would expect a horizontal line

Score by judge

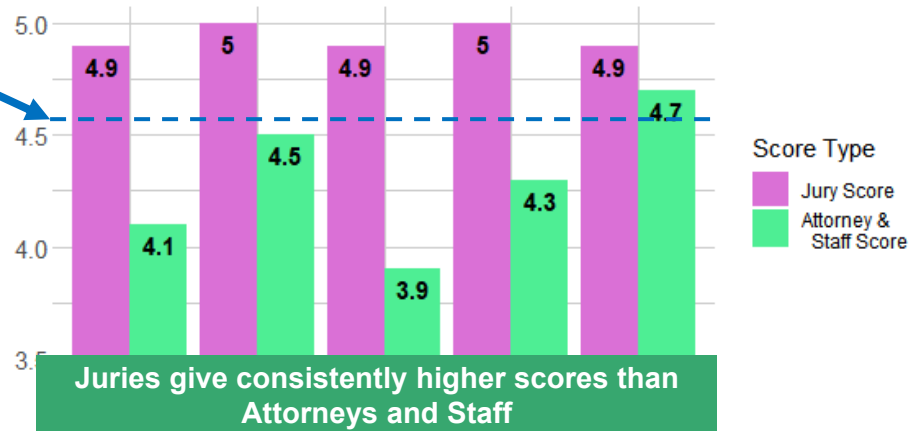


3

## The issue:

Average District Judge Score

Score by judge



4

## Puzzle

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Puzzle: How can we level the playing field (within and across levels of court) while also ensuring juror surveys are still valued?

Our Goal: To provide all judges with equitable scoring that is accessible to the public.

5

## Criteria for solution:

---

- Easy to understand for both judges and voters
- Uses standard statistical techniques
- All respondent groups score on a standardized scale
- Juries have a proportional impact on scoring
- Avoids distortion or overemphasis of some scores over others
- Creates an evaluation system with more equity across judges

6



# Tab 10



# Administrative Office of the Courts

Chief Justice Matthew B. Durrant  
Utah Supreme Court  
Chair, Utah Judicial Council

February 8, 2023

Ronald B. Gordon, Jr.  
State Court Administrator  
Neira Siaperas  
Deputy State Court Administrator

## MEMORANDUM

**TO:** Management Committee of the Judicial Council

**FROM:** Nathanael Player, on behalf of the Forms Committee

**RE:** Forms Committee membership

Approval is requested to allow Guy Galli to serve a third term on the Forms Committee. Exceptional circumstances exist to justify a third term for him. Mr. Galli serves on the Stylistics Subcommittee, which functions as the executive subcommittee for the Forms Committee. He provides important insights regarding prioritization of forms, operational concerns for clerical staff, and careful editing of forms. His service on the Committee is truly exceptional. The table below details the current composition of the committee, consistent with CJA 1-205(1)(B)(xiii).

| Name                   | Position   | Comment     |
|------------------------|--|-------------|
| Professor Randy Dryer  | Chair, and educator from a paralegal program or law school |             |
| Judge Bagley           | One of two district court judges                           |             |
| Judge Koch             | One of two district court judges                           |             |
| Commissioner Minas     | Court commissioner   |             |
| Judge Bartholomew      | Juvenile court judge                                       |             |
| Judge Birch            | Justice court judge  |             |
| Guy Galli              | Court clerk  | If approved |
| Bret Hayman            | Appellate court staff attorney                             |             |
| Nathanael Player       | Self-Help Center representative                            |             |
| Kaden Taylor           | State Law Librarian  |             |
| Keri Sargent           | District court administrator                               |             |
| Stewart Ralphs         | Legal services org. that serves low-income clients         |             |
| Amber Alleman          | Paralegal  |             |
| Professor Scott Jarvis | One person skilled in linguistics or communication         |             |
| David Head             | Representative from the Utah State Bar                     |             |
| AJ Torres              | LPP Administrator  |             |

The mission of the Utah judiciary is to provide an open, fair, efficient, and independent system for the advancement of justice under the law.

Agenda



## Administrative Office of the Courts

Chief Justice Matthew B. Durrant  
Utah Supreme Court  
Chair, Utah Judicial Council

February 16, 2023

Ronald B. Gordon, Jr.  
State Court Administrator  
Neira Siaperas  
Deputy State Court Administrator

### MEMORANDUM

**TO:** The Management Committee of the Judicial Council

**FROM:** Standing Committee on Model Utah Civil Jury Instructions  
Jace Willard, Associate General Counsel

**RE:** Reappointment and New Appointments

---

*Reappointment of Ricky Shelton:*

Mr. Ricky Shelton was first appointed to be a member of the Committee in December 2019, so his first term expired in December of last year. During his time on the Committee, he attended a substantial majority of the meetings (13 of 18) and participated in discussions of various model instructions. He has expressed a desire to continue serving on the Committee. The Committee Chair and Vice-Chair recommend that he be reappointed to serve another term.

*New Appointment for Plaintiff's Counsel:*

The Committee received four applications to fill the plaintiff's counsel seat that was vacated by Mr. Randy Andrus when his term expired in December of last year. The applicants for this seat included John Macfarlane, Ralph Petty, Daniel Steele, and Alyssa Wood. After discussion the Chair and Vice-Chair agreed they would recommend Mr. Macfarlane to fill this position, and as an alternate Mr. Steele.

Mr. Macfarlane currently practices at Younker Hyde Macfarlane, a reputable personal injury firm he helped to found in 2016. He has worked on cases involving general litigation, product liability, medical malpractice, and bankruptcy. He also has several years of experience working on different committees with the Utah Association for Justice. Mr. Steele is a founding member of Sumsion Steele & Crandall, which began in 2014. He represents plaintiffs in personal injury and medical malpractice cases, but he also spent approximately 15 years in insurance defense. He has been a member of the Executive Committee of the Bar's Litigation Section for almost 25 years, serving as treasurer, chair-elect, and chair.

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efficient, and independent system for the advancement of justice under the law.

*New Appointment for Defendant's Counsel:*

The Committee received two applications to fill the defendant's counsel seat that was vacated by Ms. Samantha Slark when her term expired in December of last year. The applicants for this seat included Michael Lichfield and Scott Wiseman. After discussion the Chair and Vice-Chair agreed they would recommend Mr. Lichfield to fill this position, and as an alternate Mr. Wiseman.

Mr. Lichfield is a partner in Skoubye Nielson & Johansen, a mid-sized civil litigation firm in Murray, and has approximately 20 years of experience in various practice areas, primarily including insurance defense. He is also a longstanding member of the Torts section of the graders for the Utah State Bar. Additionally, among his practice areas are licensing and agency law, which are not fully represented by other members on the Committee. Mr. Wiseman is a civil litigator at Snell & Wilmer, and is in his seventh year of practice. He has experience in real estate disputes, contract law, and corporate law, among others.

The Committee looks forward to approval and any feedback from the Management Committee and Judicial Council as to the proposed reappointment and new appointments.



## Administrative Office of the Courts

Chief Justice Matthew B. Durrant  
Utah Supreme Court  
Chair, Utah Judicial Council

February 24, 2023

Ronald B. Gordon, Jr.  
State Court Administrator  
Neira Siaperas  
Deputy Court Administrator

### MEMORANDUM

**TO: Management Committee – Utah Judicial Council**

**FROM: Valeria Jimenez, Standing Committee on Judicial Outreach Staff Liaison**

**RE: Judicial Outreach Committee Appointment**

Currently, there is a vacancy on the Judicial Outreach Committee, which must be filled by one appellate court judge in accordance with CJA Rule 1-205(1)(B)(vi). Former Judge Pohlman was serving on the committee when she was appointed to the Court of Appeals; however, she was appointed to the Supreme Court. The Presiding Judge of the Court of Appeals asked if she could stay on the committee until the Court of Appeals was fully staffed. Now that Judge Oliver has been appointed to the Court of Appeals, they are fully staffed. Judge Oliver has agreed to take on the "appellate court judge" position on the committee.

On behalf of the Standing Committee on Judicial Outreach and the Chair, Judge Elizabeth Hruby-Mills, we would respectfully request the approval of Judge Amy Oliver.

At this time the Judicial Outreach Committee is comprised of the following members:

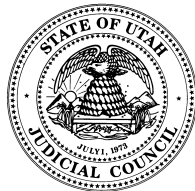
- Judge Elizabeth Hruby-Mills, Chair, Third District Court
- Judge Bryan Memmott, Plain City Municipal Justice Court
- Krista Airam, Second District Juvenile Court TCE
- Melinda Bowen, Civic Community Representative
- Michael Anderson, Communication Representative
- Michelle Oldroyd, Utah State Bar
- Benjamin Carrier, Utah State Board of Education
- Judge Tupakk Renteria, Third District Juvenile Court
- Vacant, Bench-Media Subcommittee Chair, Utah Court of Appeals
- Judge Laura Scott, Divorce Education for Children Program Subcommittee Chair, Third District Court
- Judge Shauna Graves-Robertson, Community Relations Subcommittee Chair, Salt Lake County Justice Court

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efficient, and independent system for the advancement of justice under the law.

- Lauren Andersen, Director of Utah Judicial Institute
- Nathanael Player, Law Library Director
- Jonathan Puente, Ex officio member, Director of Office Fairness and Accountability
- Tania Mashburn, Ex officio member, Communications Director
- Anna Anderson, Ex officio member, Deputy District Attorney

The Judicial Outreach Committee is a standing committee that is tasked with fostering a greater role for judges in service to the community, providing leadership and resources for outreach, and improving public trust and confidence in the judiciary. The committee meets on a Friday every 3 months.

## Agenda



# Administrative Office of the Courts

Chief Justice Matthew B. Durrant  
Utah Supreme Court  
Chair, Utah Judicial Council

March 27, 2023

Ronald B. Gordon, Jr.  
State Court Administrator  
Neira Siaperas  
Deputy State Court Administrator

## MEMORANDUM

**TO: Management Committee of the Judicial Council**

**FROM: Keri Sargent, Assistant District Court Administrator**

**RE: WINGS Committee -- Vacancy and Chair Appointment**

---

The Working Interdisciplinary Network of Guardianship Stakeholders (WINGS) is in need of a judge to fill a vacancy on the committee caused by the retirement of Judge David Connors. WINGS is a standing committee that studies and makes policy recommendations to the Judicial Council concerning the needs of parties involved in guardianship and conservatorship cases. Judge Connors' retirement also means that a new chair for the committee needs to be appointed.

The committee has decided that Judge Kelly, who is currently serving, will be more than qualified to fill the position. The committee recommends that Judge Keith Kelly, 3rd District, be appointed as chair.

The Board of District Court Judges solicited volunteers for the remaining vacancy, and reviewed the names of those who showed interest. The District Board recommends that Judge Brian G. Cannell, 1st District, be appointed to serve on the WINGS Committee.

The mission of the Utah judiciary is to provide the people an open, fair,  
efficient, and independent system for the advancement of justice under the law.

Agenda

**UTAH JUDICIAL COUNCIL  
STANDING COMMITTEE ON MODEL UTAH CRIMINAL JURY  
INSTRUCTIONS  
MEMO TO CHIEF JUSTICE DURRANT**

March 27<sup>th</sup>, 2023  
Judge Teresa Welch – Chair

Dear Chief Justice Durrant:

I want to personally thank you for the confidence that you and the Council have shown me by appointing me as chair of the MUJI Criminal Committee. I look forward to continuing my service with the Committee in this new capacity. Due to my appointment, a vacancy for a district court judge was created among the Committee's membership. After recommendations from the Board of District Court Judges, Judge Matthew Bates has been identified as a potential candidate to fill this vacancy. As you are aware, Judge Bates joined the bench in the Third District Court in July 2016. Before then, he was a prosecutor for both the Summit County Attorney's Office and Salt Lake County District Attorney's Office. Judge Bates also served in the Litigation and Criminal Appeals Divisions for the Utah Attorney General's Office. His broad background in litigation and appeals would make him a valuable addition to the Committee, and as such, I highly recommend his appointment.

If you have questions about this recommendation or if there is any other way I can assist you or the Council, please do not hesitate to contact me or our staff attorney, Bryson King. I look forward to continuing our work together.

Sincerely,  
Judge Teresa Welch, Chair  
Bryson King, Staff



# Tab 11

## Agenda



# Administrative Office of the Courts

Neira Siaperas  
Deputy State Court Administrator

Chief Justice Matthew B. Durrant Utah Supreme Court  
Chair, Utah Judicial Council

March 17, 2023 Ronald B. Gordon, Jr.

State Court Administrator

## MEMORANDUM

**TO: Members of the Judicial Council Management Committee**

From: Blake Murdoch  
Assistant Juvenile Court Administrator

Date: March 17, 2023

Re: Proposed Probation Policies for Review and Approval

The Board of Juvenile Court Judges has proposed revisions or adoptions of the following policies which are now advanced to the Management Committee for review and consideration. Additionally, I seek placement on the Judicial Council's consent agenda for April 24, 2023.

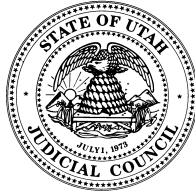
### ***Intake and Formal Probation Policy***

*This policy was last updated on October 26, 2020. The purpose of this policy is to provide direction for the supervision of minors placed on intake or formal probation. The most significant change to this policy is the establishment of criteria allowing jurisdiction to be extended for youth solely on the ground that the minor has not paid restitution in full. The proposed policy also includes the addition of a flowchart to assist probation officers in navigating the presumptive timeline criteria. Additionally, the policy sections were re-organized to add clarity through the categorization of sections, making the policy less repetitive.*

I will be available to respond to questions during your meeting on April 11, 2023.

Thank you.

# Tab 12



## Administrative Office of the Courts

Chief Justice Matthew B. Durrant  
Utah Supreme Court  
Chair, Utah Judicial Council

April 12, 2023

Ronald B. Gordon, Jr.  
State Court Administrator  
Neira Siaperas  
Deputy State Court Administrator

### MEMORANDUM

**TO: Management Committee / Judicial Council**  
**FROM: Keisa Williams**  
**RE: Rules for Public Comment**

---

The Policy, Planning, and Technology Committee (PP&T) recommends that the following rules be approved for a 45-day public comment period.

#### **CJA 4-202.03. Records access**

#### **CJA 4-202.05. Request to access an administrative record; research; request to classify an administrative record; request to create an index**

The proposed amendments align the rules with Utah Code Sections [77-40a-403\(2\)\(b\)](#) and [77-40a-404](#), identifying individuals and entities who may access expunged records. Other amendments are non-substantive and intended to streamline the rules.

#### **CJA 4-404. Jury selection and service**

The proposed amendments add the option to email juror qualification forms and summonses to prospective jurors. If a qualification form is returned by the email provider as “undeliverable,” the form would then be mailed. Emailing documents would save processing time and reduce mailing costs. In the 3rd district alone, 10,000 juror qualification forms are sent each month.

