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Rule 14-719. Qualifications for admission of House Counsel Applicants.

- 2 (a) Scope of practice. An attorney admitted to the Bar as House Counsel shall limit
 3 her or his practice of law including legal representation only to the business of her or his
 4 employer. However, House Counsel can provide pro bono legal services under the
- 5 <u>auspices of an approved sponsoring entity consistent with Rule 14-803 of the Utah Rules</u>
- 6 of Lawyer Discipline and Disability. House Counsel shall not:
- 7 (a)(1) Appear before a court of record or not of record as an attorney or counselor in the State of Utah except as otherwise authorized by law or rule; or
- 9 (a)(2) Offer legal services or advice to the public or hold herself or himself out as
 10 being so engaged or authorized, except as permitted under Rule 5.5 of the Utah Rules of
 11 Professional Conduct. An attorney granted a House Counsel license is not prevented from
 12 appearing in any matter pro se, performing pro bono services under Rule 14-803, or from
 13 fulfilling the duties of a member of the active or reserve components of the armed forces
 14 or the National Guard.
- 15 (b) Requirements of <u>Hh</u>ouse <u>Ceounsel Aapplicants</u>. To be recommended for 16 admission to the Bar as House Counsel, a person must establish by clear and convincing 17 evidence that she or he:
 - (b)(1) has filed with the Admissions Office a Complete Application for admission to the Bar and paid the prescribed application fee;
- 20 (b)(2) is at least 21 years old;
- 21 (b)(3) graduated with a First Professional Degree in law from an Approved Law School, or equivalent degree from, or an Unapproved Law School located within a U.S.
- state, territory or the District of Columbia;
- 24 (b)(4) is licensed to practice law and in active status in a U.S. state, territory or the 25 District of Columbia;
- 26 (b)(5) either (A) is a bona fide resident of the State of Utah or (B) maintains an office 27 as the employer's house counsel within the State of Utah;
- 28 (b)(6) is employed and practices law exclusively as <u>hHouse cCounsel</u> for a <u>non-governmental</u> corporation, its subsidiaries or affiliates, an association, a business, or other legal entity whose lawful business consists of activities other than the practice of law or the provision of legal services;
- 32 (b)(7) has provided an affidavit signed by both the Applicant and the employer that
 33 the Applicant is employed exclusively as <u>h</u>House <u>c</u>Counsel and that Applicant has
 34 disclosed to the employer the limitations on House Counsel's license of practicing under
 35 this rule;

36	(b)(8) is of good moral character and satisfies the requirements of Rule 14-708;
37 38	(b)(9) has presented satisfactory proof both of admission to the practice of law and that she or he is a member in good standing in all jurisdictions where currently admitted;
39 40 41 42	(b)(10) has a proven record of ethical, civil and professional behavior and has never been disbarred or resigned with discipline pending, or their equivalent, in any jurisdiction, and is not currently subject to lawyer discipline or the subject of a pending disciplinary matter; and
43	(b)(11) has received a passing MPRE score; and
44 45	(b)(121) has complied with the oath and enrollment provisions of Rule 14-716 and paid the licensing fees required for active status.
46 47 48	(c) Timing of application and admission. An application under this rule may be filed at any time but the Applicant must be able to demonstrate that she or he satisfies the requirements of this rule as of the date the application is filed.
49 50	(c)(1) The processing time of the application and the character and fitness investigation require a minimum of four months to complete.
51 52	(c)(2) Upon approval the Applicant must comply with the provisions of Rule 14-716 concerning licensing and enrollment fees.
53 54	(c)(3) A person licensed as House Counsel shall pay annual license fees which shall be equal to the fees required to be paid by a member of the Bar on Active status.
55	(d) Unauthorized practice of law.
56 57	(d)(1) It is the unauthorized practice of law for an attorney not licensed in Utah to practice law in the state except as otherwise provided by law.
58 59 60 61	(d)(2) An attorney who complies with the requirements of subsection (b)(1) may provide services to an employer in Utah while the application is pending as long as the application is filed within six months of the out-of-state attorney accepting a \underline{h} House \underline{c} Counsel position.
62 63 64	(d)(3) An attorney who provides legal advice to her or his employer but is not an active member of the Bar or licensed as a-House Counsel pursuant to this rule may be referred for investigation for the unauthorized practice of law.
65 66 67	(e) Continuing legal education requirement. House Counsel shall pay the designated filing fee and file with the MCLE Board by July 31 of each year a Certificate of Compliance from the jurisdiction where House Counsel maintains an active license

