- 1 Rule <u>14-52011-565</u>. Discipline by consent.
- 2 (a) Discipline by consent prior to before the matter is submitted to a screening
- 3 panelfiling of formal complaint. A rRespondent against whom an informal
- 4 <u>eC</u>omplaint has been filed may, <u>prior to the filing of a formal complaint before the</u>
- 5 <u>matter is submitted to a screening panel</u>, tender a proposal for discipline by consent,
- 6 including a conditional admission to the <u>informal c</u>Complaint or portions thereof in
- 7 exchange for a disciplinary sanction and final disposition of the informal cComplaint.
- 8 The proposal shallmust include a waiver of right to a screening panel hearing. The
- 9 <u>*Respondent must submit the proposalshall</u> to the OPC, who <u>shallwill</u> forward the
- 10 proposal to the Committee chair with a recommendation in favor of or opposed to the
- 11 proposal and a statement of the basis for such recommendation. If the Committee chair
- 12 <u>approves the proposal is approved by the Committee chair</u>, the sanction shallwill be
- imposed as provided in this rule. If the proposal is rejected by the Committee chair, the
- 14 proposal and admission shall will be withdrawn and cannot be used against the
- 15 *Respondent in subsequent proceedings.
- 16 (b) Discipline by consent after filing of formal complaint an Action. A #Respondent
- 17 against whom a<u>n Action formal complaint</u> has been filed may tender a conditional
- admission to the <u>allegations in the OPC's</u>formal complaint or to a particular count
- 19 thereof in exchange for a stated form of discipline and final disposition of the formal
- 20 <u>complaint Action</u>. The proposal <u>shall must</u> be submitted to <u>the OPC-counsel</u>, who
- 21 <u>shallwill</u> then forward the proposal to the district court with a recommendation
- 22 favoring or opposing the proposal and a statement of the basis for such
- 23 recommendation. The district court shall will either approve or reject the proposal. If the
- 24 district court approves the proposal and the stated form of discipline includes public
- 25 discipline, it shallwill enter the appropriate disciplinary order as provided in paragraph
- 26 (d). If the district court rejects the proposal, the proposal and conditional admission
- 27 <u>shallwill</u> be withdrawn and cannot be used against the <u>rR</u>espondent in subsequent
- 28 proceedings.

29	(c) Order of discipline by consent . The final order of discipline by consent shall will be
30	predicated up on:
31	(1) the informal cComplaint and any NOIC Notice if no formal complaint Action
32	has been filed;
33	(2) the formal complaint Action, if filed;
34	(3) the approved proposal for discipline by consent; and
35	(4) an affidavitan unsworn declaration of consent by the <u>rR</u> espondent to be
36	disciplined.
37	(d) Affidavit Unsworn declaration of consent. A FRespondent whose proposal for
38	discipline by consent has been approved as provided in this rule, shall <u>must</u> submit an
39	affidavit an unsworn declaration to the Committee chair or the district court as
40	appropriate, consenting to the imposition of the approved disciplinary sanction and
41	affirming that:
42	(1) the consent is freely and voluntarily entered;
43	(2) the <u>rRespondent</u> is not acting under coercion or duress;
44	(3) the $\underline{\mathbb{R}}$ espondent is fully aware of the implications of submitting the consent;
45	(4) the $\frac{1}{2}$ espondent is aware that there is presently pending an investigation
46	into, or proceeding involving, allegations that there exist grounds for discipline,
47	the nature of which shallmust be specifically set forth;
48	(5) for purposes of disciplinary proceedings, the <u>*Respondent acknowledges</u> that
49	the material facts so alleged are true; and
50	(6) the $\underline{*Respondent}$ submits consent because the $\underline{*Respondent}$ knows that if \underline{an}
51	informal or formal complaint the Complaint or Action were predicated upon the
52	mattersallegations under investigation were filed, or the pending Action formal
53	charges were prosecuted, the Rrespondent could not successfully defend against
54	the charges upon which the discipline is based.

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