#### **CJA 6-501. Reporting requirements for guardians and conservators**

PP&T considered public comments from an [initial public comment period](#) ending January 5, 2023 and subsequent recommendations from the Probate Subcommittee. The commenters questioned whether there should be an exception to using court forms for corporate fiduciaries. The Probate Subcommittee disagreed, but recognized a need to make the filing requirements and use of forms more clear. The new proposed amendments clarify filing requirements and use of forms for all guardians and conservators. A corporate fiduciary must attach its internal report or accounting, if any, as an exhibit to the Council-approved form. The relevant Order on Review form is attached.

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efficient, and independent system for the advancement of justice under the law.

**Rule 4-202.03. Records Access.****Intent:**

To identify who may access court records.

**Applicability:**

This rule applies to the judicial branch.

**Statement of the Rule:**

(1) **Public Court Records.** Any person may access a public court record.

(2) **Sealed Court Records.** ~~Otherwise, n~~No one may access a sealed court record except as authorized under (2)(A) and (2)(B) or by order of the court. A judge may review a sealed record when the circumstances warrant.

(2)(A) Adoption decree. An adoptive parent or adult adoptee may obtain a certified copy of the adoption decree upon request and presentation of positive identification.

(2)(B) Expunged records.

(2)(B)(i) The following may obtain certified copies of the expungement order and the case history upon request and in-person presentation of positive identification:

(2)(B)(i)(a) theA petitioner ~~in an expunged case or an individual who receives an automatic expungement under Utah Code Chapter 40a or Section 77-27-5.1;-~~

(2)(B)(i)(b) a law enforcement officer involved in the case, for use solely in the officer's defense of a civil action arising out of the officer's involvement with the petitioner in that particular case; and

(2)(B)(i)(c) parties to a civil action arising out of the expunged incident, if the information is kept confidential and utilized only in the action.

(2)(B)(ii) Information contained in expunged records may be accessed by qualifying individuals and agencies under Utah Code Section 77-40a-403 upon written request and approval by the state court administrator in accordance with Rule 4-202.05. Requests must include documentation proving that the requester meets the conditions for access and a statement that the requester will comply with all confidentiality requirements in Rule 4-202.05 and Utah Code.

(3) **Private Court Records.** The following may access a private court record:

(3)(A) the subject of the record;

(3)(B) the parent or guardian of the subject of the record if the subject is an unemancipated minor or under a legal incapacity;

(3)(C) a party, attorney for a party, or licensed paralegal practitioner for a party to litigation in which the record is filed;

(3)(D) an interested person to an action under the Uniform Probate Code;

(3)(E) the person who submitted the record;

(3)(F) the attorney or licensed paralegal practitioner for a person who may access the private record or an individual who has a written power of attorney from the person or the person's attorney or licensed paralegal practitioner;

(3)(G) an individual with a release from a person who may access the private record signed and notarized no more than 90 days before the date the request is made;

(3)(H) anyone by court order;

(3)(I) court personnel, but only to achieve the purpose for which the record was submitted;

(3)(J) a person provided the record under Rule 4-202.04 or Rule 4-202.05; and

(3)(K) a governmental entity with which the record is shared under Rule 4-202.10.

**(4) Protected Court Records.** The following may access a protected court record:

(4)(A) the person or governmental entity whose interests are protected by closure;

(4)(B) the parent or guardian of the person whose interests are protected by closure if the person is an unemancipated minor or under a legal incapacity;

(4)(C) the person who submitted the record;

(4)(D) the attorney or licensed paralegal practitioner for the person who submitted the record or for the person or governmental entity whose interests are protected by closure or for the parent or guardian of the person if the person is an unemancipated minor or under a legal incapacity or an individual who has a power of attorney from such person or governmental entity;

(4)(E) an individual with a release from the person who submitted the record or from the person or governmental entity whose interests are protected by closure or from the parent or guardian of the person if the person is an unemancipated minor or under a legal incapacity signed and notarized no more than 90 days before the date the request is made;

(4)(F) a party, attorney for a party, or licensed paralegal practitioner for a party to litigation in which the record is filed;

(4)(G) anyone by court order;

(4)(H) court personnel, but only to achieve the purpose for which the record was submitted;

(4)(I) a person provided the record under Rule 4-202.04 or Rule 4-202.05; and

(4)(J) a governmental entity with which the record is shared under Rule 4-202.10.

**(5) Juvenile Court Social Records.** The following may access a juvenile court social record:

- (5)(A) the subject of the record, if 18 years of age or over;
- (5)(B) a parent or guardian of the subject of the record if the subject is an unemancipated minor;
- (5)(C) an attorney or person with power of attorney for the subject of the record;
- (5)(D) a person with a notarized release from the subject of the record or the subject's legal representative dated no more than 90 days before the date the request is made;
- (5)(E) the subject of the record's therapists and evaluators;
- (5)(F) a self-represented litigant, a prosecuting attorney, a defense attorney, a Guardian ad Litem, and an Attorney General involved in the litigation in which the record is filed;
- (5)(G) a governmental entity charged with custody, guardianship, protective supervision, probation or parole of the subject of the record including juvenile probation, Division of Child and Family Services and Juvenile Justice Services;
- (5)(H) the Department of Human Services, school districts and vendors with whom they or the courts contract (who shall not permit further access to the record), but only for court business;
- (5)(I) court personnel, but only to achieve the purpose for which the record was submitted;
- (5)(J) a governmental entity with which the record is shared under Rule 4-202.10;
- (5)(K) the person who submitted the record;
- (5)(L) public or private individuals or agencies providing services to the subject of the record or to the subject's family, including services provided pursuant to a nonjudicial adjustment, if a probation officer determines that access is necessary to provide effective services; and
- (5)(M) anyone by court order.
- (5)(N) Juvenile court competency evaluations, psychological evaluations, psychiatric evaluations, psychosexual evaluations, sex behavior risk assessments, and other sensitive mental health and medical records may be accessed only by:
- (5)(N)(i) the subject of the record, if age 18 or over;
  - (5)(N)(ii) an attorney or person with power of attorney for the subject of the record;
  - (5)(N)(iii) a self-represented litigant, a prosecuting attorney, a defense attorney, a Guardian ad Litem, and an Attorney General involved in the litigation in which the record is filed;
  - (5)(N)(iv) a governmental entity charged with custody, guardianship, protective supervision, probation or parole of the subject of the record including juvenile probation, Division of Child and Family Services and Juvenile Justice Services;
  - (5)(N)(v) court personnel, but only to achieve the purpose for which the record was submitted;
  - (5)(N)(vi) anyone by court order.

(5)(O) When records may be accessed only by court order, a juvenile court judge will permit access consistent with Rule 4-202.04 as required by due process of law in a manner that serves the best interest of the child.

(6) **Juvenile Court Legal Records.** The following may access a juvenile court legal record:

(6)(A) all who may access the juvenile court social record;

(6)(B) a law enforcement agency;

(6)(C) a children's justice center;

(6)(D) public or private individuals or agencies providing services to the subject of the record or to the subject's family;

(6)(E) the victim of a delinquent act may access the disposition order entered against the minor; and

(6)(F) the parent or guardian of the victim of a delinquent act may access the disposition order entered against the minor if the victim is an unemancipated minor or under legal incapacity.

(7) **Safeguarded Court Records.** The following may access a safeguarded record:

(7)(A) the subject of the record;

(7)(B) the person who submitted the record;

(7)(C) the attorney or licensed paralegal practitioner for a person who may access the record or an individual who has a written power of attorney from the person or the person's attorney or licensed paralegal practitioner;

(7)(D) an individual with a release from a person who may access the record signed and notarized no more than 90 days before the date the request is made;

(7)(E) anyone by court order;

(7)(F) court personnel, but only to achieve the purpose for which the record was submitted;

(7)(G) a person provided the record under Rule 4-202.04 or Rule 4-202.05;

(7)(H) a governmental entity with which the record is shared under Rule 4-202.10; and

(7)(I) a person given access to the record in order for juvenile probation to fulfill a probation responsibility.

(8) Court personnel shall permit access to court records only by authorized persons. The court may order anyone who accesses a non-public record not to permit further access, the violation of which may be contempt of court.

(9) If a court or court employee in an official capacity is a party in a case, the records of the party and the party's attorney are subject to the rules of discovery and evidence to the same extent as any other party.

*Effective: November 1, 2023*



**Rule 4-202.05. Request to access an administrative record; research; request to classify an administrative record; request to create an index.**

**Intent:**

To establish the process for accessing an administrative court record, aggregate records and court records for the purpose of research.

**Applicability:**

This rule applies to court records associated with the administration of the judiciary, aggregate records and indexes, and requests to access non-public records for the purpose of research.

**Statement of the Rule:**

(1) Writing. ~~A request to access a public court record shall be presented in writing to the custodian of the record unless the custodian waives the requirement. A request to access a non-public court record to which a person is authorized access shall be presented in writing to the custodian of the record.~~ All requests under this rule must be presented in writing to the custodian of the record, unless the custodian waives the requirement. ~~written.~~ Requests shall contain the requester's name, email address, mailing address, daytime telephone number and a description of the record requested. If the record is a non-public record, the person making the request shall present identification.

**(2) Private or protected records.**

(2)(A) A request to access a private or protected court record, including aggregate records, to which the person is not authorized access shall be presented ~~in writing~~ to the state court administrator. The request shall ~~contain the requester's name, mailing address, daytime telephone number, a description of the record and~~ include a statement of facts, authority and argument in support of the request. If the state court administrator allows access, the state court administrator may impose any reasonable conditions to protect the interests favoring closure. The person making the request shall sign an agreement to be bound by the conditions.

(2)(B) Before allowing access to a private or protected record to someone not authorized access, the state court administrator shall mail-send notice of the request for access to any person whose interests are protected by closure and allow 10 business days for that person to submit a statement of facts, authority and argument in support of closure.

**(2)(C) Research.**

(2)(C)(i) The state court administrator may disclose non-public court records, including records associated with a case, ~~other than sealed records,~~ for research purposes without the notice required in this rule if the state court administrator decides that the research is bona fide and cannot reasonably be completed without disclosure of the records, and the interests favoring the research are greater than or equal to the interests favoring closure. The state court administrator may not disclose sealed records unless the requester is authorized access under Rule 4-202.03.

(2)(C)(ii) If the state court administrator discloses non-public court records or a combination of public and non-public records ("records") for research purposes, the researcher shall sign a written statement acknowledging that violating the agreement may be grounds for criminal prosecution under Utah Code Section

63G-2-801. The agreement may include any reasonable condition to protect the interests favoring closure, including an agreement to:

(2)(C)(ii)(a) maintain the integrity, confidentiality and security of the records;

(2)(C)(ii)(b) return or destroy records from which a person can be identified as soon as the research has been completed;

(2)(C)(ii)(c) not include any individual's name or identifying information in any product of the research;

(2)(C)(ii)(d) where applicable, include a disclosure in any product resulting from the research that expunged records were used for research purposes;

(2)(C)(ii)(~~ee~~) not disclose the record, except for the purpose of auditing or evaluating the research and the auditor or evaluator agrees not to disclose the record;

(2)(C)(ii)(~~fd~~) use the record only for the described research;

(2)(C)(ii)(~~ge~~) indemnify the courts for any damages awarded as a result of injury caused by the research; and

(2)(C)(ii)(~~hf~~) if the research involves human subjects, comply with state and federal laws regulating research involving human subjects.

(2)(C)(iii) A request to access a court record under this rule is also governed by Rule 4-202.06 and Rule 4-202.07.

(3) **Requests to classify a record.** A request to classify a court record as private or protected shall be presented ~~in-writing~~ to the state court administrator. The request shall ~~contain-include~~ the relief sought and a statement of facts, authority and argument in support of the request. The state court administrator may deny access to the record until the determination is entered.

(4) **Factors.** In deciding whether to allow access to a court record or whether to classify a court record as private or protected, the decision maker may consider any relevant factor, interest or policy presented by the parties, including but not limited to the interests described in Rule 4-202.

(5) **Index.** A request to identify a data element as an index shall be presented ~~in-writing~~ to the state court administrator. The request shall ~~contain-include~~ the relief sought and a statement of facts, authority and argument in support of the request. The state court administrator shall present the request to the Management Committee, which shall consider the request in the same manner as provided for appeals in Rule 4-202.07.

Effective: ~~November~~April 1, 2023~~13~~

**Rule 4-404. Jury selection and service.****Intent:**

To identify the source lists from which the master jury list is built.

To establish a uniform procedure for jury selection, qualification, and service.

To establish administrative responsibility for jury selection.

To ensure that jurors are well informed of the purpose and nature of the obligations of their service at each stage of the proceedings.

**Applicability:**

This rule shall apply to all trial courts.

**Statement of the Rule:****(1) Master jury list and jury source lists; periodic review.**

(1)(A) The state court administrator shall maintain for each county a master jury list as defined by the Utah Code.

(1)(B) The master jury list for each county shall be a compilation of the following source lists:

(1)(B)(i) driver licenses and identification cards for citizens of the United States 18 years of age and older from the Drivers License Division of the Department of Public Safety; and

(1)(B)(ii) the official register of voters from the Elections Division of the Office of the Lt. Governor.

(1)(C) The Judicial Council may use additional source lists to improve the inclusiveness of the master jury list for a county.

(1)(D) At least twice per year the state court administrator shall obtain from the person responsible for maintaining each source list a new edition of the list reflecting any additions, deletions, and amendments to the list. The state court administrator shall renew the master jury list for each county by incorporating the new or changed information.

(1)(E) The master jury list shall contain the name, address, and date of birth for each person listed and any other identifying or demographic information deemed necessary by the state court administrator. The state court administrator shall maintain the master list on a data base accessible to the district courts and justice courts of the state.

(1)(F) The state court administrator shall compare the number of persons on each master jury list for a county with the population of the county 18 years of age and older as reported by the Economic and Demographic Data Projections published for the year by the Office of Planning and Budget. The state court administrator shall report the comparison to the Judicial Council at its October meeting during even numbered years. The sole purpose of this report is to improve, if necessary, the inclusiveness of the master jury list.

**(2) Term of service and term of availability of jurors.**

(2)(A) The following shall constitute satisfactory completion of a term of service of a juror:

(2)(A)(i) serving on a jury panel for one trial whether as a primary or alternate juror regardless of whether the jury is called upon to deliberate or return a verdict;

(2)(A)(ii) reporting once to the courthouse for potential service as a juror;

(2)(A)(iii) except for a juror living in a county of the fourth, fifth, or sixth class or a county of the third class with populations up to 75,000, complying with a summons as directed, even if not directed to report to the courthouse; or

(2)(A)(iii) expiration of the term of availability.

(2)(B) The term of availability of jurors shall be as follows, unless a shorter term is ordered by the court:

(2)(B)(i) one month for the trial courts of record in Salt Lake county;

(2)(B)(ii) three months for the trial courts of record in Davis, Utah, and Weber counties; and

(2)(B)(iii) six months for all other courts.

### (3) Random selection procedures.

(3)(A) Random selection procedures shall be used in selecting persons from the master jury list for the qualified jury list.

(3)(B) Courts may depart from the principle of random selection in order to excuse or postpone a juror in accordance with statute or these rules and to remove jurors challenged for cause or peremptorily.