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68 69	establishing that she or he has completed the hours of continuing legal education required of active attorneys in the jurisdiction where House Counsel is licensed;
70 71 72 73 74 75 76	(f) Subject to disciplinary proceedings. A person licensed as House Counsel shall be subject to professional discipline in the same manner and to the same extent as members of the Bar and specifically shall be subject to discipline by the Supreme Court as delegated by rule and shall otherwise be governed by Chapter 13, the Rules of Professional Conduct, Chapter 14 Article 5, Lawyer Discipline and Disability, Article 6, Standards for Imposing Lawyer Sanctions, and other applicable rules adopted by the Supreme Court, and all applicable statutory provisions.
77	(h) Notification of change in standing.
78 79 80	(h)(1) House Counsel shall execute and file with the Licensing Office a written notice of any change in that person's membership status, good standing or authorization to practice law in any jurisdiction where licensed.
81 82 83	(h)(2) House Counsel shall execute and file with the Office of Professional ConductOPC a written notice of the commencement of all formal disciplinary proceedings and of all final disciplinary actions taken in any other jurisdiction.
84 85 86	(i) No Solicitation. House Counsel is not authorized by anything in this rule to hold out to the public or otherwise solicit, advertise, or represent that he or she is available to assist in representing the public in legal matters in Utah.
87 88 89	(j) Cessation of activity as house counsel. A House Counsel license terminates and the House Counsel shall immediately cease performing all services under this rule and shall cease holding herself or himself out as House Counsel upon:
90 91	(j)(1) termination of employment with the qualified employer as provided in subsection (b)(6);
92 93	(j)(2) termination of residence, or the maintenance of his or her office in the State of Utah as provided in subsection (b)(5);
94 95 96 97	(j)(3) failure to maintain active status in a sister state or United States territory or the District of Columbia, or to satisfy the Bar's annual licensing requirements, including compliance with mandatory continuing legal education requirements as provided for in this rule;
98 99	(j)(4) completion of any disciplinary proceeding in Utah or any other jurisdiction, which warrants suspension or termination of the House Counsel license.; or
100 101	_(j)(5) an attorney who seeks admission to practice in this state as House Counsel and who previously had a Utah House Counsel license that was terminated due to a

disciplinary proceeding pursuant to subsection (j)(4) or whose license was terminated for

103 104	a period longer than six months pursuant to subsection $(j)(1)$, $(j)(2)$, or $(j)(3)$ must file a new application under this rule.
105 106	(k) Reinstatement after temporary lapse in license. An attorney whose House Counsel license is terminated pursuant to subsection $(j)(1)$, $(j)(2)$, or $(j)(3)$ shall be reinstated to
107 108	practice law as a House Counsel if within six months from the termination the attorney is able to demonstrate to the Admissions Office that she or he has:
109	(k)(1) transferred to inactive status in accordance with subsection (l); or
110 111	$(k)(\underline{24})$ employment with a qualified employer and has provided the required verification of employment pursuant to subsection $(b)(7)$;
112 113	$(k)(\underline{32})$ established a residence or maintains an office for the practice of law as House Counsel for the employer within the State of Utah; and
114 115	$(k)(\underline{43})$ active status in a U.S. state, territory or the District of Columbia and has complied with the Bar's annual licensing <u>and MCLE</u> requirements for House Counsel.
116 117	(l) Inactive status. House Counsel who is not currently practicing may transfer to inactive status under Rule 14-203(a)(4). Doing so will prevent the lapse of the license as
118	long as the inactive status is maintained.
119 120 121	(l)(1) Inactive House Counsel may return to active status upon demonstration of compliance with requirements (k)(1) through (k)(4) and payment of the necessary fees in accordance with Rule 14-203(b).
122 123 124	(<u>m</u> l) Notice of change of employment. House Counsel shall notify, in writing, the Licensing Office of the termination of the employment pursuant to which the House Counsel license was issued.
125 126 127 128 129	(<u>nm</u>) Full admission to the Utah State Bar. A House Counsel license will be terminated automatically once the attorney has been otherwise admitted to the practice of law in Utah as an active member of the Bar. Any person who has been issued a House Counsel license may qualify for full membership by establishing by clear and convincing evidence that she or he:
130 131 132	_(m)(1) has filed a complete written request for a change of status with the Admissions Office in accordance with the filing deadlines set forth in Rule 14-707(b). The request for a change of status must include:
133 134 135 136	(nm)(1)(A) has applied Reapplication for Admission form updating the information provided in the original application, including payment of the prescribed application fee. If the original application for admission is more than two years old, a new Complete Application for admission must be filed as an Attorney Applicant or Motion Applicant by

137 138	filing a Complete Application; any application must be filed in accordance with the filing deadlines set for in Rule 14-707(b);
139 140	(m)(1)(B) a criminal background check dated no more than 180 days prior to the filing of the change of status request;
141 142	(m)(1)(C) satisfactory proof of both admission to the practice of law and that House Counsel is a member in good standing in all jurisdictions where currently admitted; and
143 144 145 146	(m)(1)(D) has a proven record of ethical, civil and professional behavior and has never been disbarred or resigned with discipline pending, or their equivalent, in any jurisdiction and is not currently subject to lawyer discipline or the subject of a pending disciplinary matter.
147	(m)(2) is of good moral character and satisfies the requirements of Rule 14-708;
148 149 150 151	(<u>nm</u>)(<u>23</u>) has successfully passed the Bar Examination <u>under Rule 14-704</u> , has <u>transferred a passing UBE score under Rule 14-712</u> , or qualifies for admission under Rule 14-705. <u>Time spent in Utah practicing as House Counsel or performing pro bono services does not qualify an attorney for admission under Rule 14-705; <u>and</u></u>
152	_(m)(4) has successfully passed the MPRE; and
153 154	$(\underline{nm})(\underline{35})$ <u>has complieds</u> with the provisions of Rule 14-716 concerning licensing and enrollment fees.