

### **Explanatory Note**

When properly filled out, the following Statement of Defendant in Support of Guilty Plea contains all the requirements of Rule 11(e), Utah Rules of Criminal Procedure. If the district court chooses to rely on this statement for purposes of strict compliance with Rule 11, it must make that fact known on the record by referring to the statement on the record and asking defendant if he or she has read, understood, and acknowledged the contents of the statement. If the defendant cannot read or understand English, the court should ascertain on the record that the statement has been read or translated to defendant. Although this form is for guilty or no contest pleas, it may be adapted for *Alford* pleas.

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**IN THE \_\_\_\_\_ JUDICIAL DISTRICT COURT**  
**\_\_\_\_\_ COUNTY, STATE OF UTAH**

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**STATE OF UTAH,** : **STATEMENT OF DEFENDANT**  
 : **IN SUPPORT OF PLEA(S) AND**  
**Plaintiff,** : **CERTIFICATE OF COUNSEL**

**vs.** : **Case No. \_\_\_\_\_**

\_\_\_\_\_, :  
**Defendant.** :

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I, \_\_\_\_\_, hereby acknowledge and certify that I have been  
advised of and that I understand the following facts and rights:

**Notification of Charges**

I am pleading guilty or no contest to the following crimes:

	<b>Crime &amp; Statutory Provision</b>	<b>Degree</b>	<b>Punishment Min/Max and/or Minimum Mandatory</b>
A.	_____	_____	_____ _____
B.	_____ _____	_____	_____ _____
C.	_____ _____	_____	_____ _____
D.	_____ _____	_____	_____ _____

I have received a copy of the (Amended) Information against me. I have read it, or had it read to me, and I understand the nature and the elements of crime(s) to which I am pleading guilty (or no contest).

The elements of the crime(s) to which I am pleading guilty (or no contest) are:

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I understand that by pleading guilty I will be admitting that I committed the crimes listed above. (Or, if I am pleading no contest, I am not contesting that I committed the foregoing crimes). I stipulate and agree (or, if I am pleading no contest, I do not dispute or contest) that the following facts describe my conduct and the conduct of other persons for which I am criminally liable. These facts provide a basis for the court to accept my guilty (or no contest) plea(s) and prove the elements of the crime(s) to which I am pleading guilty (or no contest):

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### **Waiver of Constitutional Rights**

I am entering my plea(s) voluntarily. I understand that I have the following rights under the constitutions of Utah and of the United States. I also understand that if I plead guilty (or no contest) I will give up all the following rights:

**Counsel:** I know that I have the right to be represented by an attorney and that if I cannot afford one, an attorney will be appointed by the court at no cost to me. I understand

that I might later, if the judge determines I was able, be required to pay for the appointed lawyer's service to me.

I (have not) (have) waived my right to counsel. If I have waived my right to counsel, I have done so knowingly, intelligently, and voluntarily for the following reasons:

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If I have waived my right to counsel, I certify that I have read this statement and that I understand the nature and elements of the charge(s) and crime(s) to which I am pleading guilty (or no contest). I also understand my rights in this case and other cases and the consequences of my guilty (or no contest) plea(s).

If I have **not** waived my right to counsel, my attorney is \_\_\_\_\_. My attorney and I have fully discussed this statement, my rights, and the consequences of my guilty (or no contest) plea(s).

**Jury Trial.** I know that I have a right to a speedy and public trial by an impartial (unbiased) jury and that I will be giving up that right by pleading guilty (or no contest).

**Confrontation and cross-examination of witnesses.** I know that if I were to have a trial, a) I would have the right to see and observe the witnesses who testify against me and b) my attorney, or myself if I waived my right to an attorney, would have the opportunity to cross-examine all of the witnesses who testify against me.

**Right to compel witnesses.** I know that if I were to have a trial, I could call witnesses if I chose to, and I would be able to obtain subpoenas requiring the attendance and testimony of those witnesses. If I could not afford to pay for the witnesses to appear, the State would pay those costs.

**Right to testify and privilege against self-incrimination.** I know that if I were to have a trial, I would have the right to testify on my own behalf. I also know that if I chose not to testify, no one could make me testify or make me give evidence against myself. I also know that if I chose not to testify, the jury would be told that they could not hold my refusal to testify against me.

**Presumption of innocence and burden of proof.** I know that if I do not plead guilty (or no contest), I am presumed innocent until the State proves that I am guilty of the charged crime(s). If I choose to fight the charges against me, I need only plead "not guilty," and my case will be set for a trial. At a trial, the State would have the burden of proving each

element of the charge(s) beyond a reasonable doubt. If the trial is before a jury, the verdict must be unanimous, meaning each juror would have to find me guilty.

I understand that if I plead guilty (or no contest), I give up the presumption of innocence and will be admitting that I committed the crime(s) stated above.

**Appeal.** I know that under the Utah Constitution, if I were convicted by a jury or judge, I would have the right to appeal my conviction and sentence. I would have the right to hire a lawyer or have a lawyer appointed by the court to represent me on appeal if I could not afford one. If I could not afford the costs of an appeal, the State would pay those costs for me. I understand I am giving up my right to appeal my conviction if I plead guilty (or no contest) except as allowed by the preservation rule or an established exception to the preservation rule. I understand if I wish to appeal my sentence I must file a notice of appeal within 30 days after my sentence is entered.