### (4) Qualified jury list.

(4)(A) For each term of availability as defined above, the state court administrator shall provide, based on a random selection, to the court the number of jurors requested by that court. This shall be the list from which the court qualifies prospective jurors. The names of prospective jurors shall be delivered to the requesting court in the random order in which they were selected from the master jury list. The court shall maintain that random order through summons, assignment to panels, selection for voir dire, peremptory challenges, and final call to serve as a juror; or the court may rerandomize the names of jurors at any step.

(4)(B) For each term of availability the court should request no more than the number of prospective jurors reasonably calculated to permit the selection of a full jury panel with alternates if applicable for each trial scheduled or likely to be scheduled during the term. The number of prospective jurors requested should be based upon the size of the panel plus any alternates plus the total number of peremptory challenges plus the anticipated number of prospective jurors to be postponed, excused from service or removed for cause less the number of jurors postponed to that term.

(4)(C) The clerk of the court shall sendmail to each prospective juror a qualification form. The prospective juror shall file the answers to the questions with the clerk within ten days after it is received. The state court administrator shall develop a uniform form for

use by all courts. In addition to the information required by statute, the qualification form shall contain information regarding the length of service, and procedures and grounds for requesting an excuse or postponement.

(4)(D) If a prospective juror is unable to complete the answers, they may be completed by another person. The person completing the answers shall indicate that fact.

(4)(E) If the clerk determines that there is an omission, ambiguity, or error in the answers, the clerk shall return the form to the prospective juror with instructions to make the necessary addition, clarification, or correction and to file the answers with the clerk within ten days after it is received.

(4)(F) The clerk shall review all answers and record the prospective juror as qualified or disqualified as defined by statute.

(4)(G) The clerk shall notify the state court administrator of any determination that a prospective juror is not qualified to serve as a juror, and the state court administrator shall accordingly update the master jury list.

(4)(H) A prospective juror whose qualification form is returned by the email provider as "undeliverable" shall have a qualification form mailed to them. A prospective juror whose qualification form is returned by the United States Postal Service as "undeliverable," or "moved - left no forwarding address," or "addressee unknown," or other similar statement, shall not be pursued further by the clerk. The clerk shall notify the state court administrator who shall accordingly update the master jury list.

(4)(I) If a prospective juror fails to respond to the qualification questionnaire and the form is not returned by the U.S. Postal Service as undeliverable, the clerk shall mail the qualification form a second time with a notice that failure to answer the questions may result in a court order requiring the prospective juror to appear in person before the clerk to complete the qualification form. If a prospective juror fails to answer the questions after the second mailing, the qualification form and a summons may be delivered to the sheriff for personal service upon the prospective juror. The summons shall require the prospective juror to answer the questions and file them with the court within ten days or to appear before the clerk to prepare the form. Any prospective juror who fails to answer the questions or to appear as ordered shall be subject to the sanctions set forth in the Utah Code.

**(5) Excuse or postponement from service.**

(5)(A) No competent juror is exempt from service.

(5)(B) Persons on the qualified juror list may be excused from jury service, either before or after summons, for undue hardship, public necessity or because the person is incapable of jury service under the Utah Code. The court shall make reasonable accommodations for any prospective juror with a disability. Excuse from jury service satisfies the prospective juror's statutory service obligation.

(5)(C) A prospective juror may be postponed to later in the term or to a future term for good cause.

(5)(D) Without more, being enrolled as a full or part-time post-high school student is not sufficient grounds for excuse from service.

(5)(E) Disposition of a request for excuse from service or postponement may be made by the judge presiding at the trial to which panel the prospective juror is assigned, the presiding judge of the court, or the judge designated by the presiding judge for that purpose. The presiding judge may establish written standards by which the clerk may dispose of requests for excuse from service or postponement.

**(6) Summons from the qualified jury list.**

(6)(A) After consultation with the judges or the presiding judge of the court, the clerk shall determine the number of jurors needed for a particular day. The number of prospective jurors summoned should be based upon the number of panels, size of the panels, any alternates, the total number of peremptory challenges plus the anticipated number of prospective jurors to be postponed, excused from service or removed for cause. The clerk shall summon the smallest number of prospective jurors reasonably necessary to select a trial jury.

(6)(B) The judge may direct that additional jurors be summoned if, because of the notoriety of the case or other exceptional circumstances, the judge anticipates numerous challenges for cause.

**(6)(C) Juror summons.**

(6)(C)(i) The summons may be served by first class mail or email delivered to the address provided on the juror qualification form or by telephone.

(6)(C)(ii) ~~Mailed~~The ~~summonses~~ shall be on a form approved by the state court administrator. The summons may direct the prospective juror to appear at a date, time, and place certain or may direct the prospective juror to telephone the court for further information. The summons shall direct the prospective juror to present the summons for payment. The summons may contain other information determined to be useful to a prospective juror.

(6)(C)(iii) If summons is made by telephone, the clerk shall follow the procedures of paragraph (9) of this rule.

**(7) Assignment of qualified prospective jurors to panels.** Qualified jurors may be assigned to panels in the random order in which they appear on the qualified jury list or may be selected in any other random order. If a prospective juror is removed from one panel, that prospective juror may be reassigned to another panel if the need exists and if there are no prospective jurors remaining unassigned.

**(8) Selection of prospective jurors for voir dire.** Qualified jurors may be selected for voir dire in the random order in which they appear on the qualified jury list, or may be selected in any other random order.

**(9) Calling additional jurors.** If there is an insufficient number of prospective jurors to fill all jury panels, the judge shall direct the clerk to summon from the qualified jury list such additional jurors as necessary. The clerk shall make every reasonable effort to contact the prospective jurors in the order listed on the qualified jury list. If after reasonable efforts the clerk fails to contact a juror, the clerk shall attempt to contact the next juror on the list. If the clerk is unable to obtain a sufficient number of jurors in a reasonable period of time, the court may use any lawful method for acquiring a jury.

*Effective: November 1, 2023~~16~~*

**Rule 6-501. Testing and Reporting requirements for guardians and conservators.****Intent:**

To set forth the testing requirements for guardians and conservators and to establish standards and procedures for annual inventories, reports, and accountings that guardians and conservators are required to file under the Utah Uniform Probate Code.

**Applicability:**

This rule applies to individuals seeking appointment as guardians and conservators and individuals who are appointed by the court as guardians and conservators.

**Statement of the Rule:****(1) Definitions.**

(1)(A) "Accounting" means the annual accounting required by Utah Code Section 75-5-312 and Section 75-5-417 and the final accounting required by Utah Code Section 75-5-419.

(1)(B) "Interested person" means the respondent, if he or she is not a minor, the respondent's guardian and conservator, the respondent's spouse, adult children, parents and siblings, and any other person interested in the welfare, estate, or affairs of the respondent who requests notice under Utah Code Section 75-5-406. If no person is an interested person as previously defined, then interested person includes at least one of the respondent's closest adult relatives, if any can be found. For purposes of minor guardianship, interested persons include the persons listed in Utah Code Section 75-5-207.

(1)(C) "Inventory" means the inventory required by Utah Code Section 75-5-418.

(1)(D) "Serve" means any manner of service permitted by Utah Rule of Civil Procedure 5.

(1)(E) "Protected person" means a minor or an incapacitated person for whom the court appoints a guardian or an individual-protected person for whom the court appoints a conservator.

(1)(F) "Report" means the inventory, accounting, or annual report on the status of the protected person under Utah Code Sections 75-5-209 and 75-5-312, and the final accounting under Sections 75-5-210 and 75-5-419

(1)(G) "Respondent" means a person who is alleged to be incapacitated and for whom the appointment of a guardian or conservator is sought.

**(2) Exceptions.**

(2)(A) Paragraph (4) does not apply to the following:



(2)(A)(i) a guardian certified licensed under Utah Code Section 75-5-311(1)(a);

(2)(A)(ii) the Office of Public Guardian; or

(2)(A)(iii) a conservator issued a permit licensed under Utah Code Section 7-5-2.

(2)(B) Paragraphs (6), (7), (8), (9), and (10) do not apply if the guardian or conservator is a parent of the protected person.

(2)(C) Paragraph (7)(C) does not apply to the guardian of a minor if the minor's estate consists of funds that are deposited in a restricted account, which requires judicial approval for withdrawal, or if there is no estate.

(2)(D) Paragraph (9) does not apply to a conservator who is appointed for the purpose of receiving a personal injury settlement for a minor if 1) no funds are to be distributed until the minor reaches the age of majority, or 2) no structured settlement payments are to be made until the minor reaches the age of majority.

**(3) Examination and private information record.**

(3)(A) Before the court enters an order appointing a guardian or conservator, the proposed guardian or conservator must file:

(3)(A)(i) a verified statement showing satisfactory completion of a court-approved examination on the responsibilities of a guardian or conservator; and

(3)(A)(ii) (3)(B) Before the court enters an order of appointment, the proposed guardian or conservator must file a completed and verified Private Information Record form provided by the Administrative Office of the Courts.

(3)(~~CB~~) The guardian or conservator must continue to keep the court apprised of any changes to the guardian or conservator's contact information.

**(4) Recordkeeping.** The guardian must keep contemporaneous records of significant events in the life of the protected person and produce them if requested by the court. The conservator must keep contemporaneous receipts, vouchers or other evidence of income and expenses and produce them if requested by the court. The guardian and conservator must maintain the records until the appointment is terminated and then deliver them to the successor guardian or conservator, to the protected person, if there is no successor guardian or conservator, to the ~~successor guardian or conservator~~, or to the personal representative of the protected person's estate.

~~**(5) Report forms.** Subject to the requirements of Paragraph (6):~~

~~(5)(A) forms substantially conforming to the Judicial Council approved forms are acceptable~~



for content and format;

(5)(B) a corporate fiduciary may file its internal report or accounting; and

(5)(C) if the protected person's estate is limited to a federal or state program requiring an annual accounting, the fiduciary may file a copy of that accounting.

**(56) Information required in reports, Filing and service of required reports and proposed Order on Review cover sheet, and service.**

(5)(A) The guardian or conservator shall file with the court the reports required by Paragraphs 6, 7, 8, 9, and/or 10 using the appropriate Judicial Council-approved form or a form that substantially conforms to the format and content of the Judicial Council form.

(5)(A)(i) A corporate fiduciary shall attach its internal report or accounting, if any, as an exhibit to the Judicial Council form.

(5)(A)(ii) If the protected person's estate is limited to a federal or state program requiring an annual accounting, the guardian may file a copy of that accounting instead of the Judicial Council form.

~~(56)(A)(B)~~ The annual status report and annual accounting must contain sufficient information to put interested persons on notice of all significant events and transactions during the reporting period. ~~Compliance with Paragraph (54) is presumed sufficient, but the court may direct that a report or accounting be prepared with content and format as it deems necessary.~~

~~(56)(B)(C)~~ Along with the required report, the guardian or conservator shall also file the Judicial Council-approved Order on Review of Guardian or Conservator Report ("Order on Review"). ~~The annual report and annual accounting must include the Judicial Council-approved Order on Review of Guardian or Conservator Report ("Order on Review"), which must be filed as a proposed document.~~

~~(56)(C)(D)~~ The guardian, or conservator, ~~or both~~ must serve a copy of the required report, inventory, and accounting under Rule 5 of the Utah Rules of Civil Procedure on all interested persons in accordance with Rule 5 of the Utah Rules of Civil Procedure. The required annual report and annual accounting must include the following language at the top right corner of the first page, in bold type: **You have the right to object to the this report or accounting within 28 days of service. If you do not object within that time, your objection may be waived.**

**(68) Inventory.**

~~(68)~~(A) Within 90 days after the appointment, the conservator must file with the appointing court the inventory required by Utah Code Section 75-5-418 in accordance with Paragraph 5.

The inventory must be in substantially the same form as the inventory form approved by the ~~Utah Judicial~~ Council, including the required attachments. The court may extend the time for filing the inventory for good cause.

~~(6)~~(B) The judge may conduct a hearing even though no objection is filed. If the judge finds that the inventory is in order, the judge must approve it by signing the Order on Review.

~~(6)(C) If there is no conservator, the guardian must file the inventory required of a conservator under Utah Code Section 75-5-312.~~

**(7) Annual status reports.**

(7)(A) The guardian must file with the appointing court a report on the status of the protected person no later than 60 days after the anniversary of the appointment— in accordance with Paragraph 5.

(7)(A)(i) The status report must be in substantially the same form as the status report form approved by the ~~Utah Judicial~~ Council, including the required attachments.

(7)(A)(ii) The guardian must file the report with the court that appointed the guardian unless that court orders a change in venue under Utah Code Section 75-5-313.

(7)(A)(iii) The reporting period is yearly from the appointment date unless the court changes the reporting period on motion of the guardian. The guardian may not file the report before the close of the reporting period. For good cause the court may extend the time for filing the report, but a late filing does not change the reporting period.

(7)(B) The judge may conduct a hearing even though no objection is filed. If the judge finds that the report is in order, the judge must approve it by signing the Order on Review.

~~(7)(C) If there is no conservator, the guardian must file the inventory and accounting required of a conservator under Utah Code Section 75-5-312.~~

**(8) Annual accounting.**

(8)(A) The conservator must file with the appointing court an accounting of the estate of the protected person no later than 60 days after the anniversary of the appointment in accordance with Paragraph 5.

(8)(A)(i) The accounting must be in substantially the same form as the accounting form approved by the ~~Utah Judicial~~ Council, including the required attachments.

(8)(A)(ii) The conservator must file the accounting with the court that appointed the conservator unless that court orders a change in venue under Utah Code Section 75-5-403.

(8)(A)(iii) The reporting period is yearly from the appointment date unless the court changes the reporting period on motion of the conservator. The conservator may not file the accounting before the close of the reporting period. For good cause the court may extend the time for filing the accounting, but a late filing does not change the reporting period.

(8)(B) The judge may conduct a hearing even though no objection is filed. If the judge finds that the accounting is in order, the judge must approve it by signing the Order on Review.

(8)(C) If there is no conservator, the guardian must file the accounting required of a conservator under Utah Code Section 75-5-312.

**(940) Final accounting.**

(940)(A) The conservator must file with the court a final accounting of the estate of the protected person with the motion to terminate the appointment in accordance with Paragraph 5.

(940)(B) The court may conduct a hearing even though no objection is filed. If the court finds that the accounting is in order, the court must approve it by signing the Order on Review.

**(104) Objections.**

(104)(A) If an interested person objects to a report ~~or accounting~~, the person must file a written objection with the court and serve a copy on all interested persons within 28 days from the date of service of the report ~~or accounting~~. A request to submit must be included with the objection. The court may for good cause, including in order to accommodate a person with a disability, waive the requirement of a writing and document the objection and request to submit in the court record.

(104)(B) The objection must specify in writing the entries to which the person objects and state the reasons for the objection.

(104)(C) An objection to a report ~~or accounting~~ may not contain a request to remove or substitute the guardian or conservator. Any request for removal or substitution of the guardian or conservator must be filed as a separate petition consistent with Utah Code Section 75-5-307 or 75-5-415.

