- 1 Rule **14-60311-581**. Sanctions.
- 2 (a) **Scope**. A disciplinary sanction is imposed on a <u>L</u>lawyer upon <u>the Committee's or the</u>
- 3 <u>court'sa</u> finding or acknowledgement that the Llawyer has engaged in professional
- 4 misconduct.
- 5 (b) Disbarment Delicensure. Disbarment Delicensure terminates the individual's status
- 6 as a Llawyer. A Llawyer who has been disbarred delicensed may be readmitted
- 7 <u>relicensed</u> as provided in Rule 14-525.
- 8 (c) **Suspension**. Suspension is the removal of removes a Llawyer from the practice of
- 9 law for a specified minimum period of time, generally six months or more. Generally,
- 10 suspension should be imposed for a specific period of time equal to or greater than six
- 11 months, but iIn no event should the time period prior to before application for
- reinstatement be more than three years.
- 13 (c)(1) A lawyer who has been suspended for six months or less may be reinstated
- 14 as set forth in Rule 14-524.
- 15 (c)(2) A lawyer who has been suspended for more than six months may be
- reinstated as set forth in Rule 14-525.
- 17 (d) **Interim suspension or interim discipline**. Interim suspension is the temporarily
- suspends<del>sion of</del> a Lawyer from the practice of law. Interim suspension or interim
- 19 <u>discipline</u> may be imposed as set forth in Rules <u>14-518</u>11-<u>563</u> and <u>14-519</u>11-<u>564</u>.
- 20 (e) **Reprimand**. Reprimand is public discipline which that declares the Lawyer's
- 21 conduct of the lawyer improper, but does not limit the Llawyer's right to practice law.
- 22 (f) **Admonition**. Admonition is nonpublic discipline that which declares the conduct of
- 23 the Llawyer improper, but does not limit the Llawyer's right to practice <u>law</u>.
- 24 (g) **Probation**. Probation is a sanction that allows a Llawyer to practice law under
- 25 specified conditions. Probation can may be public or nonpublic, can be imposed alone

26	or in conjunction with other sanctions, and can be imposed as a condition of
27	readmission or reinstatement or relicensure.
28	(1) <b>Requirements</b> . To be eligible for probation, a Respondent must demonstrate
29	<u>that:</u>
30	(A) the Respondent can perform legal services and the continued practice
31	of law will not cause the courts or the profession to fall into disrepute;
32	(B) the Respondent is unlikely to harm the public during probation;
33	(C) the necessary conditions of probation can be adequately-supervised;
34	<u>and</u>
35	(D) the Respondent has not committed acts warranting delicensure.
36	(2) Conditions. Probation may include the following conditions:
37	(A) no further violations of the Rules of Professional Conduct or Licensed
38	Paralegal Practitioner Rules of Professional Conduct;
39	(B) restitution;
40	(C) assessment of costs;
41	(D) limitation on practice;
42	(E) requirement that the Lawyer pass the Multistate Professional
43	Responsibility Exam;
44	(F) requirement that the Lawyer take continuing legal education courses;
45	(G) mental health counseling and treatment;
46	(H) abstinence from drugs and alcohol;
47	(I) medical evaluation and treatment;
48	(I) periodic reports to the court and the OPC; and

49	(K) monitoring of all or part of Respondent's work by a supervising
50	attorney.
51	(3) Costs. The Respondent is responsible for all costs of evaluation, treatment,
52	and supervision. Failing to pay these costs before probation terminates is a
53	violation of probation.
54	(4) Terminating probation. A Respondent may terminate probation by filing
55	with the district court and serving on the OPC an unsworn declaration stating
56	that the Respondent has fully complied with the requirements of the probation
57	order. The OPC may file an objection and thereafter the court will conduct a
58	<u>hearing.</u>
59	(5) Violations. If during the period of probation, the OPC receives information
60	that any probation term has been violated, the OPC may file a motion specifying
61	the alleged violation and seeking to have the probation terminated. Upon filing
62	such motion, the Respondent must have the opportunity to respond and a
63	hearing will be held, at which time the court will determine whether to revoke
64	probation.
65	(h) <b>Diversion</b> . Diversion is an alternative to a sanction if completed. Diversion allows a
66	Lawyer to practice law under specified conditions. Diversion may be public or non-
67	<u>public.</u>
68	(1) Rule 11-550 governs diversion matters before the matter is submitted to a
69	screening panel.
70	(2) For an Action, the following criteria will determine the appropriateness of a
71	diversion:
72	(A) The misconduct does not involve the misappropriation of funds or
73	property; fraud, dishonesty, deceit or misrepresentation; or the
74	commission of a misdemeanor adversely reflecting on the Lawyer's fitness
75	to practice law or any felony;

76	(B) The misconduct appears to be the result of inadequate law office
77	management, chemical dependency, a physical or mental health
78	condition, negligence or lack of training, education or other similar
79	<u>circumstance; and</u>
80	(C) There appears to be a reasonable likelihood that the successful
81	completion of a remedial program will prevent the recurrence of conduct
82	by the attorney similar to that under consideration for diversion.
83	(3) In addition to the above-required criteria of (A), (B) and (C), other
84	considerations may include whether the misconduct is a one-time act or based on
85	a physical or mental condition beyond the Respondent's control and whether
86	there is sufficient evidence connecting the condition to the misconduct.
87	(4) Diversion determinations must include compliance conditions to address the
88	misconduct and the time for completion.
89	(5) If the Lawyer completes the diversion conditions, the Action will be
90	dismissed with prejudice.
91	(6) If the Lawyer does not complete the diversion conditions within the required
92	time, the Lawyer will be subject to a suspension of six months and a day.
93	(hi) <b>Resignation with discipline pending</b> . Resignation with discipline pending is a
94	form of public discipline $\frac{\text{which-}\underline{\text{that}}}{\text{allows a }\underline{\text{r}}\underline{\text{R}}\text{espondent to resign from the practice of}}$
95	law while either <u>aan informal or formal</u> <u>Ceomplaint or Action</u> is pending against the
96	<b><u>#Respondent.</u></b> Resignation with discipline pending may be imposed as set forth in Rule
97	<del>14 521</del> <u>11-566</u> .
98	(ij) Other sanctions and remedies. Other sanctions and remedies which may be
99	imposed that a court may impose include:
100	(1) restitution;
101	(2) assessment of costs;

102	(3) limitation upon practice;
103	i(4) appointment of a receiver;
104	$\frac{1}{2}$ (5) a requirement that the $\underline{L}$ awyer take the Bar Examination or professional
105	responsibility examination; and
106	$\frac{1}{2}$ (6) a requirement that the $\underline{L}$ -lawyer attend continuing education courses.
107	$(\underline{j}\underline{k})$ <b>Reciprocal discipline</b> . Reciprocal discipline $\underline{i}$ s the imposition of $\underline{i}$ s $\underline{i}$ mposing $\underline{a}$
108	disciplinary sanction on a Llawyer who has been disciplined in another court, another
109	jurisdiction, or a regulatory body having disciplinary jurisdiction.
110	Effective December 15, 2020