**I know and understand that by pleading guilty (or no contest), I am waiving and giving up all the statutory and constitutional rights as explained above.**

### **Consequences of Entering a Guilty (or No Contest) Plea**

**Potential penalties.** I know the maximum sentence that may be imposed for each crime to which I am pleading guilty (or no contest). I know that by pleading guilty (or no contest) to a crime that carries a mandatory penalty, I will be subjecting myself to serving a mandatory penalty for the crime. I know my sentence may include a prison term, fine, or both.

I know that in addition to a fine, a ninety percent (90%) surcharge will be imposed. I also know that I may be ordered to make restitution to any victim(s) of my crime(s), including any restitution that may be owed on charges that are dismissed as part of a plea agreement.

**Consecutive/concurrent prison terms.** I know that if there is more than one crime involved, the sentences may be imposed one after another (consecutively), or they may run at the same time (concurrently). I know I may be charged an additional fine for each crime I plead to. I also know if I am on probation or parole, or awaiting sentencing on another offense of which I have been convicted or to which I have pled guilty (or no contest), my guilty (or no contest) plea(s) now may result in consecutive sentences being imposed on me. If the offense to which I am now pleading guilty occurred when I was imprisoned or on parole, I know the law requires the court to impose consecutive sentences unless the court finds and states on the record that consecutive sentences would be inappropriate.

**Plea agreement.** My guilty (or no contest) plea(s) (is/are) (is/are not) the result of

a plea agreement between myself and the prosecuting attorney. All the promises, duties, and provisions of the plea agreement, if any, are fully contained in this statement, including those explained below:

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**Trial judge not bound.** I know that any charge or sentencing concession or recommendation of probation or suspended sentence, including a reduction of the charges for sentencing, made or sought by either defense counsel or the prosecuting attorney are not binding on the judge. I also know that any opinions they express to me as to what they believe the judge may do are not binding on the judge.

**Immigration/Deportation:** I understand that if I am not a United States citizen, my plea(s) today may, or even will, subject me to deportation under United States immigration laws and regulations, or otherwise adversely affect my immigration status, which may include permanently barring my re-entry into the United States. I understand that if I have questions about the effect of my plea(s) on my immigration status, I should consult with an immigration attorney.

### **Defendant's Certification of Voluntariness**

I am entering my plea(s) of my own free will and choice. No force, threats, or unlawful influence of any kind have been made to get me to plead guilty (or no contest). No promises except those contained in this statement have been made to me.

I have read this statement, or I have had it read to me by my attorney, and I understand its contents and adopt each statement in it as my own. I know that I am free to change or delete anything contained in this statement, but I do not wish to make any changes because all of the statements are correct.

I am satisfied with the advice and assistance of my attorney.

I am \_\_\_\_ years of age. I have attended school through the \_\_\_\_ grade. I can read and understand the English language. If I do not understand English, an interpreter has been provided to me. I was not under the influence of any drugs, medication, or intoxicants that would impair my judgment when I decided to plead guilty (or no contest). I am not presently under the influence of any drug, medication, or intoxicants that impair my judgment.

I believe myself to be of sound and discerning mind and to be mentally capable of understanding these proceedings and the consequences of my plea(s). I am free of any mental disease, defect, or impairment that would prevent me from understanding what I am doing or from knowingly, intelligently, and voluntarily entering my plea(s).

**I understand that if I want to withdraw my plea of guilty, no contest, or guilty with a mental condition at the time of the offense, I must file a written motion to withdraw my plea(s) before sentence is announced. I understand that for a plea held in abeyance, a motion to withdraw from the plea agreement must be made within 30 days of pleading guilty or no contest. I will only be allowed to withdraw my plea if I show that it was not knowingly and voluntarily made. I understand that if my motion to withdraw a plea is not made within the time period described above, I may challenge the plea in a direct appeal according to the preservation rule or an established exception to the preservation rule.**

	Signature ►	
Date	Printed Name	

### Certificate of Defense Attorney

I certify that I am the attorney for \_\_\_\_\_, the defendant above, and that I know he/she has read the statement or that I have read it to him/her; I have discussed it with him/her and believe that he/she fully understands the meaning of its contents and is mentally and physically competent. To the best of my knowledge and belief, after an appropriate investigation, the elements of the crime(s) and the factual synopsis of the defendant's criminal conduct are correctly stated; and these, along with the other representations and declarations made by the defendant in the foregoing statement, are accurate and true.

	Signature of Attorney for Defendant ►	
Date	Bar Number	

### Certificate of Prosecuting Attorney

I certify that I am the attorney for the State of Utah in the case against \_\_\_\_\_, defendant. I have reviewed this Statement of Defendant and find that the factual basis of the defendant's criminal conduct which constitutes the offense(s) is true and correct. No improper inducements, threats, or coercion to encourage a plea has been offered defendant. The plea negotiations are fully contained in the Statement and in the attached Plea Agreement or as supplemented on the record before the Court. There is reasonable cause to believe that the evidence would support the conviction of defendant for the offense(s) for which the plea(s) is/are entered and that the acceptance of the plea(s) would serve the public interest.

_____	Signature of Prosecuting Attorney ►	_____
Date	Bar Number	_____



## Order

Based on the facts set forth in the foregoing Statement and the certification of the defendant and counsel, and based on any oral representations in court, the Court witnesses the signatures and finds that defendant's guilty (or no contest) plea(s) is/are freely, knowingly, and voluntarily made.

IT IS HEREBY ORDERED that the defendant's plea of guilty, no contest, or guilty with a mental condition at the time of the offense to the crime(s) set forth in the Statement be accepted and entered.

_____	Signature ►	_____
Date	Judge	_____