(104)(D) If an objection is filed, the court must conduct a hearing unless the court determines that a hearing is not necessary. If the court determines that a hearing is not necessary, the court must issue a minute entry or order stating why a hearing is not necessary.

(104)(E) At the hearing, the court may require the guardian or conservator to supplement or amend the report ~~or accounting~~ if the court determines there is good cause for the objection.

(104)(F) If the court determines that the objection is unfounded or is filed in bad faith, the court may deny the objection and approve the report ~~or accounting~~.

(112) **Waiver.** If an interested person does not object to a report ~~or accounting~~ within 28 days of service, the interested person waives any objection unless:

(112)(A) the objection relates to matters not fairly disclosed by the report ~~or accounting~~; or

(112)(B) the time for objection is extended by the court under Rule 6 of the Utah Rules of Civil Procedure. If the request for an extension is made before the time has run, the court may extend the time for good cause. If the request is made after the time has run, the court may extend for excusable neglect.

(123) **Report approval.**

(123)(A) **Approval.** The court must examine and approve ~~reports the report~~ as required by Utah Code sections 75-5-312 and 75-5-417. Approving a report means the judge has reviewed it, to the court's knowledge notice has been given to every person entitled to notice, no objection has been received, the report meets the requirements set forth by the report form, and the court has not requested additional information or scheduled a hearing. Such approval does not foreclose a valid claim permitted under paragraphs (11)(A) or (11)(B), nor does it start an appeal time.

(123)(B) **Notice to interested persons.** When a court approves a report, the court ~~must note that approval on the Judicial Council approved~~ ~~must sign and enter the~~ Order on Review ~~and place the Order on Review in the case file~~. When a court does not approve a report, the court must indicate on the Order on Review, or in an ~~other minute entry or~~ order, the reasons for non-approval, any additional actions required, and serve ~~the Order on Review or order it~~ on all interested persons entitled to notice.

(134) **Report on a minor.** Under Utah Code Section 75-5-209, a person interested in the welfare of a minor may petition the court for a report from the guardian on the minor's welfare or the minor's estate. If the court orders a ~~status~~ report from the guardian, the status report must be in substantially the same form as the status report form for guardianships of adults approved by the ~~Utah Judicial~~ Council, including the required attachments.

*Effective November 1, 2023*

In the District Court of Utah

\_\_\_\_\_ Judicial District \_\_\_\_\_ County

Court Address \_\_\_\_\_

In the Matter of Protection for

\_\_\_\_\_  
Respondent

**Order on Review of Guardianship or  
Conservatorship Reports**

\_\_\_\_\_  
Case Number

\_\_\_\_\_  
Judge

**The following reports are submitted for review:** (check all that apply)

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Annual Financial Accounting        | <input type="checkbox"/> Court Visitor Report         | <input type="checkbox"/> Inventory Report |
| <input type="checkbox"/> Proof of Minor's Insurance Deposit | <input type="checkbox"/> Report on Status of the Ward | <input type="checkbox"/> Final Accounting |

**The judge, having reviewed the above report(s):**

- ☐ Approves the reports as submitted. No further action is required.
- ☐ Requests the following additional information from the filer:

- ☐ Directs that a court visitor be appointed regarding the following:

- ☐ Directs that a hearing be set regarding the following:

- ☐ Other (describe):

Judge's signature may instead appear at the top of the first page of this document.

Signature ►

\_\_\_\_\_  
Date\_\_\_\_\_  
Judge \_\_\_\_\_  
\_\_\_\_\_

(This form does not need to be sent if the court approves all the reports as submitted.)

**Clerk's Certificate of Service**

I certify that on \_\_\_\_\_ (date) a copy of this Order on Review of Guardianship or Conservatorship Reports was sent to the following people at the following addresses:

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\_\_\_\_\_  
Date

Signature ►

\_\_\_\_\_  
Printed name of court clerk \_\_\_\_\_

# Tab 13

## Agenda

\_\_\_\_\_  
Name (currently used)

\_\_\_\_\_  
Address

\_\_\_\_\_  
City, State, Zip

\_\_\_\_\_  
Phone

**Check your email.** You will receive information and documents at this email address.

\_\_\_\_\_  
Email

I am ☐ Petitioner ☐ Petitioner's Attorney (Utah Bar #: \_\_\_\_\_)  
☐ Petitioner's Licensed Paralegal Practitioner (Utah Bar #: \_\_\_\_\_)

In the District Court of Utah

\_\_\_\_\_ Judicial District \_\_\_\_\_ County

Court Address \_\_\_\_\_

In the Matter of the (choose all that apply):

☐ name change of:

☐ sex change of:

\_\_\_\_\_  
Petitioner (current legal name)

**Petition for** (choose all that apply):

☐ **Name Change**

(Utah Code 42-1-1)

☐ **Sex Change**

(Utah Code 26-2-11)

\_\_\_\_\_  
Case Number

\_\_\_\_\_  
Judge

### Petitioner Information

1. I live in \_\_\_\_\_ County, Utah.

2. I was born on: \_\_\_\_\_ (date).

3. Except for this petition:

☐ I am not involved in any court actions or proceedings.

☐ I am involved in the following court actions or proceedings:



|                              |   |  |  |
|------------------------------|---|--|--|
| Court case name              |   | Court case number  |  |
| Judge's name                 |   | County and state   |  |
| Type of case                 | <input type="checkbox"/> Order of protection<br><input type="checkbox"/> Civil litigation | <input type="checkbox"/> Juvenile court proceeding<br><input type="checkbox"/> Criminal case |  |
| Did the judge make an order? | <input type="checkbox"/> Yes<br><input type="checkbox"/> No                               |  |  |

|                              |   |  |  |
|------------------------------|---|--|--|
| Court case name              |   | Court case number  |  |
| Judge's name                 |   | County and state   |  |
| Type of case                 | <input type="checkbox"/> Order of protection<br><input type="checkbox"/> Civil litigation | <input type="checkbox"/> Juvenile court proceeding<br><input type="checkbox"/> Criminal case |  |
| Did the judge make an order? | <input type="checkbox"/> Yes<br><input type="checkbox"/> No                               |  |  |

4. I am:

- ☐ not on probation or parole.  
☐ On probation or parole.

|                              |   |  |  |
|------------------------------|---|--|--|
| Court case name              |   | Court case number  |  |
| Judge's name                 |   | County and state   |  |
| Type of case                 | <input type="checkbox"/> Order of protection<br><input type="checkbox"/> Civil litigation | <input type="checkbox"/> Juvenile court proceeding<br><input type="checkbox"/> Criminal case |  |
| Did the judge make an order? | <input type="checkbox"/> Yes<br><input type="checkbox"/> No                               |  |  |

|                              |   |  |  |
|------------------------------|---|--|--|
| Court case name              |   | Court case number  |  |
| Judge's name                 |   | County and state   |  |
| Type of case                 | <input type="checkbox"/> Order of protection<br><input type="checkbox"/> Civil litigation | <input type="checkbox"/> Juvenile court proceeding<br><input type="checkbox"/> Criminal case |  |
| Did the judge make an order? | <input type="checkbox"/> Yes<br><input type="checkbox"/> No                               |  |  |

5. I am not filing this petition for a wrongful or fraudulent purpose, which includes efforts to commit a crime, to interfere with the rights of others, to avoid creditors, to influence the sentence, fine, or conditions of imprisonment in a criminal case, to commit fraud on the public, or for any other fraudulent purpose.
6. Granting this petition will not affect any right, title, or interest of anyone else, and I do not know of anyone else who should be notified of this petition.
7. I do not know any reason why this petition should not be granted.
8. I request a hearing.

**[ ] Name Change** (Only complete paragraphs 9-13 if you are asking for a name change.)

9. I request a name change.

The name on my birth certificate is:

|                         |  |
|-------------------------|--|
| First name              |  |
| Middle name(s) (if any) |  |
| Last Name               |  |

[ ] My name changed due to marriage, divorce, or court order. My current legal name is:

|                         |  |
|-------------------------|--|
| First name              |  |
| Middle name(s) (if any) |  |
| Last Name               |  |

I ask the court to order that my legal name be (proposed new name):

|                         |  |
|-------------------------|--|
| First name              |  |
| Middle name(s) (if any) |  |
| Last Name               |  |

I want to change my name because:

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10. I live in \_\_\_\_\_ County, Utah and have lived here since \_\_\_\_\_ (date), which is at least one year before filing this petition.

11. I am not on the Child Abuse Offender Registry. (Utah Code 77-43-105(7))

12. I am (Choose one.):

[ ] not on the Sex and Kidnap Offender Registry.

[ ] on the Sex and Kidnap Offender Registry. Granting this petition is not against the public interest because (Explain.):

- 
- 
13. I am filing a Certification Regarding Offender Registry completed by the Utah Department of Corrections.

**[ ] Sex Change** (Only complete paragraphs 14-19 if you are asking for a sex change.)

14. My birth certificate says that my legal sex is [ ] male [ ] female [ ] other: \_\_\_\_\_
15. I ask the court to order that my legal sex is [ ] male [ ] female [ ] other: \_\_\_\_\_
16. I have transitioned to the sex sought in this petition and have outwardly expressed as the sex sought in this petition in a consistent and uniform manner for at least 6 months.
17. I experience clinically significant distress or impairment due to the current legal sex designation on my birth certificate.
18. I have attached evidence of appropriate clinical care or treatment for gender transitioning or change by a licensed medical professional. (example: letter from medical provider).
19. I have attached evidence that I have outwardly expressed the sex sought in this petition in a consistent and uniform manner for at least 6 months and that the sex change sought in this petition is sincerely held and part of my core identity.

I declare under criminal penalty under the law of Utah that everything stated in this document is true.

Signed at \_\_\_\_\_ (city, and state or country).

\_\_\_\_\_  
Date

Signature ► \_\_\_\_\_

Printed Name \_\_\_\_\_

---

Name \_\_\_\_\_

---

Address

City, State, Zip

---

Phone

Email

**If you do not respond to this document within applicable time limits, judgment could be entered against you as requested.**

**Check your email.** You will receive information and documents at this email address.

I am the      ☐ Petitioner                      ☐ Attorney for the Petitioner and my Utah Bar number is \_\_\_\_\_  
                  ☐ Licensed Paralegal Practitioner of the Petitioner and my Utah Bar number is \_\_\_\_\_

In the District Court of Utah

Judicial District \_\_\_\_\_ County \_\_\_\_\_

Court Address

In the matter of the (choose all that apply):

[ ] name change of  
[ ] sex change of

(Minor's name)

A minor.

**Petition for** (choose all that apply):

**[ ] Minor's Name Change**

(Utah Code 42-1-1)

**[ ] Minor's Sex Change (and appointment of Private Guardian Ad Litem)**

(Utah Code 26-2-11)

Case Number

Judge

1. I am asking this Court to change vital statistics information for a minor. (A minor is a person who is younger than 18 years old.)
2. My relationship to the minor is:  
☐ parent  
☐ other (such as custodian or guardian; court order must be attached):  

---
3. If I am not the minor's legal guardian or custodian, I have attached a copy of the court orders appointing the guardian or custodian. Here is what I know about those orders:

| Date signed by judge | Case number | Court name | Copy attached? |
|----------------------|-------------|------------|----------------|
|                      |             |            |                |
|                      |             |            |                |

4. Minor's information:

|   |  |
|---|--|
| Name on birth certificate:  |  |
| Date of birth:  |  |
| Place of birth:   |  |
| Minor's current address: (you must file in the county where the minor lives)  |  |
| Date when minor began living in county where petition is filed: (the minor must live in the county for at least one year) |  |
| Name of adult who lives with minor, has physical custody, and provides care   |  |
| Parents' names:   |  |
| Parents' current addresses:<br>(list both addresses if they aren't the same)  |  |
| Minor's sex on birth certificate  |  |

5. Except for this petition:

☐ The minor is not involved in any court actions or proceedings.

☐ The minor is involved in the following court actions or proceedings:

|                              |   |  |  |
|------------------------------|---|--|--|
| Court case name              |   | Court case number  |  |
| Judge's name                 |   | County and state   |  |
| Type of case                 | <input type="checkbox"/> Order of protection<br><input type="checkbox"/> Civil litigation | <input type="checkbox"/> Juvenile court proceeding<br><input type="checkbox"/> Criminal case |  |
| Did the judge make an order? | <input type="checkbox"/> Yes<br><input type="checkbox"/> No                               |  |  |

|                              |   |  |  |
|------------------------------|---|--|--|
| Court case name              |   | Court case number  |  |
| Judge's name                 |   | County and state   |  |
| Type of case                 | <input type="checkbox"/> Order of protection<br><input type="checkbox"/> Civil litigation | <input type="checkbox"/> Juvenile court proceeding<br><input type="checkbox"/> Criminal case |  |
| Did the judge make an order? | <input type="checkbox"/> Yes<br><input type="checkbox"/> No                               |  |  |

6. I am:

☐ not on probation or parole.

☐ On probation or parole.

|                              |   |  |  |
|------------------------------|---|--|--|
| Court case name              |   | Court case number  |  |
| Judge's name                 |   | County and state   |  |
| Type of case                 | <input type="checkbox"/> Order of protection<br><input type="checkbox"/> Civil litigation | <input type="checkbox"/> Juvenile court proceeding<br><input type="checkbox"/> Criminal case |  |
| Did the judge make an order? | <input type="checkbox"/> Yes<br><input type="checkbox"/> No                               |  |  |

|                              |   |  |  |
|------------------------------|---|--|--|
| Court case name              |   | Court case number  |  |
| Judge's name                 |   | County and state   |  |
| Type of case                 | <input type="checkbox"/> Order of protection<br><input type="checkbox"/> Civil litigation | <input type="checkbox"/> Juvenile court proceeding<br><input type="checkbox"/> Criminal case |  |
| Did the judge make an order? | <input type="checkbox"/> Yes<br><input type="checkbox"/> No                               |  |  |

7. I am not requesting these changes for a wrongful or fraudulent purpose, which includes efforts to commit a crime, to interfere with the rights of others, to avoid creditors, or to influence the sentence, fine, or conditions imprisonment in a criminal case, to commit fraud on the public, or for any other fraudulent purpose.
8. Granting this petition will not affect any right, title, or interest of anyone else, except for the parent, custodian, or guardian named above.
9. I do not know of any reason why this petition should not be granted.
10. I request a hearing.

(If you are only asking for a name change, complete paragraphs 11-14 and then skip to paragraph 22.  
 If you are asking for both a name and sex change, complete all the paragraphs below.  
 If you are only asking for a sex change, skip to paragraph 15)

## 11. ☐ Name Change

I ask the court to change the minor's name to:

|                      |  |
|----------------------|--|
| First name           |  |
| Middle name (if any) |  |
| Surname (last name)  |  |

12. The minor is not on the Child Abuse Offender Registry. (Utah Code 77-43-105(7))
13. The minor is (Choose one.):
- ☐ not on the Sex and Kidnap Offender Registry.
- ☐ on the Sex and Kidnap Offender Registry. Granting this petition is not against the public interest because (Explain.):
- \_\_\_\_\_
- \_\_\_\_\_
14. I am filing a Certification Regarding Offender Registry completed by the Utah Department of Corrections.
15. ☐ **Sex Change**  
(Only complete paragraphs 15-21 if you are asking for a sex change for the minor.)
- I ask the court to change the minor's legal sex to: ☐ male ☐ female.
16. The minor is at least 15 years and 6 months old.
17. I ask the court to appoint a private guardian ad litem for the minor child.
18. The minor has transitioned to the sex sought in this petition and has outwardly expressed as the sex sought in the petition in a consistent and uniform manner for at least 6 months.
19. The minor experiences clinically significant distress or impairment due to the current legal sex designation on their birth certificate.
20. I have attached evidence of the minor's appropriate clinical care or treatment for gender transitioning or change by a licensed medical professional.
21. I have attached evidence that the minor has outwardly expressed the sex sought in this petition in a consistent and uniform manner for at least 6 months and that the sex change sought in this petition is sincerely held and part of their core identity.

### Notice and Best Interests

22. ☐ The following people may be entitled to notice and to participate in these proceedings:
- ☐ \_\_\_\_\_ (parent's name)
- ☐ has agreed to the proposed change.

I have attached their signed consent, or I will file it before the hearing on this petition.

☐ has not agreed to the proposed change.

I will have them served with a copy of this petition and a summons.

☐ \_\_\_\_\_ (other parent's name)

☐ has agreed to the proposed change.

I have attached their signed consent, or I will file it before the hearing on this petition.

☐ has not agreed to the proposed change.

I will have them served with a copy of this petition and a summons.

☐ \_\_\_\_\_ (guardian, conservator, Guardian ad Litem)

☐ has agreed to the proposed change.

I have attached their signed consent, or I will file it before the hearing on this petition.

☐ has not agreed to the proposed change.

I will have them served with a copy of this petition and a summons.

23. The changes to the minor's vital statistics information will benefit the minor. Here are the reasons why:

---



---



---



---

24. The minor child: (choose one)

☐ is old enough to make intelligent and decisive choices, and wants to make these changes because:

---



---

☐ is not old enough to make an intelligent and decisive choice about these changes.

25. These changes are in the minor's best interest.

I declare under criminal penalty under the law of Utah that everything stated in this document is true.

Signed at \_\_\_\_\_ (city, and state or country).

Date \_\_\_\_\_

Signature ►

Printed Name



## In the District Court of Utah

\_\_\_\_ Judicial District \_\_\_\_\_ County

Court Address \_\_\_\_\_

In the matter of the (choose all that apply):

- ☐ name change of  
☐ sex change of

\_\_\_\_\_  
(Minor's name)

A minor.

**Order Changing** (choose all that apply):

☐ **Minor's Name**  
 (Utah Code 42-1-1)

☐ **Minor's Sex**  
 (Utah Code 26-2-11)

\_\_\_\_\_  
Case Number\_\_\_\_\_  
Judge

1. Petitioner and the minor appeared in court on: \_\_\_\_\_ (date).

2. The minor was born on: \_\_\_\_\_ (date).

**The court finds:**

3. ☐ All notices required by law have been given.  
 4. ☐ No objections to the proposed changes were made.  
☐ Objections to the proposed changes were made by:

\_\_\_\_\_  
☐ Written consent to the proposed changes was filed by:

☐ \_\_\_\_\_ (name),  
 the minor's parent

☐ \_\_\_\_\_ (name),  
 the minor's parent

☐ \_\_\_\_\_ (name),  
 the minor's custodian

☐ \_\_\_\_\_ (name),  
 the minor's guardian

☐ \_\_\_\_\_ (name),  
 other, who is minor's

\_\_\_\_\_ (specify relationship)

5. ☐ The statements in the petition are true.
6. ☐ The requests in the petition are not for a wrongful or fraudulent purpose.
7. ☐ For name change: The name on the minor's birth certificate is:

|                         |  |
|-------------------------|--|
| First name              |  |
| Middle name(s) (if any) |  |
| Last name               |  |

The minor:

☐ is not on the Child Abuse Offender Registry

☐ is on the Child Abuse Offender Registry.

The minor:

☐ is not on the Sex and Kidnap Offender Registry.

☐ is on the Sex and Kidnap Offender Registry, but granting the petition is not against the public interest (Utah Code 77-41-105(8)(a)).

8. ☐ For sex change: the minor's legal sex is ☐ male ☐ female ☐ other: \_\_\_\_\_
9. ☐ For sex change: the petition is supported by clear and convincing objective evidence of appropriate clinical care or treatment for gender transitioning or change, provided by a licensed medical professional.
10. ☐ For sex change: there is clear and convincing evidence that the minor has transitioned to the sex sought in the petition in a consistent and uniform manner for at least 6 months.
11. ☐ For sex change: there is clear and convincing evidence that the minor experiences significant distress or impairment due to the current legal sex designation on their birth certificate.
12. ☐ Other findings (if any):

\_\_\_\_\_  
\_\_\_\_\_

**The court concludes:**

13. The statements in the petition:
- ☐ are sufficient and the petition should be granted.

☐ are not sufficient and the petition should not be granted.

14. ☐ Name Change

The requirements for a name change in Utah Code 42-1-1 through 42-1-3:

☐ have been met.

☐ have not been met.

15. ☐ Sex Change

The requirements for a legal sex change in Utah Code 26-2-11:

☐ have been met.

☐ have not been met.

16. The name change ☐ is ☐ is not in the best interest of the minor.

17. The legal sex change ☐ is ☐ is not in the best interest of the minor.

**The court orders:**

18. The Petition is

☐ granted ☐ denied

19. ☐ The minor's current legal name is changed to

|                        |  |
|------------------------|--|
| First name             |  |
| Middle name (if any)   |  |
| Surname<br>(Last name) |  |

This new name may be entered on the minor's birth certificate and used as the minor's legal name from this date forward.

20. ☐ The minor's current legal sex is changed from:

☐ male to female

☐ female to male

This new legal sex may be entered on the minor's birth certificate and used as the minor's legal sex on:

☐ the child's 16<sup>th</sup> birthday which is \_\_\_\_\_ (date), or

☐ immediately. The child is over 16 years old.

Judge's signature may instead appear at the top of the first page of this document.

\_\_\_\_\_  
Date

Signature ►

\_\_\_\_\_  
Judge

### Certificate of Service

I certify that I filed with the court and am serving a copy of this Order Changing Minor's Name or Sex on the following people.

| Person's Name | Service Method  | Service Address | Service Date |
|---------------|---|-----------------|--------------|
|               | <input type="checkbox"/> Mail<br><input type="checkbox"/> Hand Delivery<br><input type="checkbox"/> E-filed<br><input type="checkbox"/> Email<br><input type="checkbox"/> Left at business (With person in charge or in receptacle for deliveries.)<br><input type="checkbox"/> Left at home (With person of suitable age and discretion residing there.) |                 |              |
|               | <input type="checkbox"/> Mail<br><input type="checkbox"/> Hand Delivery<br><input type="checkbox"/> E-filed<br><input type="checkbox"/> Email<br><input type="checkbox"/> Left at business (With person in charge or in receptacle for deliveries.)<br><input type="checkbox"/> Left at home (With person of suitable age and discretion residing there.) |                 |              |

\_\_\_\_\_  
 Date

\_\_\_\_\_  
 Signature ►

\_\_\_\_\_  
 Printed Name

## In the District Court of Utah

\_\_\_\_ Judicial District \_\_\_\_\_ County

Court Address \_\_\_\_\_

In the matter of the (choose all that apply):

☐ name change of☐ sex change of\_\_\_\_\_  
(Minor's name)

A minor.

**Order to Appoint Private Guardian  
Ad Litem**\_\_\_\_\_  
Case Number\_\_\_\_\_  
Judge

The matter before the court is a Petition for Minor Sex Change.

1. The court orders that a private guardian ad litem be appointed as required by Utah Code 26-2-11.
2. The fees and expenses of the private guardian ad litem shall be paid by:
  - ☐ The petitioner.
  - ☐ Another party \_\_\_\_\_ (name).
  - ☐ Shared by the petitioner and other party \_\_\_\_\_ (name).  
 Petitioner will be responsible for \_\_\_\_\_ percent of the fees and expenses. Other party \_\_\_\_\_ (name) will be responsible for \_\_\_\_\_ percent of the fees and expenses.
  - ☐ Fee waiver. The court finds that the child's parent(s) or guardian(s) is indigent and cannot afford to pay the fees and expenses. The fees and expenses of the guardian ad litem are waived.
3. The private guardian ad litem shall give notice of the proceeding to the parent(s) of the child and provide the court with the relevant evidence required in Utah Code 26-2-11(3)(b).

Judge's signature may instead appear at the top of the first page of this document.

\_\_\_\_\_  
Date

Signature ► \_\_\_\_\_

\_\_\_\_\_  
Commissioner\_\_\_\_\_  
Date

Signature ► \_\_\_\_\_

\_\_\_\_\_  
Judge

### Certificate of Service

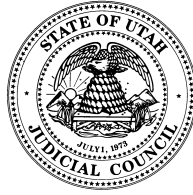
I certify that I filed with the court and am serving a copy of this Order to Appoint Private Guardian Ad Litem on the following people.

| Person's Name | Service Method  | Service Address | Service Date |
|---------------|---|-----------------|--------------|
|               | <input type="checkbox"/> Mail<br><input type="checkbox"/> Hand Delivery<br><input type="checkbox"/> E-filed<br><input type="checkbox"/> Email<br><input type="checkbox"/> Left at business (With person in charge or in receptacle for deliveries.)<br><input type="checkbox"/> Left at home (With person of suitable age and discretion residing there.) |                 |              |
|               | <input type="checkbox"/> Mail<br><input type="checkbox"/> Hand Delivery<br><input type="checkbox"/> E-filed<br><input type="checkbox"/> Email<br><input type="checkbox"/> Left at business (With person in charge or in receptacle for deliveries.)<br><input type="checkbox"/> Left at home (With person of suitable age and discretion residing there.) |                 |              |
|               | <input type="checkbox"/> Mail<br><input type="checkbox"/> Hand Delivery<br><input type="checkbox"/> E-filed<br><input type="checkbox"/> Email<br><input type="checkbox"/> Left at business (With person in charge or in receptacle for deliveries.)<br><input type="checkbox"/> Left at home (With person of suitable age and discretion residing there.) |                 |              |

Signature ►

Date

Printed Name



# Administrative Office of the Courts

Chief Justice Matthew B. Durrant  
Utah Supreme Court  
Chair, Utah Judicial Council

April 13, 2023

Ronald B. Gordon, Jr.  
State Court Administrator  
Neira Siaperas  
Deputy State Court Administrator

## MEMORANDUM

**TO:** Forms Committee

**FROM:** Kaden Taylor

**RE:** Text boxes and form names for new forms engine

In our Forms Committee meeting on December 12, 2022, Kristene Laterza presented to the group concerning our new form engine that is being designed for us to better update forms and incorporate forms into MyCase, among other benefits. Part of that discussion revolved around how the new tool will eventually provide forms as fillable PDFs instead of Word documents for the majority of forms. While developing this tool the developers have come across two issues, and they ask the Forms Committee to approve the following two items which will affect our court forms once we convert them from Word/PDF documents to buildable forms within the forms engine.

1. Our team discovered that on forms that already have fillable PDFs available on our website, there are issues concerning a user running out of space to type answers. The text boxes provided on the form would cut off someone's answers if they typed too much once the document was printed or when it was submitted through MyCase. Users are not always aware of this error. The developers are designing new text boxes that will expand when someone types in them, so that the entirety of a user's answers will appear. This new approach, however, will change how our paper forms will appear. Currently, our PDF and Word forms provide blank lines for someone to use to write in an answer. Because of development difficulties, once this change to our text boxes is made in the form engine, printable forms will no longer have lines for someone to write on and will instead have text boxes like the one below:

k. Other facts

Write your response here.

The mission of the Utah judiciary is to provide the people an open, fair,  
efficient, and independent system for the advancement of justice under the law.

We will have the ability to set the default size of these textboxes on a per form basis, and as I mentioned above, these boxes will expand if someone is filling this out on a computer and types more than would fit in the box.

The Forms Committee was presented with a few options concerning the appearance of the text boxes, and they approved for use the version of the text box displayed above.

2. There are multiple forms that have the same form name but are different forms. This arises from the fact that we now need to provide different versions of forms for family law cases vs. general cases, and we also need to provide commissioner and judge versions of several forms. In order to differentiate these forms in the engine, we are proposing that the following naming convention be approved by the committee. These names will appear on the forms as the official name of the form:

A. For forms that have multiple versions based on case type, we will specify the case type after the name of the form:

- Order on Motion to Set Aside - Family
- Order on Motion to Set Aside - Probate
- Order on Motion to Set Aside - General

B. For forms that also have a commissioner and judge version of the form, we will also include that differentiation in the title:

- Motion to Set Aside - Family - Judge
- Motion to Set Aside - Family - Commissioner

We ask that the committee approve the use of this new naming convention for use in instances where we have multiple versions of the same form.





# Administrative Office of the Courts

Chief Justice Matthew B. Durrant  
Utah Supreme Court  
Chair, Utah Judicial Council

April 3, 2023

Ronald B. Gordon, Jr.  
State Court Administrator  
Neira Siaperas  
Deputy State Court Administrator

## MEMORANDUM

**TO:** Forms Committee

**FROM:** Nathanael Player

**RE:** Recodification of statutes in Titles 26 and 62A due to SB 39

SB 39 recodifies several statutes. Effective May 3, 2023, many statutes in Utah Code Title 26 and Title 62A will be moved to Utah Code Title 26B. For our purposes, there are no substantive changes – the only changes relate to the recodification of the statutes. The following forms will be affected by these changes:

| Form Name   | Old Code                 | New Code                   |
|---|--------------------------|----------------------------|
| Petition for Sex Change   | 26-2-11                  | 26B-8-11                   |
| Coversheet for Probate (adoption)   | 26-2-25                  | 26B-8-128                  |
| Coversheet for District Court (vital statistics form)                           | 26-2-25                  | 26B-8-128                  |
| Verified Petition for Order Establishing Fact of Birth                          | 26-2-8 and<br>26-2-9     | 26B-8-108 and<br>26B-8-109 |
| Order Establishing Facts of Birth and Delayed Registration of Birth             | 26-2-9                   | 26B-8-109                  |
| Verified Petition for Order Establishing Fact of Birth on Behalf of a Minor     | 26-2-8 and<br>26-2-9     | 26B-8-108 and<br>26B-8-109 |
| Order Establishing Facts of Birth and Delayed Registration of Birth for a Minor | 26-2-9                   | 26B-8-109                  |
| Petition to Expunge Records (Cannabis Conviction)                               | 26-61a-104               | 26B-4-203                  |
| Order on Petition to Expunge Records (Cannabis Conviction)                      | 26-61a-104<br>26-61a-102 | 26B-4-203<br>26B-4-201     |
| Nonpublic information – parent identification and location                      | 62A-11-<br>304.4         | 26B-9-207                  |
| Nonpublic information – safeguarded contact information                         | 62A-11-<br>304.4         | 26B-9-207                  |
| Verified Petition for Ex Parte Child Protective Order                           | 62A-11-<br>401 and       | 26B-9-301 and<br>26B-9-402 |

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|   |                           |                         |
|---|---------------------------|-------------------------|
|   | 62A-11-501                |                         |
| Child Protective Order                                | 62A-11-401 and 62A-11-501 | 26B-9-301 and 26B-9-402 |
| Motion for Temporary Order – with Children            | 62A-11-404                | 26B-9-304               |
| Motion or Stipulated Petition to Adjust Child Support | 62A-11-404                | 26B-9-304               |
| Petition and Stipulation to Modify Child Support      | 62A-11-404                | 26B-9-304               |
| Petition for Essential Treatment                      | 62A-15-1202 and 1203      | 26B-5-501 and 502       |
| Order on Request for Examination                      | 62A-15-1204 and 1205      | 26B-5-504 and 26B-5-505 |
| Request for Preliminary Hearing                       | 62A-4a-1205               | 26B-5-505               |
| Order on Request for Essential Treatment Examination  | 62A-4a-1205               | 26B-5-505               |

We seek the Form Committee’s permission to update these forms, applicable OCAP provisions in the petitions, motions, findings of fact and conclusions of law, and orders (in the divorce, custody, and temporary separation interviews). We also seek permission to update any other forms we identify that are affected by this recodification.



## Administrative Office of the Courts

Chief Justice Matthew B. Durrant  
Utah Supreme Court  
Chair, Utah Judicial Council

April 13, 2023

Ronald B. Gordon, Jr.  
State Court Administrator  
Neira Siaperas  
Deputy State Court Administrator

### MEMORANDUM

**TO: Forms Committee**

**FROM: Nathanael Player, on behalf of OCAP**

**RE: OCAP changes necessitated by SB 51 and SB 229**

Two bills, SB 51 and SB 229, require changes to OCAP, our form Motion for Temporary Order – with Children, and our form Order on Motion for Temporary Order – With Children.

#### SB 51

SB 51 changes the table in Utah Code 30-3-35 as follows:

- Changes the holiday time period to end at 7 pm (instead of the day before school resumes) on: Dr. Martin Luther King Jr. Day, Memorial Day, and Labor Day.
- Adds Juneteenth National Freedom Day to the table, articulating beginning and ending times.
- Clarifies that Thanksgiving and the second half of winter break end at 7pm on the day (instead of “night”) before school resumes.

SB 51 also changes the tables in Utah Code 30-3-35.1 and 30-3-35.5, adding Juneteenth National Freedom day to each.

These changes only affect OCAP. We seek permission to update all relevant pleadings in the Divorce, Divorce Answer, Custody, Custody Answer, and any other needed interviews, consistent with the above.

#### SB 229

SB 229 changes what provisions must be included in a child support order regarding medical expenses. The bill changes Utah Code 78B-12-212. The new law requires child support orders to include provisions providing that:

- Each parent will share equally the out-of-pocket costs of insurance premiums annually paid by a parent for the child’s portion of insurance

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- Each parent will equally share all reasonable and necessary uninsured and unreimbursed medical and dental expenses incurred for a child, including co-payments, co-insurance, and deductibles.

Regarding the first bullet point, OCAP currently includes these provisions. However, the language differs somewhat from what our Motion for Temporary Order says. Below is the language currently used in OCAP, with track changes turned on to highlight recommended changes.

- Both parties will equally share the out-of-pocket costs of the insurance premiums. The portion of the premium will be calculated by dividing the premium amount by the number of people covered by the policy and multiplying the result by the number of minor children of the parties.

Regarding the second bullet point, OCAP already includes this required language. However, this necessitates some minor changes to the Motion for Temporary Order – With Children, and the accompanying order. Those forms are included with this memo.

A motion to approve these changes authorizes us to update OCAP consistent with the requirements of SB 51 and SB 229 and authorizes the changes to the Motion for Temporary Order – With Children, and the accompanying order.

**Commented [NP1]:** Not required by statute, but this language is in our motion for temporary order and adds clarity as to how to calculate the cost here

\_\_\_\_\_  
Name

\_\_\_\_\_  
Address

\_\_\_\_\_  
City, State, Zip

\_\_\_\_\_  
Phone

\_\_\_\_\_  
Email

**This motion will be decided by the court commissioner at an upcoming hearing. If you do not appear at the hearing, the Court might make a decision against you without your input. In addition, you may file a written response at least 14 days before the hearing.**

**Check your email.** You will receive information and documents at this email address.

I am ☐ Petitioner ☐ Respondent  
☐ Petitioner's Attorney ☐ Respondent's Attorney (Utah Bar #: \_\_\_\_\_)  
☐ Petitioner's Licensed Paralegal Practitioner  
☐ Respondent's Licensed Paralegal Practitioner (Utah Bar #: \_\_\_\_\_)

In the District Court of Utah

\_\_\_\_\_ Judicial District \_\_\_\_\_ County

Court Address \_\_\_\_\_

In the Matter of (select one)

- ☐ the Marriage of (for a divorce with or without children, annulment, separate maintenance, or temporary separation case)
- ☐ the Children of (to establish custody, parent-time or child support)
- ☐ the Parentage of the Children of (for a paternity case)

\_\_\_\_\_  
(name of Petitioner)

and

\_\_\_\_\_  
(name of Respondent)

\_\_\_\_\_  
Other parties (if any)

**Motion for Temporary Order – With Children - Commissioner**

☐ **Hearing Requested**

\_\_\_\_\_  
Case Number

\_\_\_\_\_  
Judge

\_\_\_\_\_  
Commissioner

I ask the court to enter temporary orders in the paragraphs I have marked below.

1. ☐ **Children** (including only unborn, minor and adult incapacitated children)

The petitioner and the respondent are the parents of the following children: (Add additional pages if needed.)

| Child's name<br>(first, middle and last) | Month and<br>year of birth | Type of child  |
|--|----------------------------|--|
| Example:<br>Jennie Eliza Jones           | January 2017               | <input type="checkbox"/> Unborn<br><input checked="" type="checkbox"/> Minor<br><input type="checkbox"/> Adult incapacitated |
|  |                            | <input type="checkbox"/> Unborn<br><input type="checkbox"/> Minor<br><input type="checkbox"/> Adult incapacitated            |
|  |                            | <input type="checkbox"/> Unborn<br><input type="checkbox"/> Minor<br><input type="checkbox"/> Adult incapacitated            |
|  |                            | <input type="checkbox"/> Unborn<br><input type="checkbox"/> Minor<br><input type="checkbox"/> Adult incapacitated            |
|  |                            | <input type="checkbox"/> Unborn<br><input type="checkbox"/> Minor<br><input type="checkbox"/> Adult incapacitated            |
|  |                            | <input type="checkbox"/> Unborn<br><input type="checkbox"/> Minor<br><input type="checkbox"/> Adult incapacitated            |

The children have lived at the addresses listed below and with the persons listed below for the past five years: (Add additional pages if needed.)

| Child's name             | Address (street,<br>city, state, ZIP) | Dates child<br>lived at this<br>address | Name(s) of<br>person(s) who<br>lived with child at<br>this address | Relationship(s)<br>to child     |
|--------------------------|---------------------------------------|---|--|---------------------------------|
| Example:<br>Jennie Jones | 123 Maple St<br>Mayberry, UT 84444    | 5/15/15 to present                      | Jane Doe, John Jones   | Mother, maternal<br>grandfather |
|                          |                                       |   |  |                                 |
|                          |                                       |   |  |                                 |
|                          |                                       |   |  |                                 |
|                          |                                       |   |  |                                 |
|                          |                                       |   |  |                                 |

## 2. ☐ Child custody

All orders involving children will include two types of custody: physical custody and legal custody.

**Physical custody** deals with where the children live and how many overnights the children

spend with each parent.

**Sole physical custody** means that the children live primarily with one parent and have parent time (visitation) with the other parent (see parent-time options in Section 3).

**Joint physical custody** means that the children typically spend at least 30% of overnights with both parents each year and that both parents contribute to the expenses of the child in addition to paying child support.

**Split physical custody** means that where there is more than one child, each parent is awarded sole physical custody of at least one of the children.

**Legal custody** deals with access to information and decision making.

**Sole legal custody** means that one parent has the right to make important decisions about the child.

**Joint legal custody** means that both parents: (1) have the right to information about the child (events, appointments, access to school and medical records, etc.); and (2) that both parents discuss and make major decisions together – (education, religion, medical, extra-curricular activities, etc.) but designate a parent to make the final decision if they cannot agree.

I ask the court to order temporary custody below (Choose one. If you ask for any joint legal custody or joint physical custody arrangement, you must file or attach a Parenting Plan based on Utah Code 30-3-10.7 to 30-3-10.10.):

☐ Custody arrangement: (Add additional pages if needed.)

| Child's name             | Month and year of birth | Order physical custody to  | Order legal custody to  |
|--------------------------|-------------------------|--|---|
| Example:<br>Jennie Jones | January 2013            | <input type="checkbox"/> Petitioner<br><input checked="" type="checkbox"/> Respondent<br><input type="checkbox"/> Joint physical | <input type="checkbox"/> Petitioner<br><input checked="" type="checkbox"/> Respondent<br><input type="checkbox"/> Joint legal |
|                          |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint physical            | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint legal            |
|                          |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint physical            | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint legal            |
|                          |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint physical            | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint legal            |
|                          |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint physical            | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint legal            |
|                          |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint physical            | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint legal            |

☐ Other custody arrangement (Describe in detail.):

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I ask the court to order the custody arrangement I have marked above because:

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3. **[ ] Parent-time**

I ask the court to order temporary parent-time below (Choose one.):

☐ Statutory parent-time schedule: (Choose all that apply. You can find the Utah Code at [le.utah.gov/xcode/code.html](http://le.utah.gov/xcode/code.html). Print and attach a copy of the statute(s) for the option(s) you choose.)

☐ Children under 5 (Utah Code 30-3-35.5)

☐ Children 5-18 (Utah Code 30-3-35)

☐ Children 5-18 (expanded schedule) (Utah Code 30-3-35.1)

☐ Children 5-18 (equal parent-time) (Utah Code 30-3-35.2)

☐ Parent-time described in the filed or attached Parenting Plan.

☐ Other parent-time schedule: (Describe in detail.)

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I ask the court to order the parent-time schedule I chose above because:



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4. ☐ **Parent-time transfers**

I ask the court to order transfer (pick-up and drop-off) of the children for parent-time described below (Choose one.):

☐ Order transfer of the children for parent-time described in the filed or attached Parenting Plan.

☐ Order transfer at **beginning** of parent-time with

☐ petitioner

☐ respondent

☐ other adult (Name) \_\_\_\_\_

transferring the children at this address:

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and transfer at **end** of parent-time with

☐ petitioner

☐ respondent

☐ other adult (Name) \_\_\_\_\_

transferring the children at this address:

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☐ Order curbside transfers (The parent/person picking up or dropping off the children does not leave the vehicle and the other parent/person does not leave the residence).

☐ Other transfer arrangements (Describe in detail.):

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I ask the court to order the transfer arrangement I chose above because:

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5. ☐ **Communication between parties**

I ask the court to order communication between the parties as described below  
(Choose as many options as you want.):

☐ In person

☐ Phone

Petitioner's # \_\_\_\_\_ Respondent's # \_\_\_\_\_

☐ Text

Petitioner's # \_\_\_\_\_ Respondent's # \_\_\_\_\_

☐ Email

Petitioner's email address \_\_\_\_\_

Respondent's email address \_\_\_\_\_

☐ Through a third party

Name \_\_\_\_\_ Phone # \_\_\_\_\_

☐ Other method of communication: (Describe in detail.)

\_\_\_\_\_

☐ Communications between the parties must be civil and respectful and limited to parent-time issues only.

☐ The parties must not make negative or harmful remarks about each other in the presence of the children, must not allow other people to do so and must remove the children if anyone makes negative remarks about the other party.

☐ The parties must not discuss this case in the presence of the minor children, must not allow other people to do so and must remove the children if anyone discusses the case in the presence of the minor children.

- ☐ The parties must not harm or threaten to harm the other parent or the children and must not allow other people to do so and must remove the children if anyone harms or threatens harm to the other parent or children.

6. ☐ **Child support**

I ask the court to order child support based on the parties' incomes or estimate of income based on ability or work history.

- a. Petitioner's total countable gross monthly income for child support purposes is \$\_\_\_\_\_ (Utah Code 78B-12-203).

This income is from these sources:

\_\_\_\_\_  
\_\_\_\_\_.

- ☐ The court should consider petitioner's income to be \$\_\_\_\_\_ based on (Choose one.):

☐ minimum wage.

☐ historical earnings.

- ☐ Petitioner does receive or has received public assistance.

- b. Respondent's total countable gross monthly income for child support purposes is \$\_\_\_\_\_ (Utah Code 78B-12-203).

This income is from these sources:

\_\_\_\_\_  
\_\_\_\_\_.

- ☐ The court should consider respondent's income to be \$\_\_\_\_\_ based on (Choose one.):

☐ minimum wage.

☐ historical earnings.

- ☐ Respondent does receive or has received public assistance.

- c. Order ☐ petitioner ☐ respondent to pay \$\_\_\_\_\_ per month for child support. The following child support worksheet is filed or attached (Choose one.):

☐ sole physical custody worksheet

☐ joint physical custody worksheet

☐ split custody worksheet

(Choose one.)

☐ This amount is based on the Uniform Child Support Guidelines (Utah Code 78B-12-201 et seq.).

☐ This amount is **not** based on the Uniform Child Support Guidelines and I am asking for a different amount because (Choose one.):

☐ the guidelines are unjust.

☐ the guidelines are inappropriate.

☐ the guidelines amount is not in the best interest of the children.

(Utah Code 78B-12-202 and 210.)

Explain your choice:

\_\_\_\_\_  
\_\_\_\_\_.

d. Effective date (Choose one.):

☐ The child support is effective upon entry of this order.

**OR**

☐ The child support is effective as of this date: \_\_\_\_\_.

e. Child support will be paid as follows (Choose one.):

☐ Mandatory income withholding by the Office of Recovery Services.  
Unless the Office of Recovery Services gives notice that payments will be sent elsewhere, all child support payments must be made to: Office of Recovery Services, PO Box 45011, Salt Lake City, UT 84145

**OR**

☐ Direct payments to the parent receiving child support by:

☐ Check

☐ Deposit in bank account

☐ Cashier's check or money order

☐ Other: \_\_\_\_\_

I ask for direct payment because (Utah Code 62A-11-404):

\_\_\_\_\_

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f. I ask that child support payments be made (Choose one.):

☐ One-half on or before the 5th day of each month, and one-half on or before the 20th day of each month.

**OR**

☐ Other payment arrangement:

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g. Child support not paid on or before the due date is delinquent on the day after the due date.

h. Child support arrearages will be determined by further judicial or administrative process. Any federal or state tax refund or rebate due to the non-custodial parent will be intercepted by the state of Utah and applied to child support arrearages.

7. ☐ **Child care expenses**

I ask the court to order that both parties share equally the reasonable child care expenses related to the custodial parent's work or occupational training.

The parent who pays child care expenses must **immediately** provide to the other parent written verification of the cost of the child care expenses and the identity of the child care provider when hired, within 30 calendar days after a change in the provider or the expense, and anytime upon the request of the other parent.

If the parent who pays child care expenses fails to provide written verification of child care above, that parent may be denied the right to recover or receive credit for the other parent's one-half share of the child care expense.

The other parent must begin paying one-half the child care amount on a monthly basis **immediately** after receiving proof from the parent that pays the child care expense.

☐ Other request for child care payment:

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8. ☐ **Health insurance, medical and dental expenses**

Our minor children currently have health insurance coverage through:

- ☐ [ ] Petitioner's insurance
- ☐ [ ] Respondent's insurance
- ☐ [ ] Medicaid
- ☐ [ ] CHIP
- ☐ [ ] Other: \_\_\_\_\_
- ☐ [ ] Not covered by insurance

Both parties must provide health care coverage for the medical expenses of the dependent children. Health care coverage means coverage under which medical services are provided to a dependent child through: fee for service, a health maintenance organization, a preferred provider organization, any other type of private health insurance, or public health care coverage. Utah Code 78B-12-102(13).

- ☐ [ ] I ask the court to order that ☐ [ ] petitioner ☐ [ ] respondent maintain health insurance for our minor children. Both parties must share equally:
  - a. the cost of the premium paid by a parent for the children's portion of the insurance. The children's portion of the premium will be calculated by dividing the premium amount by the number of people covered by the policy and multiplying the result by the number of minor children of the parties; and
  - b. all reasonable and necessary uninsured and unreimbursed medical and dental expenses incurred for the children. This includes deductibles, co-payments and co-insurance.

If medical insurance is not available at reasonable cost then both parents must ensure the children have health care coverage. This may require applying for public health care coverage, such as CHIP or Medicaid.

The parent ordered to maintain insurance must provide written verification of coverage to the other parent or the Office of Recovery Services when the children are first enrolled, on or before January 2nd of each calendar year and upon any change of insurance carrier, premium, or benefits within 30 calendar days after the date that parent knew or should have known of the change.

If the parent ordered to maintain insurance fails to provide written verification of coverage to the other parent or to the Office of Recovery Services, or if the parent incurring medical expenses fails to provide written verification of the cost and payment of the expenses to the other parent

**within 30 days of payment**, that parent may be denied the right to receive credit for the expenses or to recover the other parent's share of the expenses.

The parent receiving written verification will reimburse the parent who incurred the medical or dental expenses one-half of the amount **within 30 days after receiving the written verification**.

I ask for this order because (Choose all that apply.):

☐ the insurance is available to ☐ petitioner ☐ respondent;

☐ the cost of the insurance is reasonable

☐ the custodial parent prefers this arrangement.

☐ Other reasons:

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☐ I ask for these additional orders regarding health insurance and medical and dental expenses:

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9. ☐ **Pregnancy expenses**

I ask the court to order that ☐ petitioner ☐ respondent pay 50% of the pregnancy expenses. These expenses were billed for services the mother received after becoming pregnant and before the pregnancy ended. (You must attach all documents supporting the amounts you are requesting.)

☐ One-half of health insurance premiums not paid \$ \_\_\_\_\_  
by an employer or government program  
from \_\_\_\_\_ to \_\_\_\_\_ (dates)

☐ One-half of medical costs related to the \$ \_\_\_\_\_  
pregnancy not covered by insurance or  
government program

10. ☐ **Tax exemptions for dependent children**

I ask the court to order tax exemptions for the dependent children for tax year \_\_\_\_\_, as follows:

| Child's name | Month and year of birth | Parent who may claim exemption   |
|--------------|-------------------------|--|
|              |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent |
|              |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent |
|              |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent |
|              |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent |
|              |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent |

☐ Other: \_\_\_\_\_

11. ☐ **Payment of bills and debts**

I ask the court to order payment of bills and debts (such as mortgage, rent, credit card, utilities, medical expenses, car payments, insurance, etc.) as follows (File or attach Financial Declaration. Add additional pages if needed.):

☐ Petitioner to pay:

| Type of debt | Name of creditor | Last 4 digits of account no. | Total amount owed | Monthly amount owed |
|--------------|------------------|------------------------------|-------------------|---------------------|
|              |                  |                              | \$                | \$                  |
|              |                  |                              | \$                | \$                  |
|              |                  |                              | \$                | \$                  |

☐ Respondent to pay:



| Type of debt | Name of creditor | Last 4 digits of account no. | Total amount owed | Monthly amount owed |
|--------------|------------------|------------------------------|-------------------|---------------------|
|              |                  |                              | \$                | \$                  |
|              |                  |                              | \$                | \$                  |
|              |                  |                              | \$                | \$                  |

The bills and debts should be paid as requested because:

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12. ☐ **Property**

I ask the court to order the temporary use and possession of the following property (File or attach Financial Declaration. File or attach additional pages if needed.):

☐ To petitioner

☐ Residence (Address): \_\_\_\_\_

☐ Vehicle(s) (Make/model/year): \_\_\_\_\_

☐ Personal property items:

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☐ Other: \_\_\_\_\_

☐ To respondent

☐ Residence (Address): \_\_\_\_\_

☐ Vehicle(s) (Make/model/year): \_\_\_\_\_

☐ Personal property items:

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☐ Other: \_\_\_\_\_

☐ I ask the court to order that neither party sell, transfer or dispose of any property without a court order or written agreement signed by both parties.

I ask for this property order because:

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13. ☐ **Temporary alimony** (Divorce cases only. (Utah Code 30-3-5(8)).)

I am unable to meet my own financial needs, and I ask the court to order temporary alimony as follows (File or attach Financial Declaration.):

☐ petitioner ☐ respondent shall pay to ☐ petitioner ☐ respondent temporary alimony in the amount of \$ \_\_\_\_\_ per month by:

(Choose one.):

☐ Check

☐ Deposit in bank account

☐ Cashier's check or money order

☐ Other: \_\_\_\_\_

☐ Petitioner ☐ Respondent needs temporary alimony because :

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☐ Petitioner ☐ Respondent has the financial ability to pay temporary alimony because:

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14. ☐ **Attorney fees**

I ask the court to order the other party to pay \$ \_\_\_\_\_ to my attorney. (You can only ask for this if you are paying an attorney to represent you in this case. You must file or attach a Financial Declaration.)

I ask for attorney fees because:

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15. ☐ **Other**

I ask the court for these additional orders:

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I ask for these additional orders because:

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16. **Documents**

I have filed or attached the following documents in support of this Motion for Temporary Order (Check all that apply. Forms can be found at [www.utcourts.gov](http://www.utcourts.gov)):

☐ Parenting Plan (Utah Code 30-3-10.7 to 30-3-10.10)

☐ Parent time Schedule (Utah Code 30-3-35; 30-3-35.5; 30-3-35.1; 30-3-35.2)

☐ Child Support Obligation Worksheet (Utah Code 78B-12)

☐ Financial Declaration (Utah Rule of Civil Procedure 26.1)

☐ Income verification (Most recent tax return and pay stub)

☐ Other supporting documents: \_\_\_\_\_

**Petitioner or Respondent**

I declare under criminal penalty under the law of Utah that everything stated in this document is true.

Signed at \_\_\_\_\_ (city, and state or country).

\_\_\_\_\_  
Date

Signature ► \_\_\_\_\_

Printed Name \_\_\_\_\_

**Attorney or Licensed Paralegal Practitioner of record** (if applicable)

Date \_\_\_\_\_

Signature ► \_\_\_\_\_

Printed Name \_\_\_\_\_

**Notice to responding party**

You have a limited amount of time to respond to this motion. In most cases, you must file a written response with the court and provide a copy to the other party:

- within 14 days of this motion being filed, if the motion will be decided by a judge, or
- at least 14 days before the hearing, if the motion will be decided by a commissioner.

In some situations a statute or court order may specify a different deadline.

If you do not respond to this motion or attend the hearing, the person who filed the motion may get what they requested.

See the court's Motions page for more information about the motions process, deadlines and forms: [utcourts.gov/motions](https://utcourts.gov/motions)



Scan QR code  
to visit page

**Finding help**

The court's Finding Legal Help web page ([utcourts.gov/help](https://utcourts.gov/help)) provides information



Scan QR code  
to visit page

**Aviso para la parte que responde**

Su tiempo para responder a esta moción es limitado. En la mayoría de casos deberá presentar una respuesta escrita con el tribunal y darle una copia de la misma a la otra parte:

- dentro de 14 días del día que se presenta la moción, si la misma será resuelta por un juez, o
- por lo menos 14 días antes de la audiencia, si la misma será resuelta por un comisionado.

En algunos casos debido a un estatuto o a una orden de un juez la fecha límite podrá ser distinta.

Si usted no responde a esta moción ni se presenta a la audiencia, la persona que presentó la moción podría recibir lo que pidió.

Vea la página del tribunal sobre Mociones para encontrar más información sobre el proceso de las mociones, las fechas límites y los formularios:

[utcourts.gov/motions-span](https://utcourts.gov/motions-span)



Para acceder esta página  
escanee el código QR

**Cómo encontrar ayuda legal**

La página de la internet del tribunal Cómo encontrar ayuda legal



Para acceder esta página  
escanee el código QR

|  |   |
|--|---|
| about the ways you can get legal help, including the Self-Help Center, reduced-fee attorneys, limited legal help and free legal clinics. | ( <a href="http://utcourts.gov/help-span">utcourts.gov/help-span</a> ) tiene información sobre algunas maneras de encontrar ayuda legal, incluyendo el Centro de Ayuda de los Tribunales de Utah, abogados que ofrecen descuentos u ofrecen ayuda legal limitada, y talleres legales gratuitos. |
|--|---|

### Certificate of Service

I certify that I filed with the court and am serving a copy of this Motion for Temporary Order – With Children on the following people.

| Person's Name | Service Method  | Service Address | Service Date |
|---------------|---|-----------------|--------------|
|               | <input type="checkbox"/> Mail<br><input type="checkbox"/> Hand Delivery<br><input type="checkbox"/> E-filed<br><input type="checkbox"/> Email<br><input type="checkbox"/> Left at business (With person in charge or in receptacle for deliveries.)<br><input type="checkbox"/> Left at home (With person of suitable age and discretion residing there.) |                 |              |
|               | <input type="checkbox"/> Mail<br><input type="checkbox"/> Hand Delivery<br><input type="checkbox"/> E-filed<br><input type="checkbox"/> Email<br><input type="checkbox"/> Left at business (With person in charge or in receptacle for deliveries.)<br><input type="checkbox"/> Left at home (With person of suitable age and discretion residing there.) |                 |              |
|               | <input type="checkbox"/> Mail<br><input type="checkbox"/> Hand Delivery<br><input type="checkbox"/> E-filed<br><input type="checkbox"/> Email<br><input type="checkbox"/> Left at business (With person in charge or in receptacle for deliveries.)<br><input type="checkbox"/> Left at home (With person of suitable age and discretion residing there.) |                 |              |

\_\_\_\_\_  
 Date

\_\_\_\_\_  
 Signature ►

\_\_\_\_\_  
 Printed Name

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 Name

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 Address

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 City, State, Zip

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 Phone

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 Email

In the District Court of Utah

\_\_\_\_\_ Judicial District \_\_\_\_\_ County

Court Address \_\_\_\_\_

In the Matter of the (choose all that apply):

☐ name change of:

☐ sex change of:

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 Petitioner (current legal name)

**Order on Petition for:**

☐ **Name Change**

(Utah Code 42-1-1)

☐ **Sex Change**

(Utah Code 26-2-11)

---

 Case Number

---

 Judge

1. Petitioner appeared in court on \_\_\_\_\_ (date).

2. Petitioner was born on: \_\_\_\_\_ (date).

**The court finds:**

3. ☐ All the notices required by law have been given.

4. Objections (Choose one.):

☐ No objections to the petition were made.

☐ Objections to the petition were made by:

- 
5. ☐ The statements in the petition are accepted as true.
6. ☐ The requests in the petition are not for a wrongful or fraudulent purpose.
7. ☐ For name change: The name on petitioner's birth certificate is:

|                         |  |
|-------------------------|--|
| First name              |  |
| Middle name(s) (if any) |  |
| Last name               |  |

☐ The petitioner's name changed due to marriage, divorce, or court order. The petitioner's current legal name is:

|                         |  |
|-------------------------|--|
| First name              |  |
| Middle name(s) (if any) |  |
| Last Name               |  |

Petitioner:

- ☐ is not on the Child Abuse Offender Registry
- ☐ is on the Child Abuse Offender Registry.

Petitioner:

- ☐ is not on the Sex and Kidnap Offender Registry.
- ☐ is on the Sex and Kidnap Offender Registry, but granting the petition is not against the public interest (Utah Code 77-41-105(8)(a)).

8. ☐ For sex change: petitioner's legal sex is ☐ male ☐ female ☐ other: \_\_\_\_\_
9. ☐ For sex change: the petition is supported by clear and convincing objective evidence of appropriate clinical care or treatment for gender transitioning or change, provided by a licensed medical professional.
10. ☐ For sex change: there is clear and convincing evidence that petitioner has transitioned to the sex sought in the petition in a consistent and uniform manner for at least 6 months.



11. ☐ For sex change: there is clear and convincing evidence that petitioner experiences significant distress or impairment due to the current legal sex designation on their birth certificate.
12. ☐ Other findings (if any):

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**The court concludes:**

13. The statements in the petition:
- ☐ are sufficient and the petition should be granted.
- ☐ are not sufficient and the petition should not be granted.
14. ☐ Name Change
- The requirements for a name change in Utah Code 42-1-1 through 42-1-3:
- ☐ have been met.
- ☐ have not been met.
15. ☐ Sex Change
- The requirements for a legal sex change in Utah Code 26-2-11:
- ☐ have been met.
- ☐ have not been met.

Having considered the documents filed with the court, the evidence and the arguments, and now being fully informed,

**The court orders:**

16. The Petition is
- ☐ granted ☐ denied
17. Sex Change: ☐ Petitioner's legal sex is changed to:
- ☐ male ☐ female ☐ other: \_\_\_\_\_

This new legal sex may be entered on the petitioner's birth certificate and used as the petitioner's new legal sex from this date forward.

18. Name Change: ☐ Petitioner's legal name is changed to:

|                         |  |
|-------------------------|--|
| First name              |  |
| Middle name(s) (if any) |  |
| Last name               |  |

This new legal name may be entered on the petitioner's birth certificate and used as the petitioner's new legal name from this date forward.

Judge's signature may instead appear at the top of the first page of this document.

|      |             |  |
|------|-------------|--|
|      | Signature ► |  |
| Date | Judge       |  |

### Certificate of Service

I certify that I filed with the court and am serving a copy of this Order on Petition for Name Change or Sex Change on the following people.

(Only required if there were other interested parties in this case).

| Person's Name                  | Service Method  | Service Address | Service Date |
|--------------------------------|---|-----------------|--------------|
| (Interested party or attorney) | <input type="checkbox"/> Mail<br><input type="checkbox"/> Hand Delivery<br><input type="checkbox"/> E-filed<br><input type="checkbox"/> Email<br><input type="checkbox"/> Left at business (With person in charge or in receptacle for deliveries.)<br><input type="checkbox"/> Left at home (With person of suitable age and discretion residing there.) |                 |              |
| (Interested party or attorney) | <input type="checkbox"/> Mail<br><input type="checkbox"/> Hand Delivery<br><input type="checkbox"/> E-filed<br><input type="checkbox"/> Email<br><input type="checkbox"/> Left at business (With person in charge or in receptacle for deliveries.)<br><input type="checkbox"/> Left at home (With person of suitable age and discretion residing there.) |                 |              |

\_\_\_\_\_

Date

Signature ►

Printed Name

---

 Name

---

 Address

---

 City, State, Zip

---

 Phone

---

 Email

**Check your email.** You will receive information and documents at this email address.

In the District Court of Utah

\_\_\_\_\_ Judicial District \_\_\_\_\_ County

Court Address \_\_\_\_\_

In the Matter of (select one)

- ☐ the Marriage of (for a divorce with or without children, annulment, separate maintenance, or temporary separation case)
- ☐ the Children of (to establish custody, parent-time or child support)
- ☐ the Parentage of the Children of (for a paternity case)

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 (name of Petitioner)

and

---

 (name of Respondent)

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 Other parties (if any)

**Order on Motion for Temporary Order – With Children**

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 Case Number

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 Judge

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 Commissioner (domestic cases)

The matter before the court is a Motion for Temporary Order. This matter is being resolved by: (Choose all that apply.)

- ☐ The default of ☐ petitioner ☐ respondent.
- ☐ The stipulation of the parties.
- ☐ The pleadings and other papers of the parties.

☐ A hearing held on \_\_\_\_\_ (date), notice of which was served on all parties.

Petitioner

☐ was ☐ was not present

☐ was represented by \_\_\_\_\_

☐ was not represented.

Respondent

☐ was ☐ was not present

☐ was represented by \_\_\_\_\_

☐ was not represented.

**The court orders:**

1. ☐ **Child custody**

☐ Custody arrangement:

| Child's name | Month and year of birth | Physical custody to   | Legal custody to   |
|--------------|-------------------------|---|--|
|              |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint physical | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint legal |
|              |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint physical | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint legal |
|              |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint physical | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint legal |
|              |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint physical | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint legal |
|              |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint physical | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent<br><input type="checkbox"/> Joint legal |

☐ Other custody arrangement (Describe in detail.):

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2. ☐ **Parent-time** (Choose one.):

☐ Statutory parent-time schedule:

☐ Children 5-18 (Utah Code 30-3-35)

☐ Children under 5 (Utah Code 30-3-35.5)

☐ Children 5-18 (expanded schedule) (Utah Code 30-3-35.1)

☐ Children 5-18 (equal parent-time) (Utah Code 30-3-35.2)

☐ Parent-time described in the filed or attached Parenting Plan.

☐ Other parent-time schedule: (Describe in detail.)

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3. ☐ **Parent-time transfers** (Choose one.):

☐ Transfer of the children for parent-time described in the filed or attached Parenting Plan.

☐ Transfer at **beginning** of parent-time with

☐ petitioner

☐ respondent

☐ other adult (Name) \_\_\_\_\_

transferring the children at this address:

\_\_\_\_\_

and transfer at **end** of parent-time with

☐ petitioner

☐ respondent

☐ other adult (Name) \_\_\_\_\_

transferring the children at this address:

☐ Curbside transfers (The parent/person picking up or dropping off the children does not leave the vehicle and the other parent/person does not leave the residence).

☐ Other transfer arrangements (Describe in detail.):

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

4. ☐ **Communication between parties** (Choose all that apply.):

☐ In person

☐ Phone

Petitioner's # \_\_\_\_\_ Respondent's # \_\_\_\_\_

☐ Text

Petitioner's # \_\_\_\_\_ Respondent's # \_\_\_\_\_

☐ Email

Petitioner's email address \_\_\_\_\_

Respondent's email address \_\_\_\_\_

☐ Through a third party

Name \_\_\_\_\_ Phone # \_\_\_\_\_

☐ Other method of communication: (Describe in detail.)

\_\_\_\_\_

☐ Communications between the parties must be civil and respectful and limited to parent-time issues only.

☐ The parties must not make negative or harmful remarks about each other in the presence of the children, must not allow other people to do so and must remove the children if anyone makes negative remarks about the other party.

☐ The parties must not discuss this case in the presence of the children, must not allow other people to do so and must remove the children if anyone discusses the case in the presence of the children.

- ☐ The parties must not harm or threaten to harm the other parent or the children and must not allow other people to do so and must remove the children if anyone harms or threatens harm to the other parent or children.

5. ☐ **Child support**

- a. Petitioner's total countable gross monthly income for child support purposes is \$\_\_\_\_\_ (Utah Code 78B-12-203).

☐ Petitioner's income is imputed based on

☐ minimum wage.

☐ historical earnings.

☐ Petitioner does receive or has received public assistance.

- b. Respondent's total countable gross monthly income for child support purposes is \$\_\_\_\_\_ (Utah Code 78B-12-203).

☐ Respondent's income is imputed based on

☐ minimum wage.

☐ historical earnings.

☐ Respondent does receive or has received public assistance.

- c. ☐ Petitioner ☐ Respondent must pay \$\_\_\_\_\_ per month for child support. The following child support worksheet is attached (Choose one.):

☐ sole physical custody worksheet

☐ joint physical custody worksheet

☐ split custody worksheet

(Choose one.)

☐ This amount is based on the Uniform Child Support Guidelines (Utah Code 78B-12-2).

☐ This amount deviates from the Uniform Child Support Guidelines. The court finds that a deviated child support amount is in the best interests of the ~~minor~~ children based on:

☐ the standard of living and situation of the parties.

☐ the relative wealth and income of the parties.

☐ the ability of the obligor to earn.



- ☐ the ability of the obligee to earn.
- ☐ the ability of an incapacitated adult child to earn, or other benefits received by the adult child or on the adult child's behalf including Supplemental Security Income.
- ☐ the needs of the obligee, the obligor, and the child.
- ☐ the ages of the parties.
- ☐ the responsibilities of the obligor and the obligee for the support of others.
- ☐ other. (Describe.): \_\_\_\_\_

The reason for the deviated child support amount is:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_.

d. Effective date (Choose one.):

- ☐ The child support will be effective upon entry of this order.

**OR**

- ☐ The child support will be effective as of this date: \_\_\_\_\_.

e. Child support must be paid as follows (Choose one.):

- ☐ Mandatory income withholding by the Office of Recovery Services. Unless the Office of Recovery Services gives notice that payments should be sent elsewhere, all child support payments must be made to: Office of Recovery Services, PO Box 45011, Salt Lake City, UT 84145

**OR**

- ☐ Direct payments to the parent receiving child support by:

- ☐ Check

- ☐ Deposit in bank account

- ☐ Cashier's check or money order

- ☐ Other: \_\_\_\_\_

f. Child support payments must be made (Choose one.):

- ☐ One-half on or before the 5th day of each month, and one-half on or before the 20th day of each month.

**OR**

- ☐ Other payment arrangement:
- 

- g. Child support not paid on or before the due date is delinquent on the day after the due date.
- h. Child support arrearages will be determined by further judicial or administrative process. Any federal or state tax refund or rebate due to the non-custodial parent will be intercepted by the state of Utah and applied to child support arrearages.

6. ☐ **Child care expenses**

Both parties must share equally the reasonable child care expenses related to the custodial parent's work or occupational training.

The parent who pays child care expenses must **immediately** provide to the other parent written verification of the cost of the child care expenses and the identity of the child care provider when hired, within 30 calendar days after a change in the provider or the expense, and anytime upon the request of the other parent.

If the parent who pays child care expenses fails to provide written verification of child care above, that parent may be denied the right to recover or receive credit for the other parent's one-half share of the child care expense.

The other parent must begin paying one-half the child care amount on a monthly basis **immediately** after receiving proof from the parent that pays the child care expense.

- ☐ Other order for child care payment:
- 
- 
- 

7. ☐ **Health insurance, medical and dental expenses**

The minor children currently have health insurance coverage through:

- ☐ Petitioner's insurance
- ☐ Respondent's insurance

- ☐ Medicaid
- ☐ CHIP
- ☐ Other: \_\_\_\_\_
- ☐ Not covered by insurance

Both parties must provide health care coverage for the medical expenses of the dependent children. Health care coverage means coverage under which medical services are provided to a dependent child through: fee for service, a health maintenance organization, a preferred provider organization, any other type of private health insurance, or public health care coverage. Utah Code 78B-12-102(13).

- ☐ ☐ Petitioner ☐ Respondent must maintain health insurance for the minor children if it is available to that parent at a reasonable cost. Both parties must share equally:
- a. the cost of the premium paid by a parent for the children's portion of the insurance. The children's portion of the premium will be calculated by dividing the premium amount by the number of people covered by the policy and multiplying the result by the number of minor children of the parties; and
  - b. all reasonable and necessary uninsured and unreimbursed medical and dental expenses incurred for the children. This includes deductibles, co-payments, and co-insurance.

If medical insurance is not available at reasonable cost then both parents must ensure the children have health care coverage. This may require applying for public health care coverage, such as CHIP or Medicaid.

The parent ordered to maintain insurance must provide written verification of coverage to the other parent or the Office of Recovery Services when the children are first enrolled, on or before January 2nd of each calendar year and upon any change of insurance carrier, premium, or benefits within 30 calendar days after the date that parent knew or should have known of the change.

If the parent ordered to maintain insurance fails to provide written verification of coverage to the other parent or to the Office of Recovery Services, or if the parent incurring medical expenses fails to provide written verification of the cost and payment of the expenses to the other parent **within 30 days of payment**, that parent may be denied the right to receive credit for the expenses or to recover the other parent's share of the expenses.

The parent receiving written verification must reimburse the parent who incurred the medical or dental expenses one-half of the amount **within 30 days after receiving the written verification.**

8. ☐ **Tax exemptions for dependent children**

Tax exemptions for the dependent children for tax year \_\_\_\_\_ is ordered as follows:

| Child's name | Month and year of birth | Parent who may claim exemption   |
|--------------|-------------------------|--|
|              |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent |
|              |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent |
|              |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent |
|              |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent |
|              |                         | <input type="checkbox"/> Petitioner<br><input type="checkbox"/> Respondent |

☐ Other: \_\_\_\_\_

9. ☐ **Pregnancy expenses**

A judgment for pregnancy expenses in the amount of \$\_\_\_\_\_ is entered against (choose one):

☐ petitioner.

☐ respondent.

These expenses were billed for services received after the pregnancy began and before the pregnancy ended. This judgment will become part of the final order in this case.

10. ☐ **Payment of bills and debts**

☐ Petitioner must make at least minimum payments on:

| Type of debt | Name of creditor | Last 4 digits of account no. | Total amount owed | Monthly amount owed |
|--------------|------------------|------------------------------|-------------------|---------------------|
|              |                  |                              | \$                | \$                  |
|              |                  |                              | \$                | \$                  |
|              |                  |                              | \$                | \$                  |

☐ Respondent must make at least minimum payments on:

| Type of debt | Name of creditor | Last 4 digits of account no. | Total amount owed | Monthly amount owed |
|--------------|------------------|------------------------------|-------------------|---------------------|
|              |                  |                              | \$                | \$                  |
|              |                  |                              | \$                | \$                  |
|              |                  |                              | \$                | \$                  |

11. ☐ **Property**

Temporary use and possession of property will be as follows:

☐ To petitioner

☐ Residence (Address): \_\_\_\_\_

☐ Vehicle(s) (Make/model/year): \_\_\_\_\_

☐ Personal property items:

\_\_\_\_\_  
\_\_\_\_\_

☐ Other: \_\_\_\_\_

☐ To respondent

☐ Residence (Address): \_\_\_\_\_

☐ Vehicle(s) (Make/model/year): \_\_\_\_\_

☐ Personal property items:

\_\_\_\_\_

\_\_\_\_\_  
☐ Other: \_\_\_\_\_

☐ Neither party may sell, transfer or dispose of any property without a court order or written agreement signed by both parties.

12. ☐ **Temporary alimony** (Divorce cases only. (Utah Code 30-3-5(8)).)

☐ Petitioner ☐ Respondent must pay to ☐ petitioner ☐ respondent temporary alimony in the amount of \$ \_\_\_\_\_ per month by:

(Choose one.):

☐ Check

☐ Deposit in bank account

☐ Cashier's check or money order

☐ Other: \_\_\_\_\_

13. ☐ **Attorney fees**

☐ Petitioner ☐ Respondent must pay \$ \_\_\_\_\_ to

☐ Petitioner's attorney

☐ Respondent's attorney

14. ☐ **Other orders**

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Commissioner's or judge's signature may instead appear at the top of the first page of this document.

|               |                    |
|---------------|--------------------|
| _____<br>Date | Signature ► _____  |
|               | Commissioner _____ |
| _____<br>Date | Signature ► _____  |
|               | Judge _____        |

Approved as to form.

|       |  |       |
|-------|--|-------|
| _____ | Signature ►  | _____ |
| Date  | Petitioner, Attorney or Licensed Paralegal<br>Practitioner | _____ |
|       |  |       |
| _____ | Signature ►  | _____ |
| Date  | Respondent, Attorney or Licensed Paralegal<br>Practitioner | _____ |

### Certificate of Service

I certify that I filed with the court and am serving a copy of this Order on Motion for Temporary Order – With Children on the following people.

| Person's Name | Service Method  | Service Address | Service Date |
|---------------|---|-----------------|--------------|
|               | <input type="checkbox"/> Mail<br><input type="checkbox"/> Hand Delivery<br><input type="checkbox"/> E-filed<br><input type="checkbox"/> Email<br><input type="checkbox"/> Left at business (With person in charge or in receptacle for deliveries.)<br><input type="checkbox"/> Left at home (With person of suitable age and discretion residing there.) |                 |              |
|               | <input type="checkbox"/> Mail<br><input type="checkbox"/> Hand Delivery<br><input type="checkbox"/> E-filed<br><input type="checkbox"/> Email<br><input type="checkbox"/> Left at business (With person in charge or in receptacle for deliveries.)<br><input type="checkbox"/> Left at home (With person of suitable age and discretion residing there.) |                 |              |
|               | <input type="checkbox"/> Mail<br><input type="checkbox"/> Hand Delivery<br><input type="checkbox"/> E-filed<br><input type="checkbox"/> Email<br><input type="checkbox"/> Left at business (With person in charge or in receptacle for deliveries.)<br><input type="checkbox"/> Left at home (With person of suitable age and discretion residing there.) |                 |              |

\_\_\_\_\_  
 Date

\_\_\_\_\_  
 Signature ►

\_\_\_\_\_  
 Printed Name